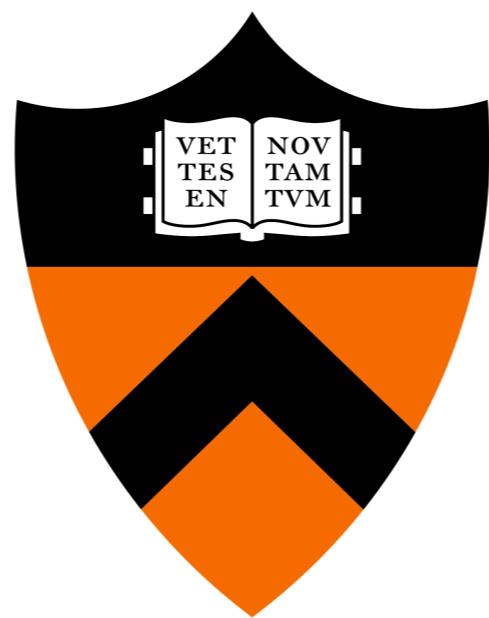


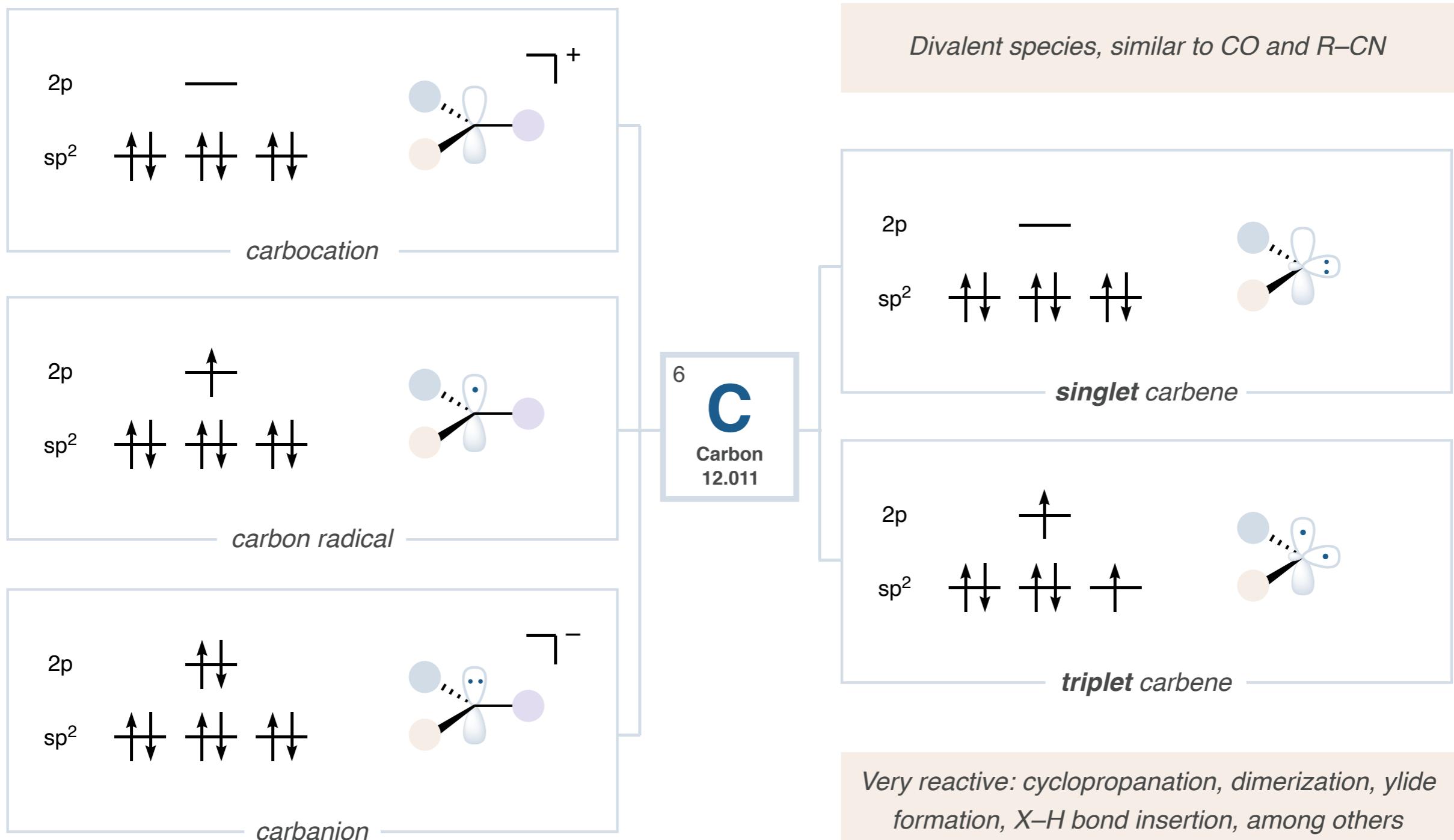
# *Carbenes: multiplicity and reactivity*



Beryl X. Li  
December 4<sup>th</sup>, 2019

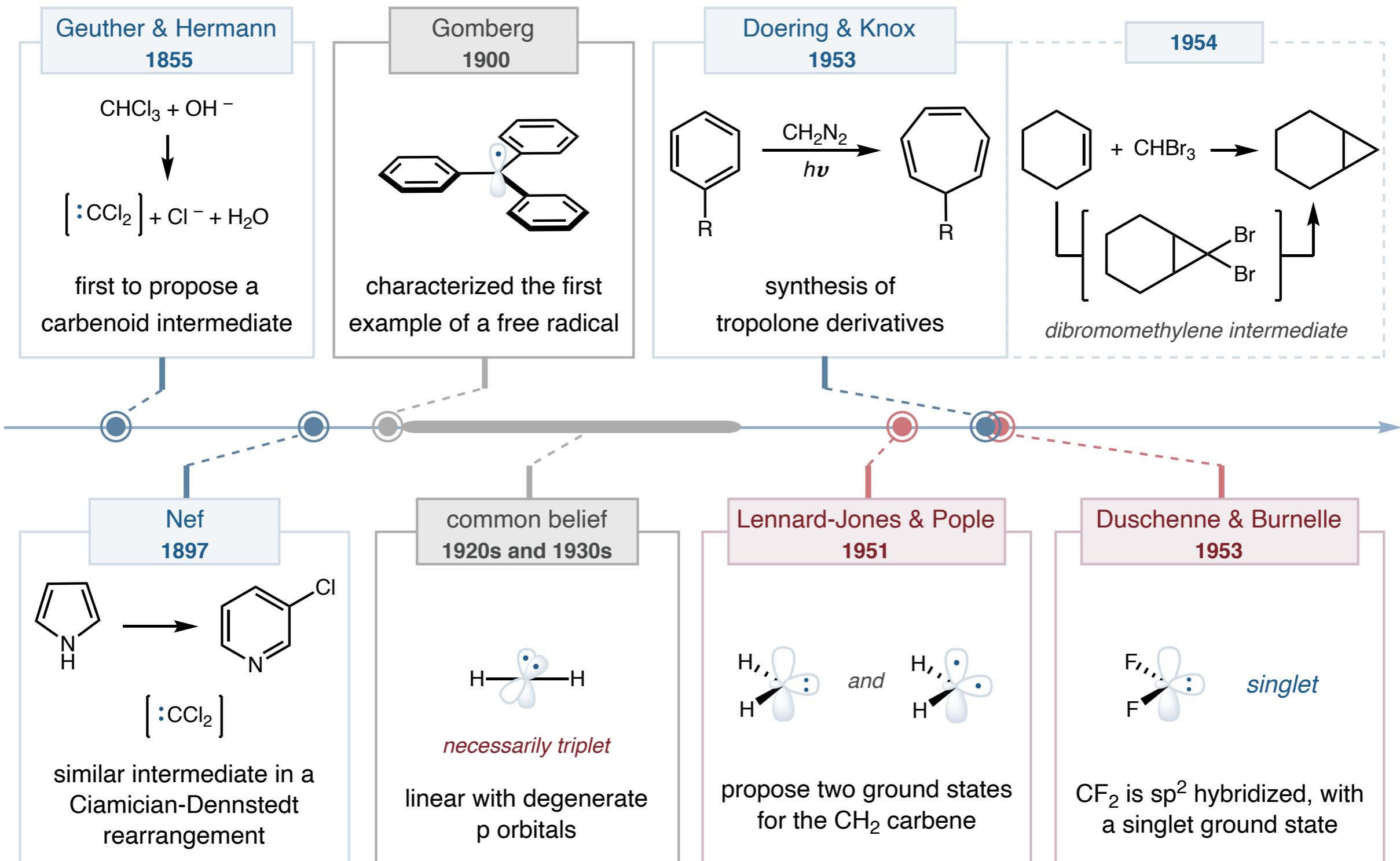
# Carbenes: multiplicity and reactivity

## Introduction



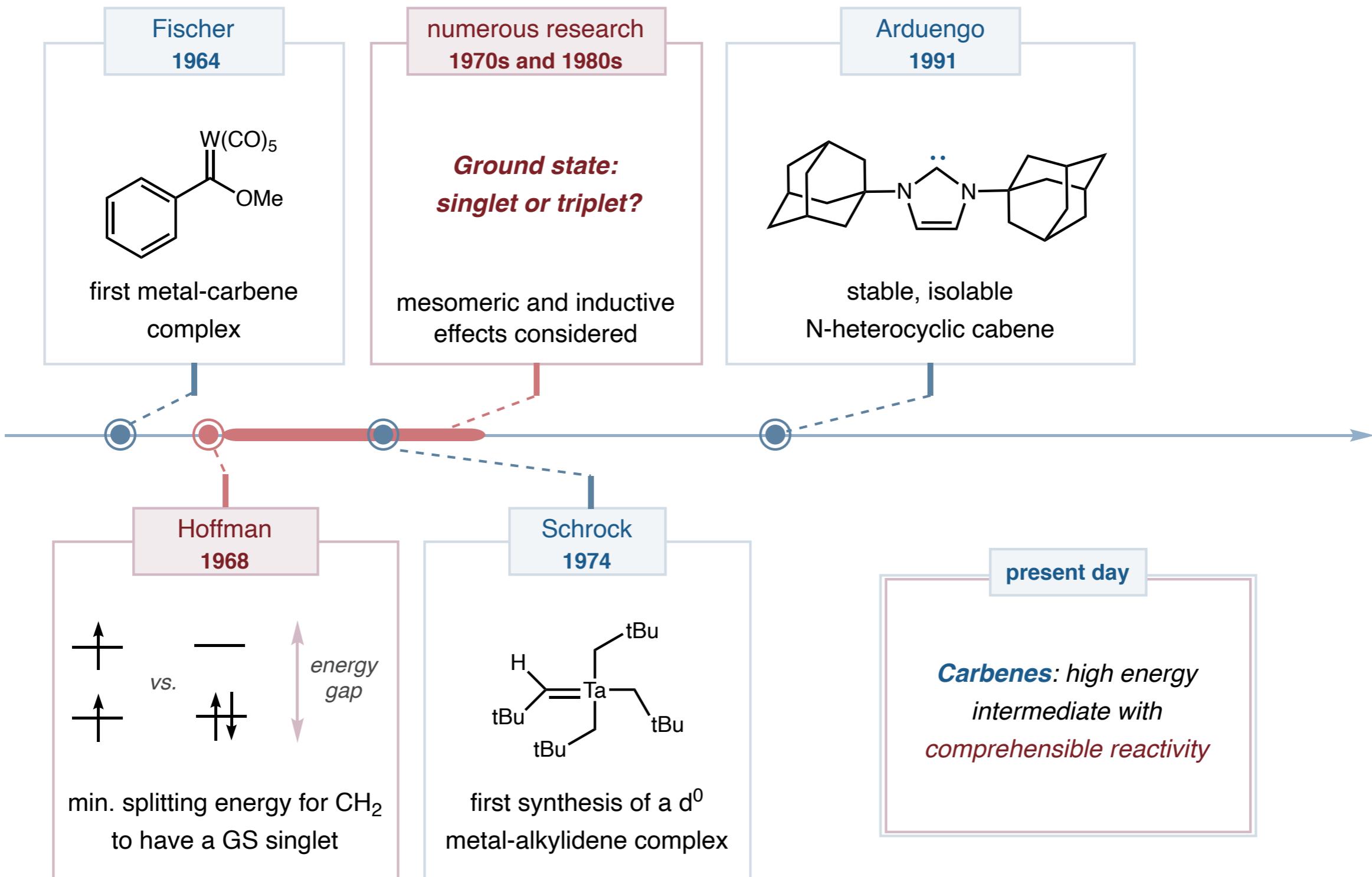
# Carbenes: multiplicity and reactivity

## A brief history



# Carbenes: multiplicity and reactivity

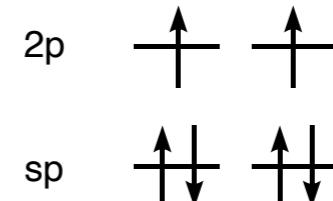
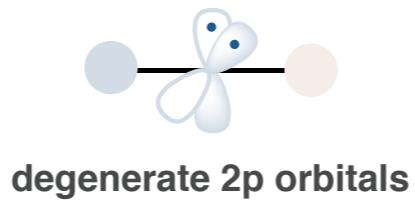
## A brief history



# Carbenes: multiplicity and reactivity

## Multiplicity overview

Hypothetically...  
(as were believed in 1930s)



linear carbenes would have a **triplet** ground state

Today, carbenes are understood to be bent...

**coulombic repulsion ( $E_C$ )**

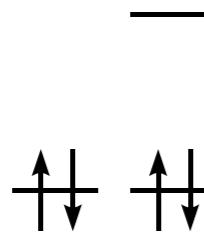
vs.

**$sp^2 - 2p$  energy difference ( $\Delta E$ )**

$$\text{Singlet-triplet splitting } \Delta G_{ST} = E_C - \Delta E$$

2p

$sp^2$



**singlet carbene**

2p

$sp^2$

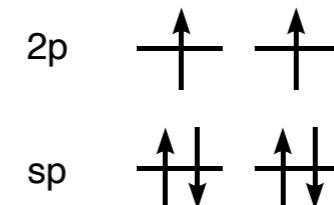
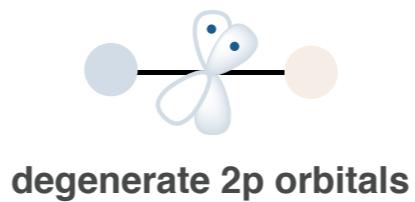


**triplet carbene**

# Carbenes: multiplicity and reactivity

## Multiplicity overview

Hypothetically...  
(as were believed in 1930s)



linear carbenes would have a **triplet** ground state

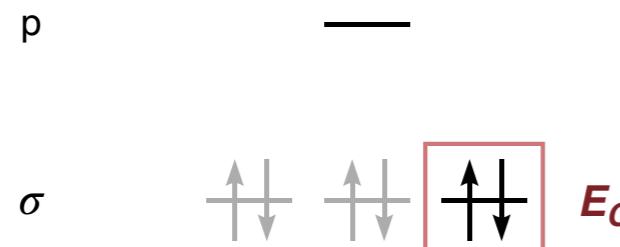
Today, carbenes are understood to be (mostly) bent...

**coulombic repulsion ( $E_C$ )**

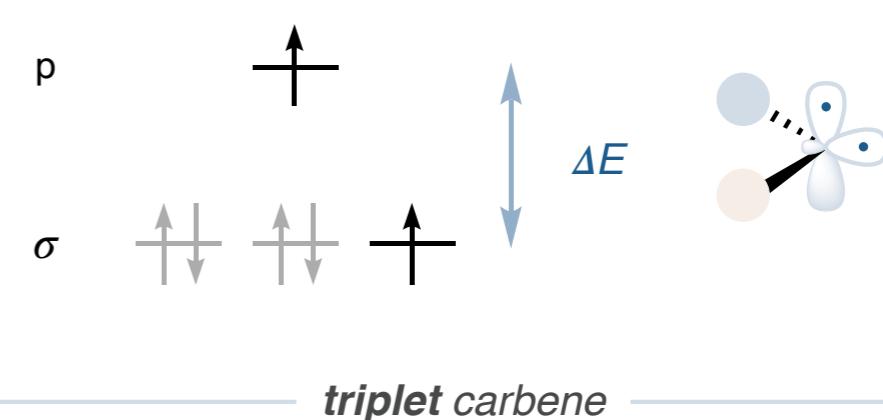
vs.

**$sp_2 - 2p$  energy difference ( $\Delta E$ )**

**Singlet-triplet splitting  $\Delta G_{ST} = E_C - \Delta E$**



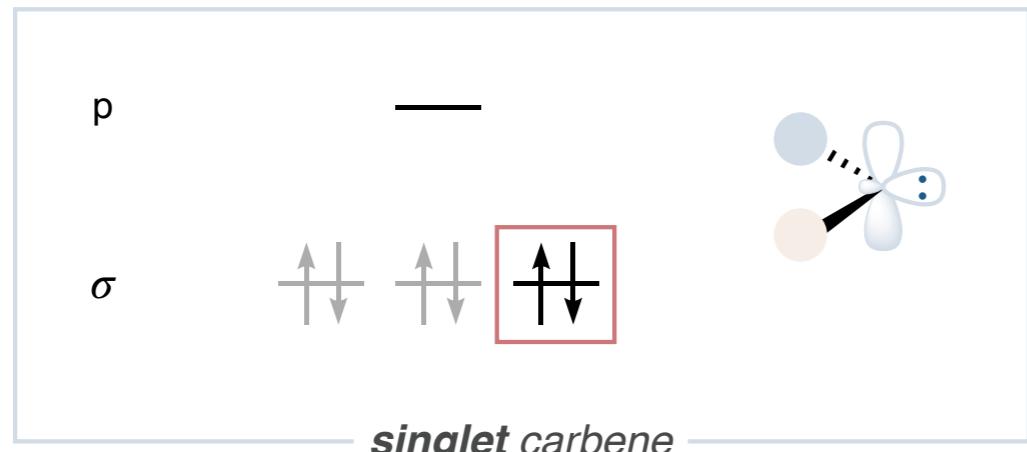
**singlet carbene**



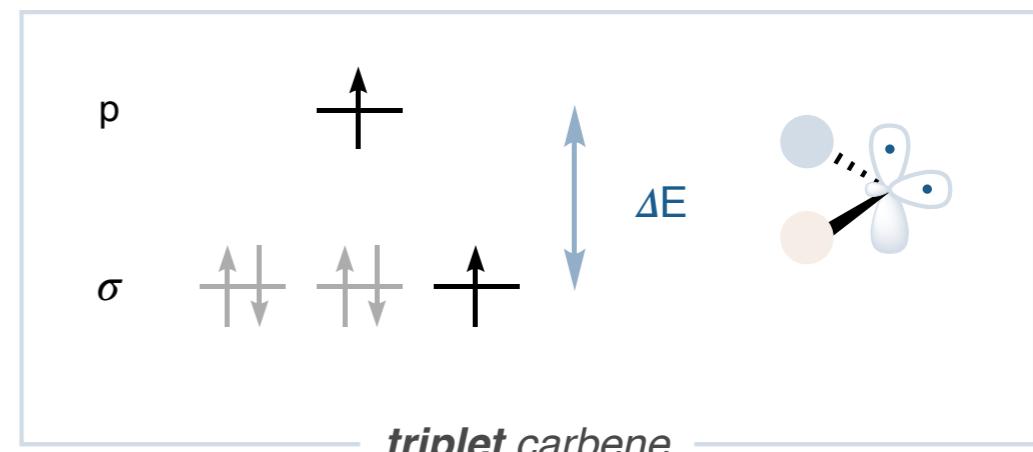
**triplet carbene**

# Carbenes: multiplicity and reactivity

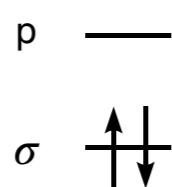
## Multiplicity overview



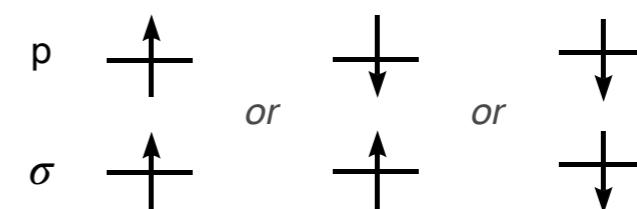
- Spin quantum number ( $S$ ) =  $1/2 + (-1/2) = 0$
- Multiplicity =  $2S + 1 = 1$  (hence “singlet”)



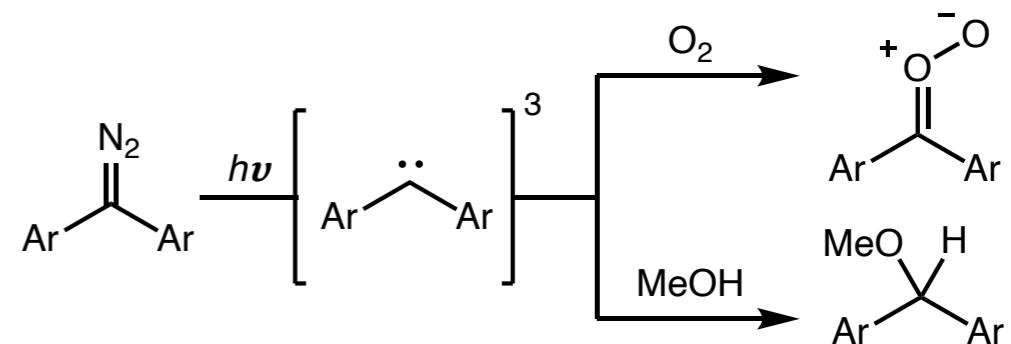
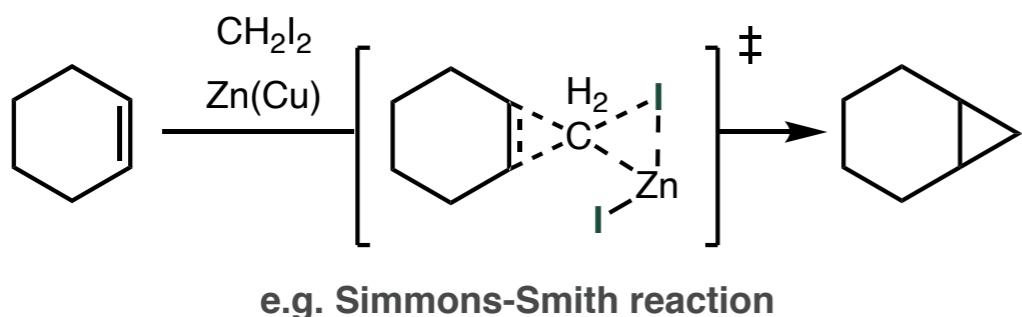
- Spin quantum number ( $S$ ) =  $1/2 + 1/2 = 1$
- Multiplicity =  $2S + 1 = 3$  (hence “triplet”)



- Nucleophile/electrophile
- Chelotropic reactions, stereospecific



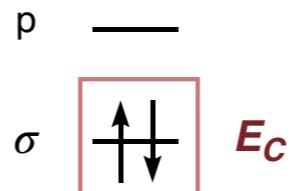
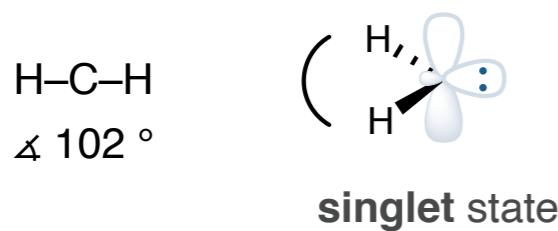
- Diradicals
- Stepwise radical additions, potentially stereoselective



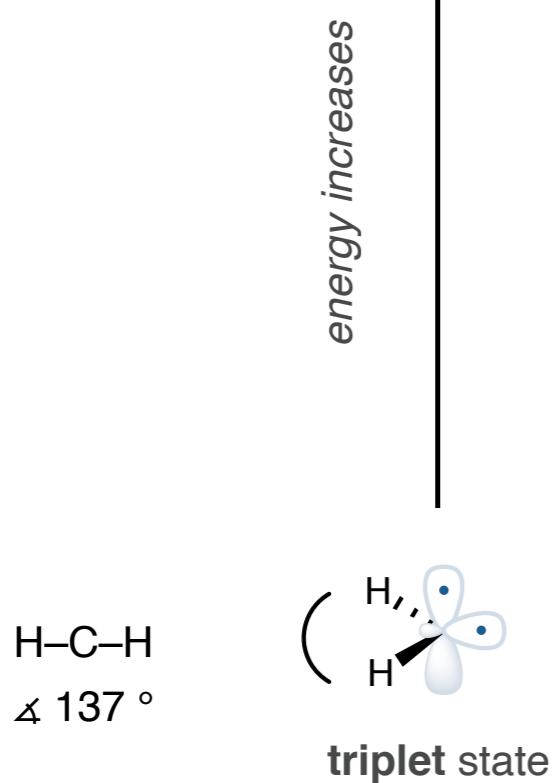
Simmons, H.E.; Smith, R.D. *J. Am. Chem. Soc.* **1958**, *80* (19), 5323–4.  
Bourisson, D.; Guerret, O.; Gabbais, F.P.; Bertrand, G. *Chem. Rev.* **2000**, *100*, 39–91.

# Carbenes: multiplicity and reactivity

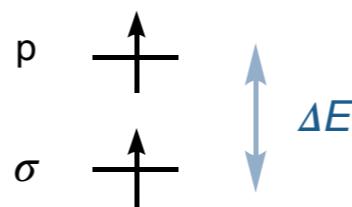
Factors that determine multiplicity: parent  $\text{CH}_2$



- Factors**
- mesomeric interactions
  - inductive effects
  - hyperconjugation
  - steric effects
  - solvent environment

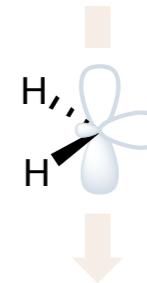


coulombic repulsion ( $E_C$ )  
vs.  
 $sp_2/2p$  energy difference ( $\Delta E$ )



**Singlet-triplet splitting**

$$\Delta G_{ST} = E_C - \Delta E$$



$$\Delta G_{ST} = 9 \text{ kcal/mol}$$

**positive number indicates triplet  
is lowest energy ground state**

# Carbenes: multiplicity and reactivity

## Factors that determine multiplicity: mesomeric interactions

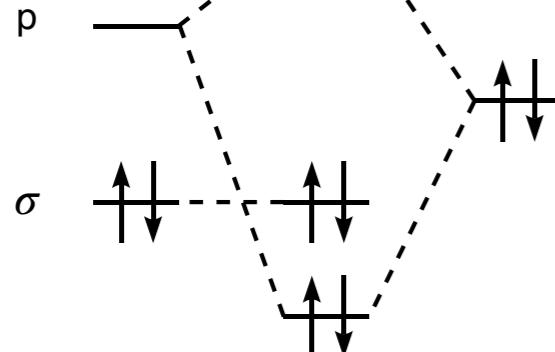
### Mesomeric effects on carbene ground state multiplicity

electron donating or withdrawing substituents (essentially resonance)

### Factors

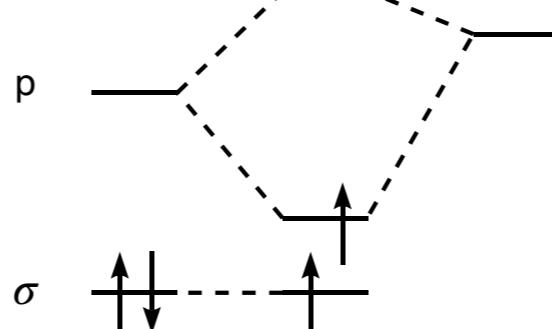
- mesomeric interactions
- inductive effects
- hyperconjugation
- steric effects
- solvent environment

### X-type interactions



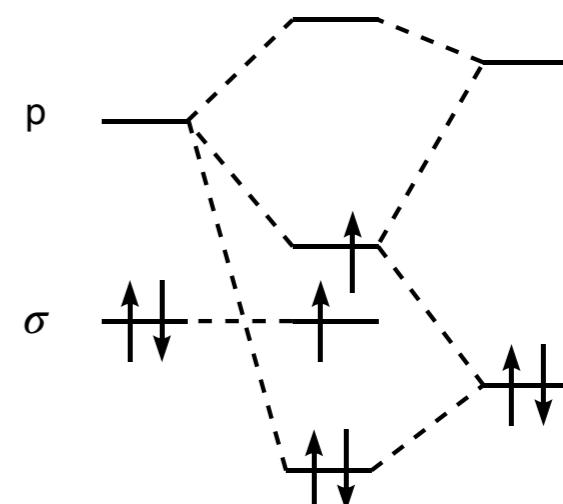
$\pi$ -electron donors raise  $p$  orbital  
(e.g.,  $-NR_2$ ,  $-OR$ ,  $-F$ ,  $-Cl$ ,  $-Br$ ,  $-I$ )

### Z-type interactions



$\pi$ -electron acceptors lower/  
unaffect  $\sigma-p$  gap  
(e.g., carbonyls,  $-SO_2R$ ,  $-NO$ ,  $-NO_2$ )

### C-type interactions



conjugated groups lower/  
unaffect  $\sigma-p$  gap  
(e.g., alkenes, alkynes, aryl groups)

ground state singlet

ground state triplet

ground state triplet

# Carbenes: multiplicity and reactivity

## Factors that determine multiplicity: mesomeric interactions

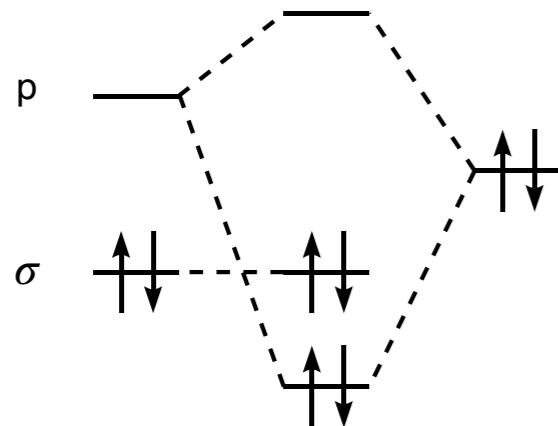
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$\pi$ -electron donors raise p orbital  
(e.g.,  $-\text{NR}_2$ ,  $-\text{OR}$ ,  $-\text{F}$ ,  $-\text{Cl}$ ,  $-\text{Br}$ ,  $-\text{I}$ )

ground state **singlet**

### carbenoid species

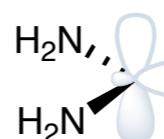
### $\Delta G_{ST}$



9 kcal/mol



-28.0 kcal/mol



-52.6 kcal/mol

negative  $\Delta G_{ST}$   
indicates **singlet**  
is lowest energy  
ground state

# Carbenes: multiplicity and reactivity

## Factors that determine multiplicity: mesomeric interactions

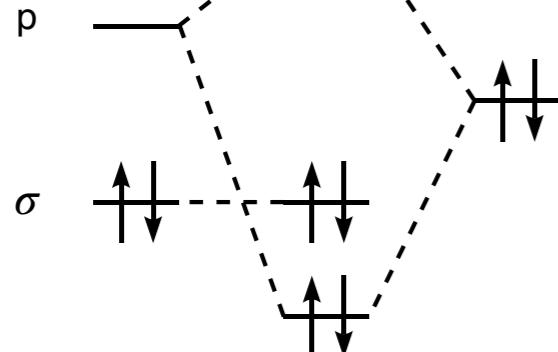
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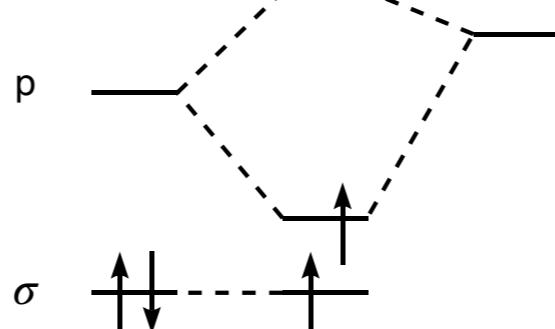
- mesomeric interactions
- inductive effects
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- solvent environment

#### X-type interactions



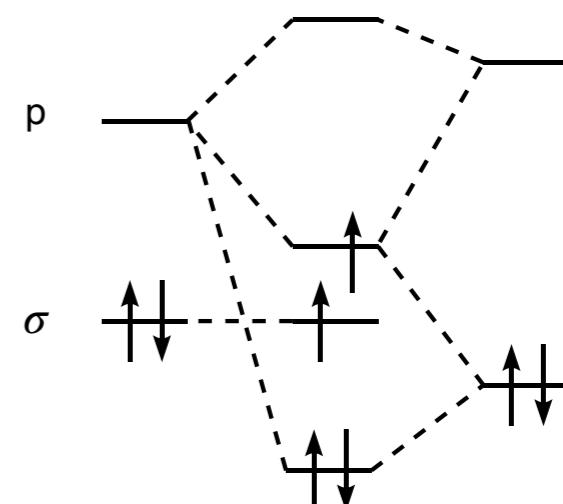
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#### C-type interactions



conjugated groups lower/  
unaffect  $\sigma-p$  gap  
(e.g., alkenes, alkynes, aryl groups)

ground state **singlet**

ground state **triplet**

ground state **triplet**

# Carbenes: multiplicity and reactivity

## Factors that determine multiplicity: mesomeric interactions

### Mesomeric effects on carbene ground state multiplicity

electron donating or withdrawing substituents (essentially resonance)

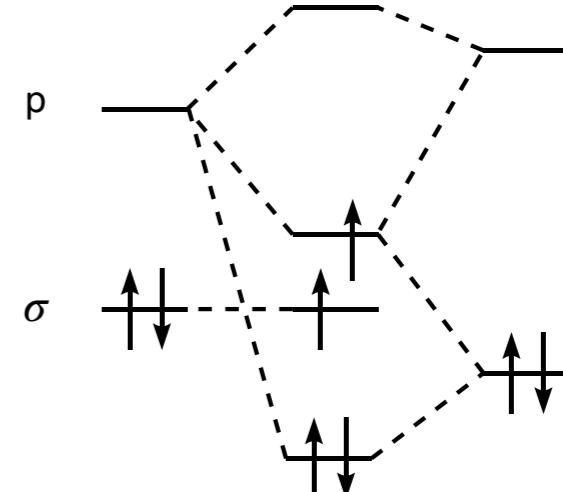
Carbene	$E_{\text{sub}}/\text{S}$ (kcal/mol)	$E_{\text{sub}}/\text{T}$ (kcal/mol)	$\Delta E_{\text{sub}}$ (kcal/mol)
Ph-C-H (5a)	24.4	18.1	6.3
(E)-1-Naph-C-H (E- $\alpha$ -6a)	26.6	20.9	5.7
9-Anth-C-H (7a)	30.5	26.8	3.7
Ph-C-Ph (8a)	15.8	16.0	-0.2
1-Naph-C-Naph-1 ( $\alpha$ -9a)	18.6	19.0	-0.4
9-Anth-C-Anth-9 (10a)	21.5	-	-

aryl groups generally stabilize both the singlet state ( $E_{\text{sub}}/\text{S}$ ) and the triplet state ( $E_{\text{sub}}/\text{T}$ )

### Factors

- mesomeric interactions
  - inductive effects
  - hyperconjugation
  - steric effects
- solvent environment

### C-type interactions



conjugated groups lower/  
unaffect  $\sigma$ -p gap

(e.g., alkenes, alkynes, aryl groups)

ground state triplet

# Carbenes: multiplicity and reactivity

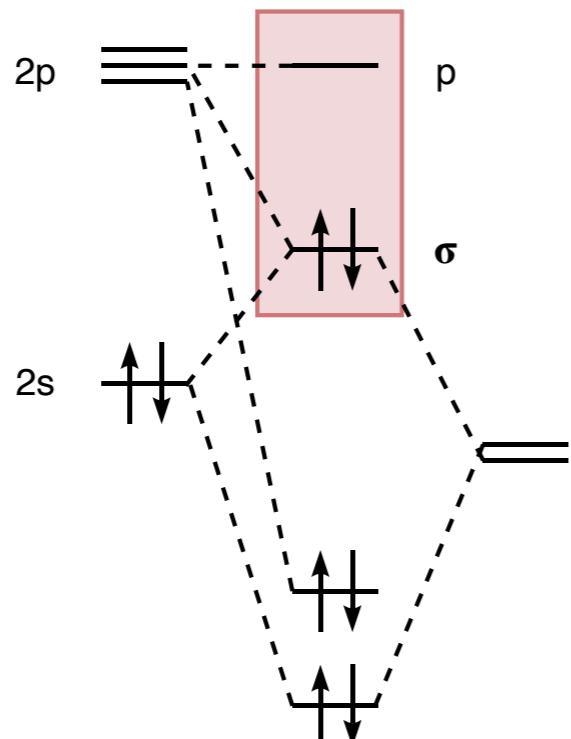
## Factors that determine multiplicity: inductive effects

### Inductive effects

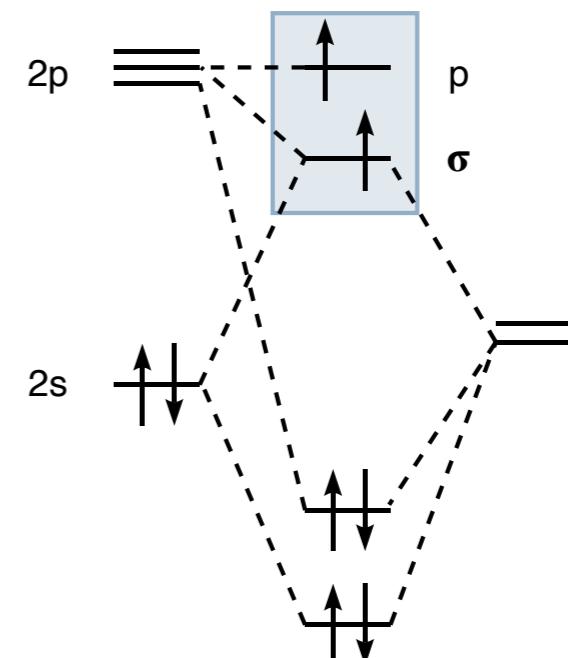
inductively electron-donating or -withdrawing groups interact selectively with carbon orbitals, which changes the  $\sigma$ - $p$  gap

### Factors

- mesomeric interactions
- inductive effects
- hyperconjugation
- steric effects
- solvent environment



$\sigma$ -EWG stabilizes  $\sigma$ -nonbonding orbital  
thus increasing  $\sigma$ - $p$  gap to favor **singlet**



$\sigma$ -EDG induces a smaller  $\sigma$ - $p$  gap,  
therefore favoring **triplet**

# Carbenes: multiplicity and reactivity

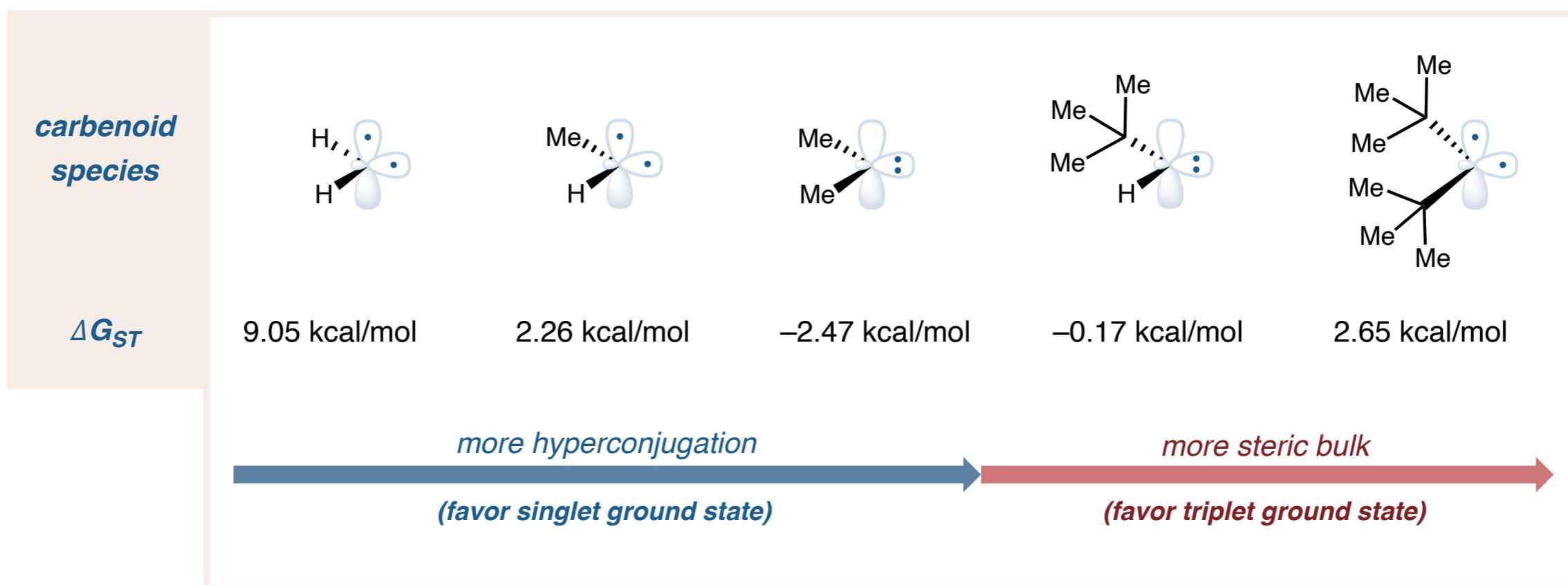
Factors that determine multiplicity: hyperconjugation

## Hyperconjugation

**Singlets**, which are isoelectric with carbocations, are more stabilized by hyperconjugative effects compared to the radical-like **triplets**

### Factors

- mesomeric interactions
- inductive effects
- hyperconjugation
- steric effects
- solvent environment



Sulzbach, H. M.; Bolton, E.; Lenoir, D.; Schleyer, P. v. R.; Schaefer, H. F., III. *J. Am. Chem. Soc.* **1996**, *118*, 9908–14.

Gallo, M. M.; Schaefer, H. F., III. *J. Phys. Chem.* **1992**, *96*, 1515–7.

Richards, C. A., Jr.; Kim, S.-J.; Yamaguchi, Y.; Schaefer, H. F., III. *J. Am. Chem. Soc.* **1995**, *117*, 10104–7.

Hirai, K.; Itoh, T.; Tomioka, H. *Chem. Rev.* **2009**, *109*, 3275–332.

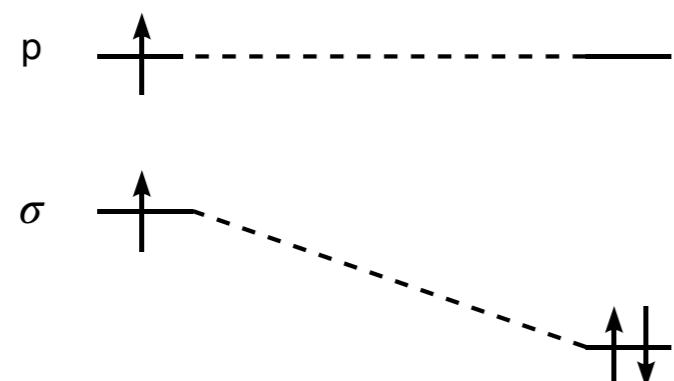
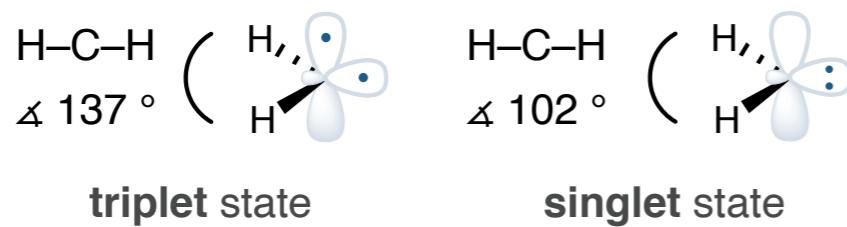
# Carbenes: multiplicity and reactivity

## Factors that determine multiplicity: steric effects

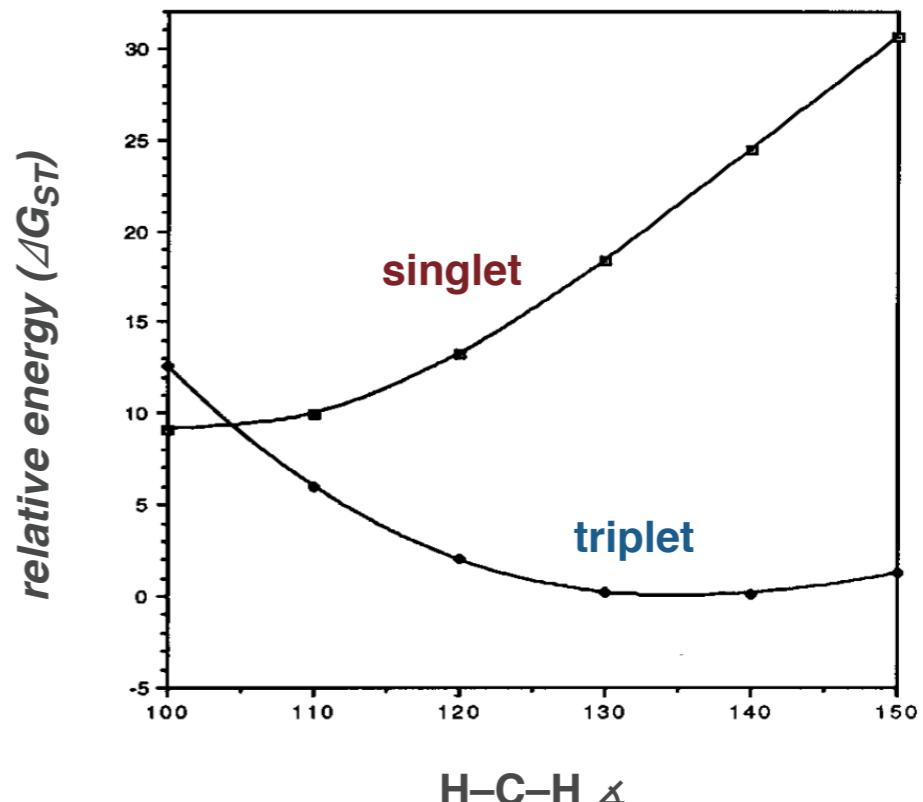
### Steric effects

Large angles favor the **triplet** state

Smaller angles (i.e. bulky substituents) favor the **singlet** state



- Factors**
- mesomeric interactions
  - inductive effects
  - hyperconjugation
  - steric effects
  - solvent environment



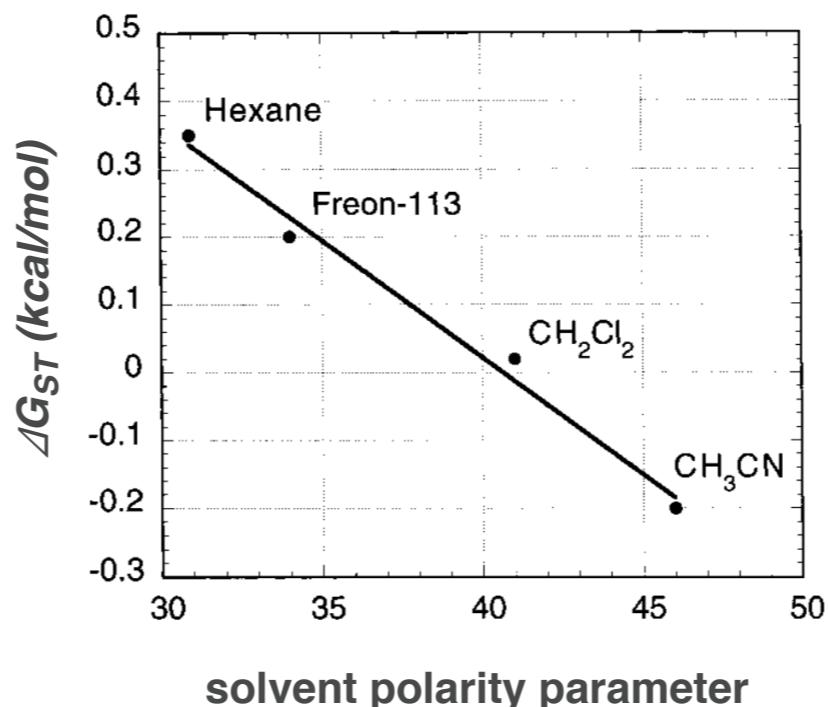
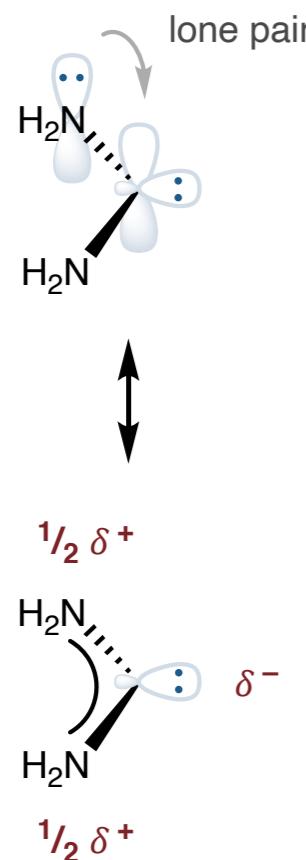
As the carbon bond angle decreases, the  $\sigma$  orbital gains more s character and moves lower in energy, increasing the  $\sigma-p$  gap

# Carbenes: multiplicity and reactivity

Factors that determine multiplicity: solvent environment

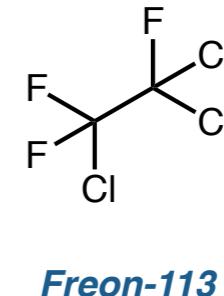
## Solvation of carbenoid

Singlet carbenes are stabilized in polar solvents



## Factors

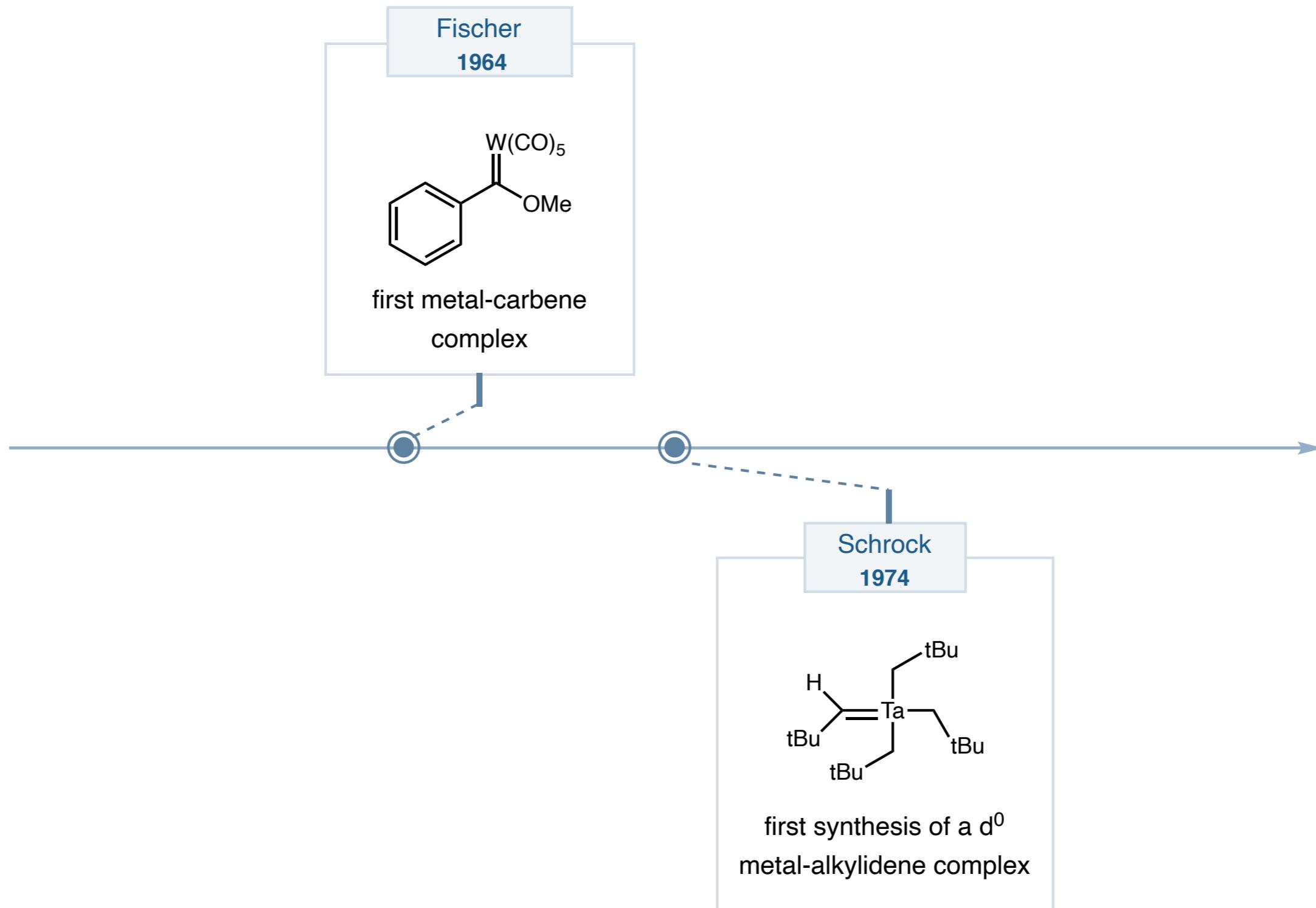
- mesomeric interactions
- inductive effects
- hyperconjugation
- steric effects
- solvent environment



Singlet carbenes have zwitterionic character, allowing them to be stabilized in polar solvents

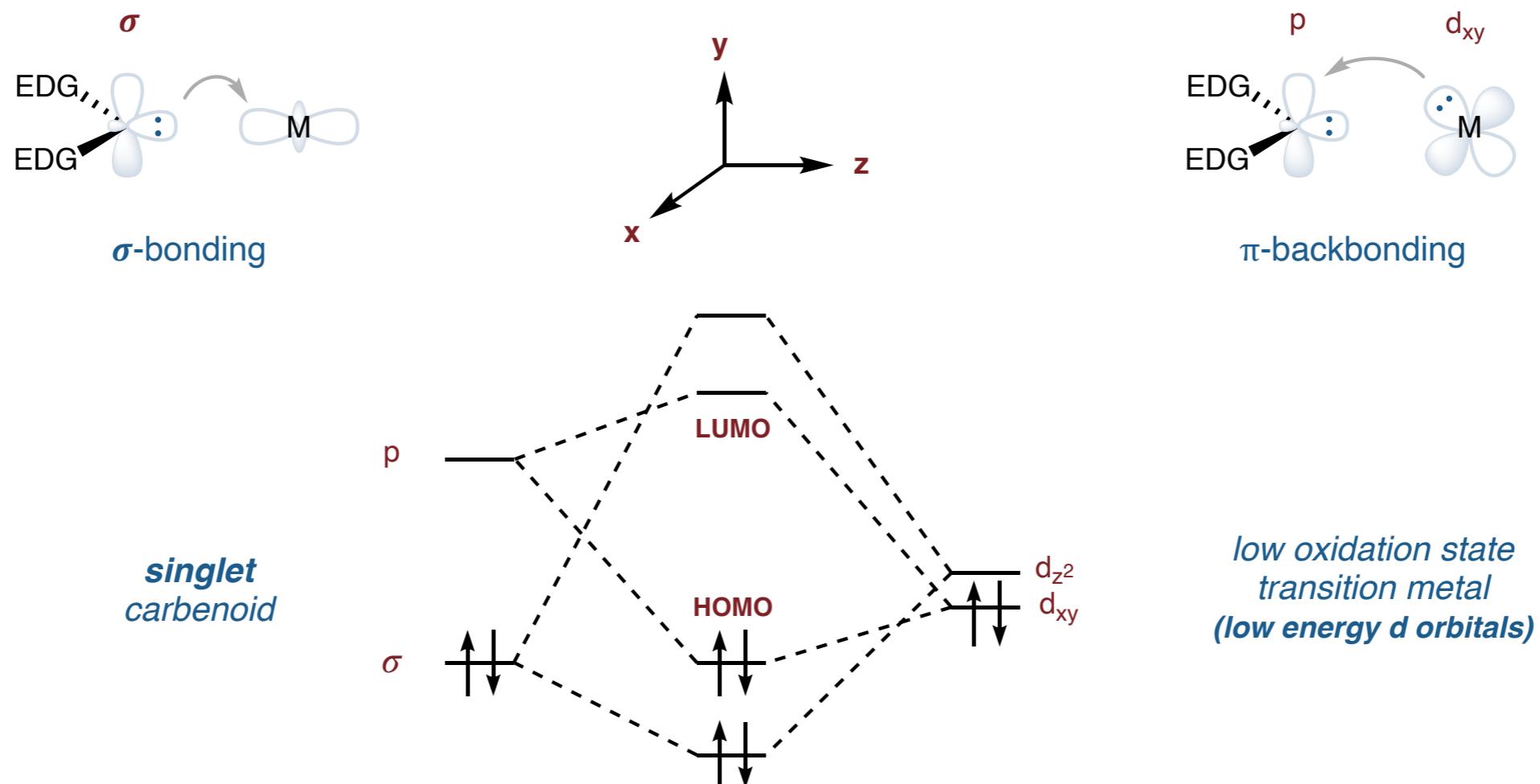
# *Carbenes: multiplicity and reactivity*

## *Fischer vs. Schrock metal carbenoids*



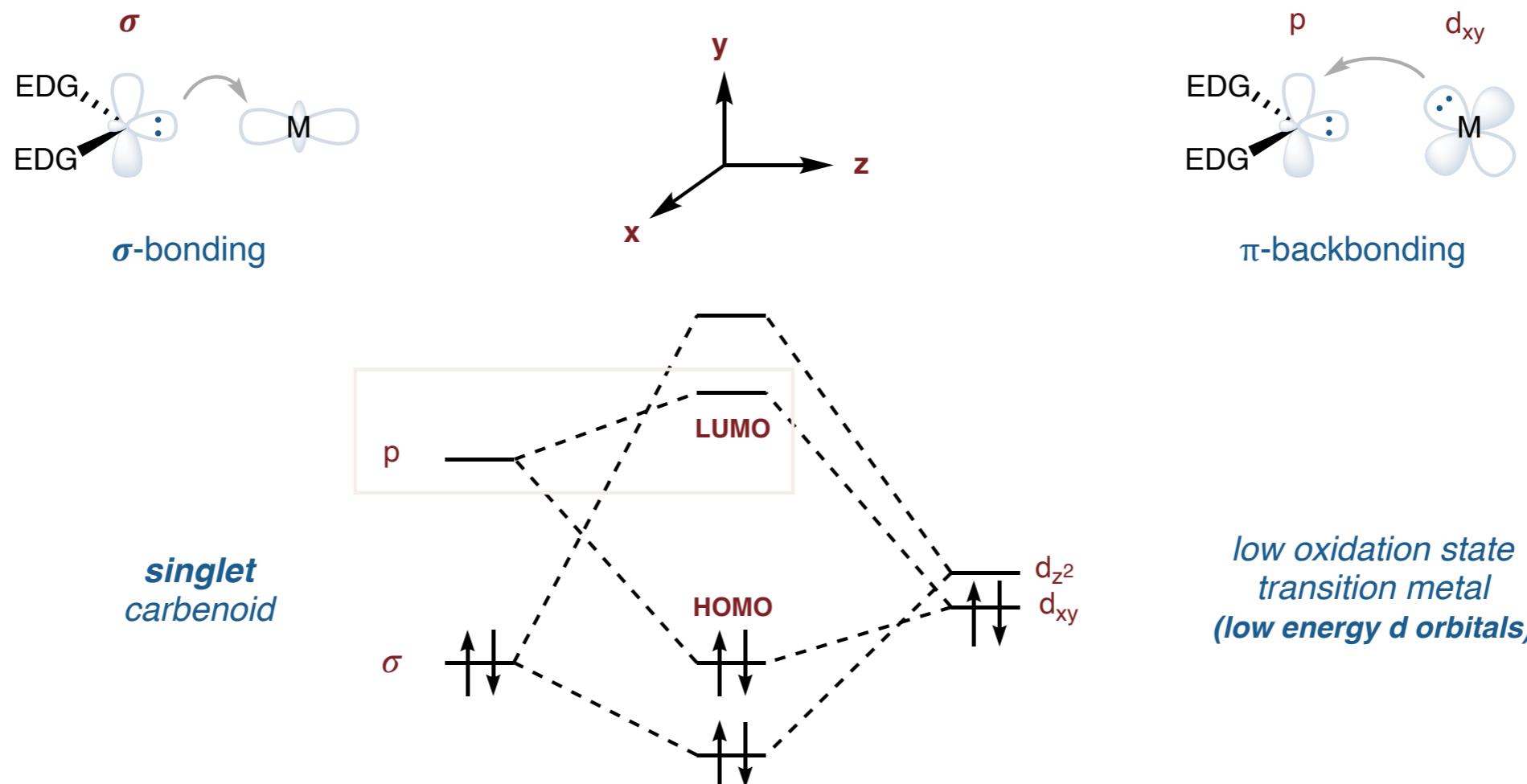
# Carbenes: multiplicity and reactivity

Fischer metal-carbene complex: singlet carbenoid



# Carbenes: multiplicity and reactivity

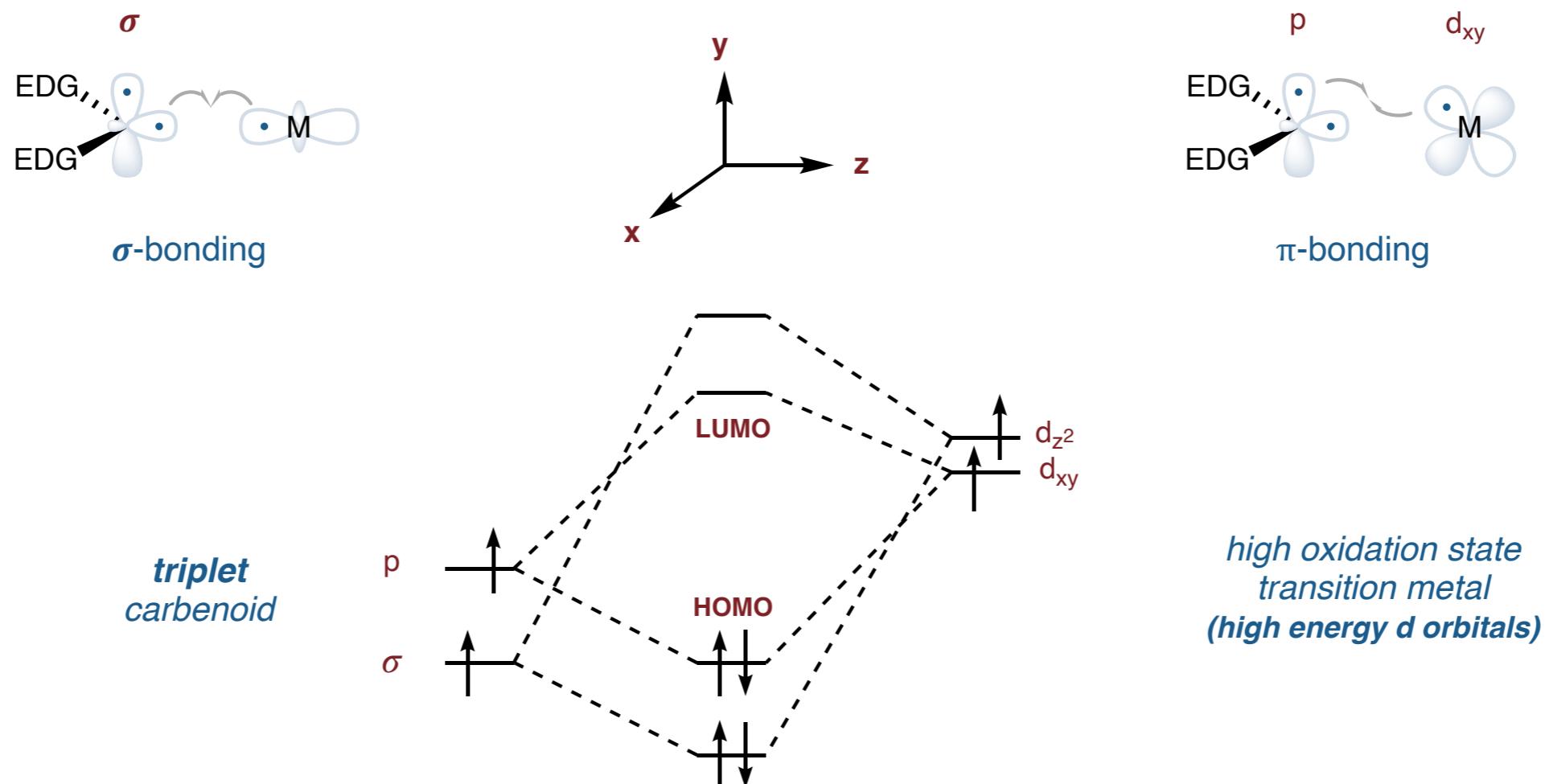
Fischer metal-carbene complex: singlet carbenoid



LUMO closer to carbene, rendering it more electrophilic

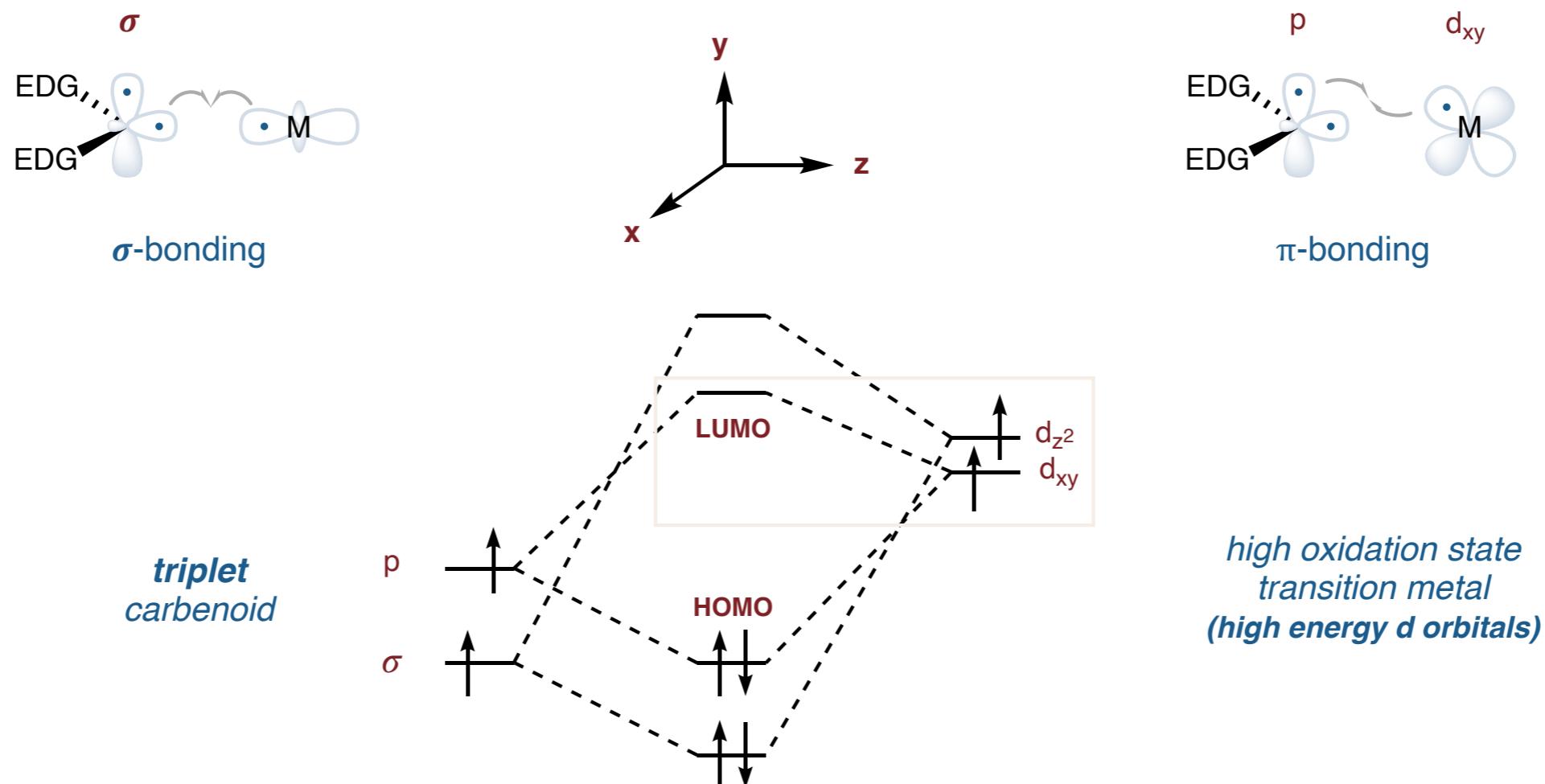
# Carbenes: multiplicity and reactivity

Schrock metal-alkylidene complex: triplet carbeneoid



# Carbenes: multiplicity and reactivity

Schrock metal-alkylidene complex: triplet carbeneoid

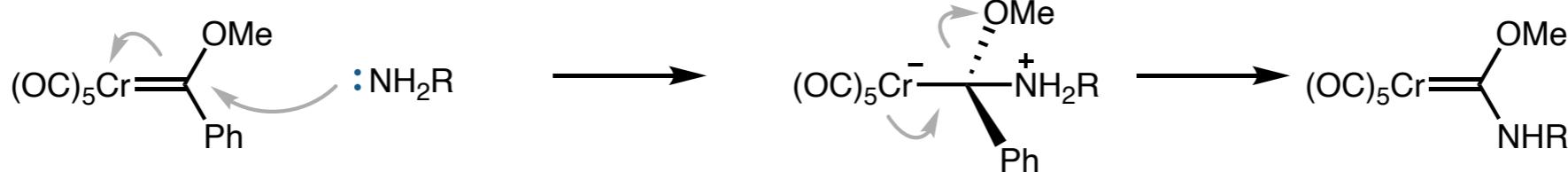


LUMO closer to metal center, rendering the carbene more nucleophilic

# Carbenes: multiplicity and reactivity

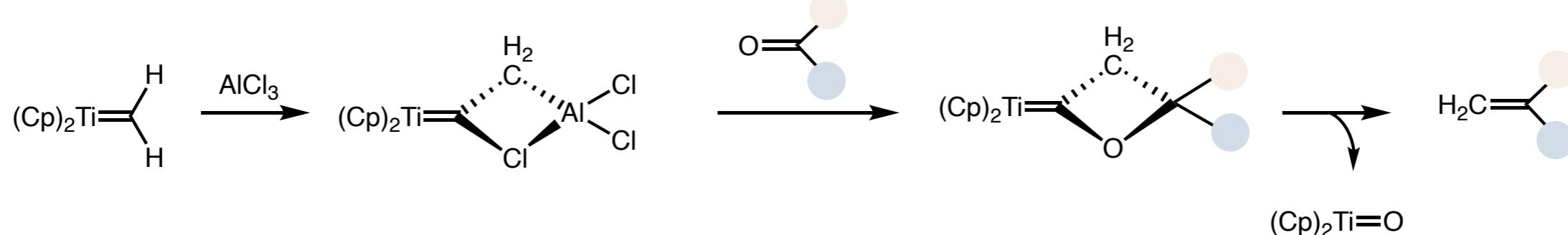
Fischer carbene vs. Schrock alkylidene: sample reactivities

## Fischer carbene



nucleophilic substitution

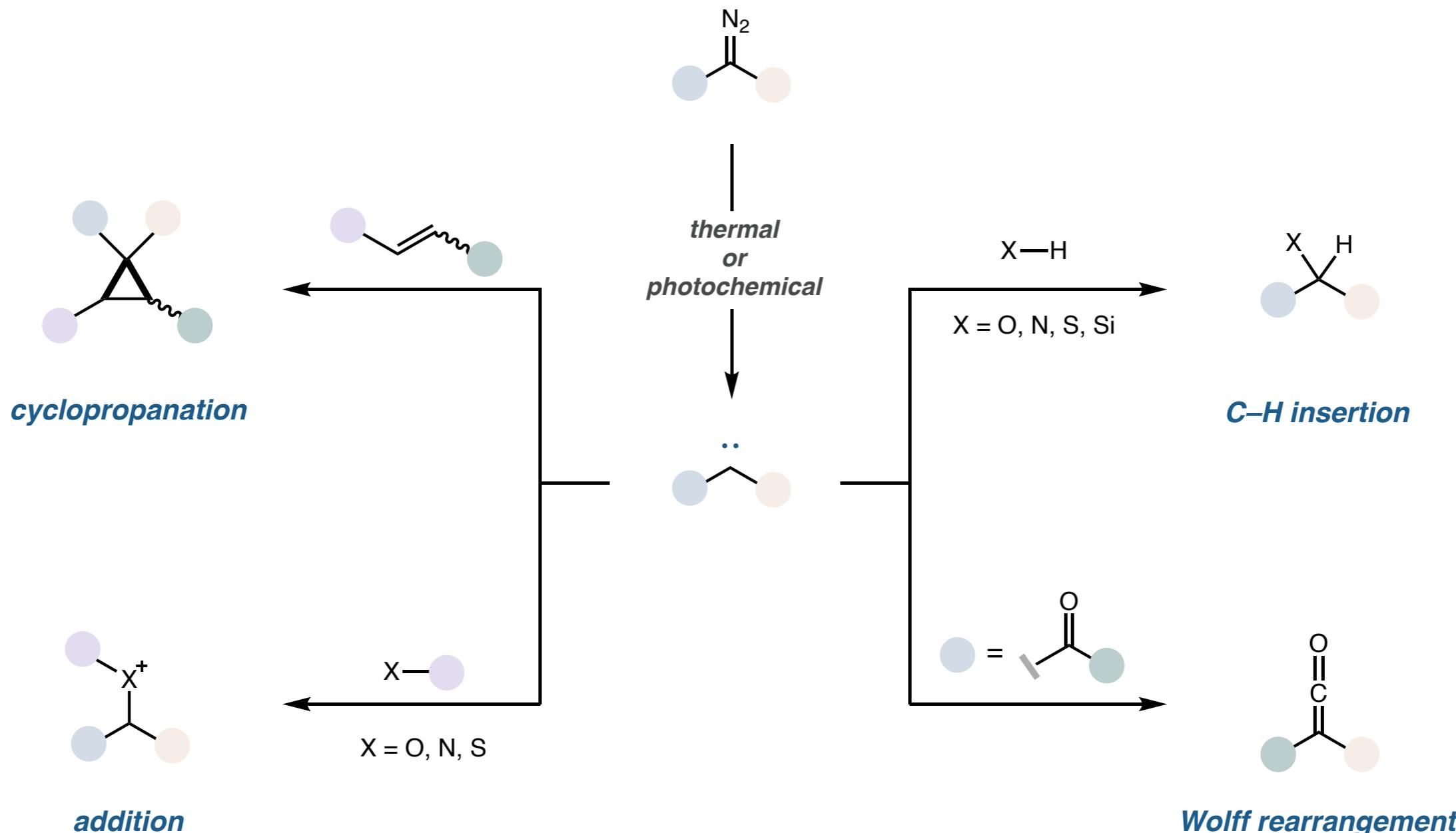
## Schrock alkylidene



Tebbe's reagent

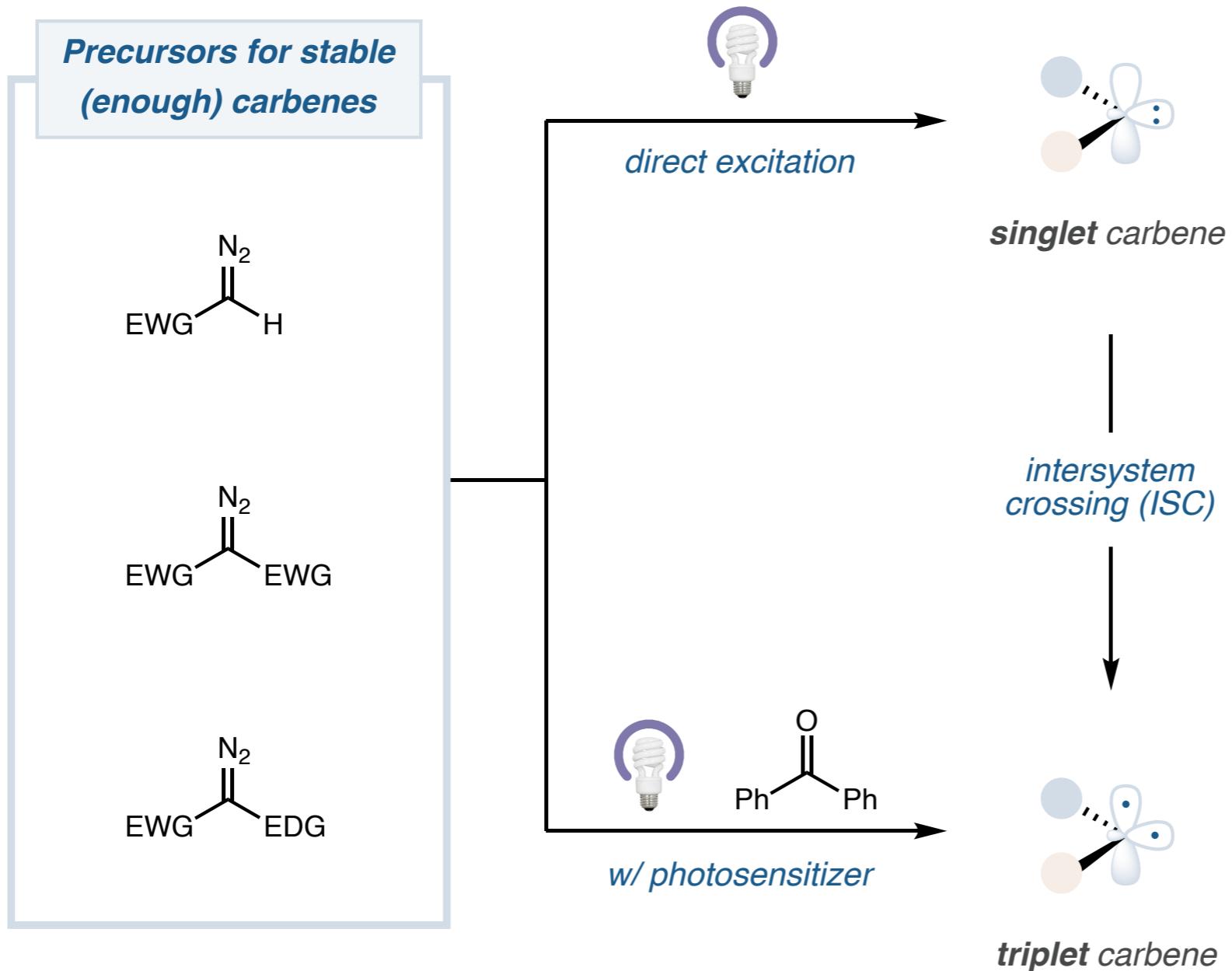
# Carbenes: multiplicity and reactivity

## Diazo compounds: reactivity overview



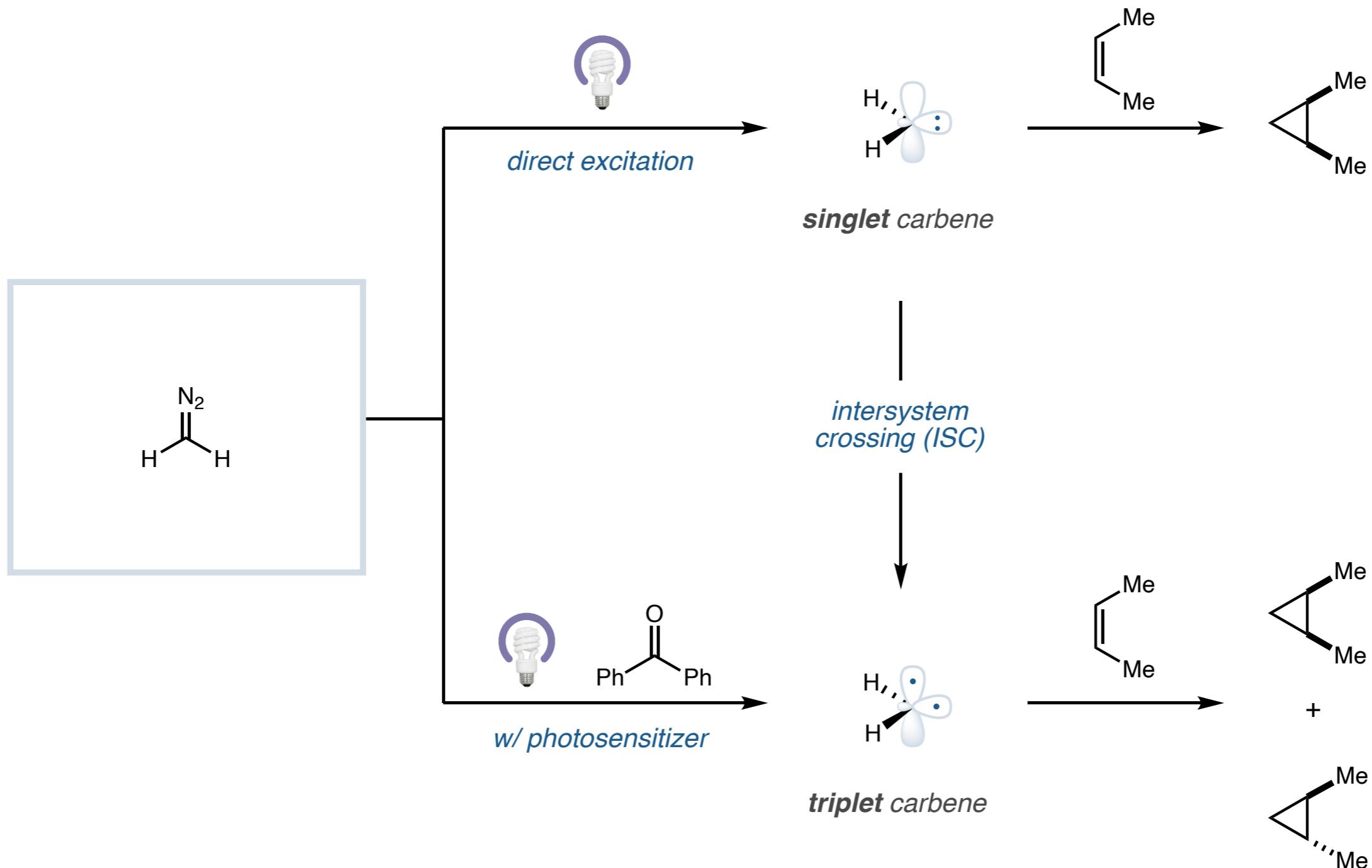
# Carbenes: multiplicity and reactivity

## Diazo compounds: precursor and carbene multiplicity



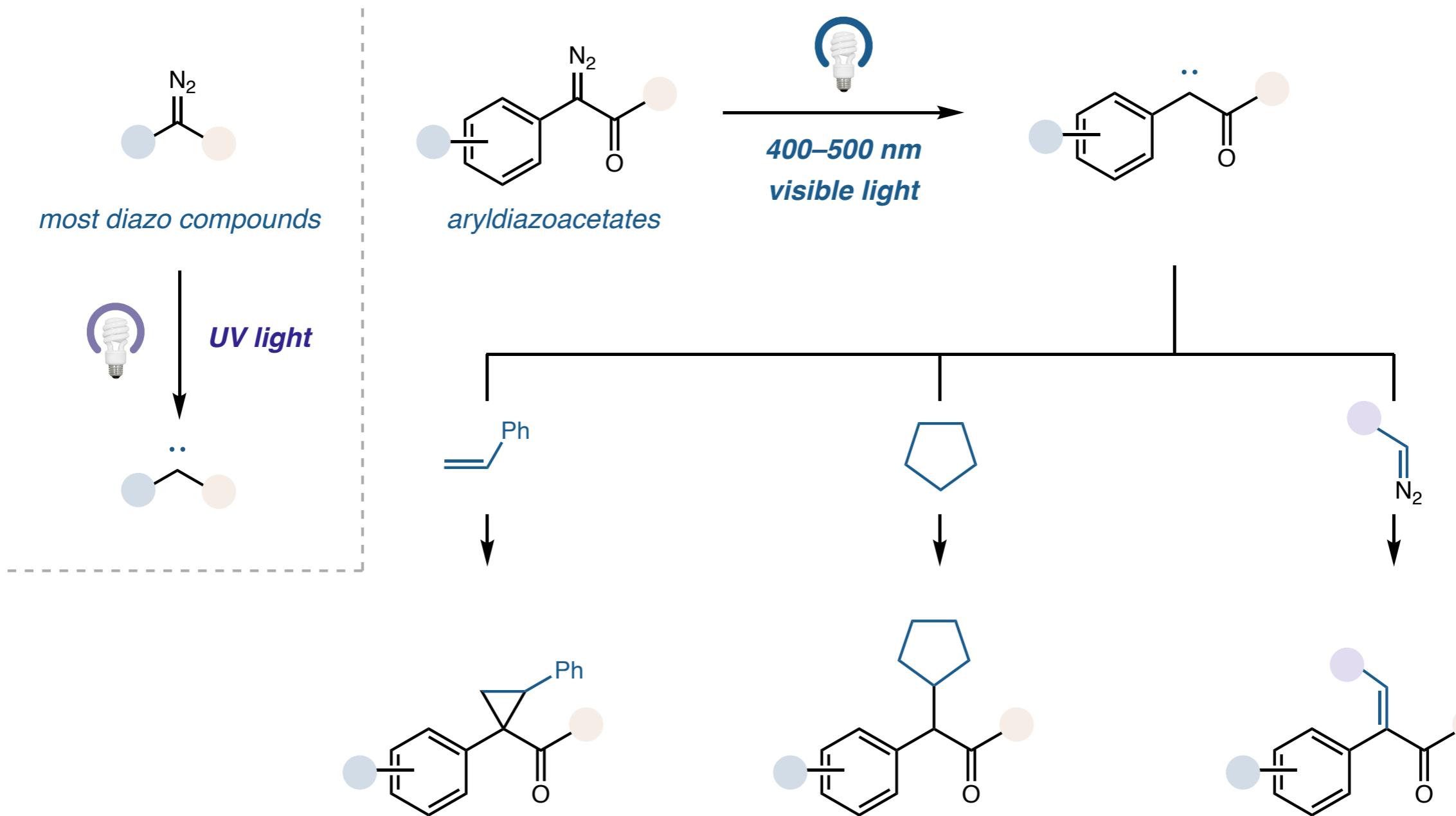
# Carbenes: multiplicity and reactivity

Diazo compounds: precursor and carbene multiplicity



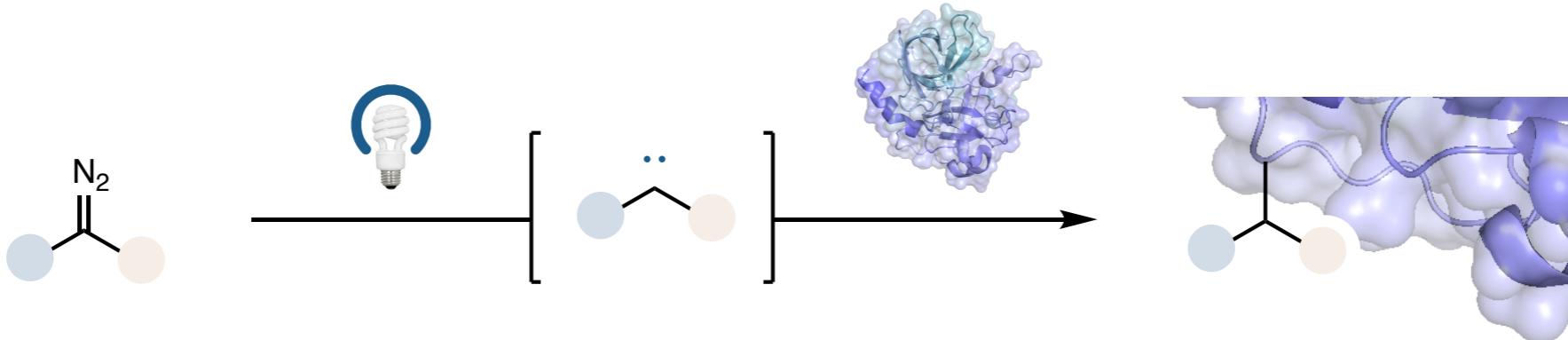
# Carbenes: multiplicity and reactivity

Diazo compounds: visible-light excitation of aryldiazoacetates

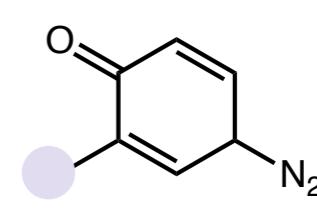
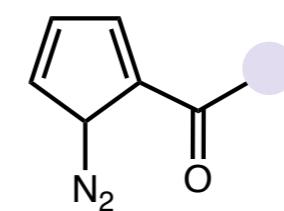
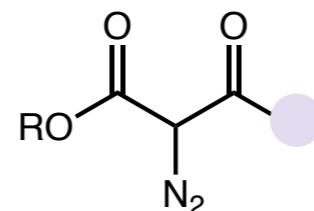
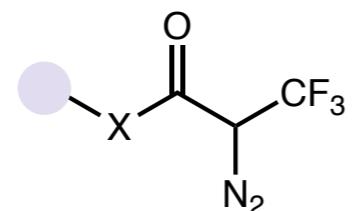
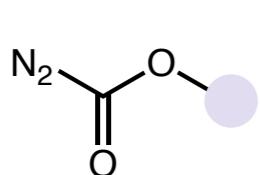


# Carbenes: multiplicity and reactivity

## Diazo compounds: applications to photoaffinity labeling



### certain reported precursors in photoaffinity labeling



highly reactive carbenes  
are attractive tools for  
protein affinity labeling

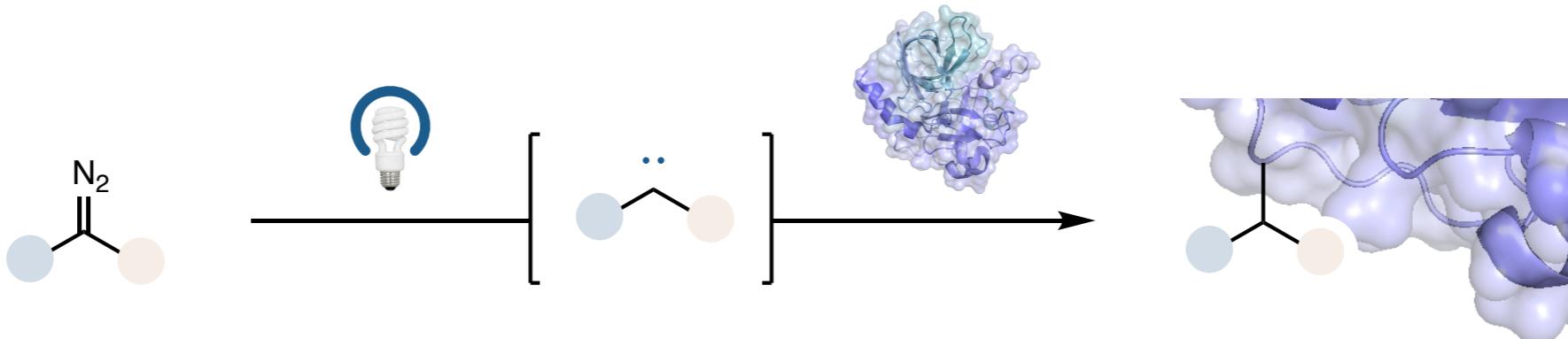
$$k_2 = 10^7 - 10^9 \text{ M}^{-1}\text{s}^{-1}$$

caveats

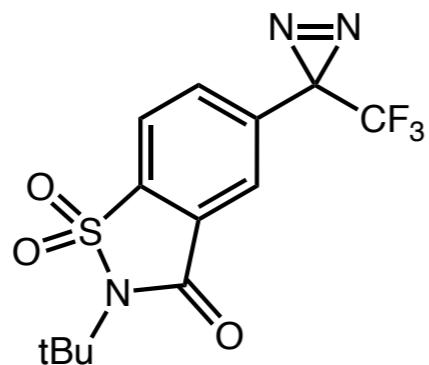
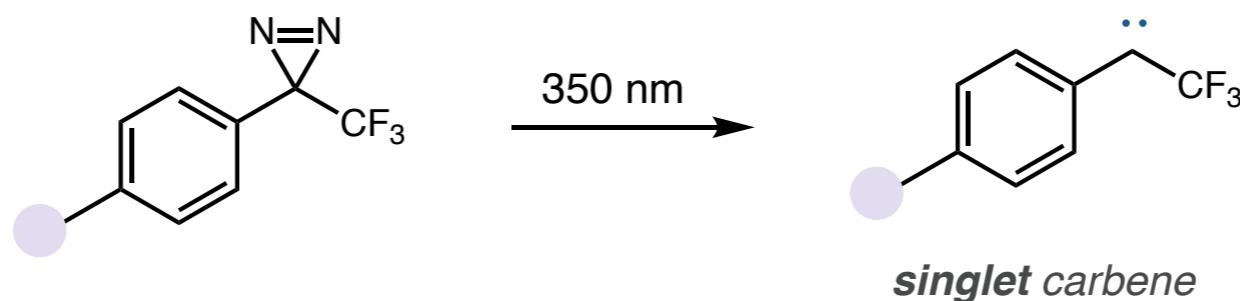
- alkyl diazo compounds may have  $\beta$ -hydride elimination
- $\alpha$ -keto diazo compounds may lead to Wolff rearrangement
- triplet carbenes are poor labels (dimerization, few radicals in proteins)

# Carbenes: multiplicity and reactivity

## Aryl diazirine in photoaffinity labeling



### Trifluoromethyl phenyl diazirines



### Photoactive saccharin

artificial sweetener to investigate  
gustatory (taste) receptors

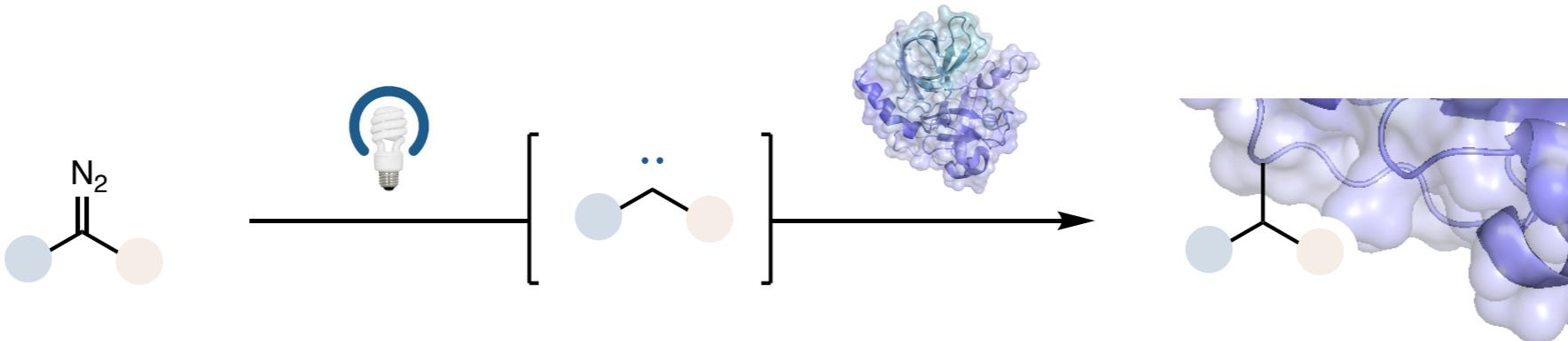
Brunner, J.; Senn, H.; Richards, F.M. *J. Biol. Chem.* **1980**, *255*, 3313–8.

Wang, L. et al. *Eur. J. Org. Chem.* **2015**, *14*, 3129–34.

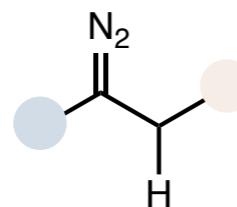
Holland, J.P; Gut, M.; Klinger, S.; Fay, R.; Guillou, A. *Chem. Eur. J.* **2019**, *25*, early view.

# Carbenes: multiplicity and reactivity

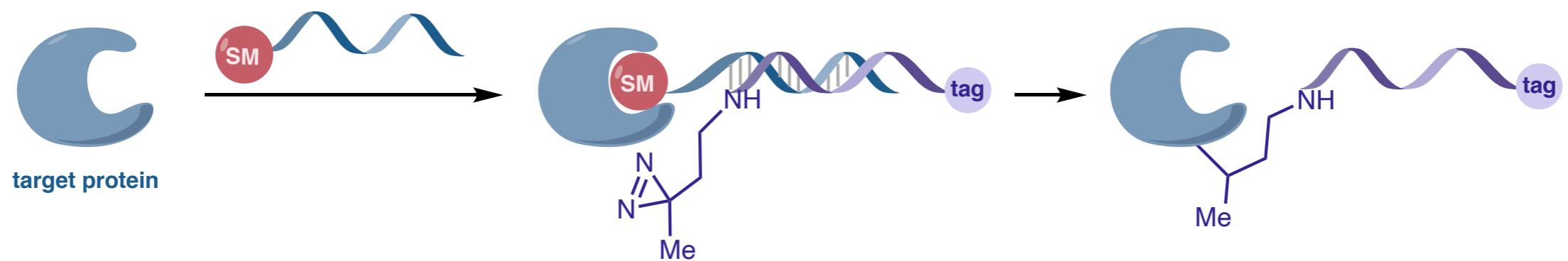
## Aliphatic diazirine in photoaffinity labeling



### Challenges with aliphatic diazirines



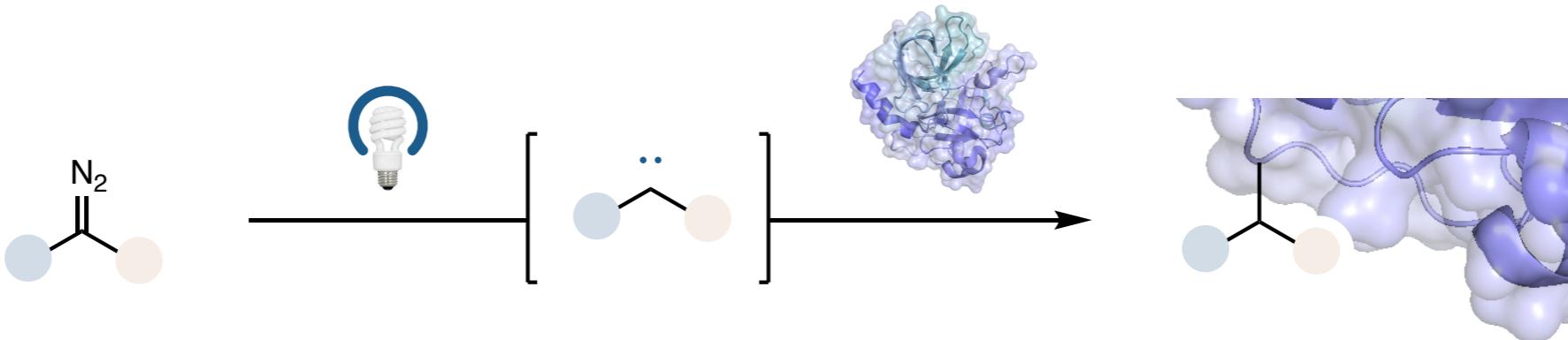
- $\beta$ -hydride elimination
- intramolecular C–H insertion
- too long-lived (diffusion)



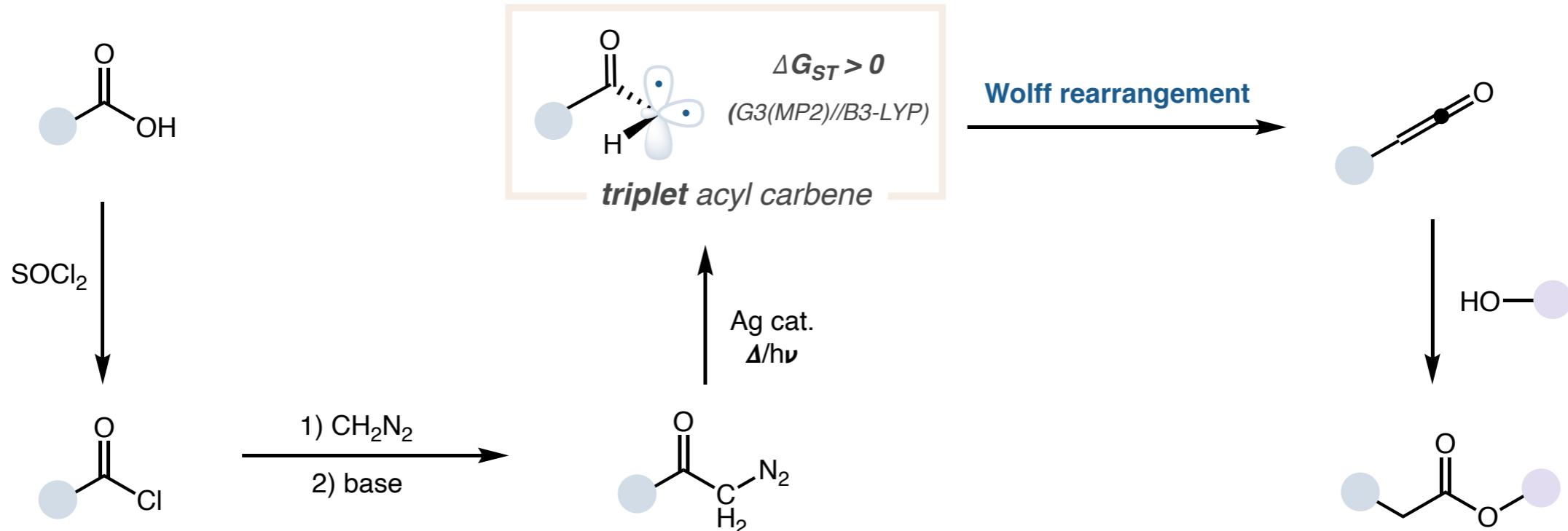
*reduces non-specific crosslinking by taking advantage of specific base pairing*

# Carbenes: multiplicity and reactivity

Acyl diazirines are poor affinity labels



## Arndt-Eistert procedure

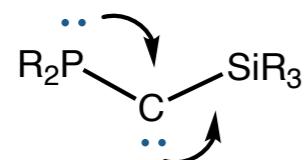


- triplet acyl carbene challenging to react with target in biological environment
- competitive Wolff rearrangement

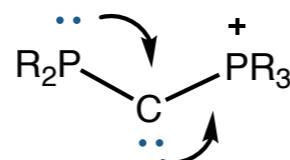
# Carbenes: multiplicity and reactivity

## Other stable singlet carbenes

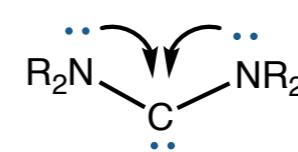
**either phosphino or amino groups can serve as a sufficient  $\pi$ -donor to stabilize singlet carbenes**



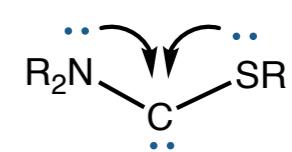
phosphino-silyl



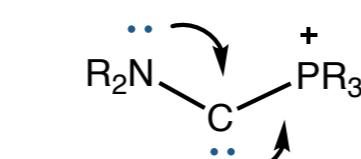
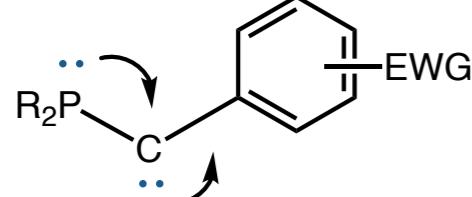
phosphino-phosphino



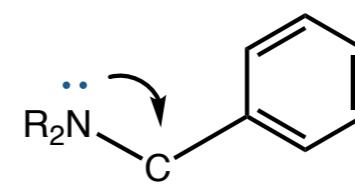
diamino



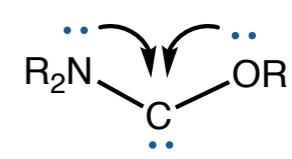
amino-thio



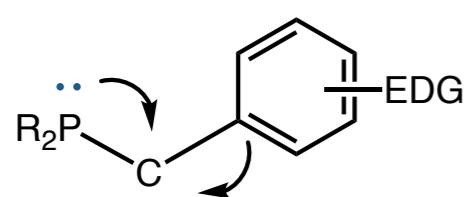
phosphino-phosphino



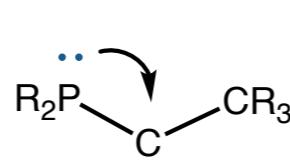
amino-aryl



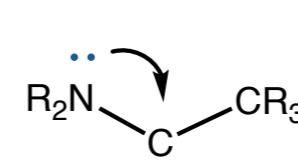
amino-oxy



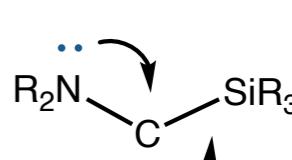
phosphino-aryl



phosphino-alkyl



amino-alkyl

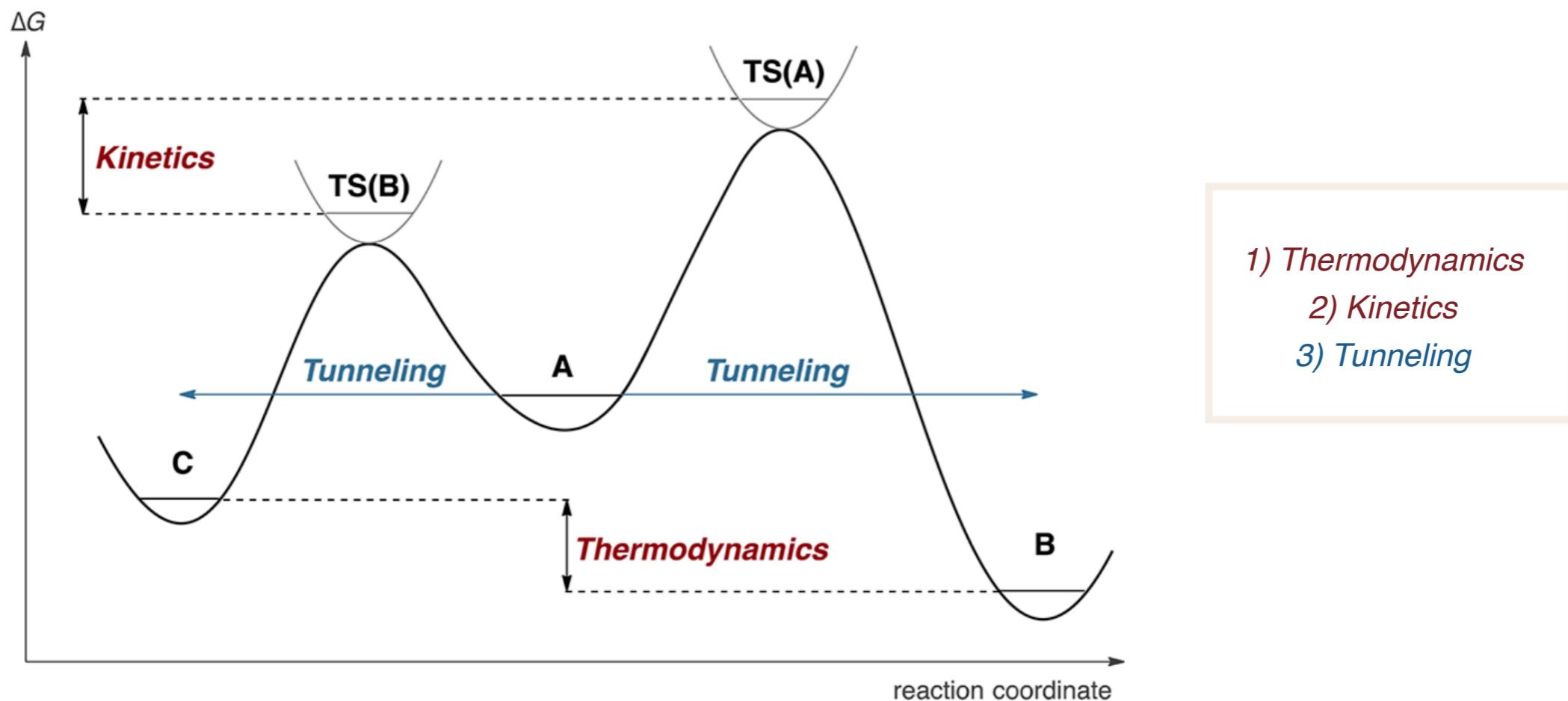


amino-silyl

# Carbenes: multiplicity and reactivity

## Quantum mechanical tunneling (QMT)

Wave nature of particles allow it to travel through reaction barriers

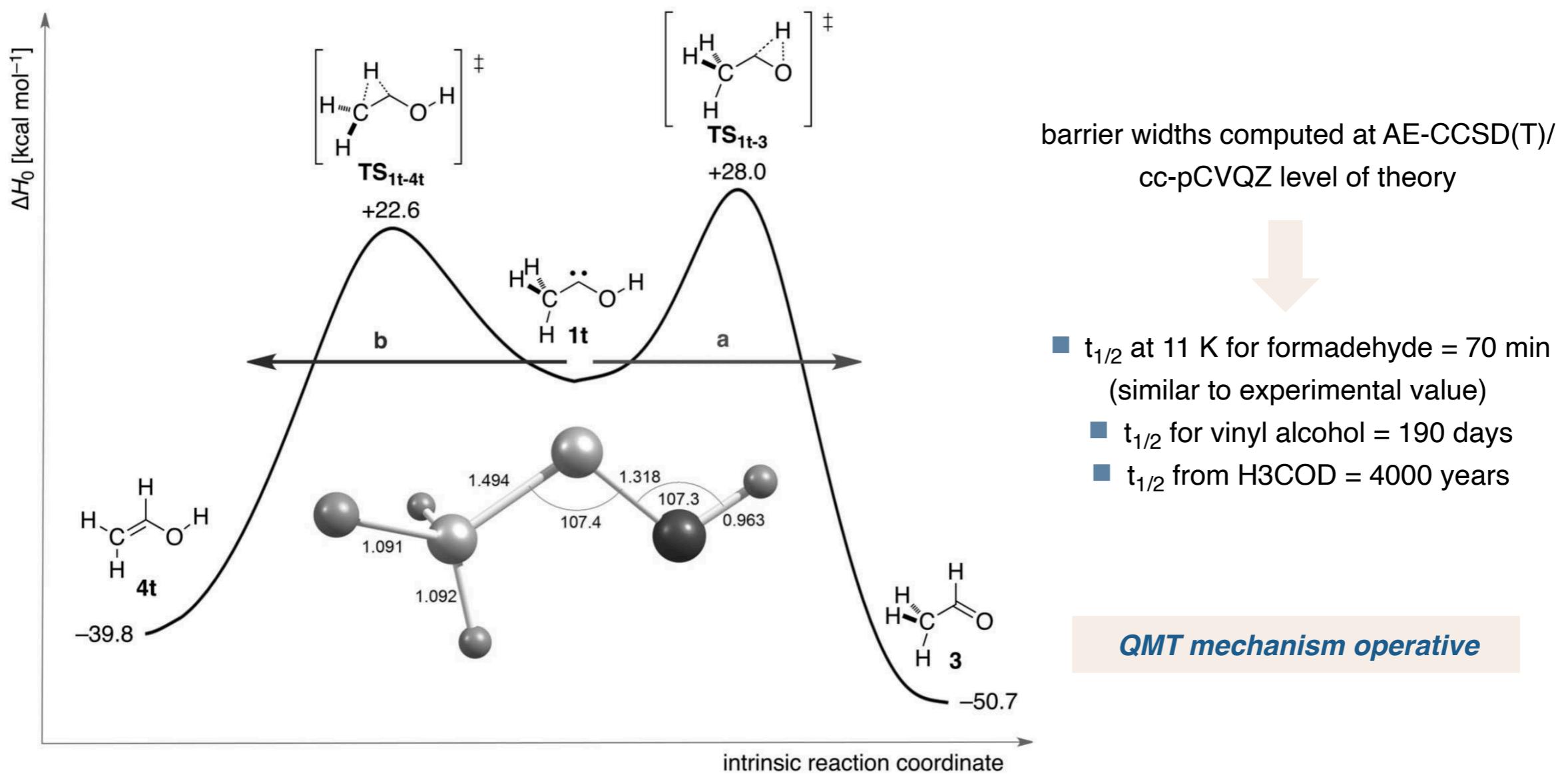


Relevant when de Broglie wavelength of the moving particle is comparable to width of reaction barrier

# Carbenes: multiplicity and reactivity

## Quantum mechanical tunneling (QMT)

Carbenes can undergo H-shifts and C–H insertions under *cryogenic temperatures* due to QMT



# *Carbenes: multiplicity and reactivity*

## *Conclusion*

