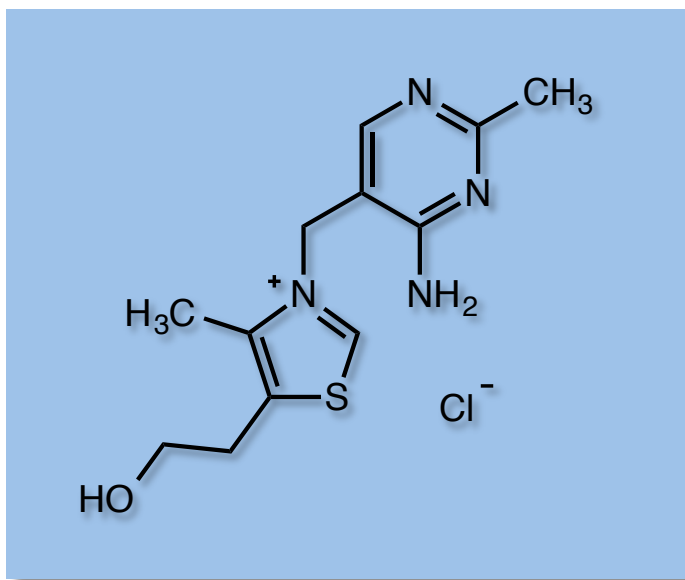


Asymmetric Organocatalysis with N-Heterocyclic Carbenes

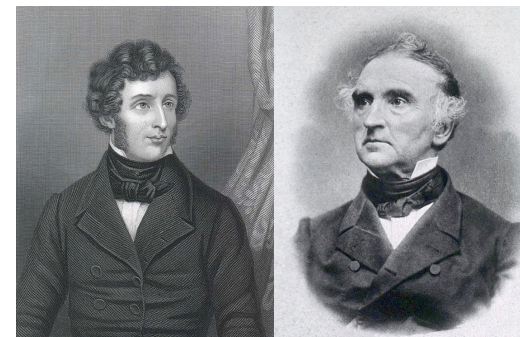
History and Recent Developments



Adam Noble
MacMillan Group Meeting
January 29th 2014

Early Developments

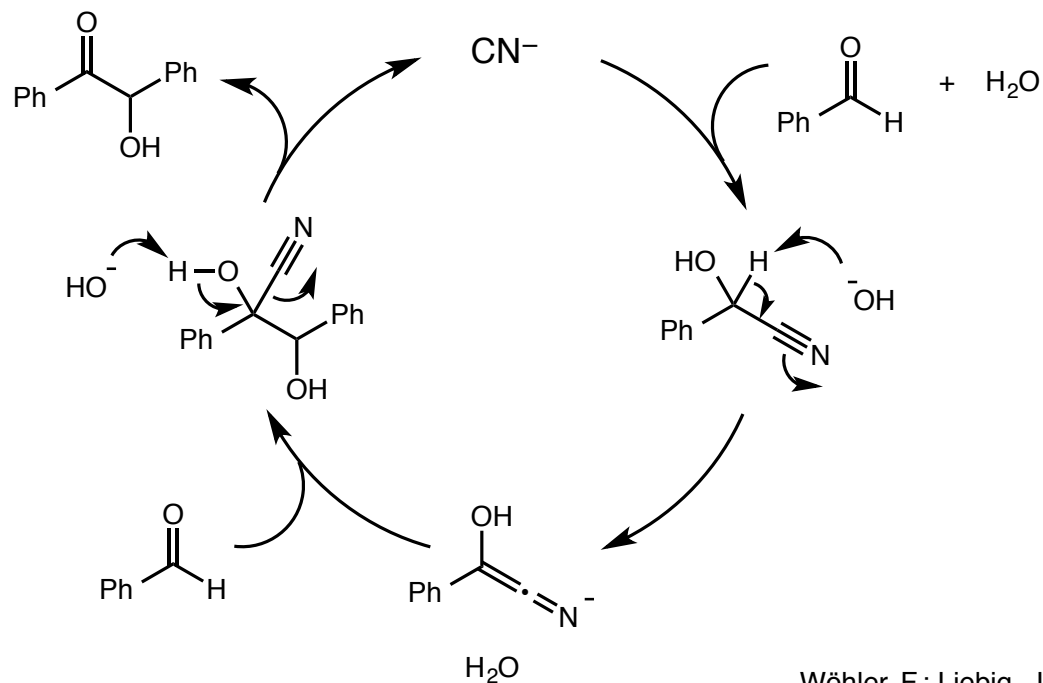
The Benzoin Condensation



- 1832: First report of benzoin condensation by Wöhler and Liebig



- 1903: Mechanism proposed by Lapworth

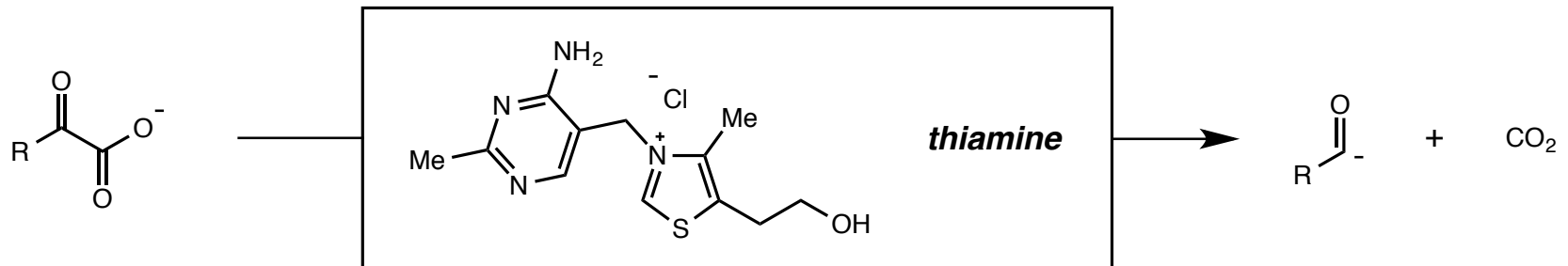


Wöhler, F.; Liebig, J. *Ann. Pharm.* **1832**, 3, 249.
Lapworth, A.; *J. Chem. Soc.* **1903**, 83, 995.

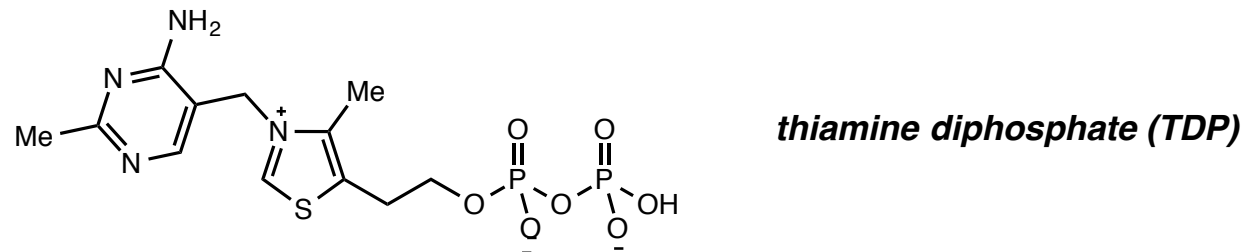
Thiamine (Vitamin B₁)

Enzymatic Acyl Anion Generation

- Thiamine is responsible for the generation of acyl anion equivalents in a number of biochemical reactions



- Most commonly found as the co-enzyme thiamine diphosphate (TDP)

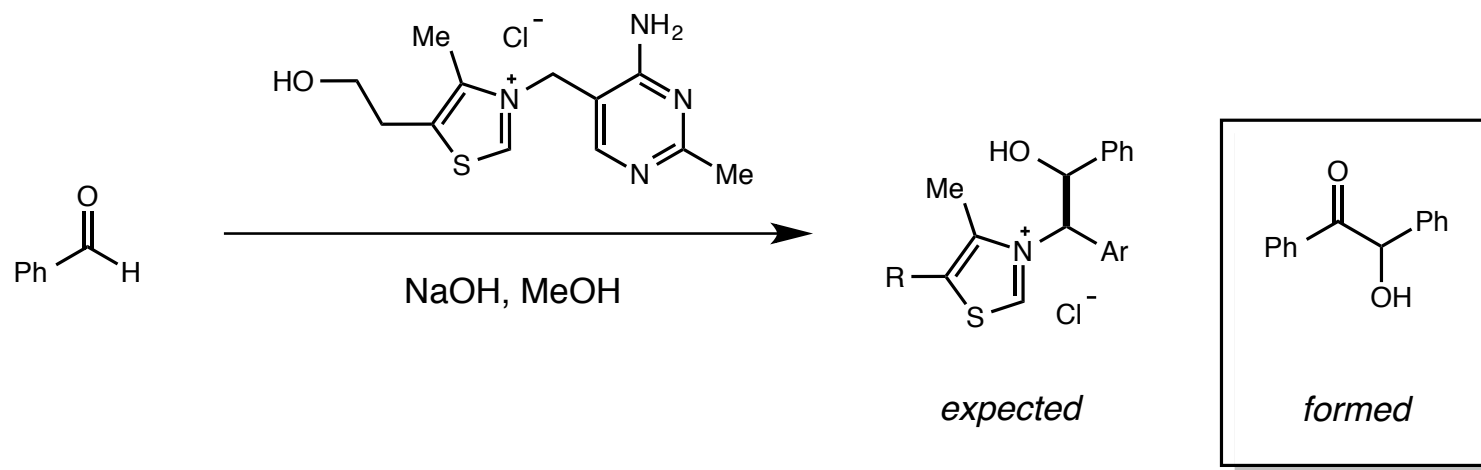


- Pyruvate decarboxylase
- Pyruvate oxidase
- Pyruvate dehydrogenase
- Transketolase

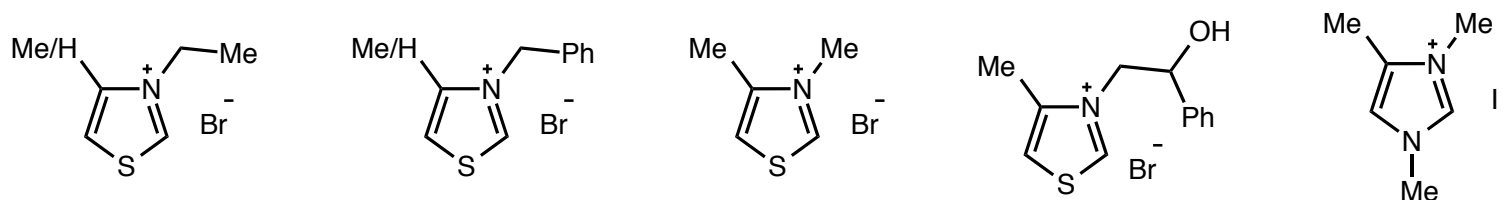
Early Developments

Thiamine-Catalyzed Benzoin Condensation

- 1943: Ugai discovered that thiazolium salts could replace cyanide in benzoin condensations



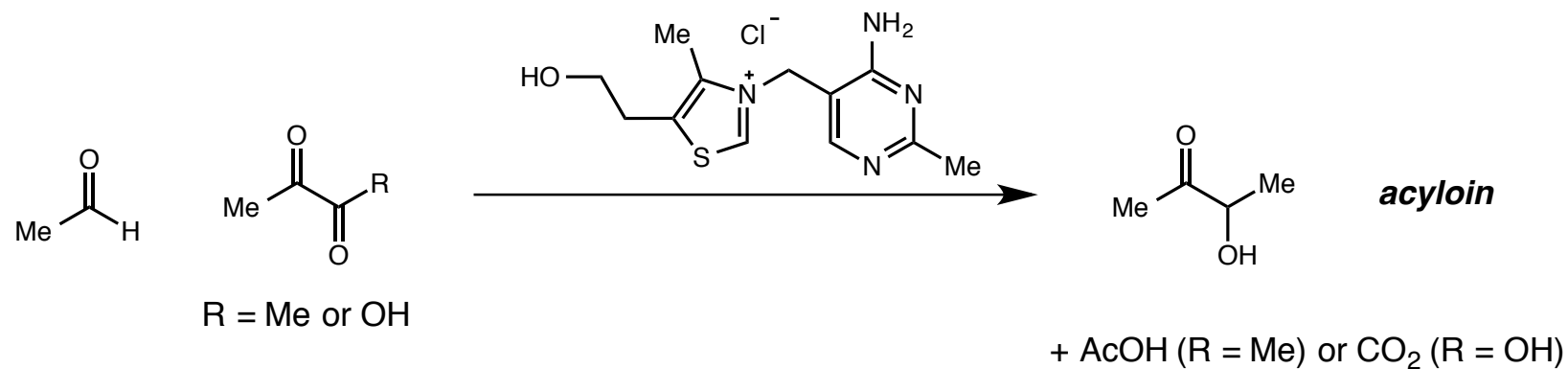
A variety of other thiazolium compounds were also demonstrated to be effective catalysts



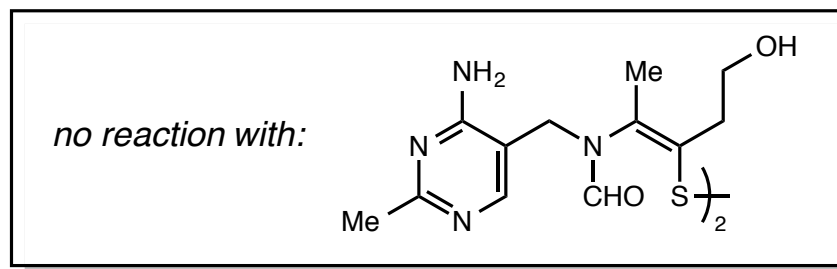
Early Developments

Thiamine-Catalyzed Benzoin Condensation

- 1954: Mizuhara demonstrated that thiamine could catalyze a number of reactions that had also been observed in biological systems



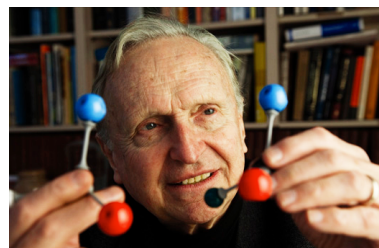
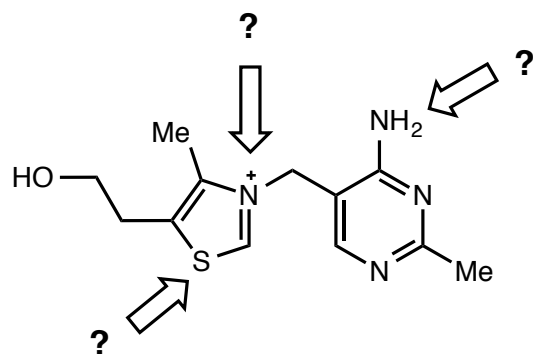
- Showed that the thiazolium moiety of thiamine was responsible for the catalytic activity



Early Developments

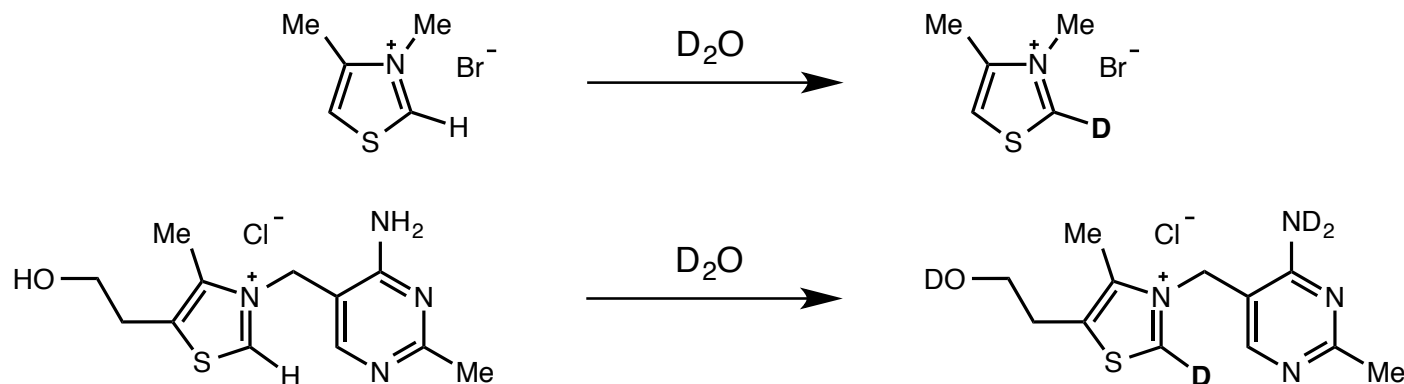
Mechanism of the Thiamine-Catalyzed Benzoin Condensation?

- Reactions such as pyruvate decarboxylation and benzoin-type condensations catalyzed by thiamine (vitamin B₁) dependent enzymes were considered some of the most "mysterious" transformations



the origin of reactivity was unknown until the work of Breslow

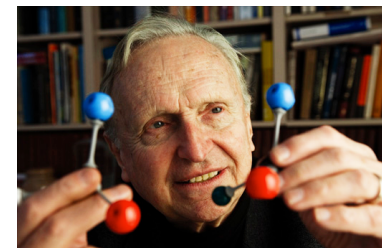
- 1958: Breslow demonstrated that the C-2 proton of thiazoliums exchanges rapidly with deuterium



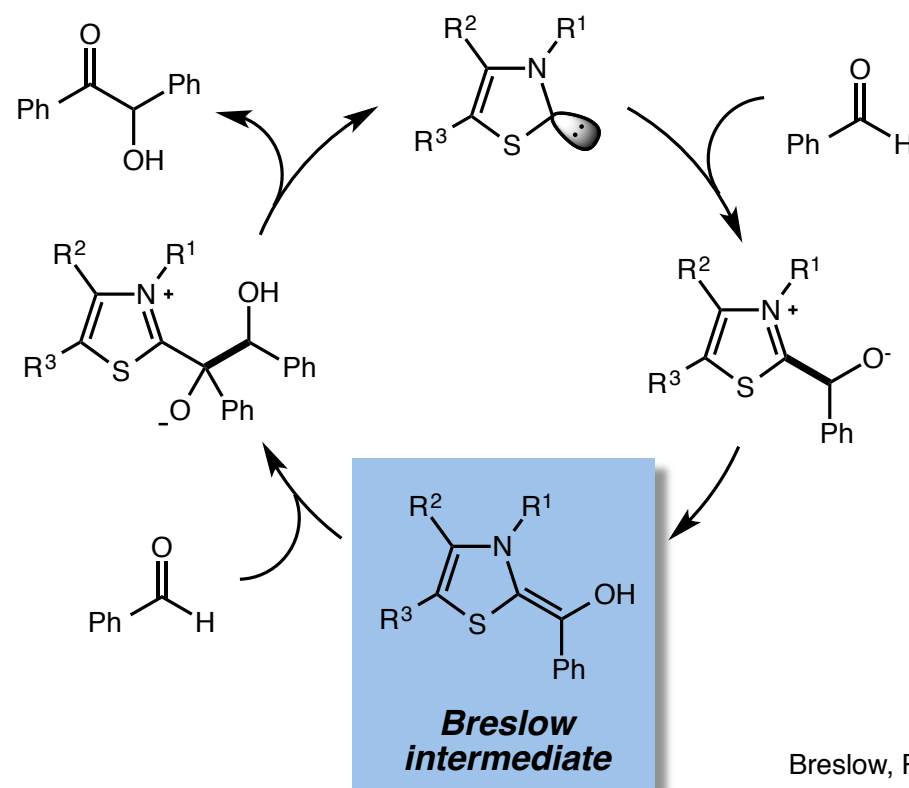
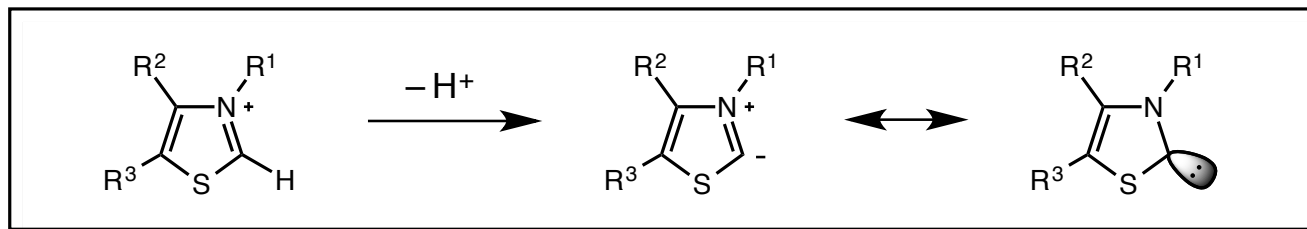
Breslow, R. *J. Am. Chem. Soc.* **1958**, *80*, 3719.

Early Developments

Breslow's Proposed Mechanism



- 1958: Breslow proposed his mechanism for the thiazolium catalyzed benzoin condensation

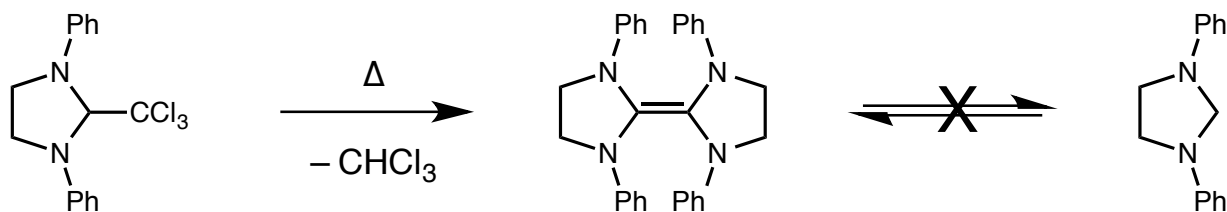


Breslow, R. *J. Am. Chem. Soc.* **1958**, *80*, 3719.

From 'Laboratory Curiosities' to Catalysis Mainstays

Synthesis of Stable N-Heterocyclic Carbenes

- Since the mechanistic work by Breslow, NHCs were only considered as highly reactive intermediates.
- Their high reactivity made the isolation of such species seemingly impossible, with dimerization being a major reaction pathway.



- This was the case until 1968, when seminal work by the groups of Wanzlick and Öfele demonstrated that NHCs could be isolated in their metal-ligated forms



Hans-Werner Wanzlick



Karl Öfele

Wanzlick, H.-W. *Angew. Chem. Int. Ed.* **1962**, *1*, 75.

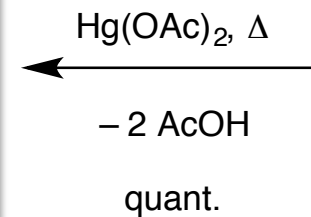
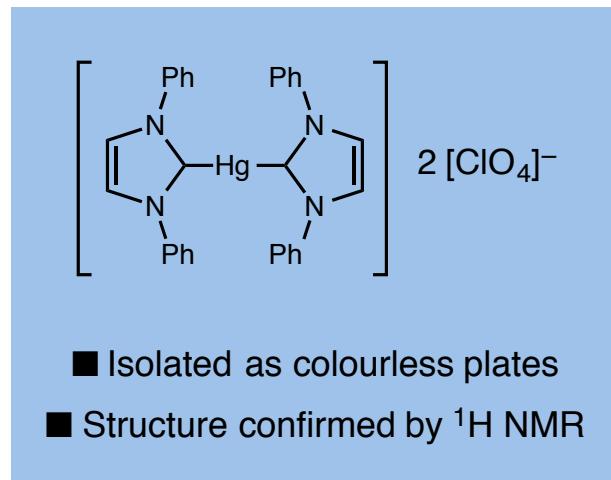
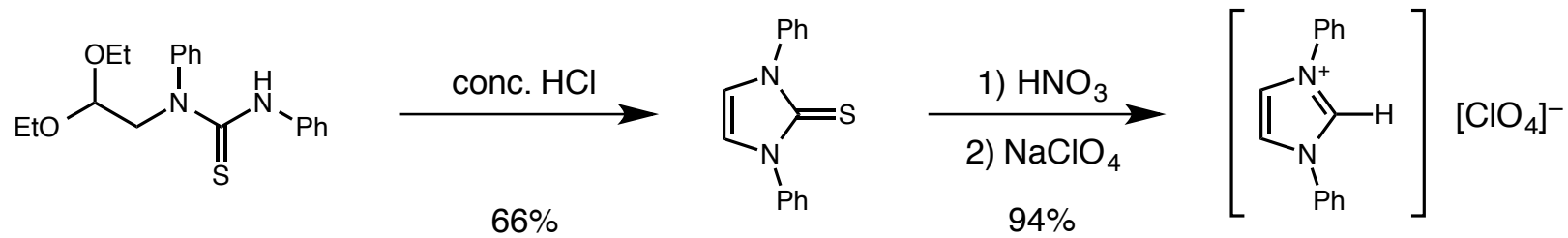
Rovis, T, Nolan, S. P. *Synlett* **2013**, 1188.

Wanzlick and Öfele

1968: First isolation of ligated NHCs



■ Wanzlick *et al* isolated a mercury complexed NHC

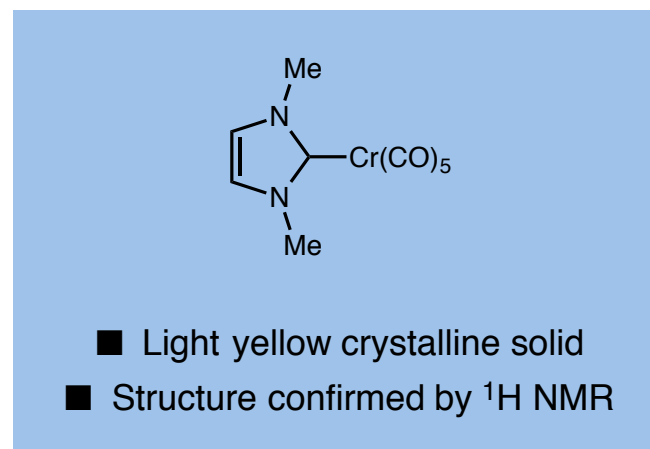
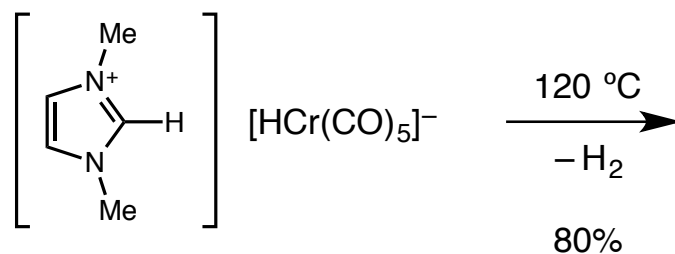
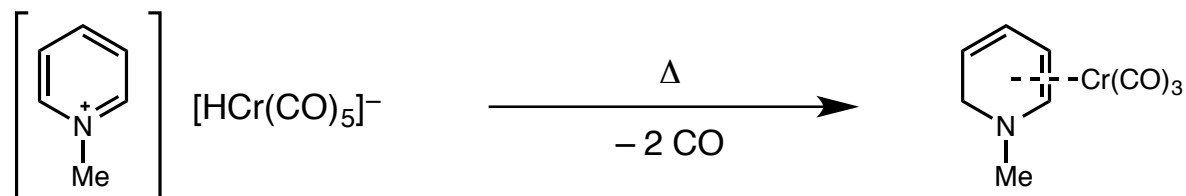


Wanzlick and Öfele

1968: First isolation of ligated NHCs



- Öfele isolated a chromium NHC complex while trying to generate dehydro-complexes from heterocyclic salts



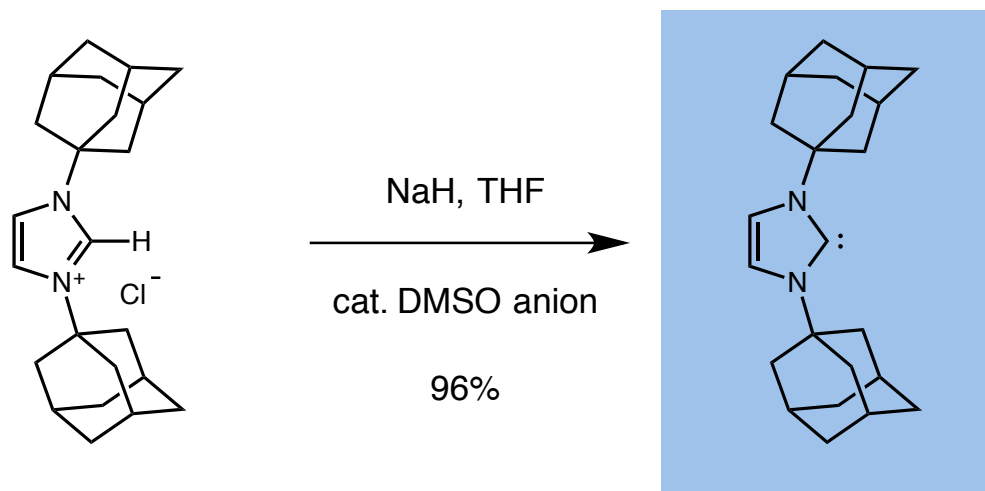
- Despite these advances, the field remained relatively inactive for 23 years until a breakthrough discovery by the group of Arduengo

Arduengo

1991: First isolation of a stable crystalline NHC



- Isolated the free carbene by deprotonation of a bis-adamantyl imidazolium chloride



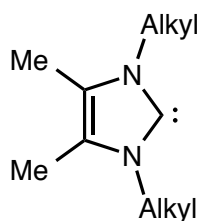
- The structure was unequivocally established by single-crystal X-ray analysis
 - Thermally stable in the absence of oxygen and moisture

- The synthesis of isolable NHCs was instrumental in causing the explosion in interest in *stable carbene chemistry*

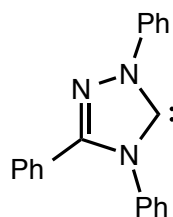
Isolable NHCs

Explosion in the Number of Reported Isolations

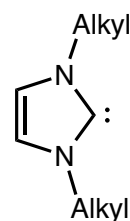
- After the first report from Arduengo in 1991, many other groups reported successful syntheses of a variety of new NHCs



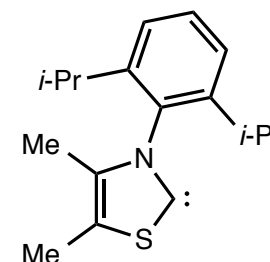
**Arduengo et al
1992**



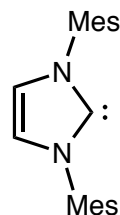
**Enders et al
1995
(first commercially
available carbene)**



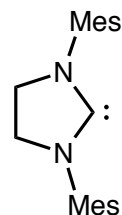
**Herrmann et al
1996**



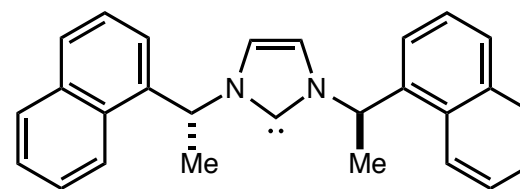
**Arduengo et al
1997**



**Arduengo et al
1992**



**Arduengo et al
1995**

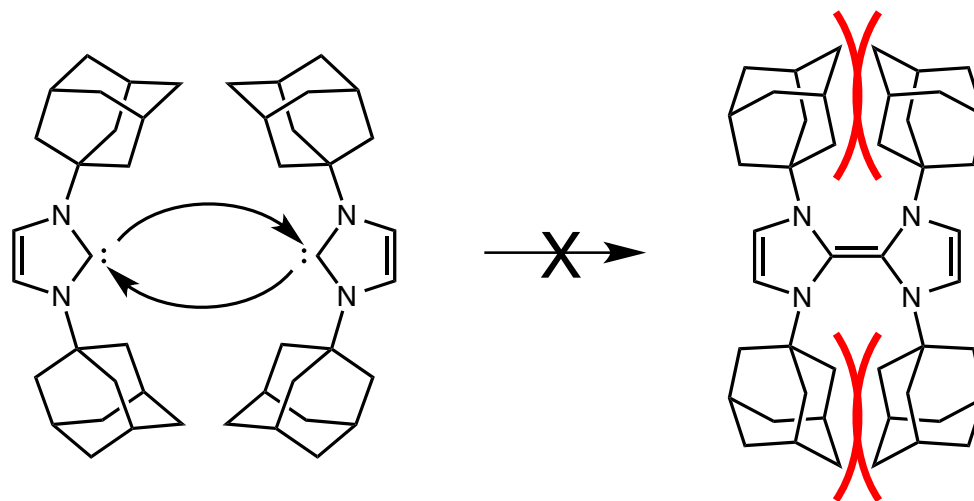


**Herrmann et al
1996**

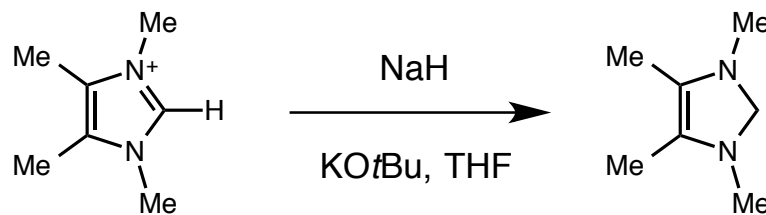
Isolable NHCs

Stability

- Original reports suggested that isolation was due to sterics preventing dimerization



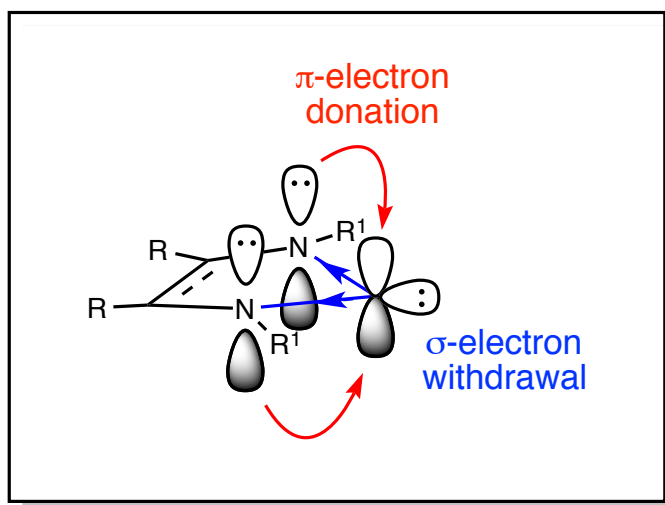
- The isolation of the carbene from 1,3,4,5-tetramethylimidazolium chloride suggested that electronic factors may have greater impact on the stability of carbenes than sterics.



Isolable NHCs

Stability

- Electronic factors operating in both the π and σ frameworks result in a "push-pull" synergistic effect to stabilize the carbene.



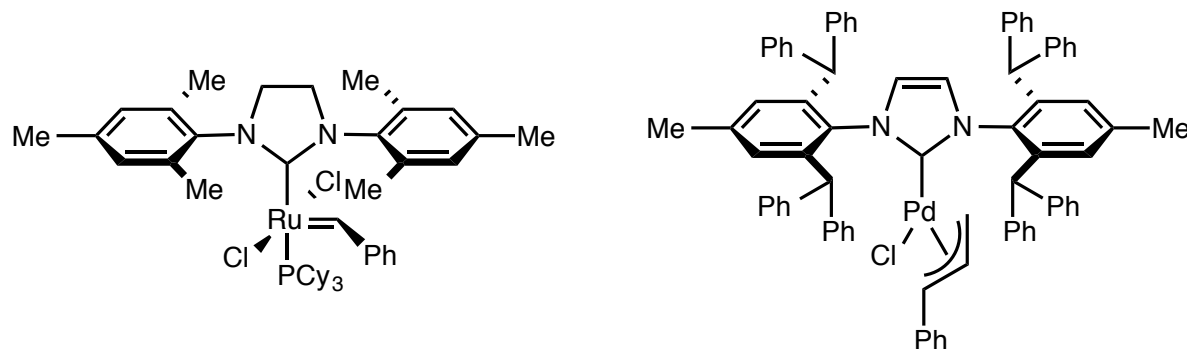
- π donation into the carbene from the out-of-plane π orbital stabilizes electrophilic reactivity
- σ withdrawal by electronegative atoms stabilizes nucleophilic reactivity

- The combined effect is to increase the singlet-triplet gap and stabilize the singlet-state carbene over the more reactive triplet-state carbene

Isolable NHCs

Reactivity: Why are they so useful?

- NHCs are exceptionally good σ donors so form strong metal–carbon bonds:
- Compared to phosphines, NHCs form complexes that:
 - are more straightforward to prepare (due to *in situ* NHC formation)
 - show greater air and thermal stability
 - often have catalytic activities 100 to 1000 times greater



- Singlet carbenes of NHCs are distinct Lewis bases that show both σ basicity and π acidity:
 - Allows for the generation of a second nucleophile during a reaction (e.g. Breslow intermediate)
 - This unique "doubly" nucleophilic aspect allows NHCs to react as powerful organocatalysts

Rovis, T, Nolan, S. P. *Synlett* **2013**, 1188.

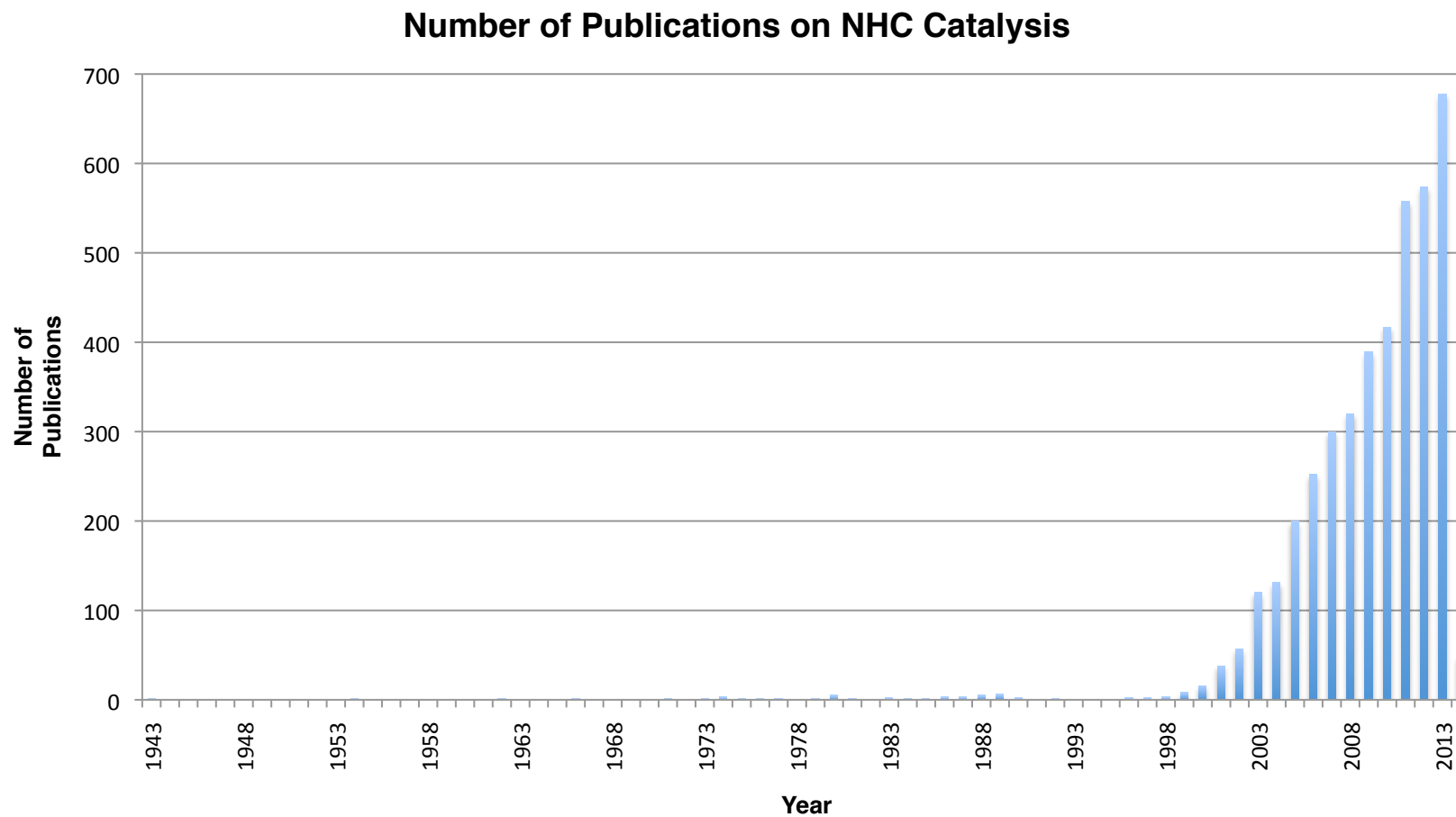
Arnold, P. L.; Pearson, S. *Coord. Chem. Rev.* **2007**, 251, 596.

Phillips, E. M.; Chan, A.; Scheidt, K. A. *Aldrichimica Acta.* **2009**, 42, 55.

Isolable NHCs

Reactivity: Why are they so useful?

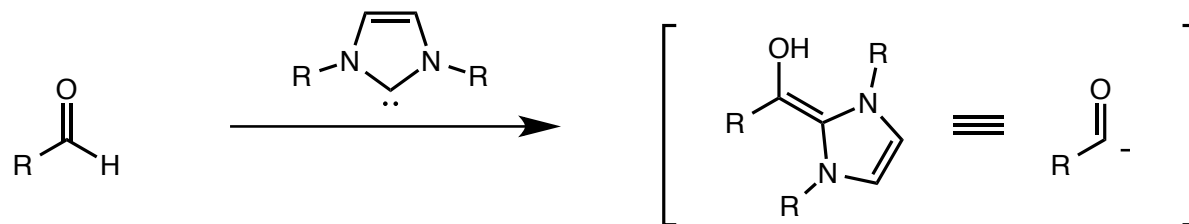
- These factors have resulted in a huge increase in interest in NHCs over the past 70 years



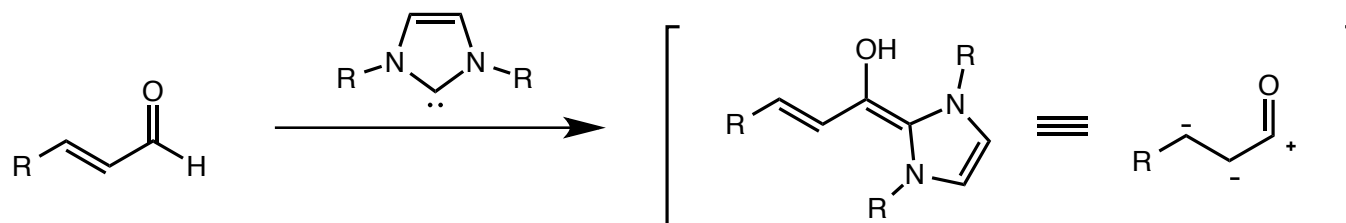
N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

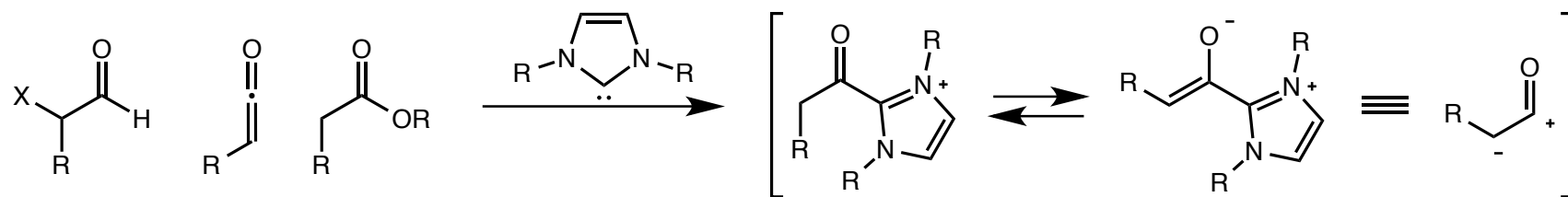
Acyl Anions



Homoenolates



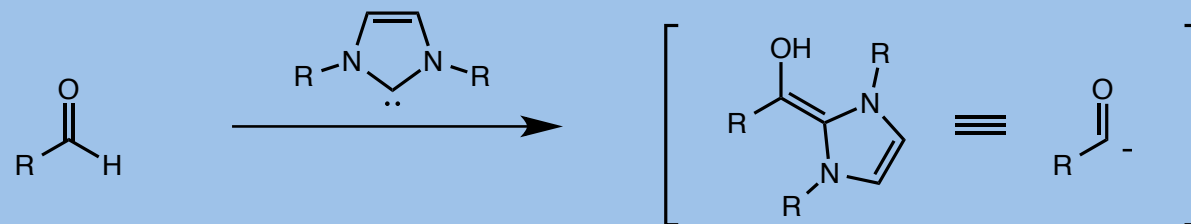
Azolium Enolates / Acyl Azoliums



N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

Acyl Anions



■ Benzoin Condensations

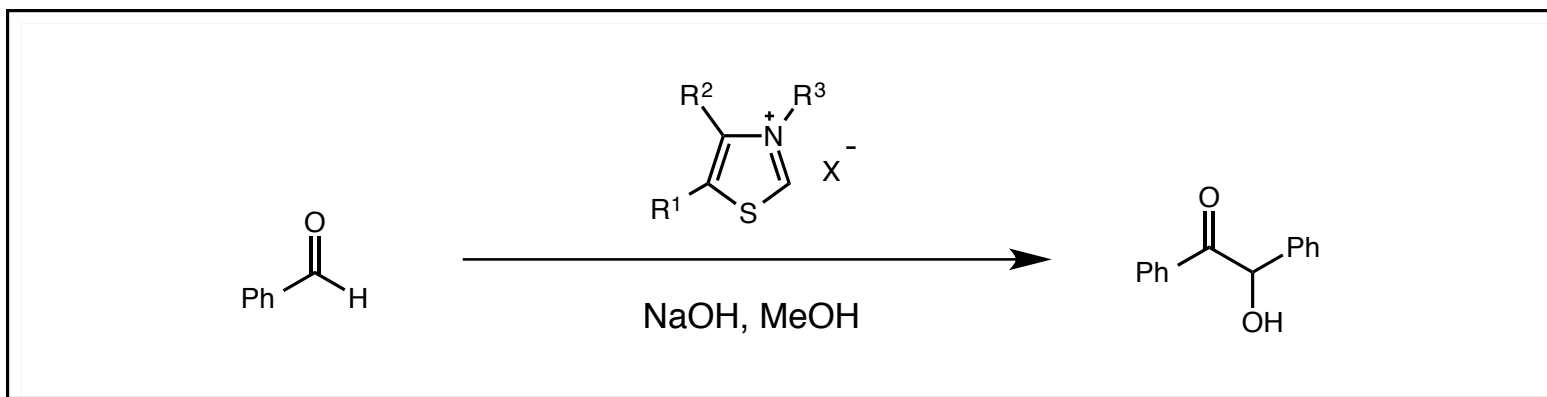
■ Stetter Reactions

■ Hydroacylations

The Use of NHCs for the Generation of Acyl Anion Equivalents

Early Developments

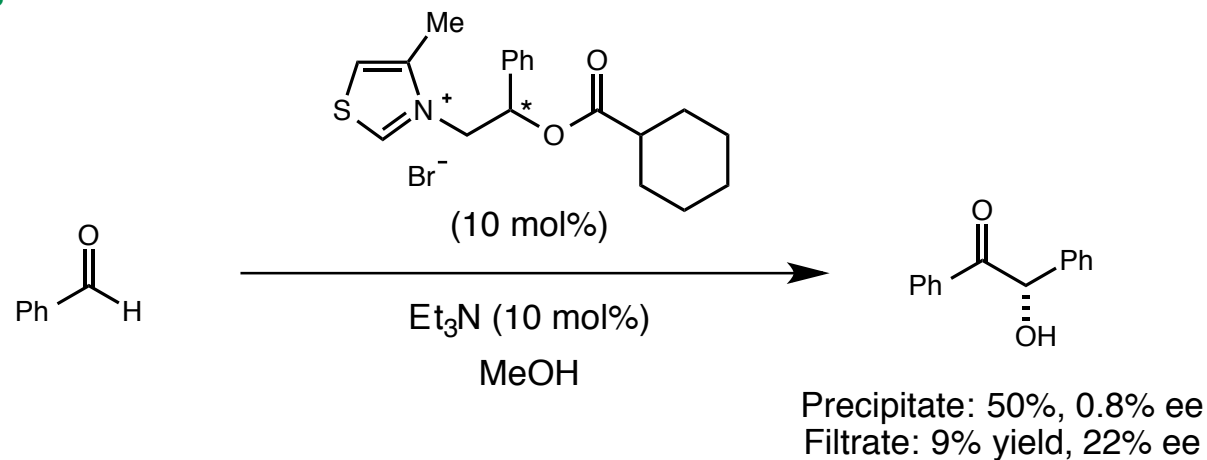
- 1943: Ugai discovered the thiazolium-catalyzed benzoin condensation



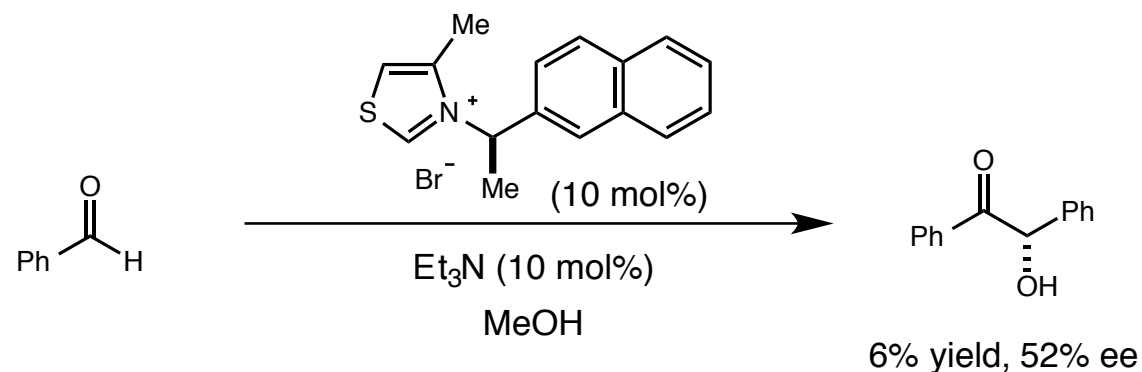
The Use of NHCs for the Generation of Acyl Anion Equivalents

The First Asymmetric Examples

- 1966: Sheehan described the first asymmetric benzoin condensations catalyzed by chiral thiazolium salts



- 1974: Sheehan reported slight improvements to enantioselectivity but still low yield



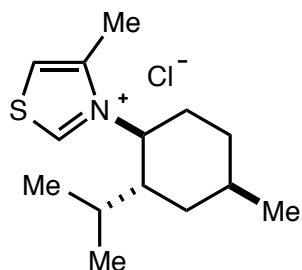
Sheehan, J. C.; Hunneman, D. H. *J. Am. Chem. Soc.* **1966**, *88*, 3666.

Sheehan, J. C.; Hara, T. *J. Org. Chem.* **1974**, *39*, 1196.

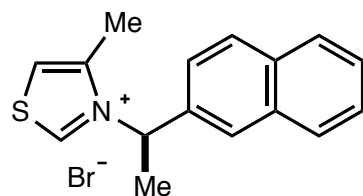
The Use of NHCs for the Generation of Acyl Anion Equivalents

The First Asymmetric Examples

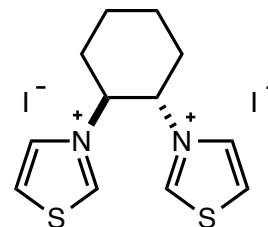
■ Following the work of Sheehan, a number of other groups reported the use of similar NHCs to catalyze benzoin condensations



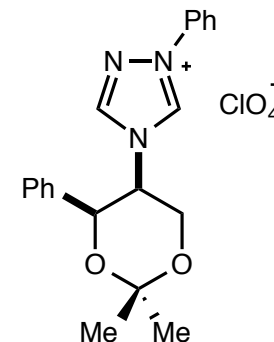
20%, 35% ee
Takagi et al, 1980



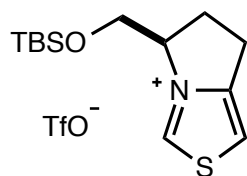
47-57%, 20-30% ee
Zhao et al, 1988



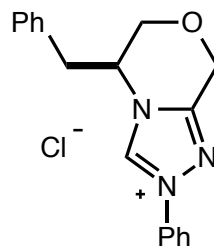
21%, 26% ee
López-Calahorra et al, 1993



66%, 75% ee
Enders et al, 1996



50%, 21% ee
Leeper et al, 1997



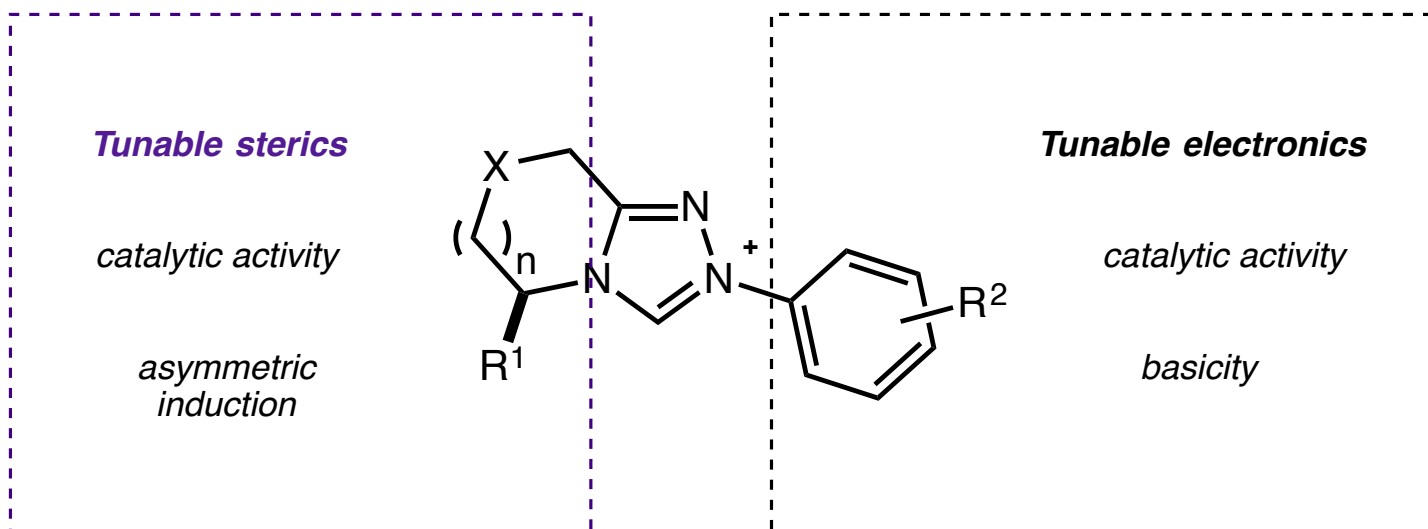
45%, 80% ee
Leeper et al, 1998

Bicyclic triazoliums are key!

The Use of NHCs for the Generation of Acyl Anion Equivalents

The First Asymmetric Examples

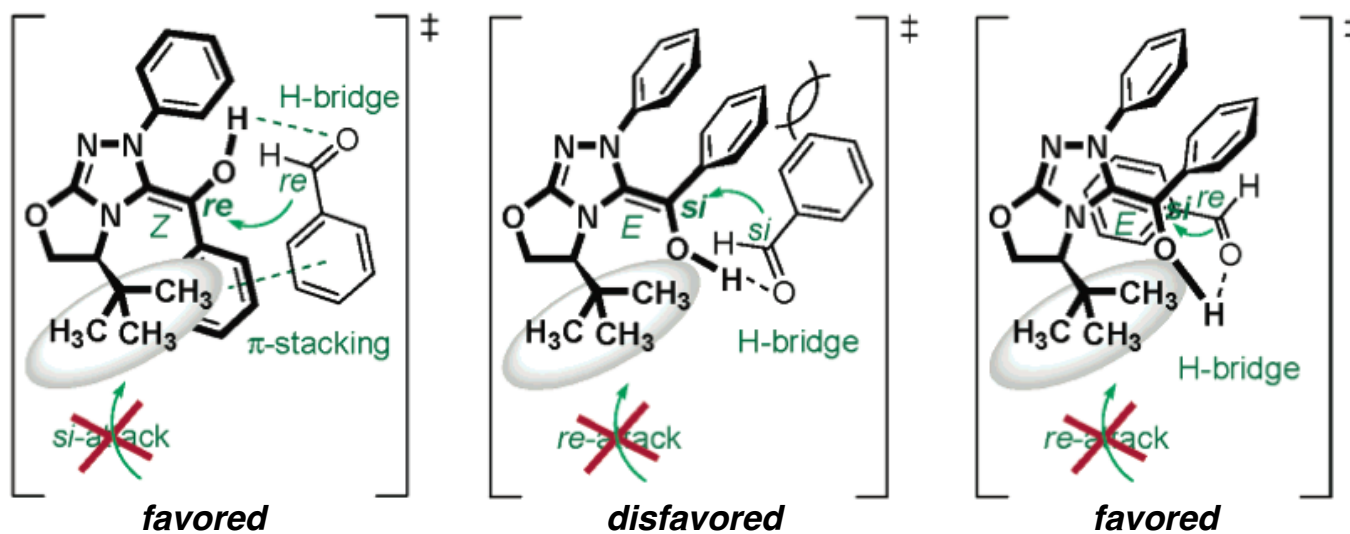
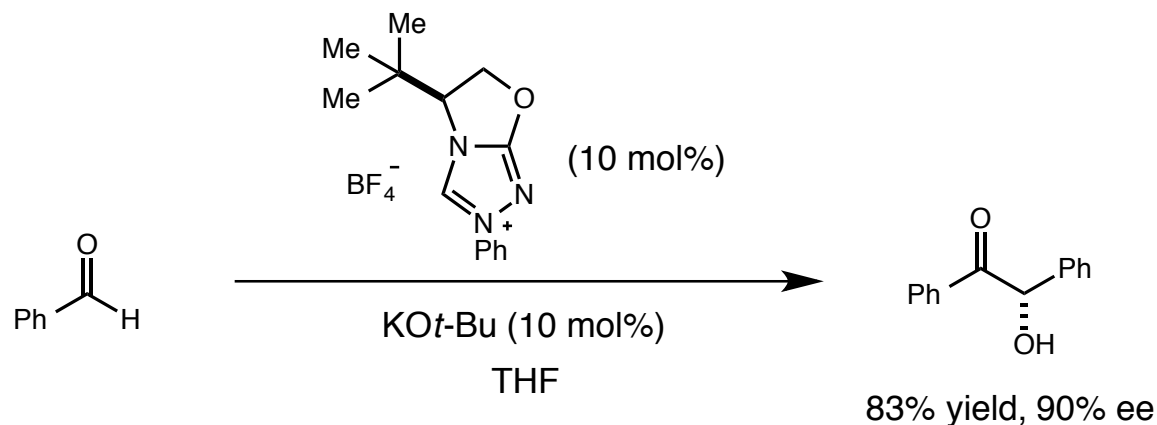
- The initial development of *N*-aryl-bicyclic triazoliums led the way to improved catalyst efficiency



The Use of NHCs for the Generation of Acyl Anion Equivalents

Bicyclic Triazolium Salts Give High Enantioselectivities

- Modification of Leepers bicyclic triazoliums allowed Enders to develop a highly efficient NHC catalysts



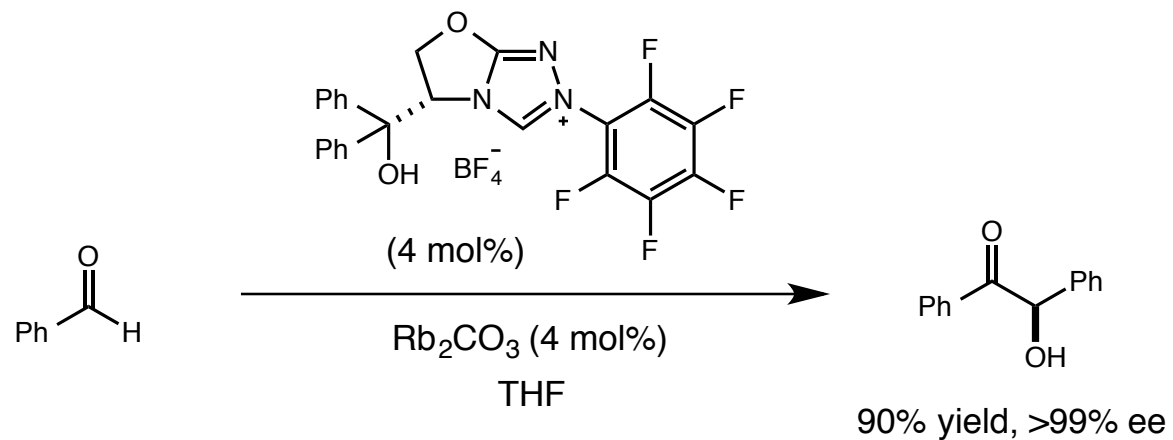
Dudding, T.; Houk, K. N. *Proc. Nat. Acad. Sci.* **2004**, *101*, 5770.

Enders, D.; Kallfass, U. *Angew. Chem. Int. Ed.* **2002**, *41*, 1743.

The Use of NHCs for the Generation of Acyl Anion Equivalents

Bicyclic Triazolium Salts Give High Enantioselectivities

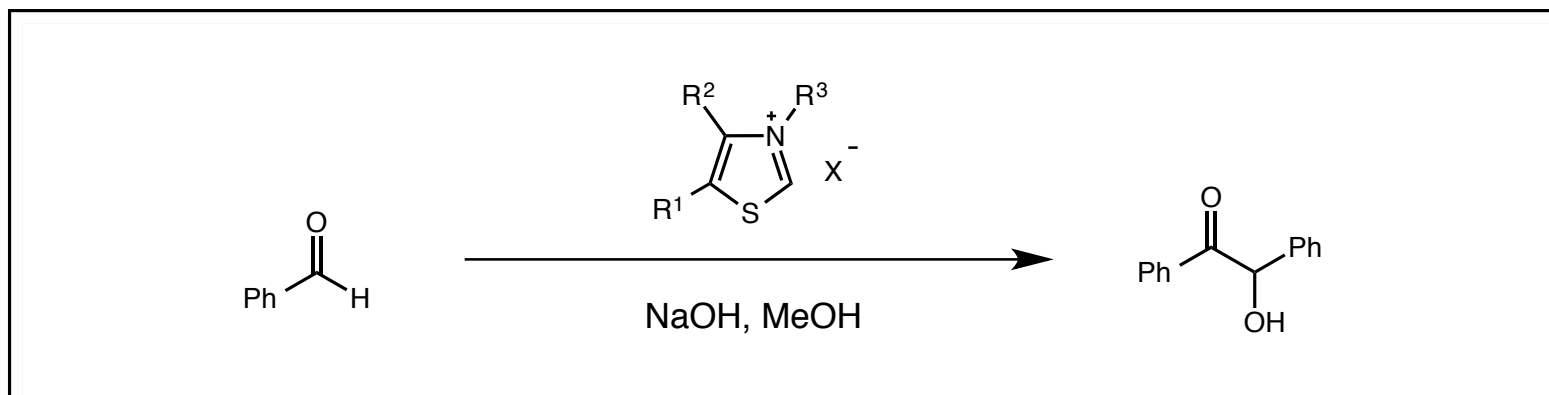
- Connon *et al* demonstrated the NHCs bearing alcohol directing groups can give exceptional levels of enantioinduction



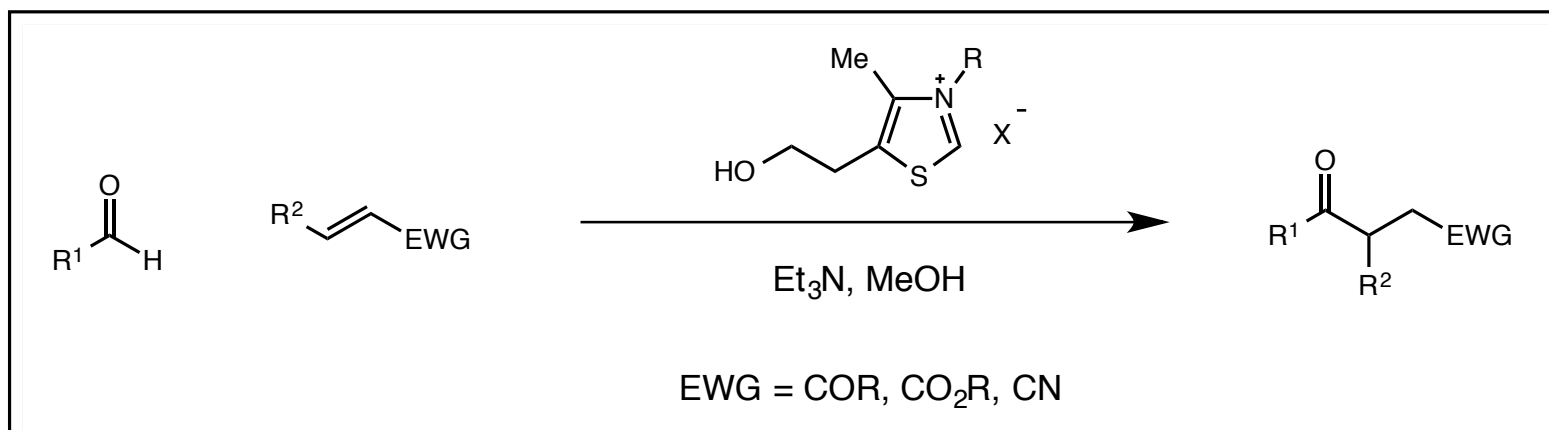
The Use of NHCs for the Generation of Acyl Anion Equivalents

Early Developments

- 1943: Ugai discovered the thiazolium-catalyzed benzoin condensation



- 1974: Stetter reported the 1,4-addition variant = **The Stetter Reaction**

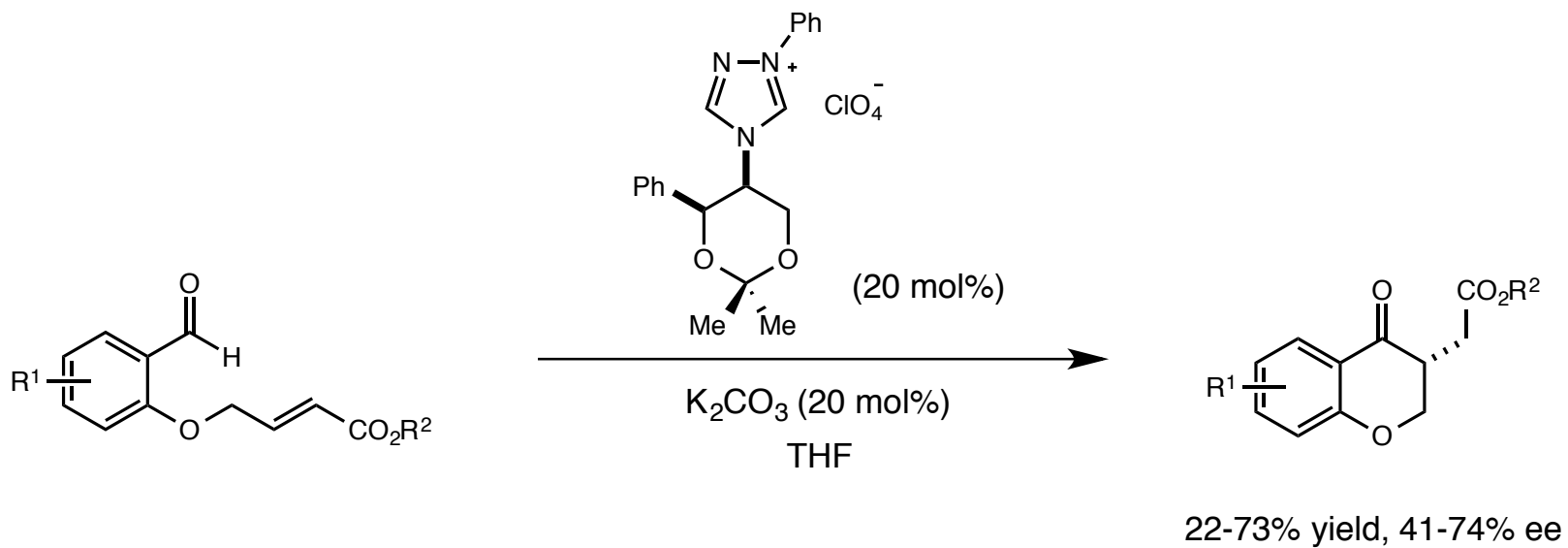


Ugai, T.; Tanaka, R.; Dokawa, T. *J. Pharm. Soc. Jpn.* **1943**, *63*, 296.
Stetter, H.; Kuhlmann, H. *Angew. Chem. Int. Ed. Engl.* **1974**, *13*, 539.

The Use of NHCs for the Generation of Acyl Anion Equivalents

Intramolecular Stetter Reactions

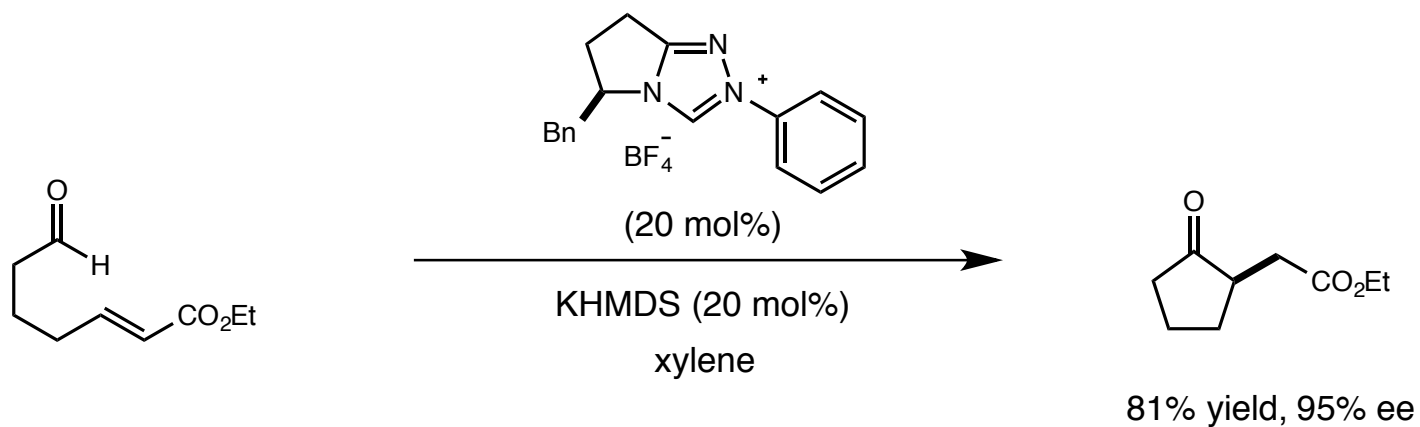
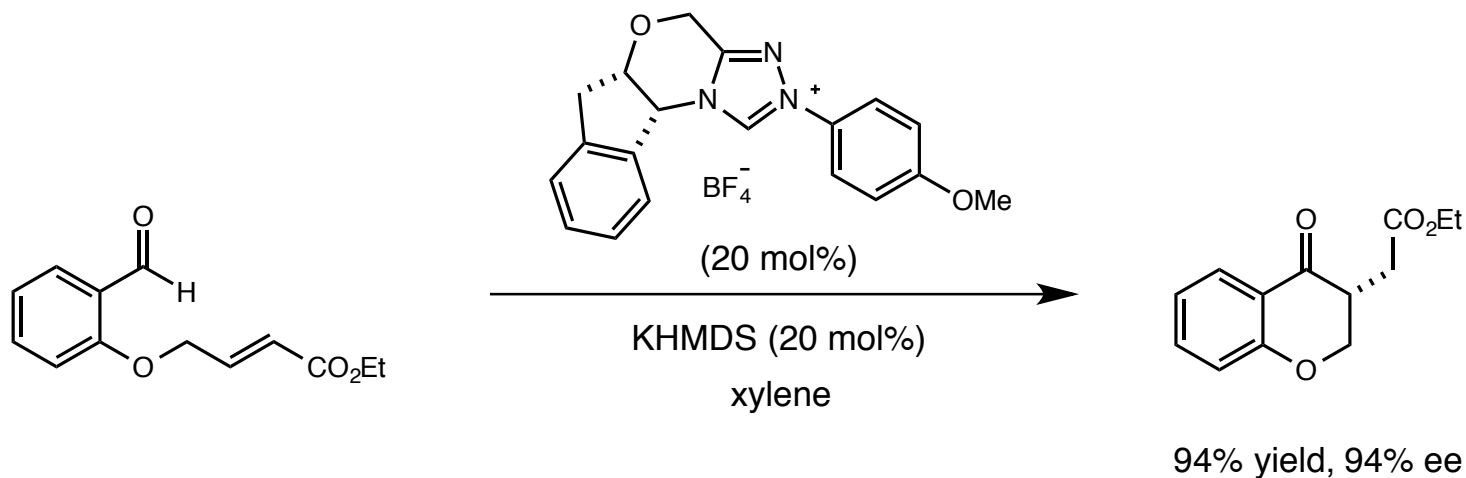
- In 1996 Enders *et al.* reported the first asymmetric Stetter Reaction



The Use of NHCs for the Generation of Acyl Anion Equivalents

Intramolecular Stetter Reactions

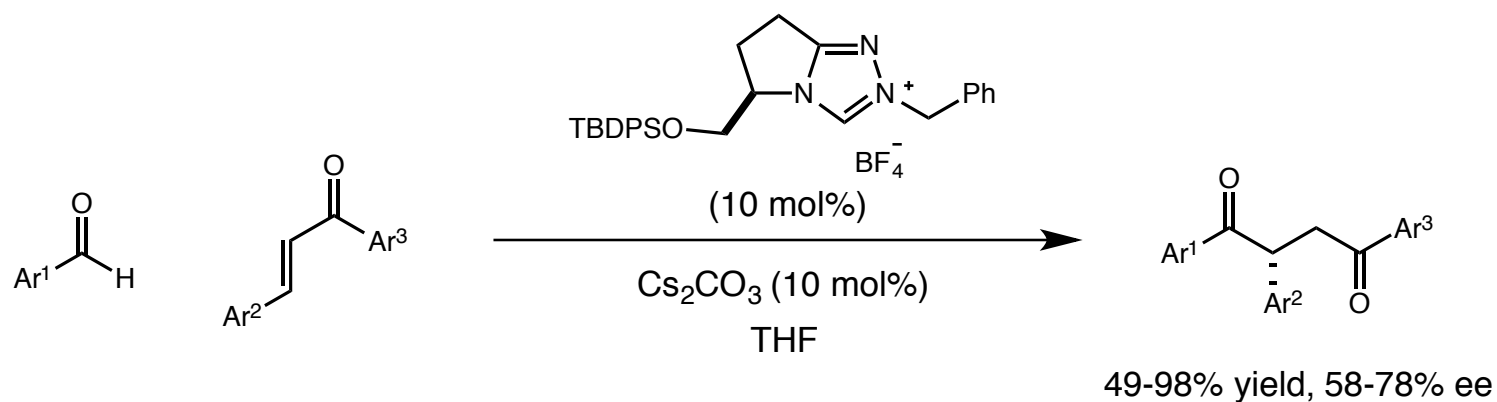
- Following the original report by Enders, Rovis *et al.* developed the first highly enantioselective intramolecular Stetter reactions



The Use of NHCs for the Generation of Acyl Anion Equivalents

Intermolecular Stetter Reactions

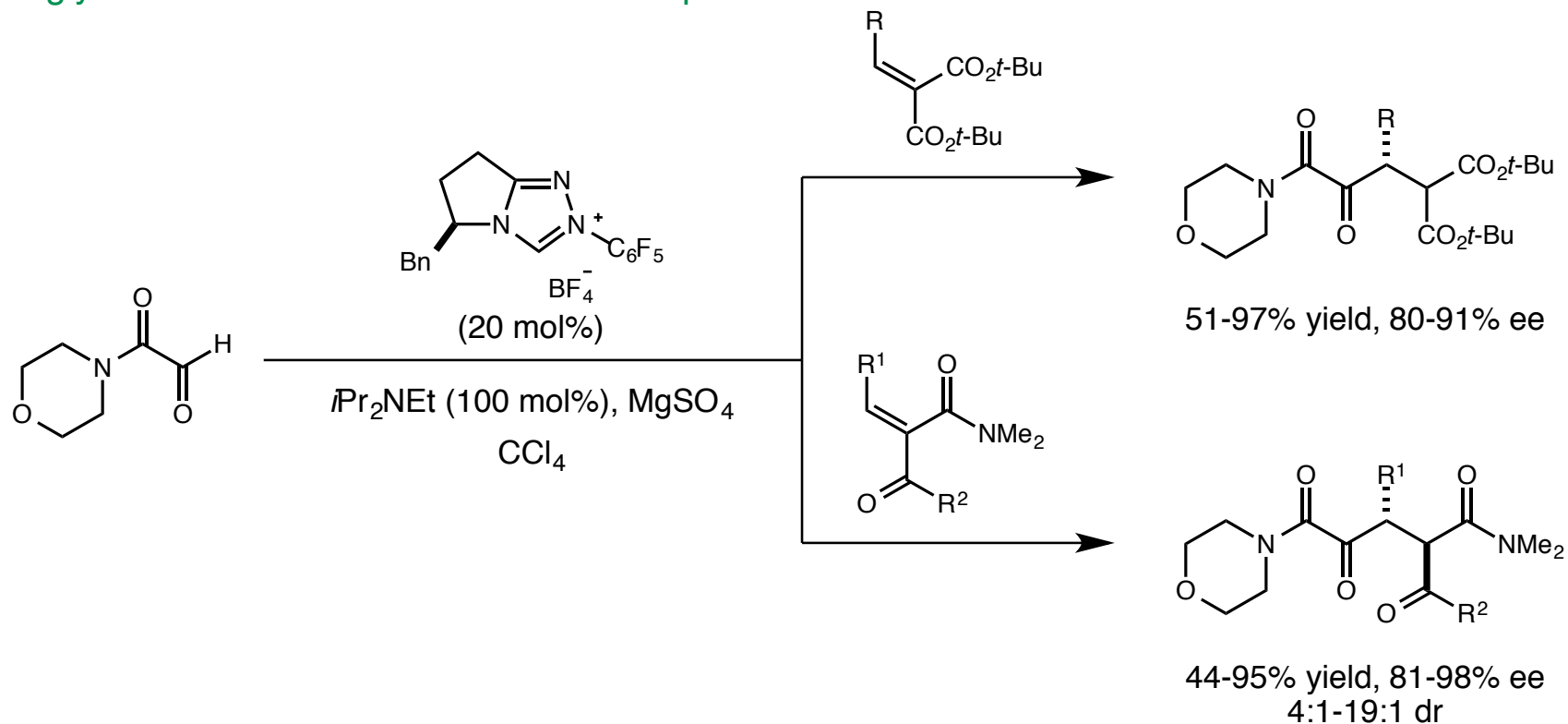
- Development of asymmetric intermolecular Stetter reactions still remains a formidable challenge
- This is due to the diminished reactivity of Michael acceptors containing a β -substituent
- Enders *et al.* developed a high yielding protocol taking advantage of the higher reactivity of chalcones, although the enantioselectivities were only moderate



The Use of NHCs for the Generation of Acyl Anion Equivalents

Intermolecular Stetter Reactions

■ In the same year Rovis *et al.* reported a highly enantioselective intermolecular Stetter reaction of glyoxamides a number of Michael acceptors



■ A limitation was the need for highly activated alkylidene dicarbonyls

A general strategy for asymmetric intermolecular Stetter reactions has not yet been developed!

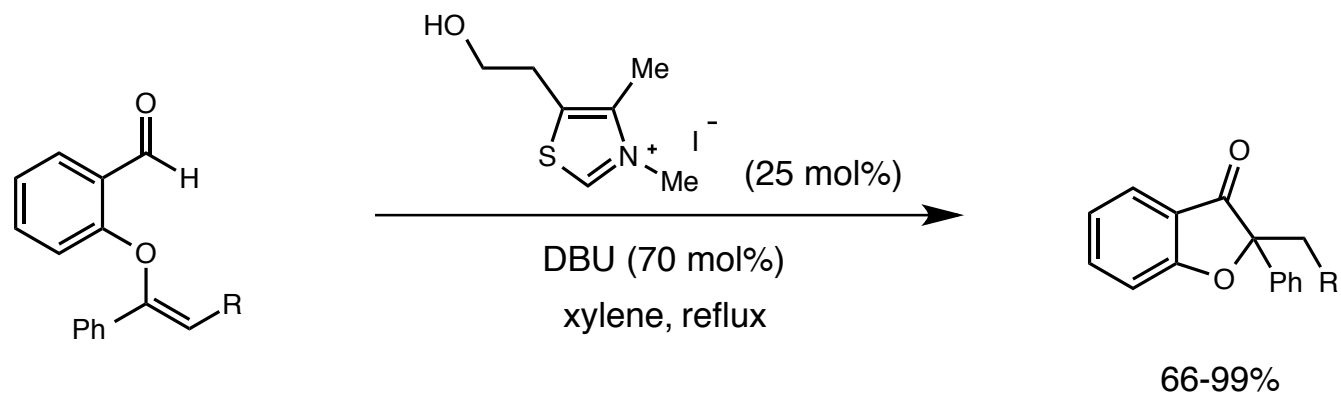
Liu, Q.; Rovis, T. *Org. Lett.* **2009**, *11*, 2856.

Liu, Q.; Perreault, S.; Rovis, T. *J. Am. Chem. Soc.* **2008**, *130*, 14066.

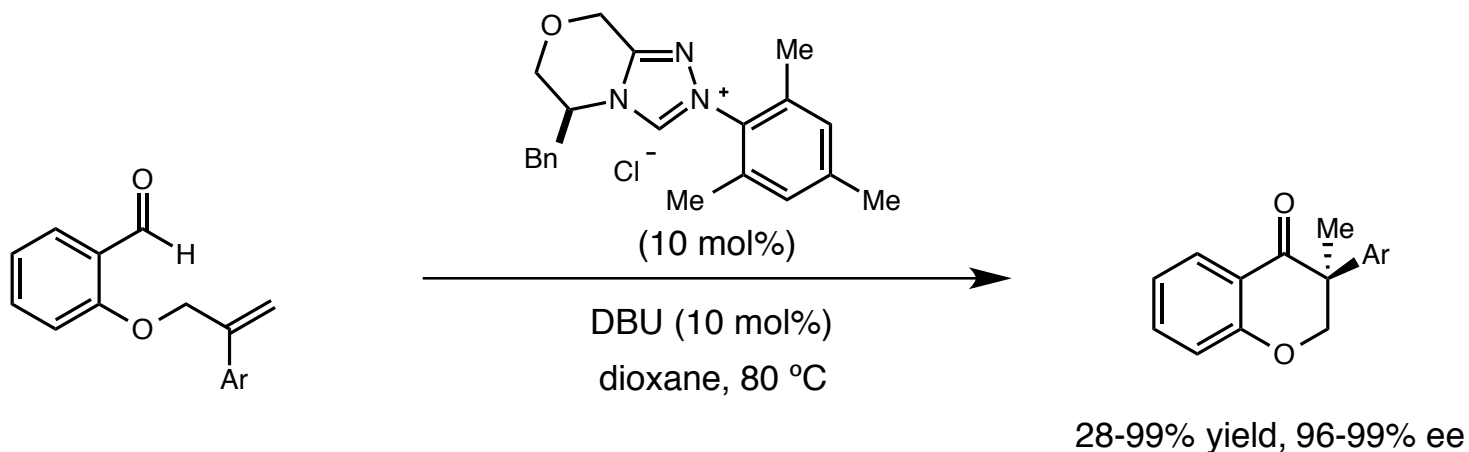
The Use of NHCs for the Generation of Acyl Anion Equivalents

Hydroacylation of Unactivated Double Bonds

- In 2008, She *et al.* reported the intramolecular hydroacylation of enol ethers



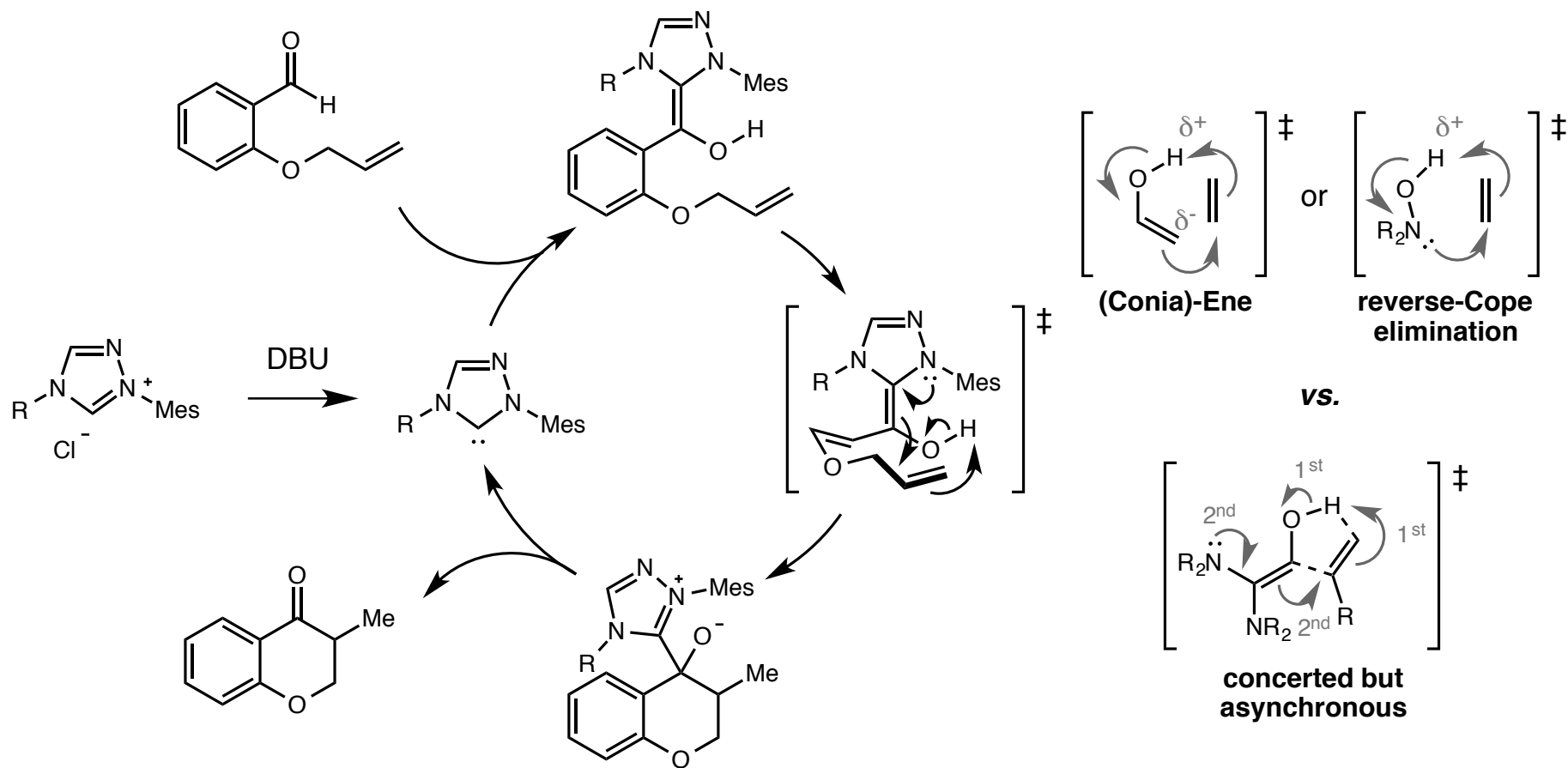
- In 2011, Glorius *et al.* reported a highly asymmetric variant



The Use of NHCs for the Generation of Acyl Anion Equivalents

Hydroacylation of Unactivated Double Bonds

■ The mechanism was investigated by Glorius and Grimme and found to likely proceed via a concerted but highly asynchronous transition state.



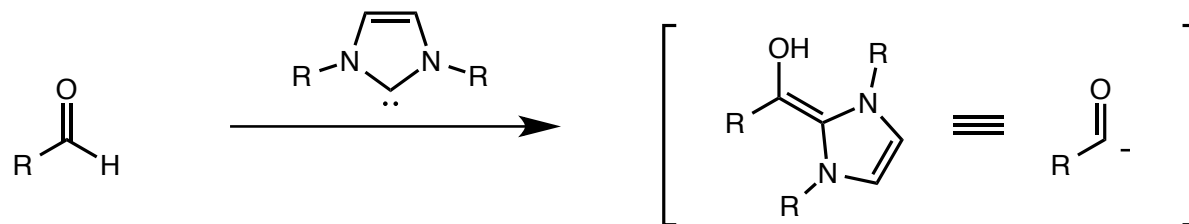
Hirano, K.; Biju, A. T.; Piel, K.; Grimme, S.; Glorius, F. *J. Am. Chem. Soc.* **2009**, *131*, 14190.

Piel, I.; Steinmetz, M.; Hirano, K.; Fröhlich, R.; Grimme, S.; Glorius, F. *Angew. Chem. Int. Ed.* **2011**, *50*, 4983.

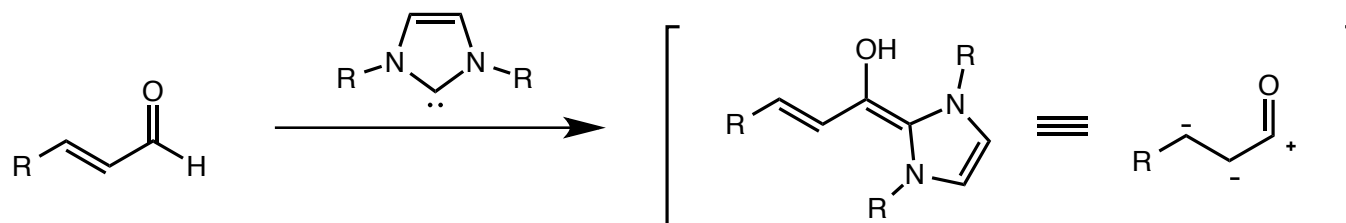
N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

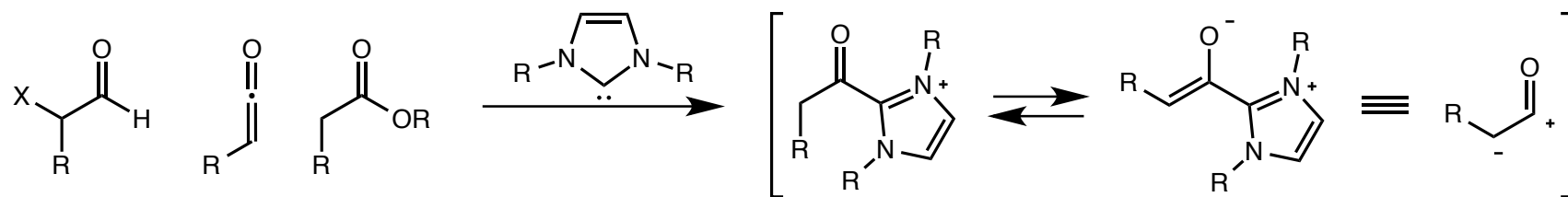
Acyl Anions



Homoenolates



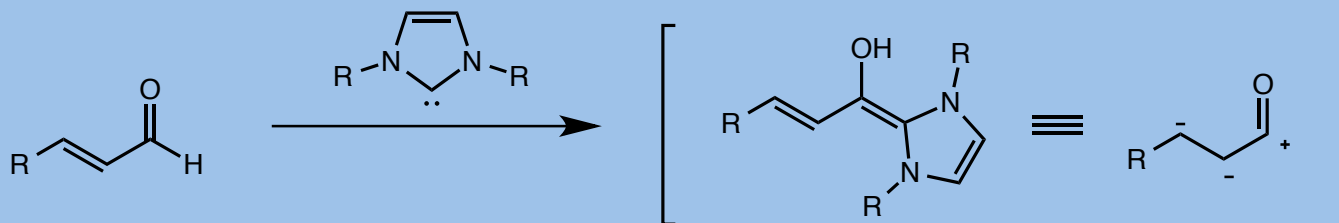
Azolium Enolates / Acyl Azoliums



N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

Homoenolates



■ Cyclopentene Synthesis

■ Spiroannulations

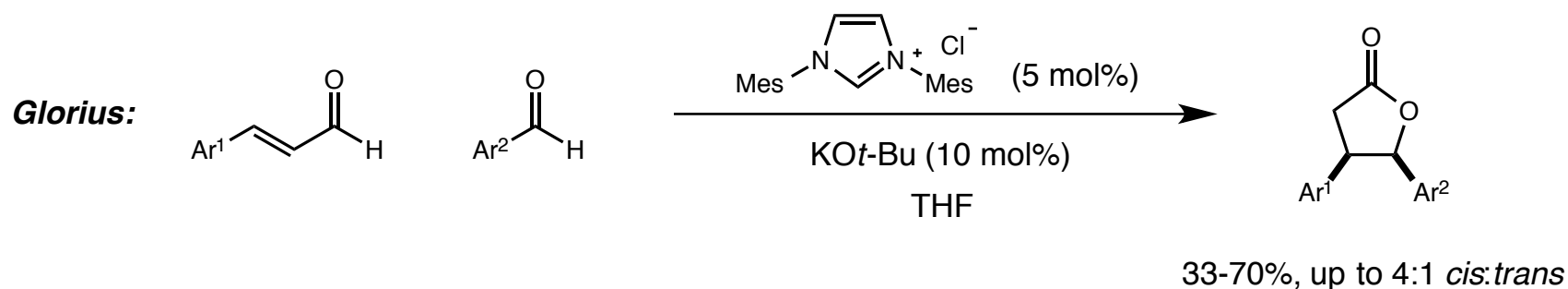
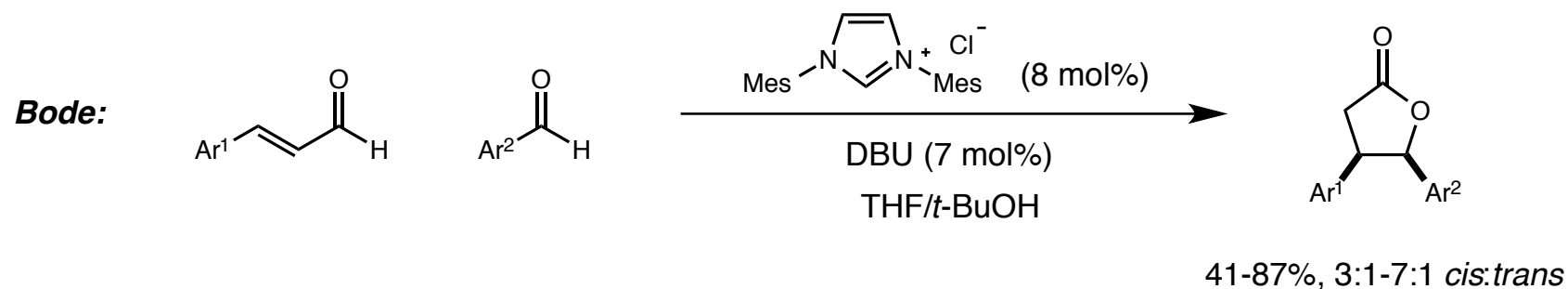
■ Lactam/Lactone Synthesis

■ Conversion of Enals to Saturated Esters

The Use of NHCs for the Generation of Homoenoates

The First Examples

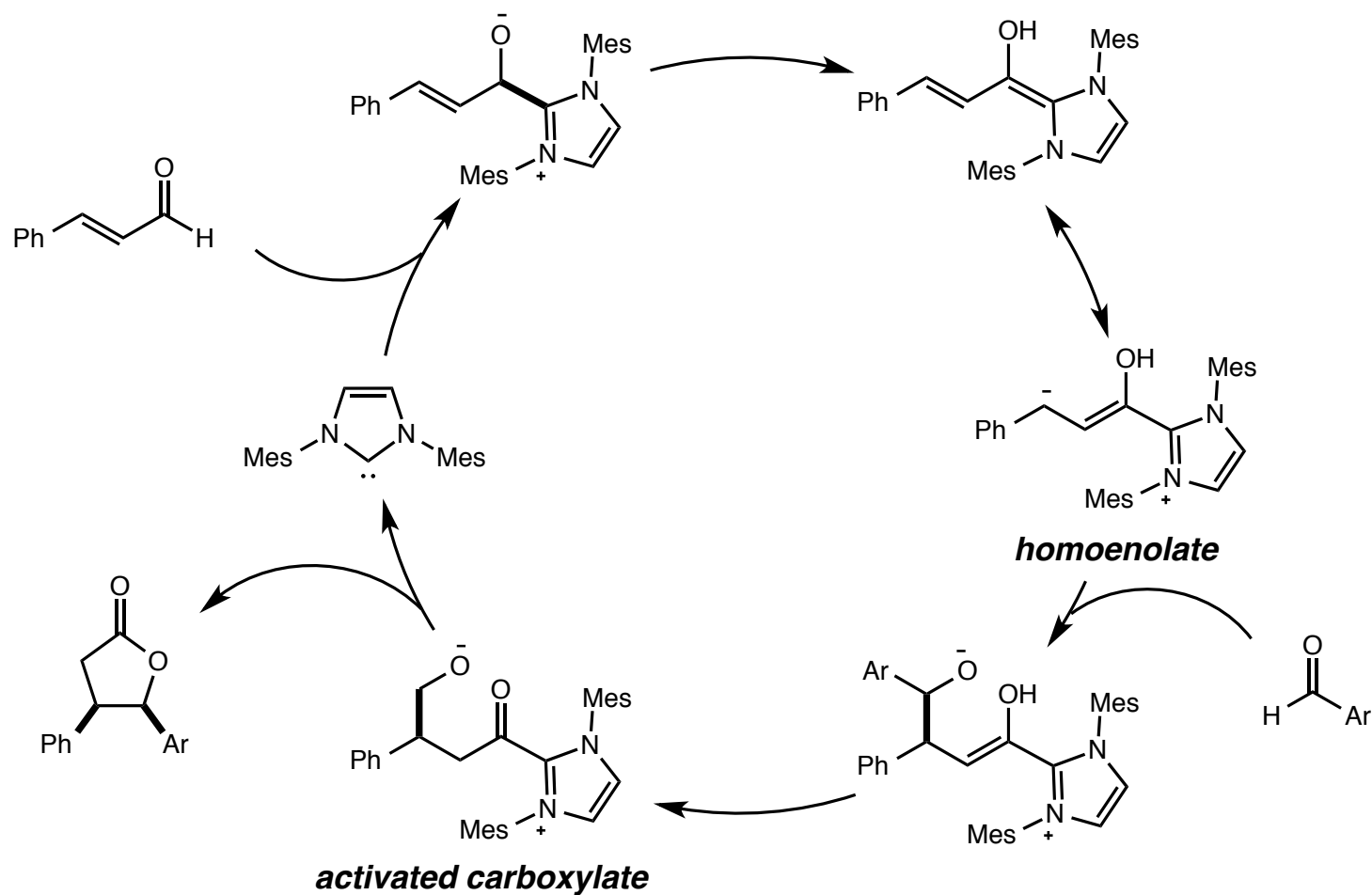
■ In 2004, the groups of Bode and Glorius reported the use of NHC-catalyzed homoenoate formation for the synthesis of γ -butyrolactones



The Use of NHCs for the Generation of Homoenolates

The First Examples

■ Proposed mechanism for the NHC-catalyzed formation γ -butyrolactones

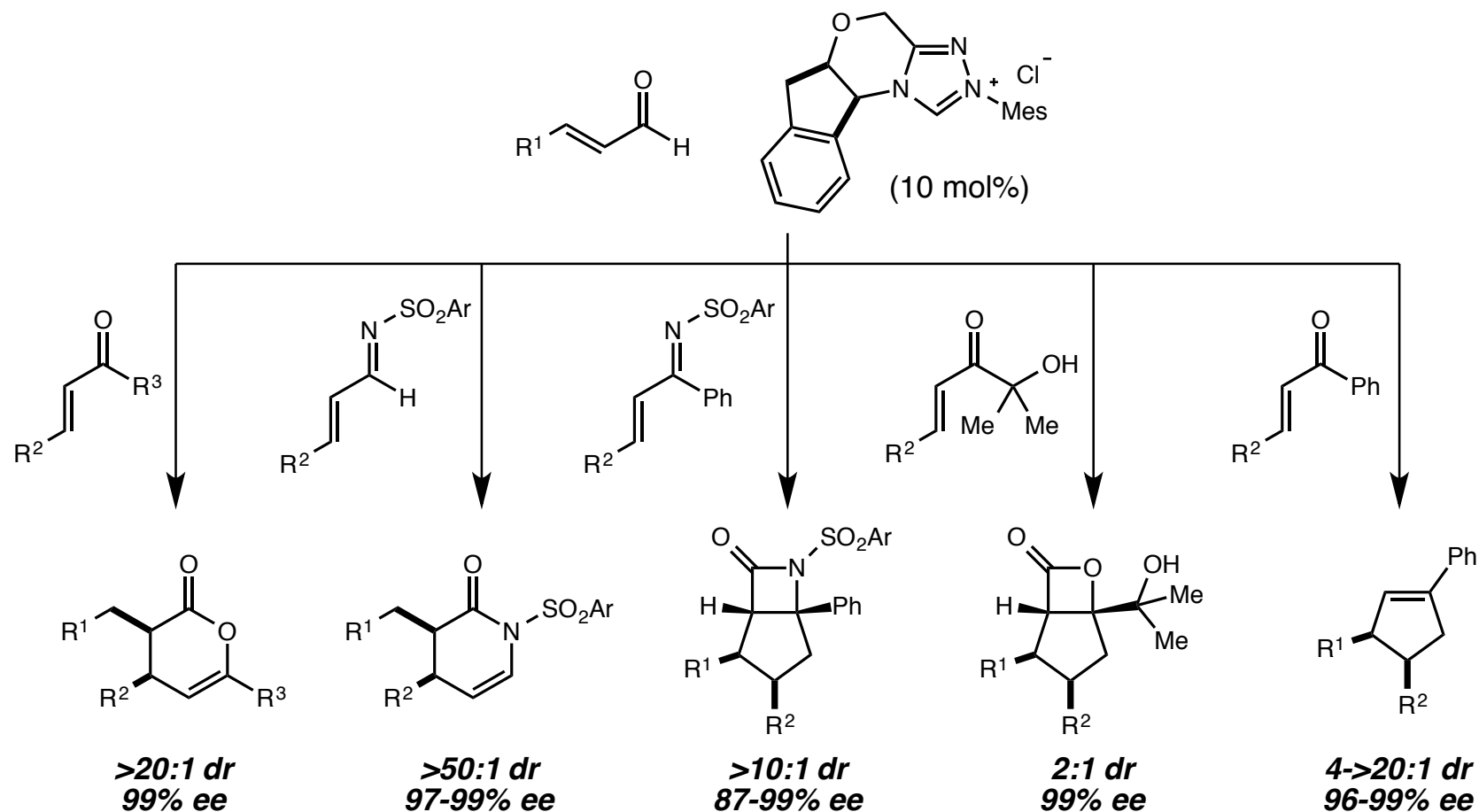


Burstein, C.; Glorius, F. *Angew. Chem. Int. Ed.* **2004**, *116*, 6331.
Sohn, S. S.; Rosen, E. L.; Bode, J. *J. Am. Chem. Soc.* **2004**, *126*, 14370.

The Use of NHCs for the Generation of Homoenolates

Many Asymmetric Examples Followed

■ Bode *et al.* demonstrated a variety of highly enantioselective transformations of homoenolates



He, M.; Bode, J. W. *J. Am. Chem. Soc.* **2008**, *130*, 418.

Kaeobamrung, J.; Bode, J. W. *Org. Lett.* **2009**, *11*, 677.

He, M.; Struble, J. R.; Bode, J. W. *J. Am. Chem. Soc.* **2006**, *128*, 8418.

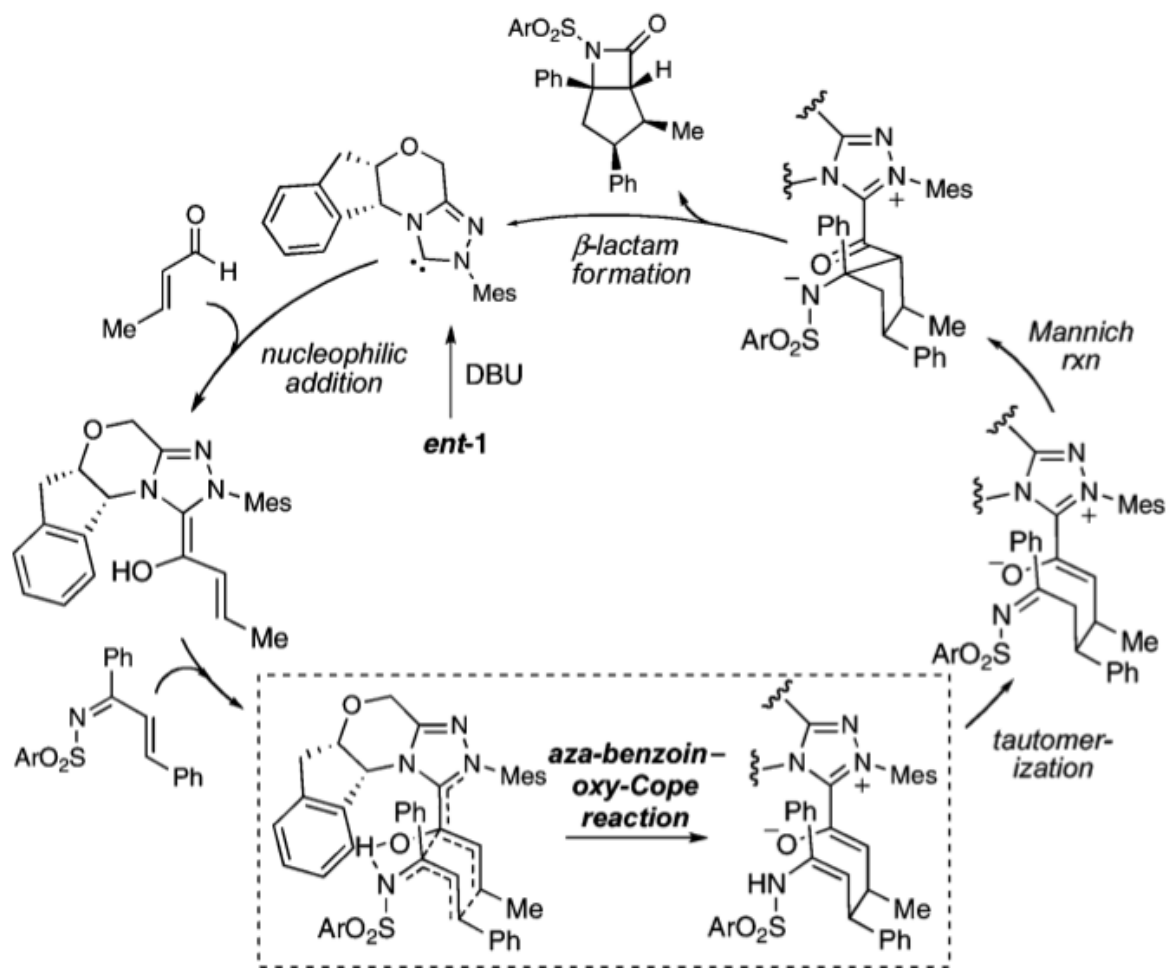
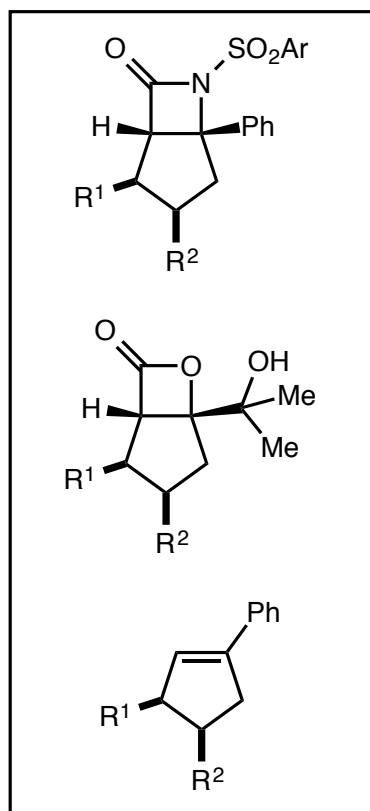
Chiang, P.-C.; Kaeobamrung, J.; Bode, J. W. *J. Am. Chem. Soc.* **2007**, *129*, 3520.

Kaeobamrung, J.; Kozlowski, M. C.; Bode, J. W. *Proc. Nat. Acad. Sci.* **2010**, *107*, 20661.

The Use of NHCs for the Generation of Homo-enolates

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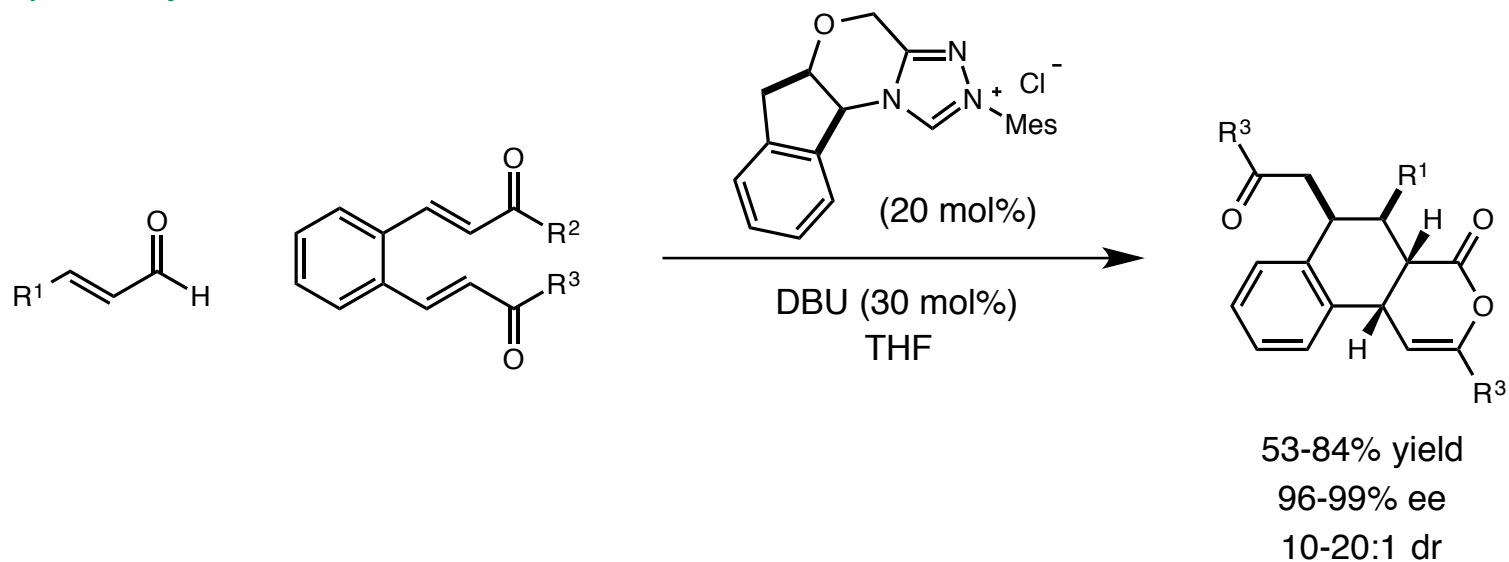
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The Use of NHCs for the Generation of Homo-enolates

Many Asymmetric Examples Followed

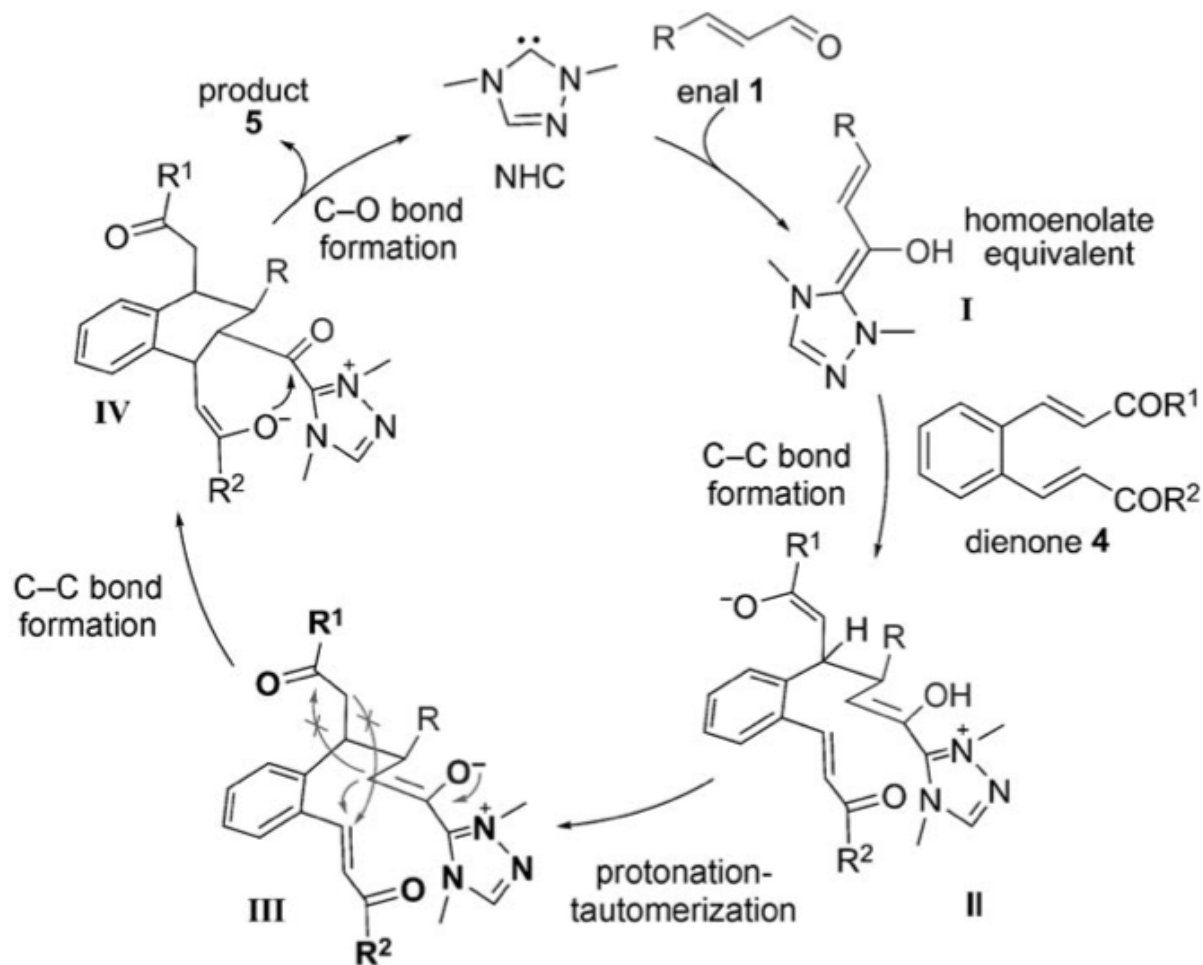
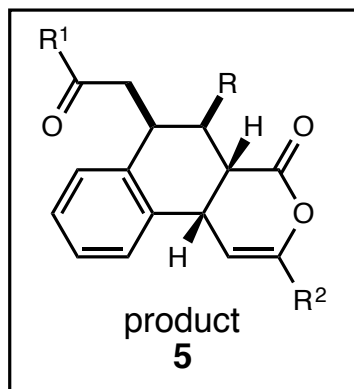
■ Recently, Chi *et al.* demonstrated a homo-enolate coupling with benzodi(enone)s for the synthesis of complex tricyclic structures



The Use of NHCs for the Generation of Homo-enolates

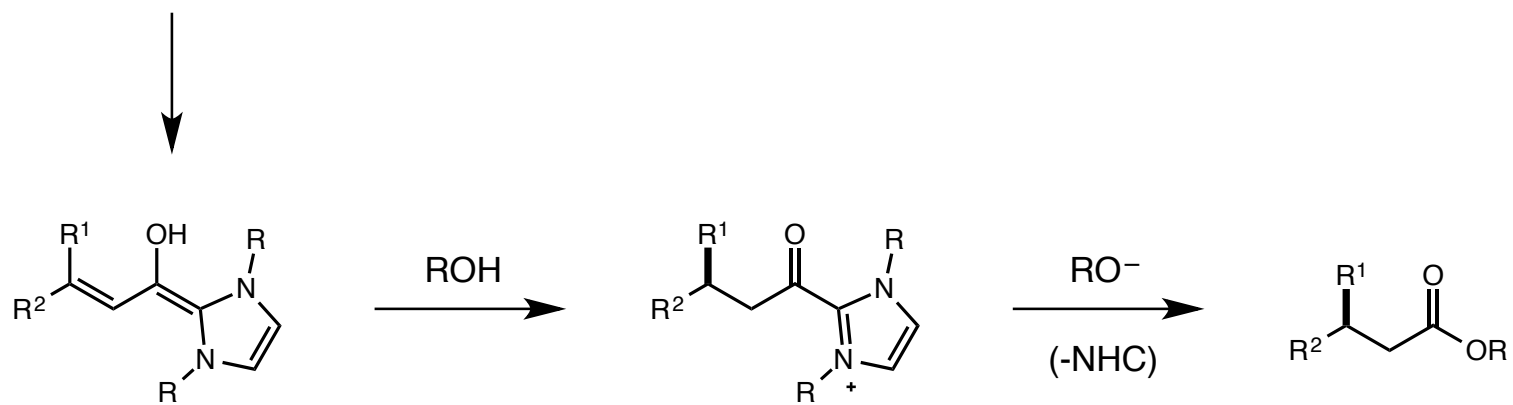
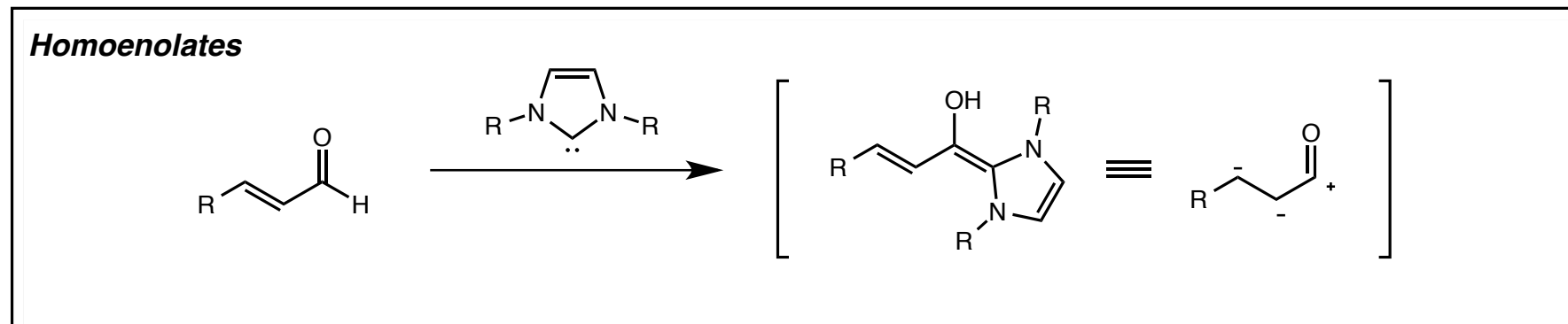
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N-Heterocyclic Carbenes As Enantioselective Organocatalysts

Alternative Applications of Homoenoates

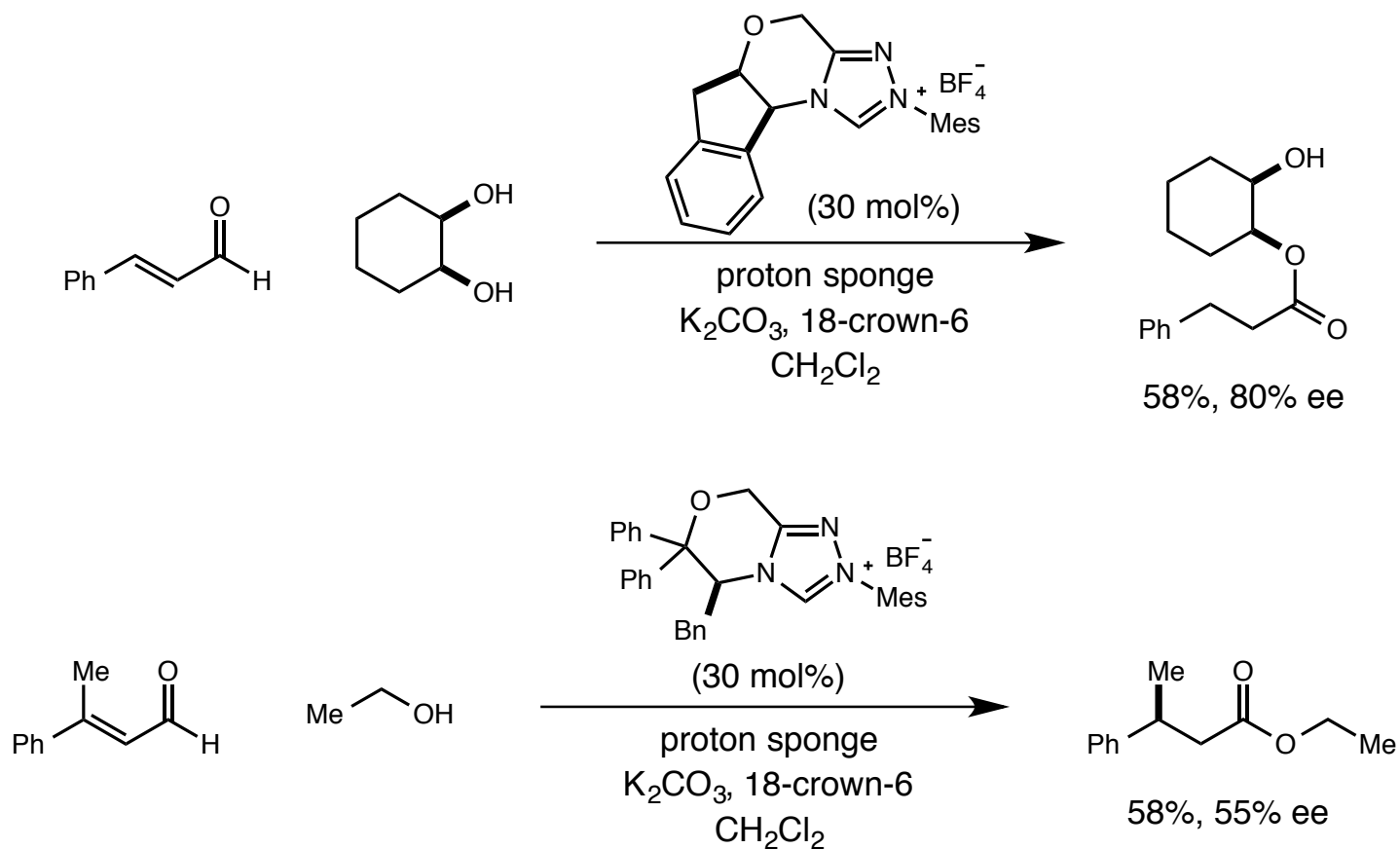


"Redox Relay"

The Use of NHCs for the Generation of Homo-enolates

Alternative Applications of Homo-enolates

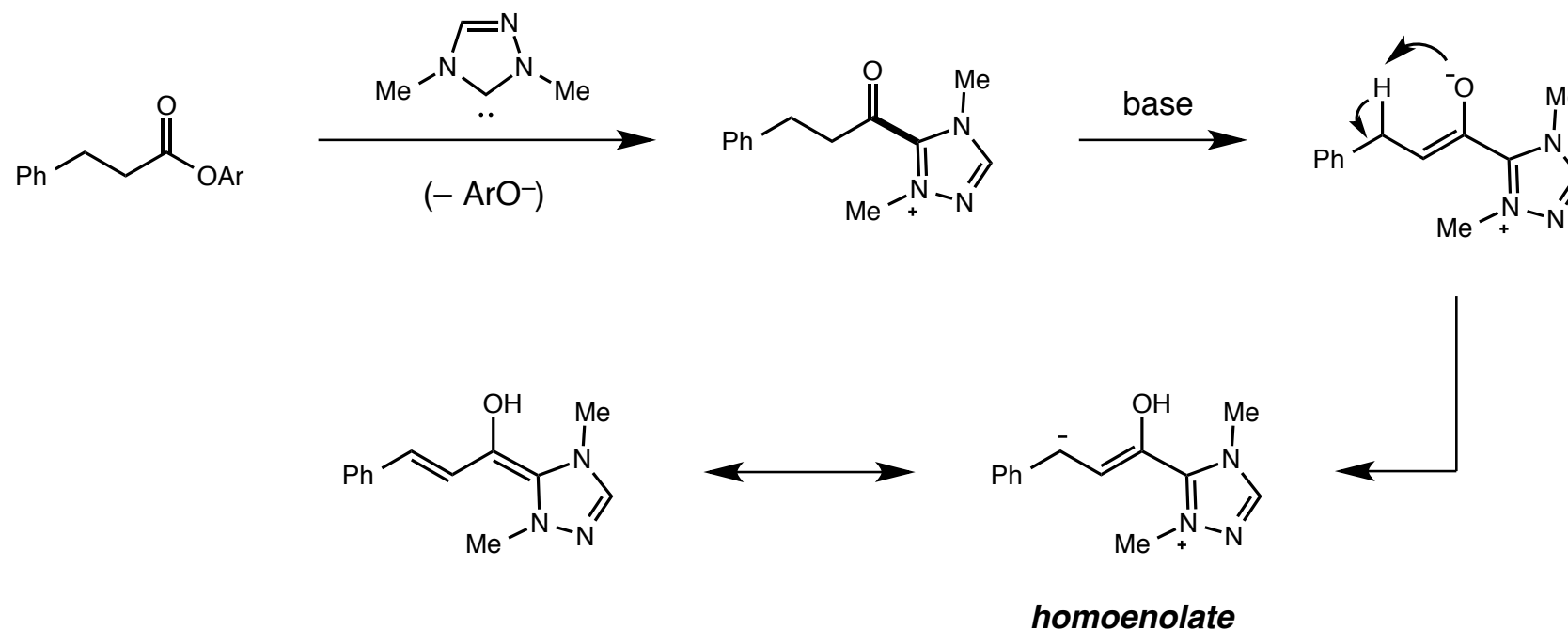
- Using this ester formation reaction they could perform desymmetrizations of *meso* diols and enantioselective protonations of β,β -disubstituted enals



The Use of NHCs for the Generation of Homoenolates

Alternative Applications of Homo-enolates

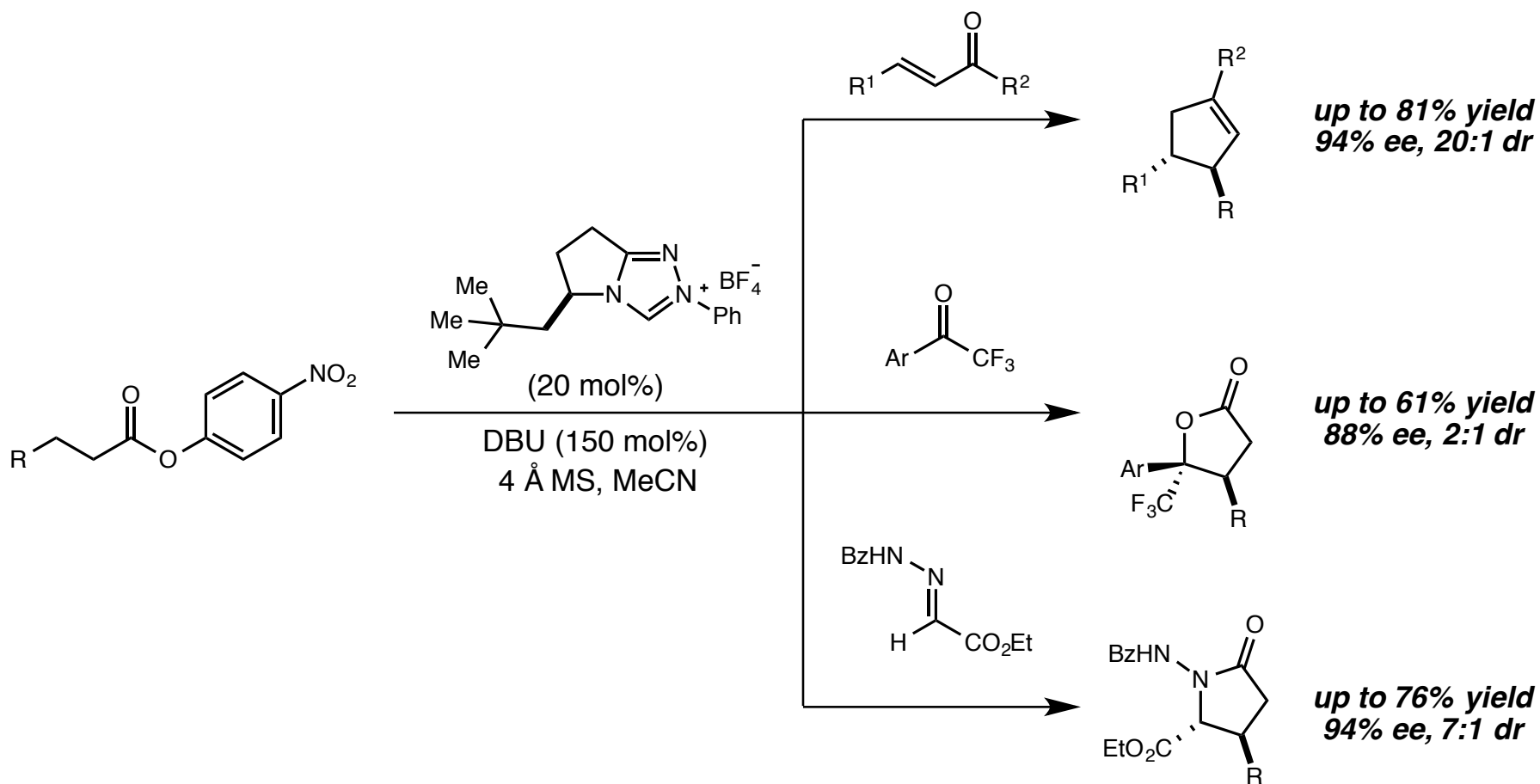
- The group of Chi has reported the reversed process for the generation of homoenolates from saturated esters, allowing for direct β -substitution.



The Use of NHCs for the Generation of Homo-enolates

Alternative Applications of Homo-enolates

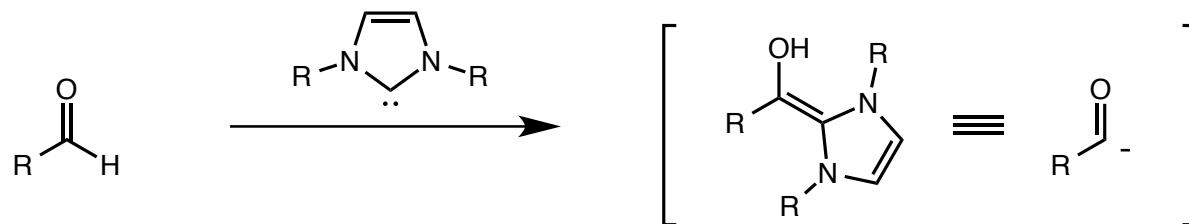
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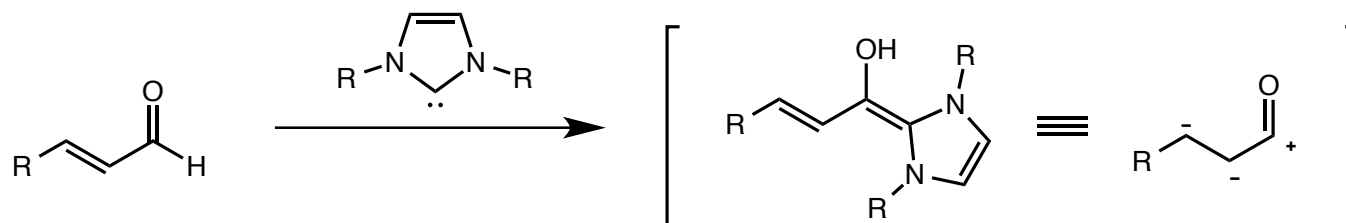
N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

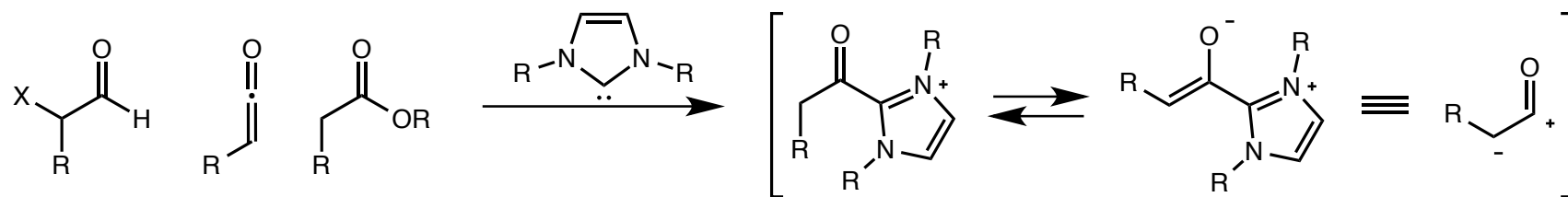
Acyl Anions



Homoenolates



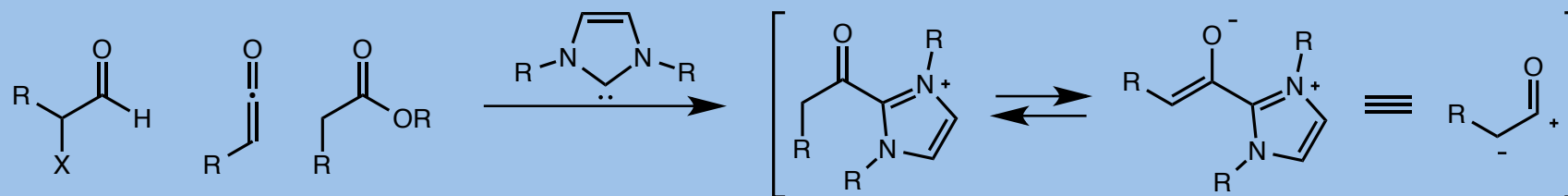
Azolium Enolates / Acyl Azoliums



N-Heterocyclic Carbenes In Enantioselective Organocatalysis

Modes of Reactivity

Azolium Enolates / Acyl Azoliums

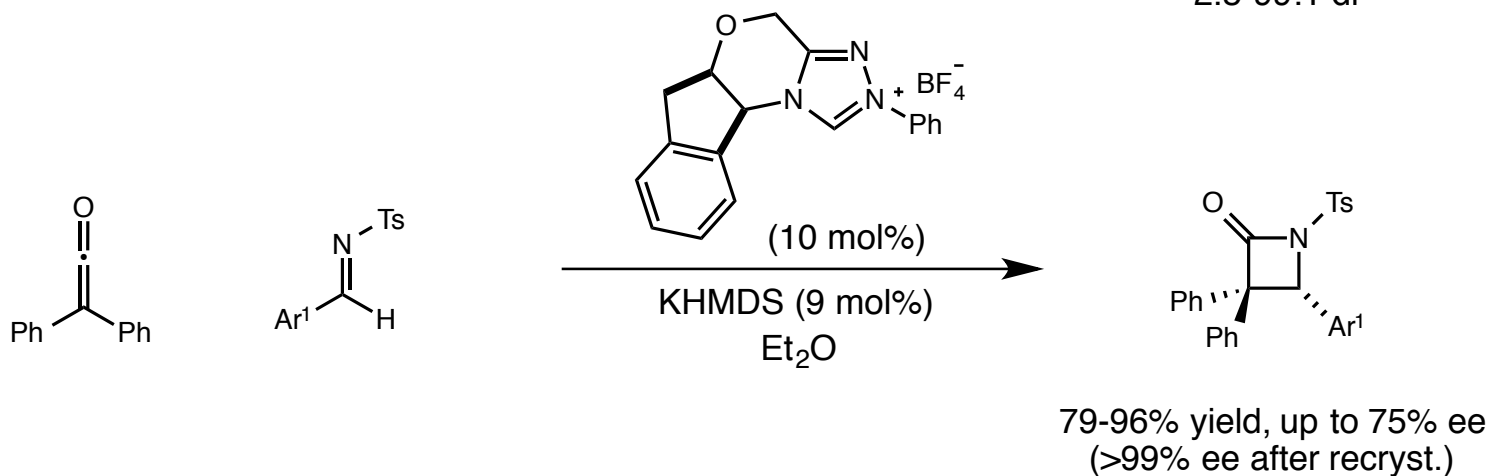
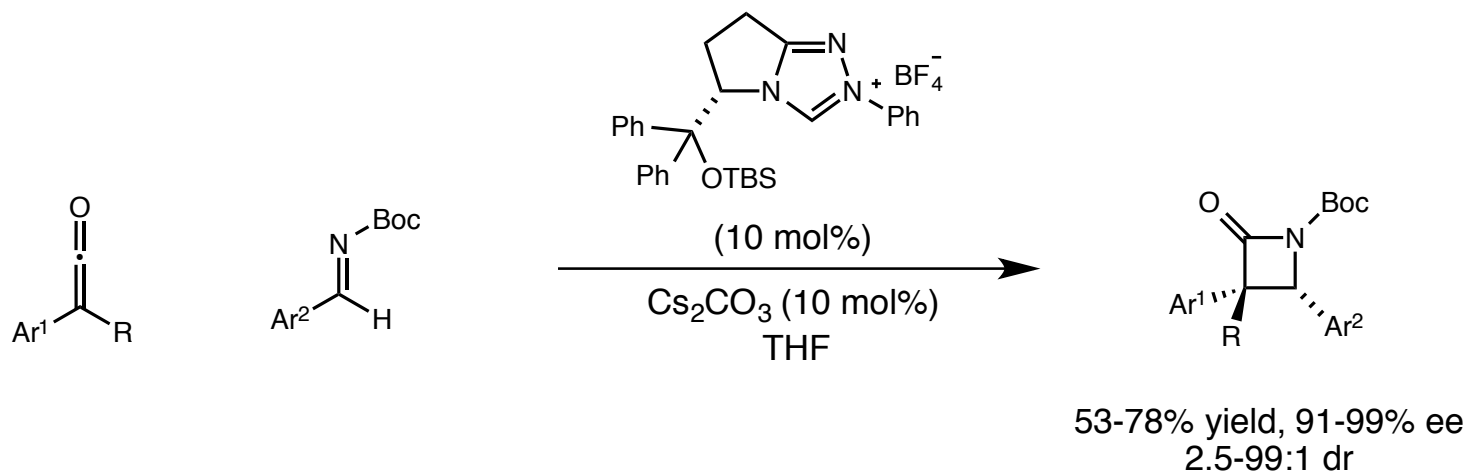


- [2+2] Cycloadditions
- [4+2] Cycloadditions
- [3+2] cycloadditions
- Enolate Chemistry

The Use of NHCs for the Generation of Azolium Enolates

The First Examples

- The groups of Ye and Smith independently developed formal [2+2] cycloadditions promoted by the addition of NHCs to ketenes



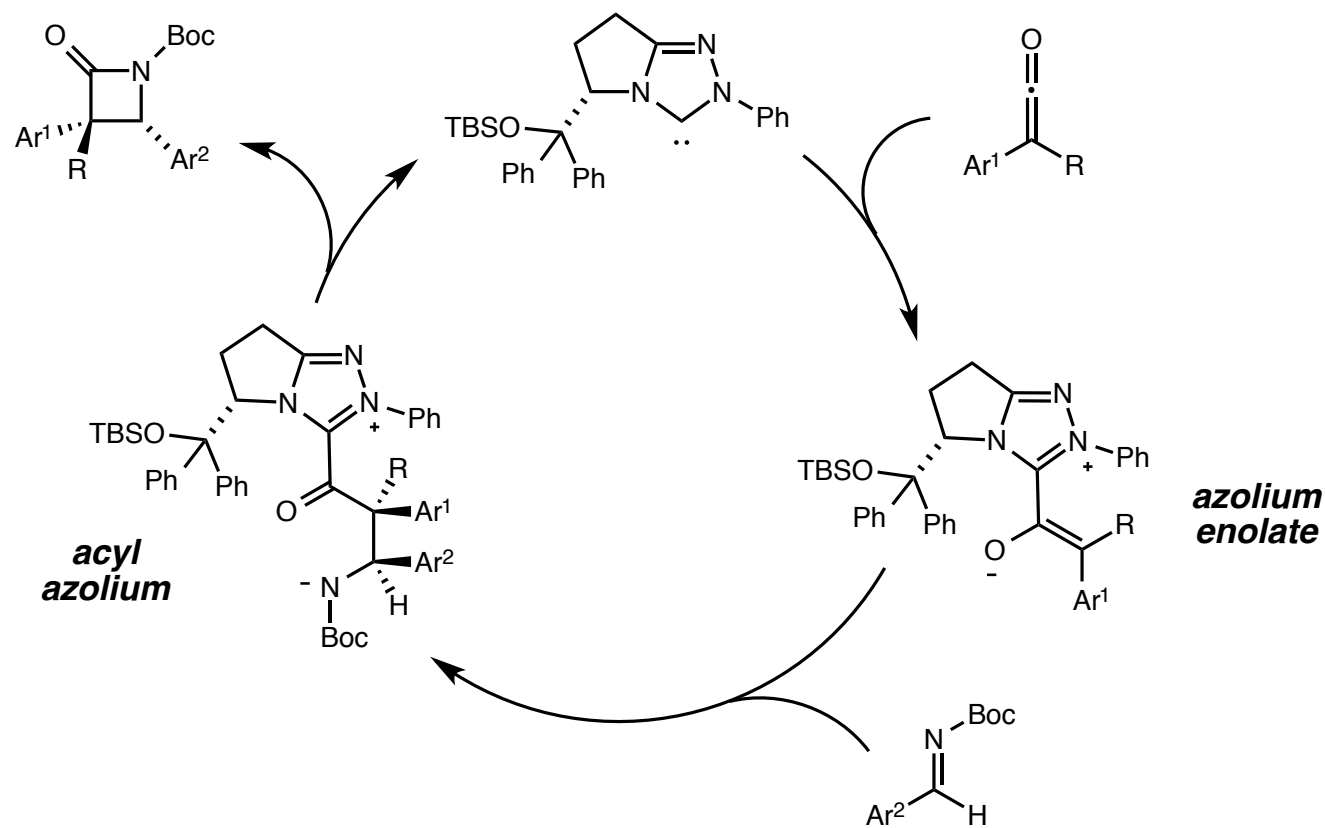
Zhang, Y.-R.; He, L.; Wu, X.; Shao, P.-L.; Ye, S.; *Org. Lett.* **2008**, *10*, 277.

Duguet, N.; Campbell, C. D.; Slawin, A. M. Z.; Smith, A. C. *Org. Biomol. Chem.* **2008**, *6*, 1108.

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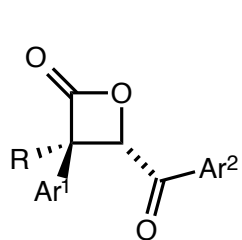
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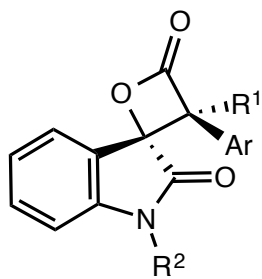
The Use of NHCs for the Generation of Azolium Enolates

Expanding the Scope of [2+2] Cycloadditions

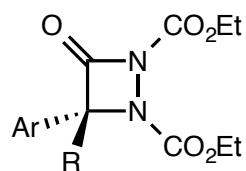
- Since 2008, Ye *et al.* have reported numerous other enantioselective [2+2] cycloadditions



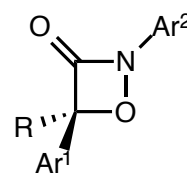
2008



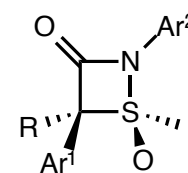
2010



2010



2011

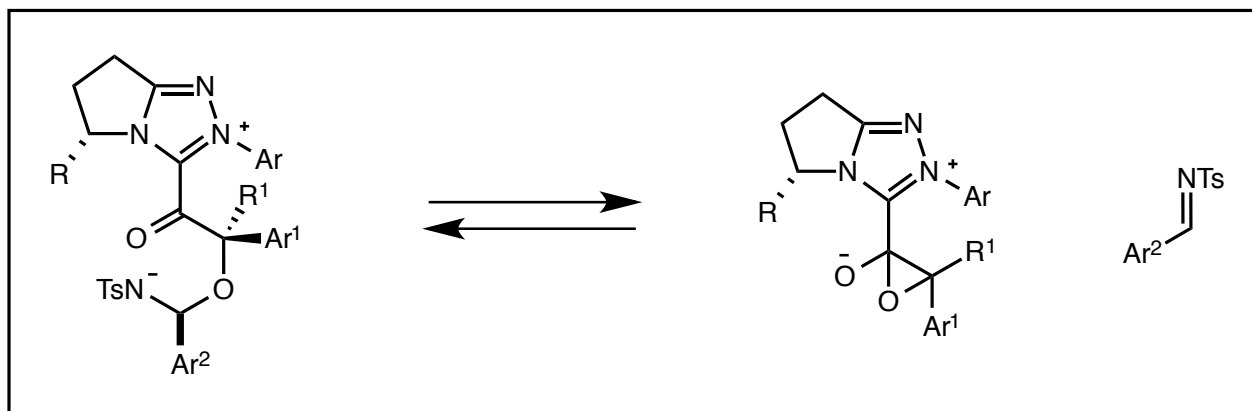
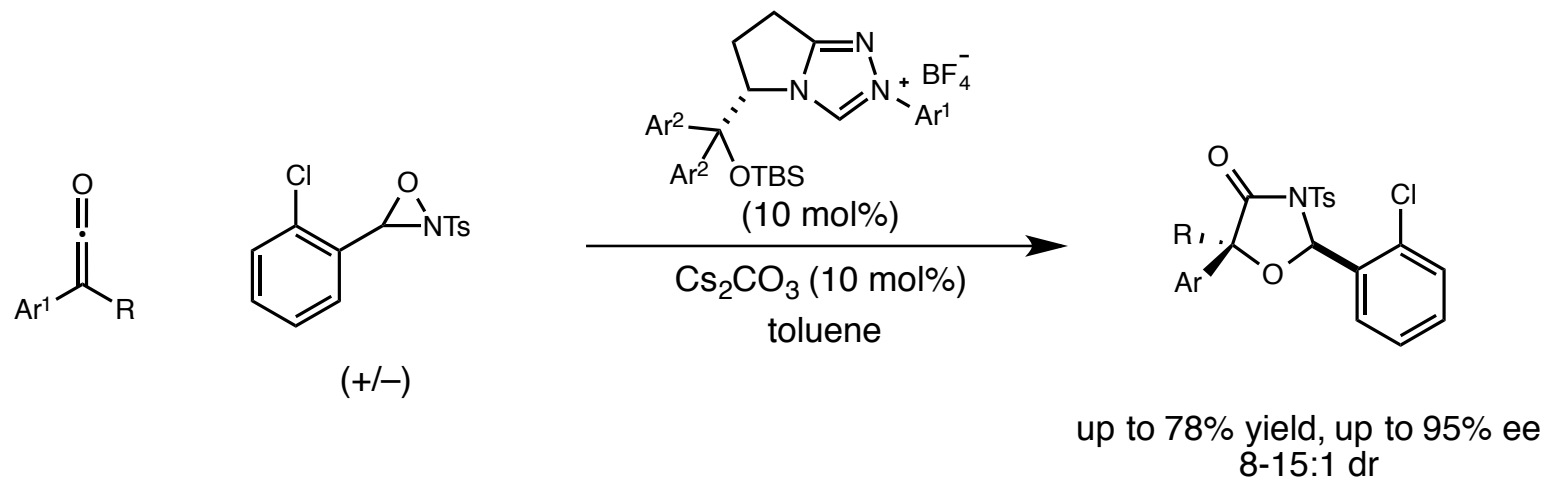


2011

The Use of NHCs for the Generation of Azolium Enolates

[3+2] Cycloadditions

- The same group also reported an analogous [3+2] cycloaddition using oxaziridines



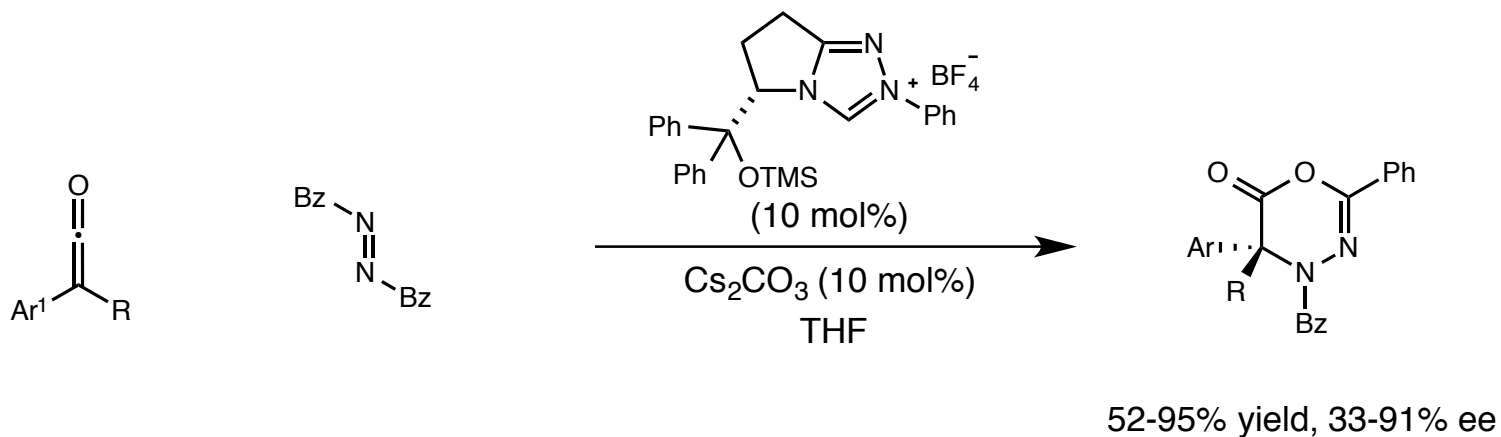
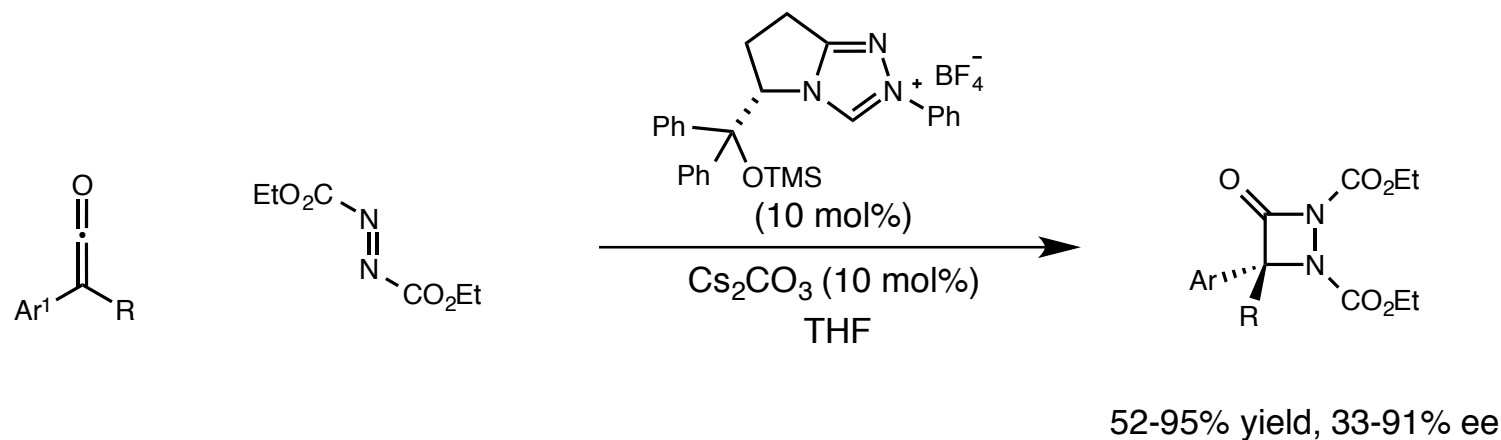
Chen, X.-Y.; Ye, S. *Synlett* **2013**, 1614.

Douglas, J.; Churchill, G.; Smith, A. C. *Synthesis* **2012**, 44, 2295.

The Use of NHCs for the Generation of Azolium Enolates

[4+2] Cycloadditions

- Ye *et al.* also demonstrated a number [4+2] cycloaddition reactions



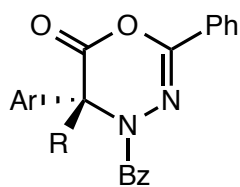
Chen, X.-Y.; Ye, S. *Synlett* **2013**, 1614.

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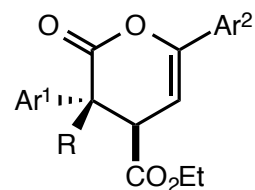
The Use of NHCs for the Generation of Azolium Enolates

[4+2] Cycloadditions

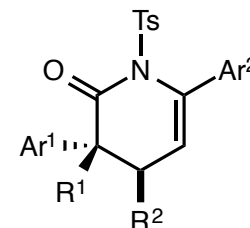
- Ye *et al.* reported the use of a variety of other 'dienes' in [4+2] cycloaddition reactions



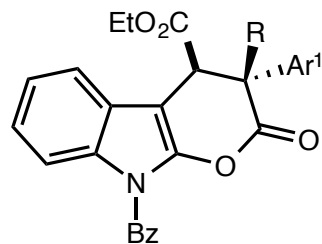
50-92% yield
>90% ee



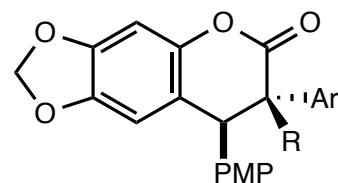
>80% yield
57-93% ee
>15:1 dr



>80% yield
83-93% ee
>10:1 dr



88-99% yield
69-90% ee
3-10:1 dr



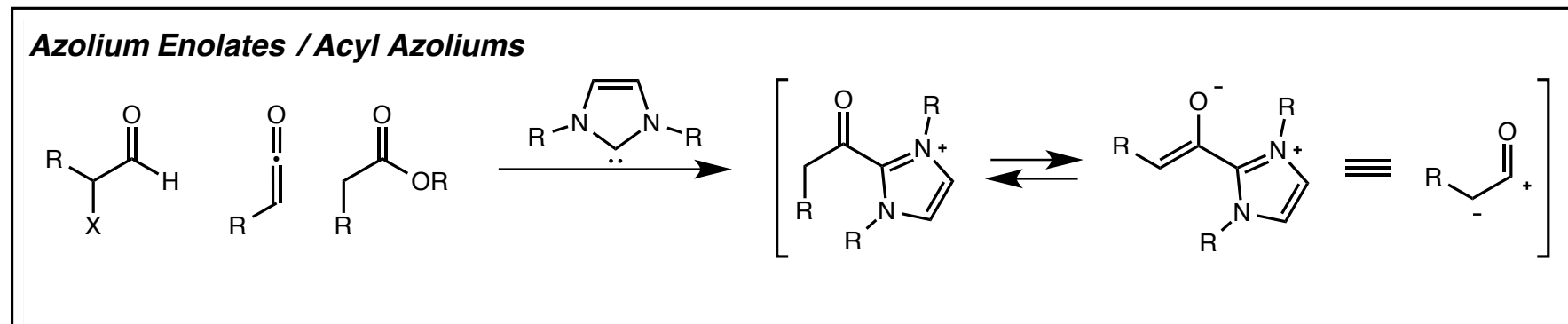
30-96% yield
51-99% ee
2-9:1 dr

Chen, X.-Y.; Ye, S. *Synlett* **2013**, 1614.

Douglas, J.; Churchill, G.; Smith, A. C. *Synthesis* **2012**, 44, 2295.

N-Heterocyclic Carbenes As Enantioselective Organocatalysts

Modes of Reactivity

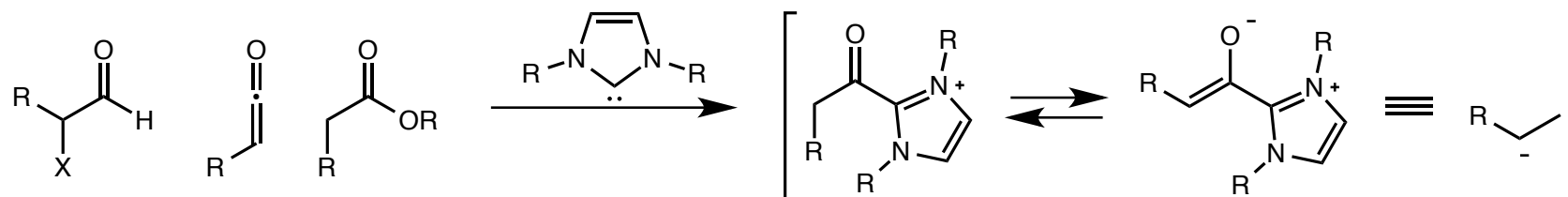


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- [4+2] Cycloadditions
- [3+2] cycloadditions
- Enolate Chemistry

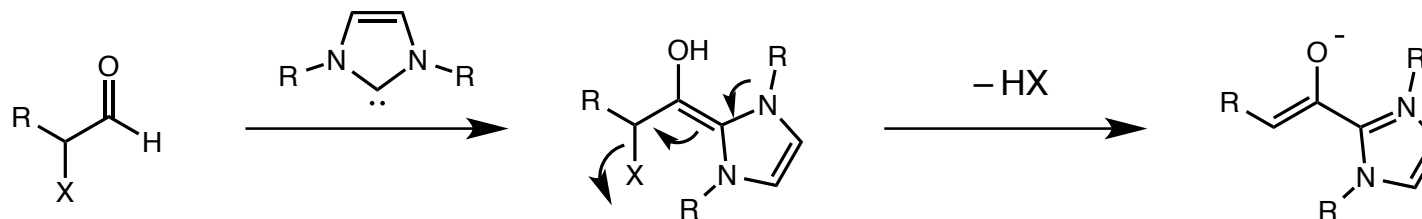
N-Heterocyclic Carbenes As Enantioselective Organocatalysts

Modes of Reactivity

Azolium Enolates / Acyl Azoliums



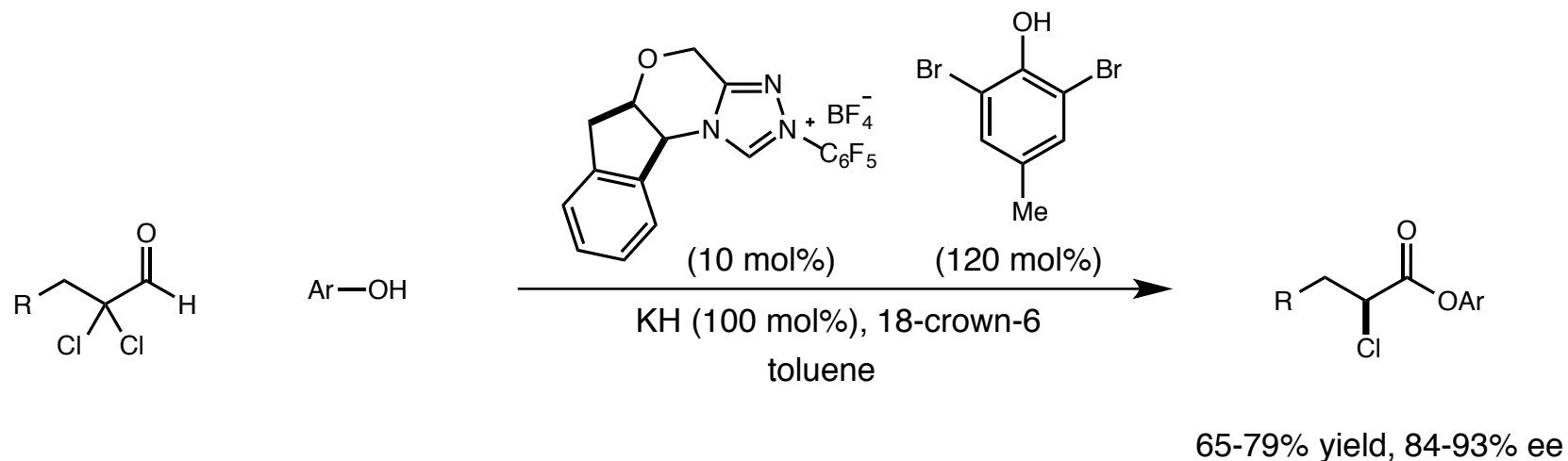
■ Enolate chemistry of α -functionalized aldehydes



The Use of NHCs for the Generation of Azolium Enolates

Utilizing α Functionalized Aldehydes

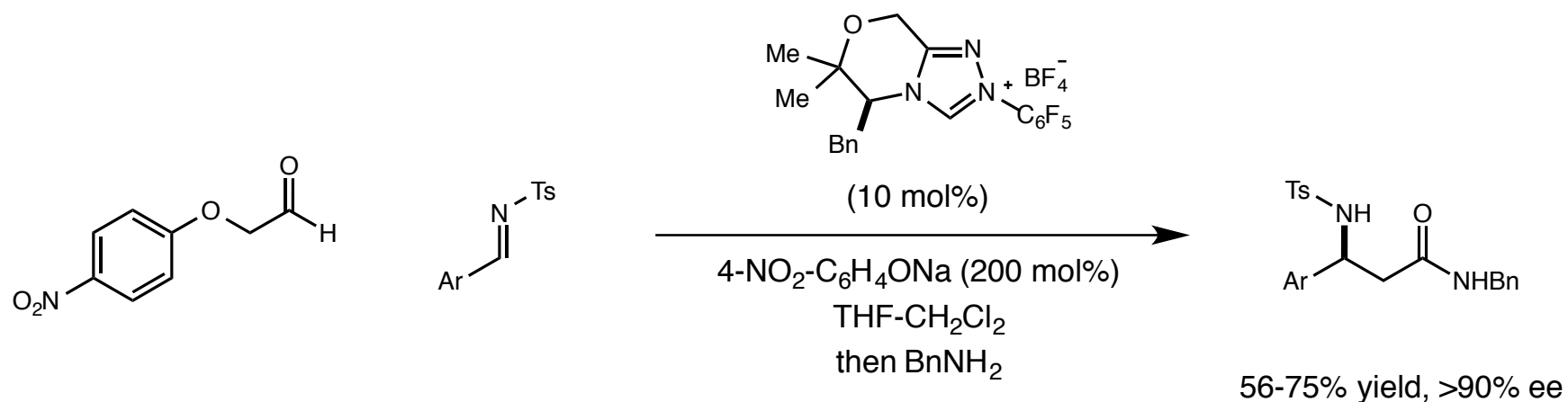
■ In 2005, Rovis *et al.* developed a synthesis of α -chloro esters utilizing an enantioselective protonation of an *in situ* generated α -chloro enolate



The Use of NHCs for the Generation of Azolium Enolates

Utilizing α Functionalized Aldehydes

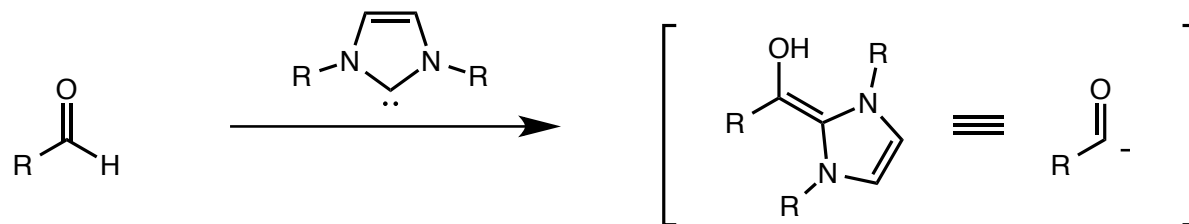
■ In 2009, Scheidt *et al.* demonstrated that α -aryloxyacetaldehyde to be competent enolate equivalents in Mannich reactions, giving β -amino amide derivatives



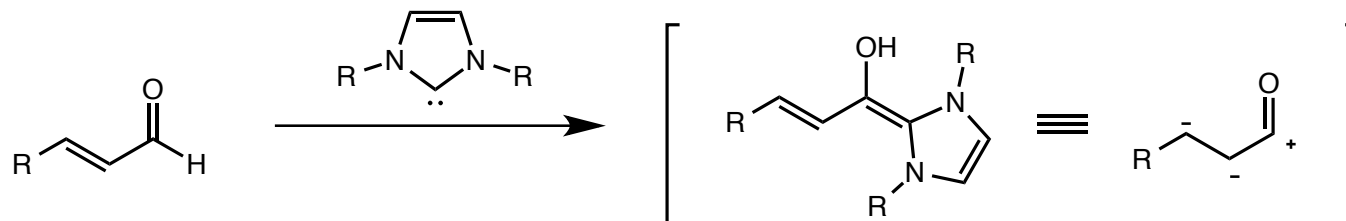
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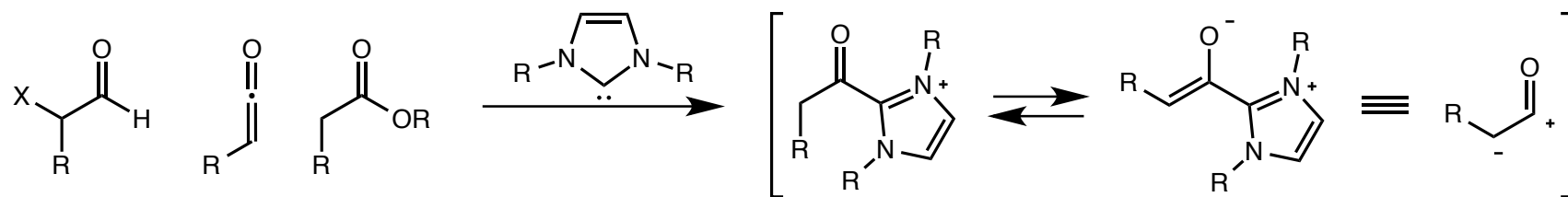
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Homoenolates



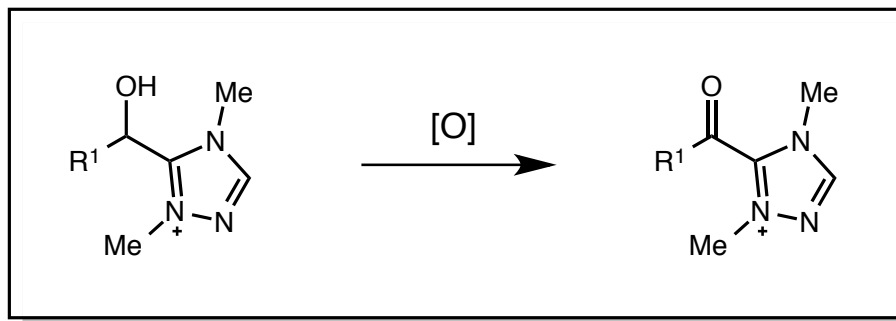
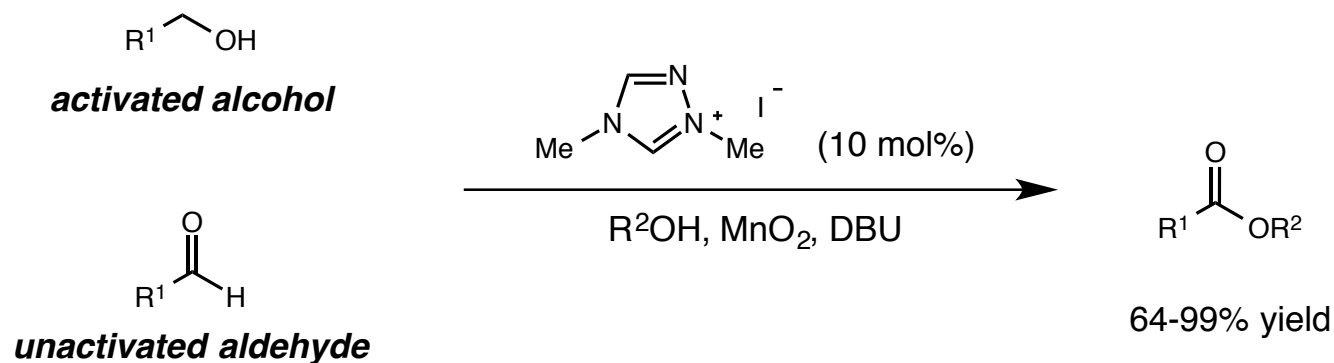
Azolium Enolates / Acyl Azoliums



Oxidative NHC Catalysis

Oxidative Functionalization of Aldehydes

■ In 2007 and 2008, Scheidt *et al.* demonstrated NHCs could catalyze the MnO₂-promoted oxidation of benzylic and vinylic alcohols to esters, and unactivated aldehydes to esters



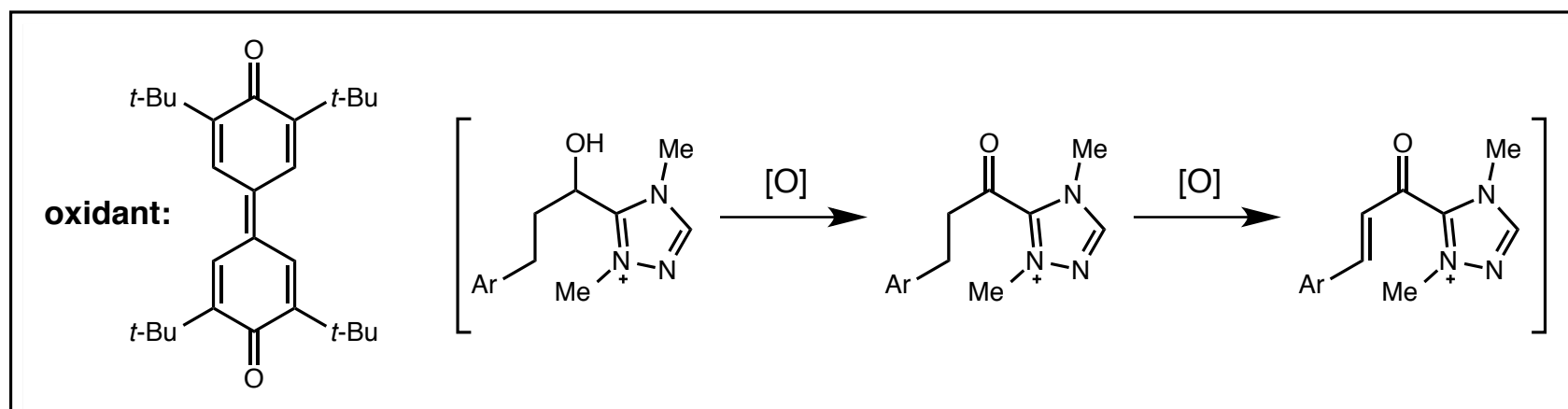
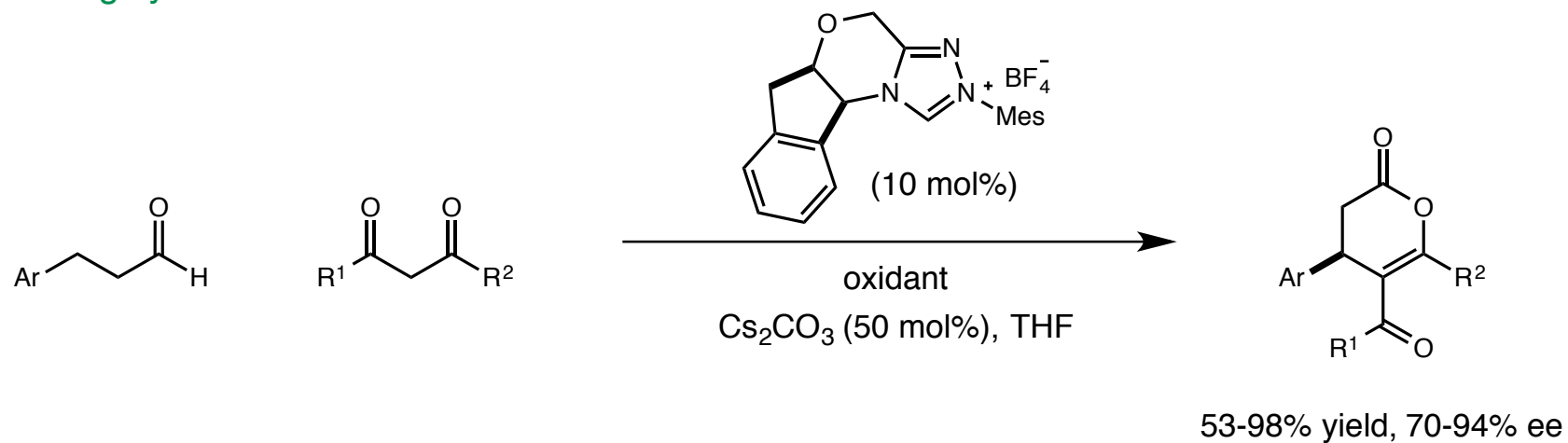
Maki, B. E.; Chan, A.; Phillips, E. M.; Scheidt, K. A. *Org. Lett.* **2007**, *9*, 371.

Maki, B. E.; Scheidt, K. A. *Org. Lett.* **2008**, *10*, 4331.

Oxidative NHC Catalysis

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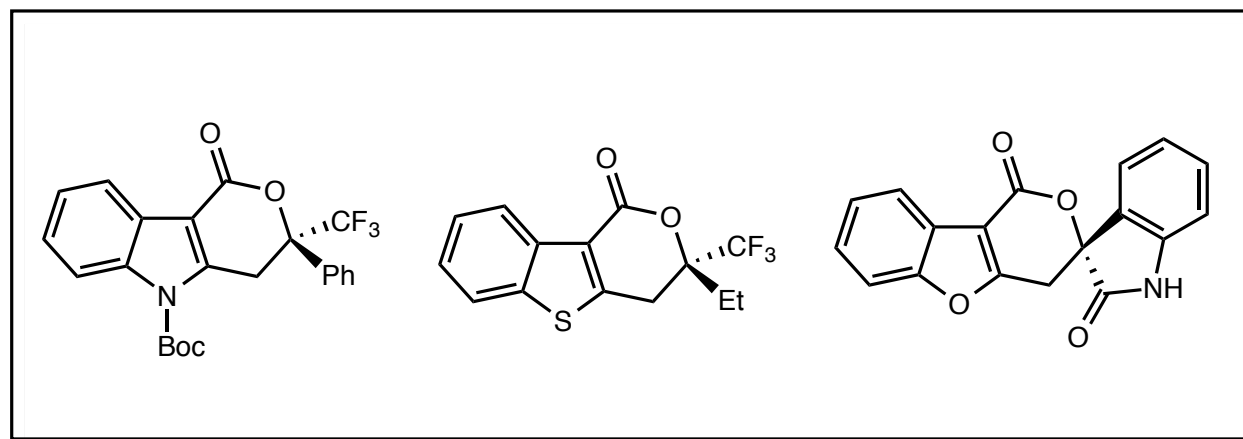
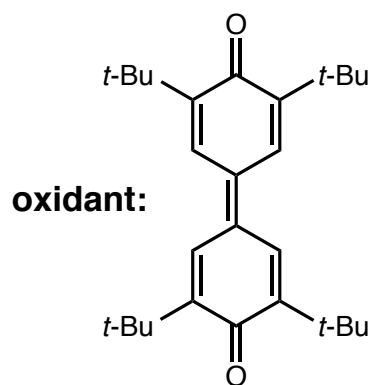
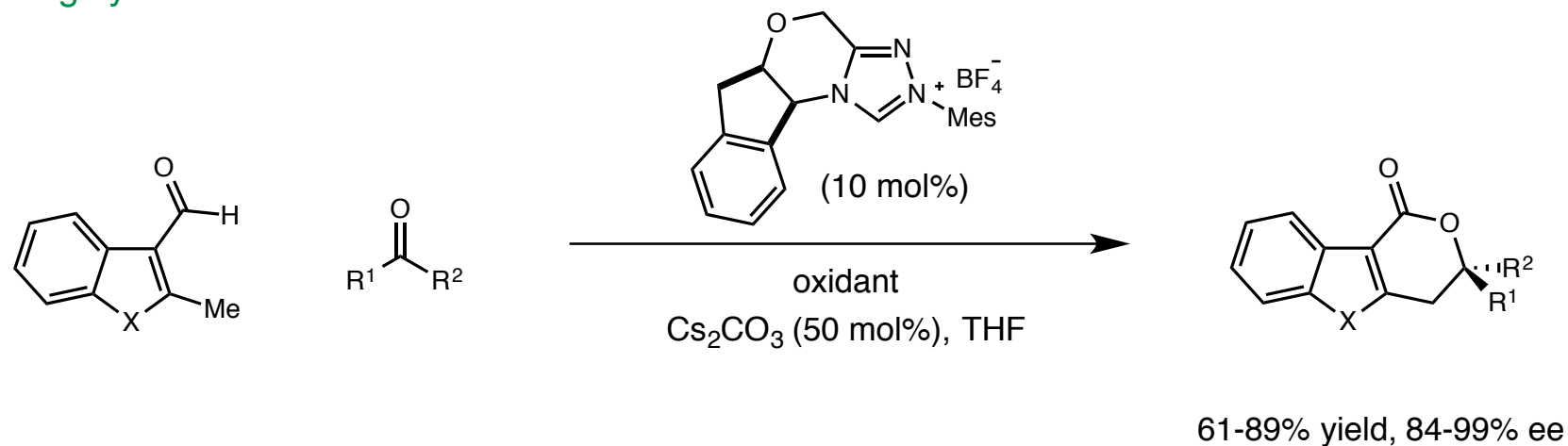
■ Since these reports, Chi *et al.* have demonstrated the use of oxidative NHC catalysis in a variety of highly enantioselective reactions



Oxidative NHC Catalysis

Oxidative Functionalization of Aldehydes

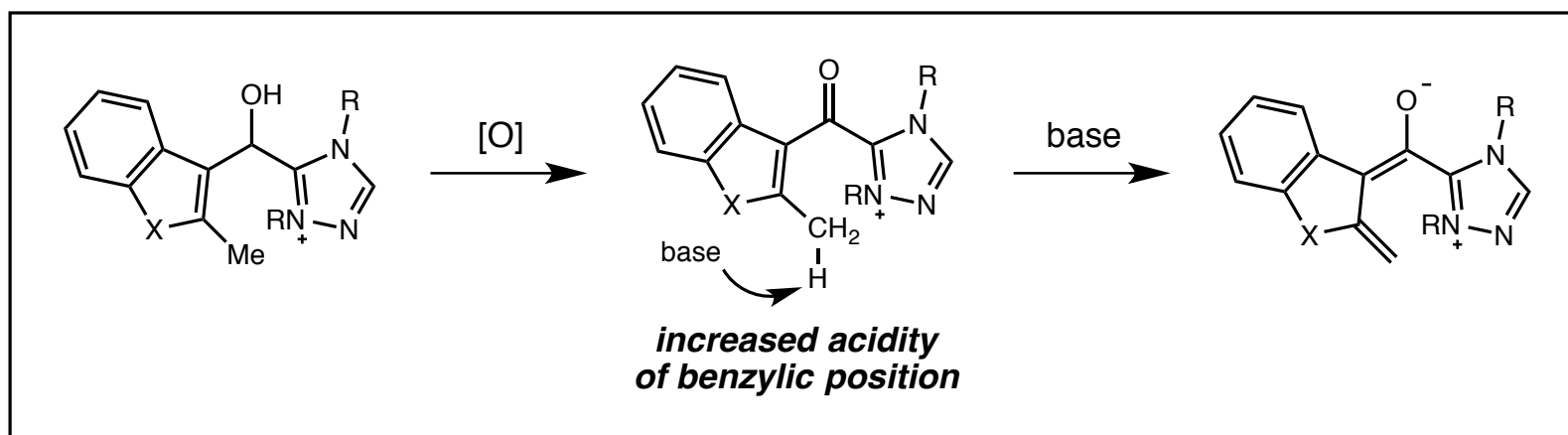
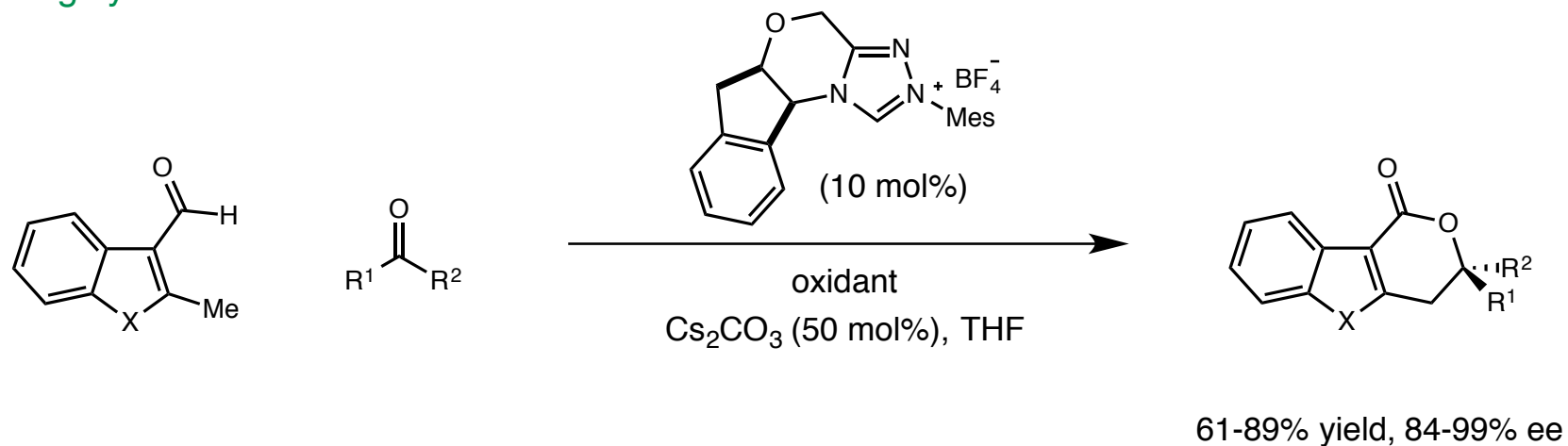
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Oxidative NHC Catalysis

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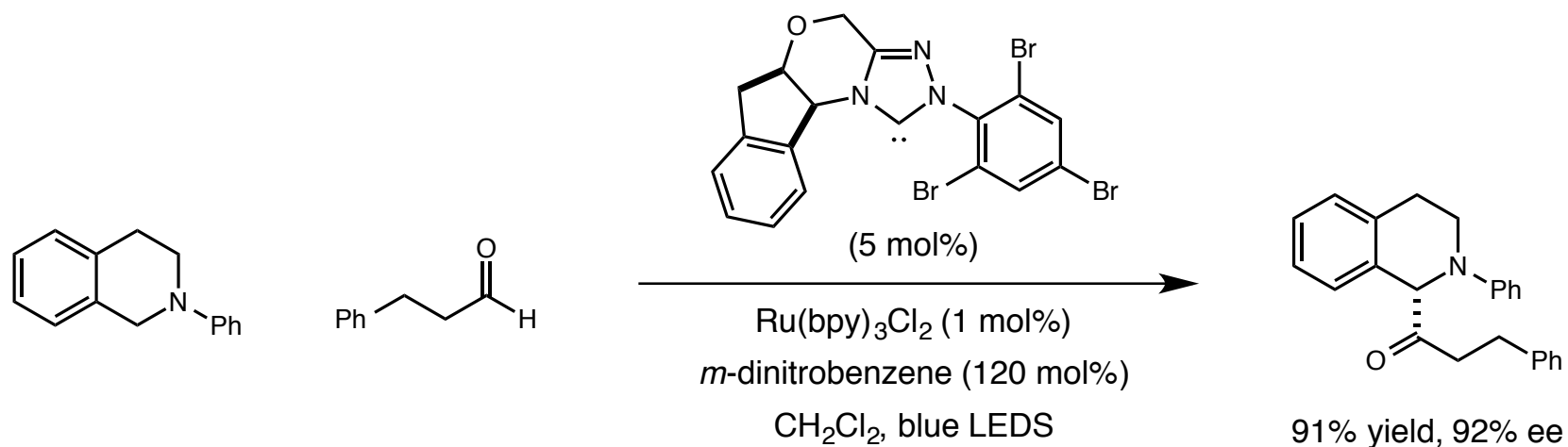
- Since these reports, Chi *et al.* have demonstrated the use of oxidative NHC catalysis in a variety of highly enantioselective reactions



Oxidative NHC Catalysis

An Enantioselective Oxidative Aza-Acyloin Reaction

■ In 2012, Rovis *et al.* reported the merger of photoredox and NHC catalysis for the enantioselective α -acylation of tertiary amines



via:

