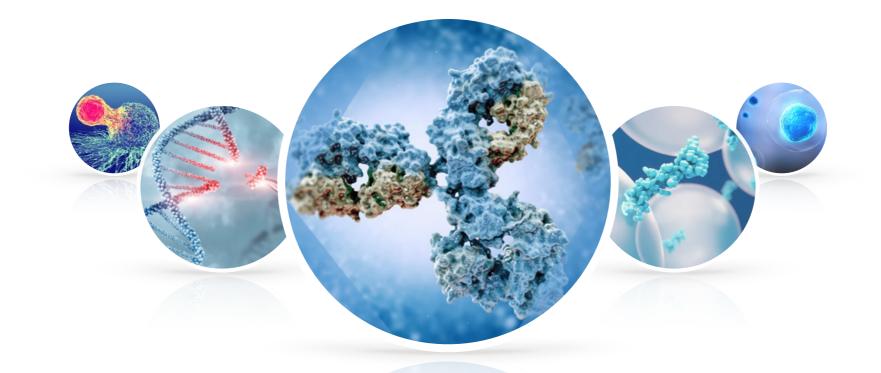
Clinical Trials

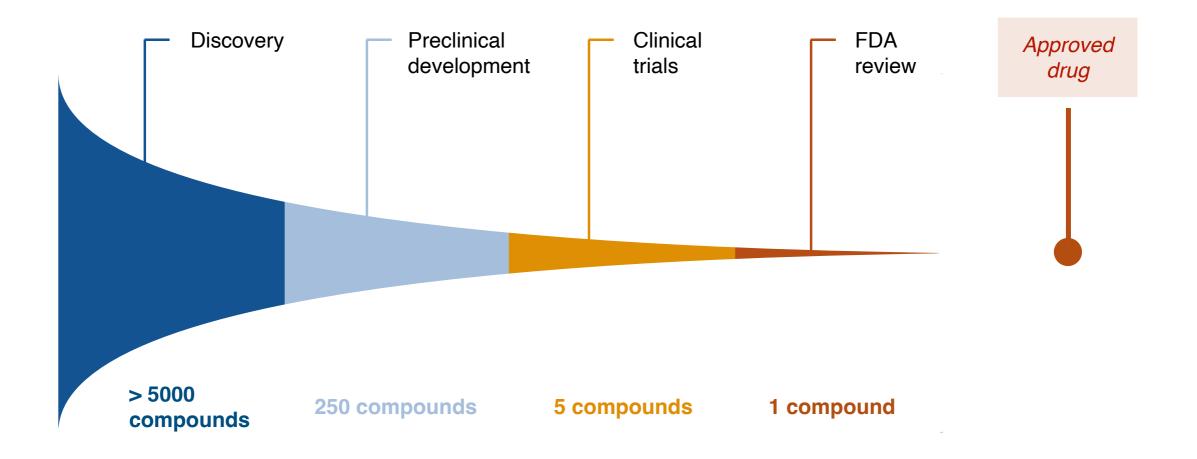
From fundamentals to advances in biologics



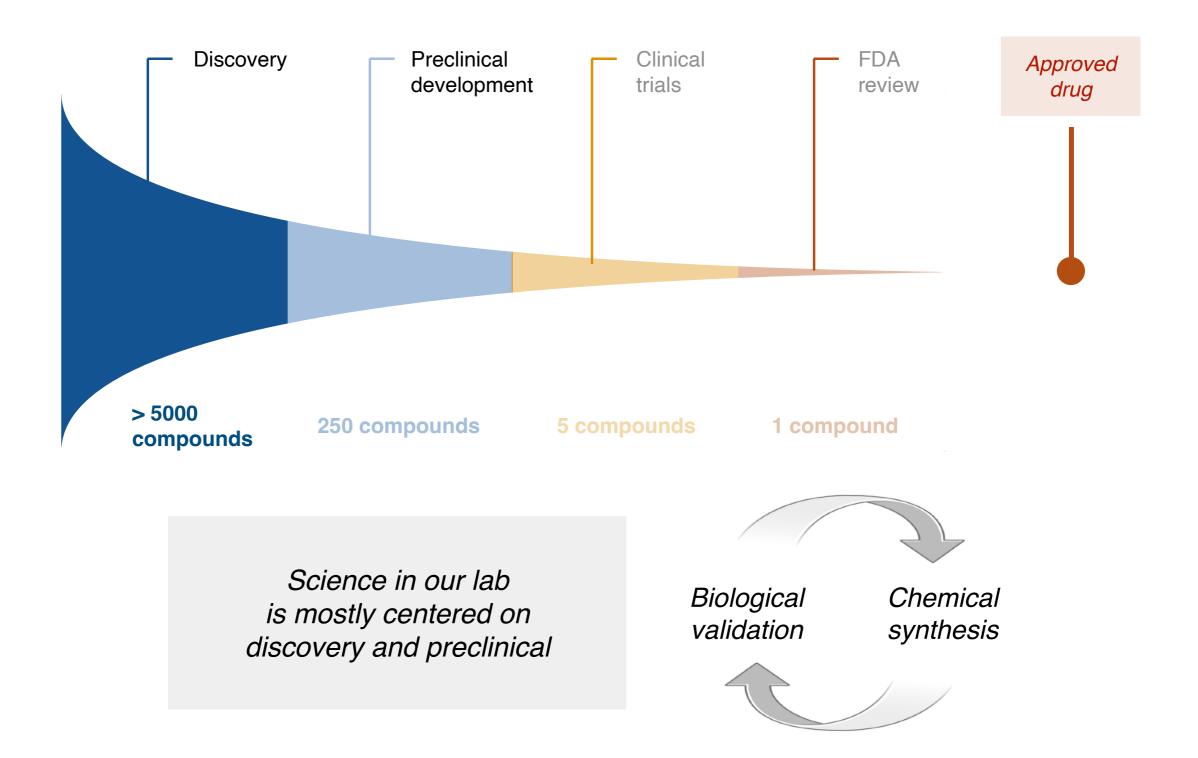
Roderick (Chenmengxiao) Pan

MacMillan Group Princeton University Apr. 4th, 2025

Drug development is a challenging process



Drug development is a challenging process



Drug development is a challenging process



What are clinical trials?

Why should we care about clinical trials?

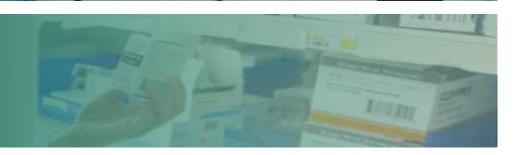
What will the future drug development look like?

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies



Special considerations for biologics

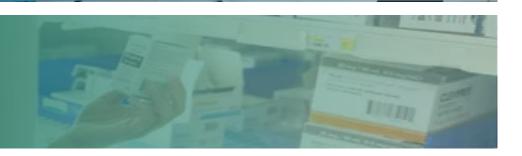
Emerging trends and future directions

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies



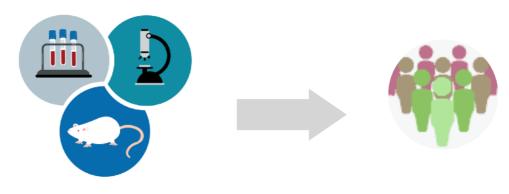
Special considerations for biologics

Emerging trends and future directions

Definition



A research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes.



Preclinical validations in animal models

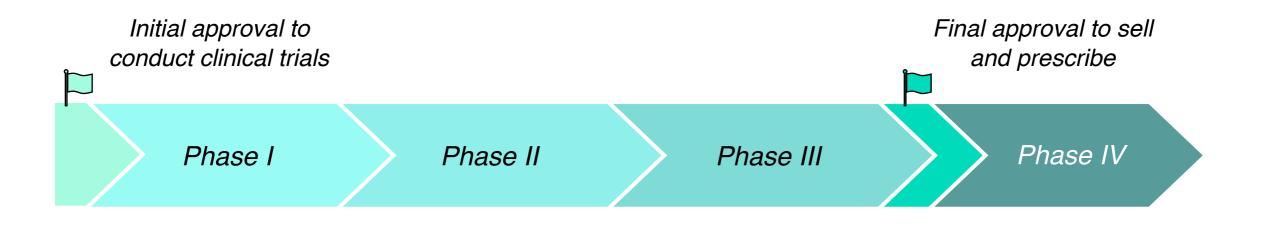
Clinical validations in humans

https://grants.nih.gov/policy-and-compliance/policy-topics/clinical-trials/definition

Trial phases



Trial phases



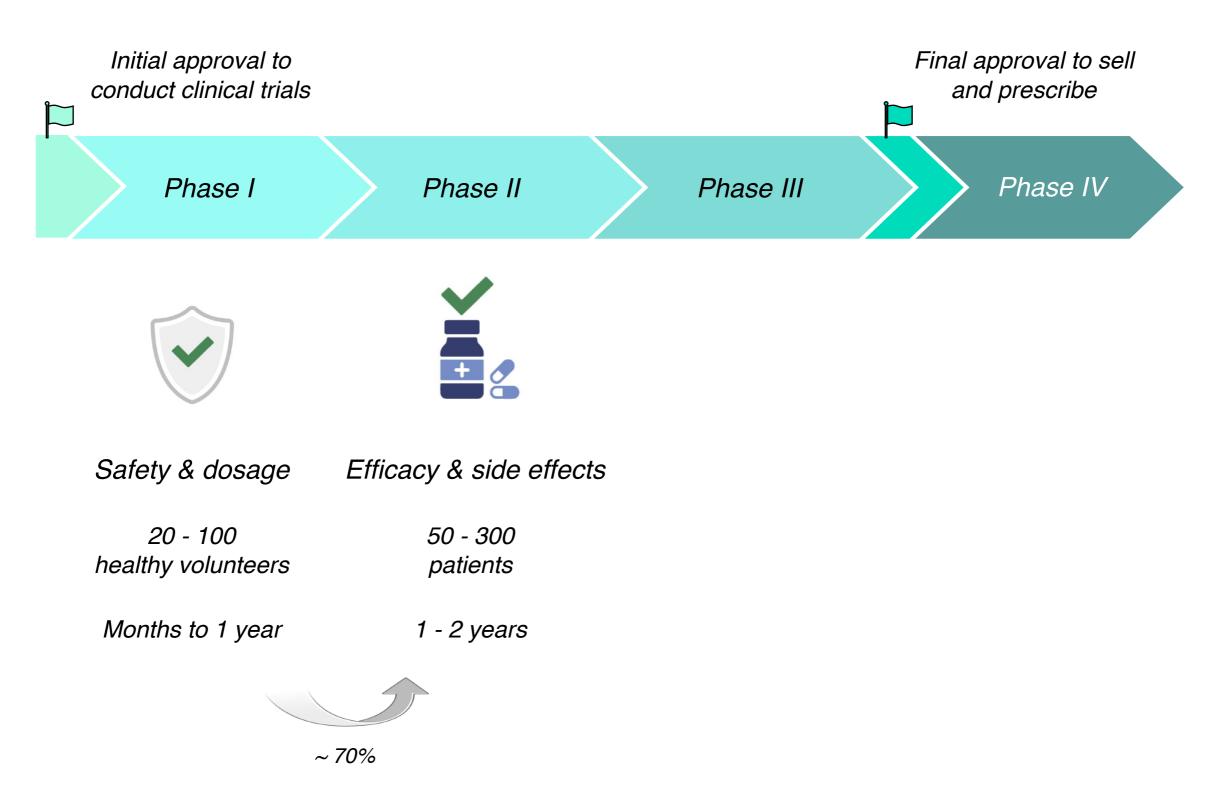


Safety & dosage

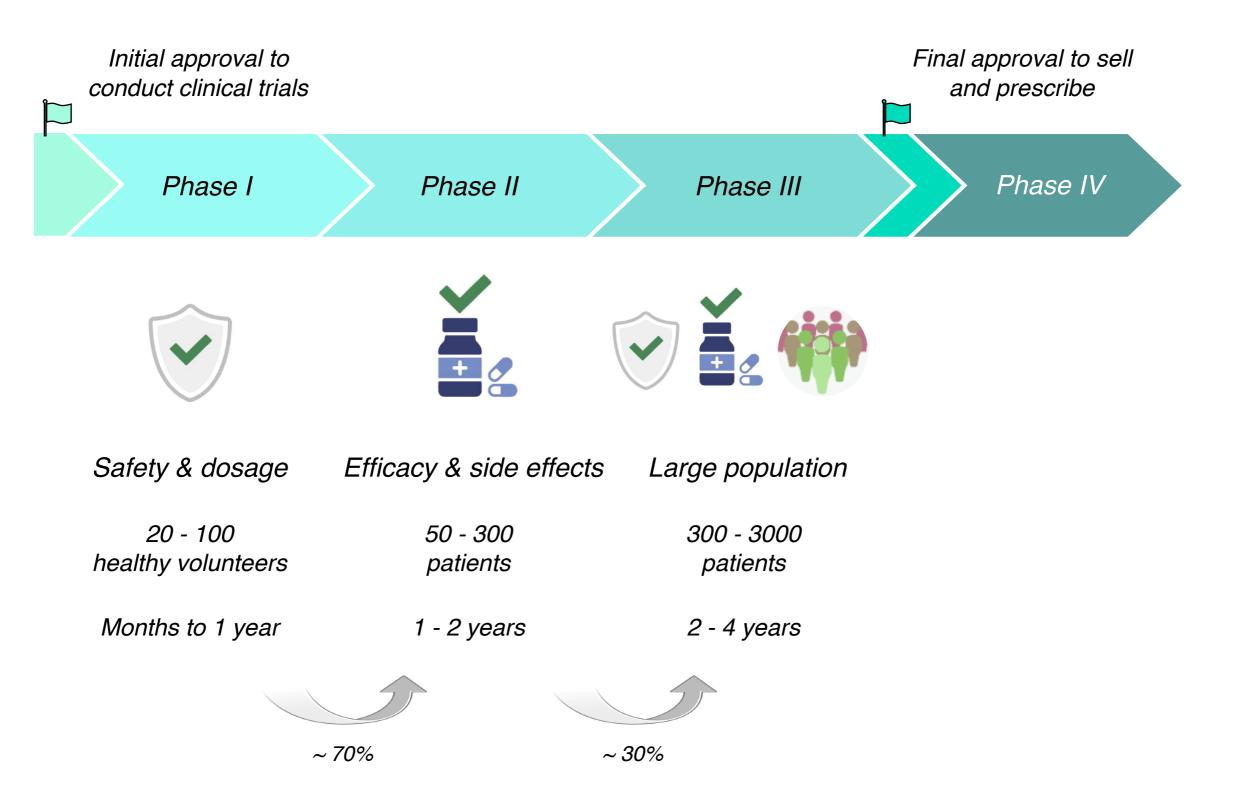
20 - 100 healthy volunteers

Months to 1 year

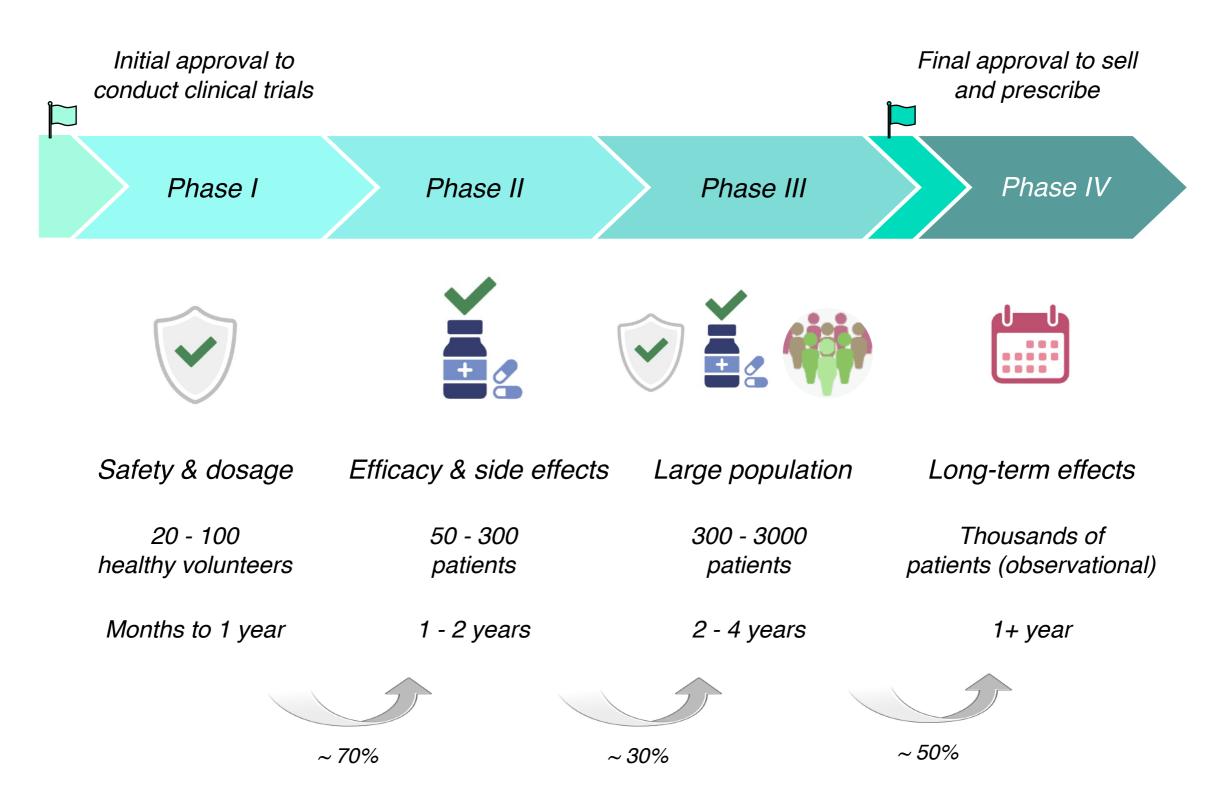
Trial phases



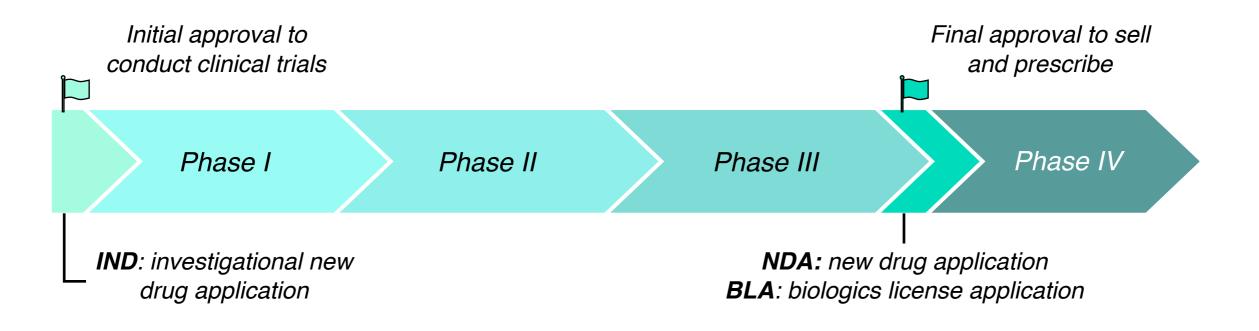
Trial phases



Trial phases

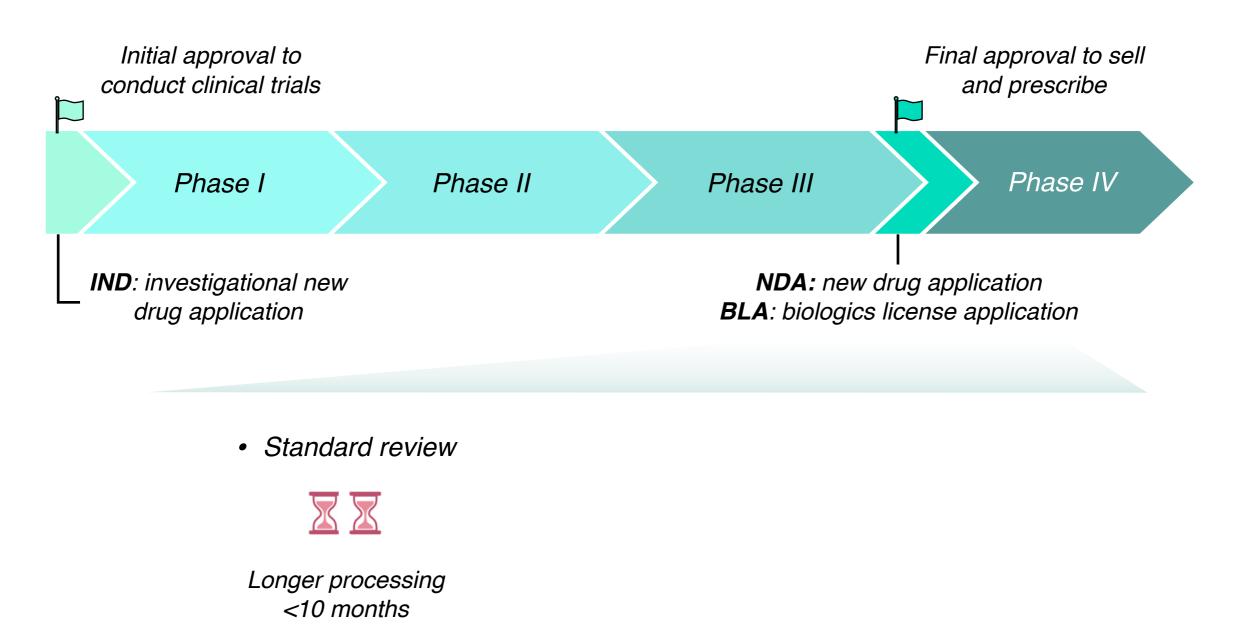


Trial phases



https://www.fda.gov/drugs/novel-drug-approvals-fda/novel-drug-approvals-2024 https://www.fda.gov/patients/drug-development-process/step-4-fda-drug-review

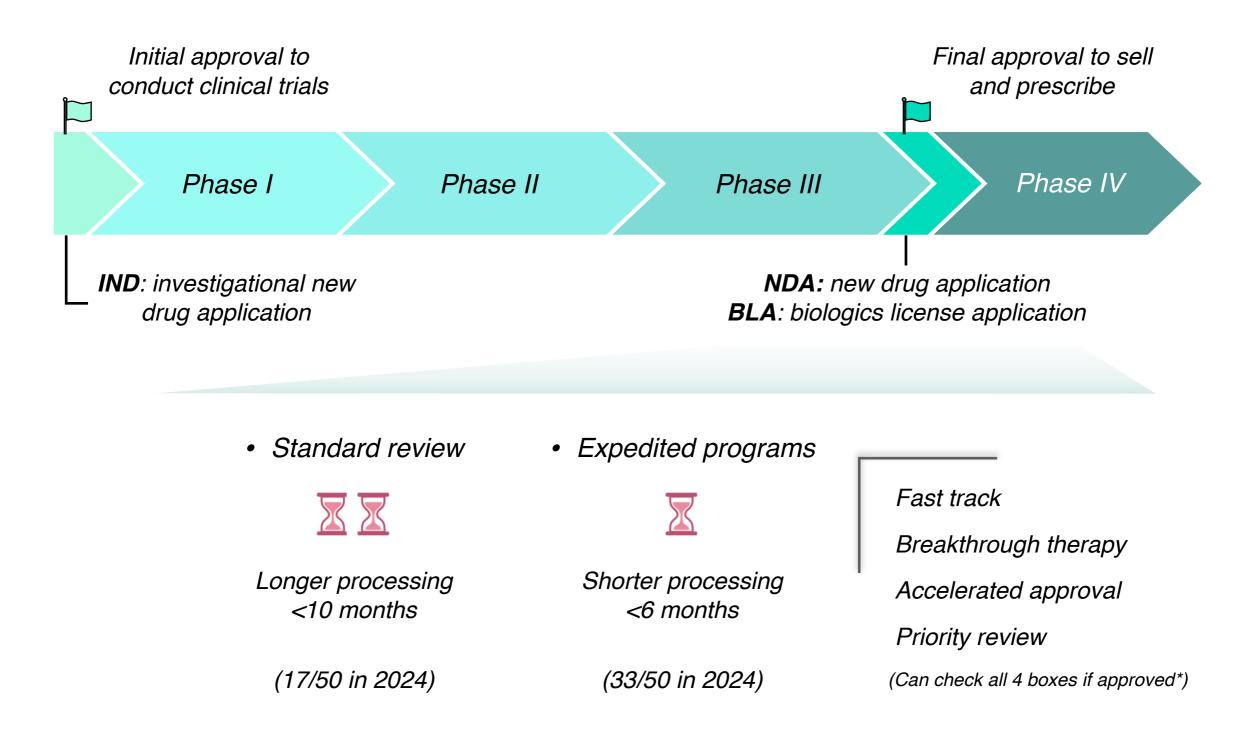
Trial phases



(17/50 in 2024)

https://www.fda.gov/drugs/novel-drug-approvals-fda/novel-drug-approvals-2024 https://www.fda.gov/patients/drug-development-process/step-4-fda-drug-review

Trial phases



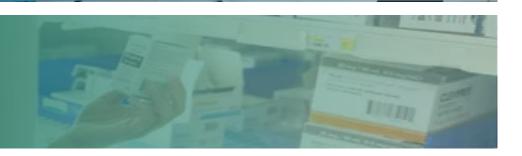
https://www.fda.gov/drugs/novel-drug-approvals-fda/novel-drug-approvals-2024 https://www.fda.gov/patients/drug-development-process/step-4-fda-drug-review

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies



Special considerations for biologics

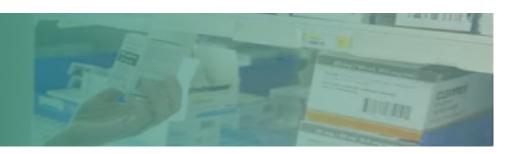
Emerging trends and future directions

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

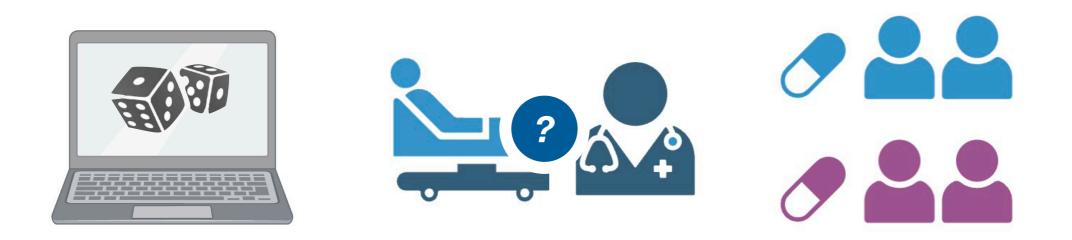
Real-world case studies



Special considerations for biologics

Emerging trends and future directions

The gold standard



Randomized, double-blinded, controlled

trials are widely recognized as gold-standard trials

Often seen in Phase 2 and Phase 3 trials Pre-specified and reviewed before performing the trials

Hariton E, Locascio JJ. BJOG. 2018;125(13).

Randomization

To ensure benefits and risks are equally shared, and avoid selection bias



Simple randomization

It creates imbalances in group numbers



Diminished credibility



Randomization

To ensure benefits and risks are equally shared, and avoid selection bias



Simple randomization

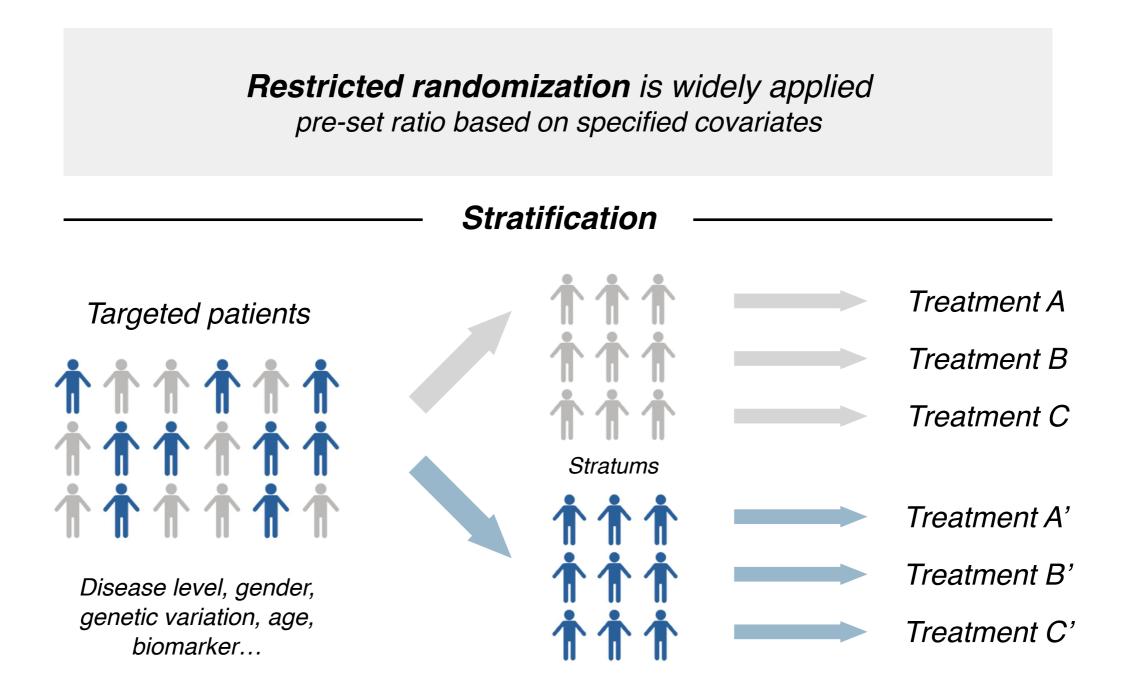
It creates imbalances in group numbers



Diminished credibility



Randomization





Randomization

Restricted randomization is widely applied

pre-set ratio based on specified covariates

Stratification

Pool of candidates

Treatment A

Patient recruitment is a dynamic process Treatment B

A dynamic allocation method is required to maintain effective randomization and ratio throughout the trial

Disease level, gender, genetic variation, age, biomarker...

Stratums

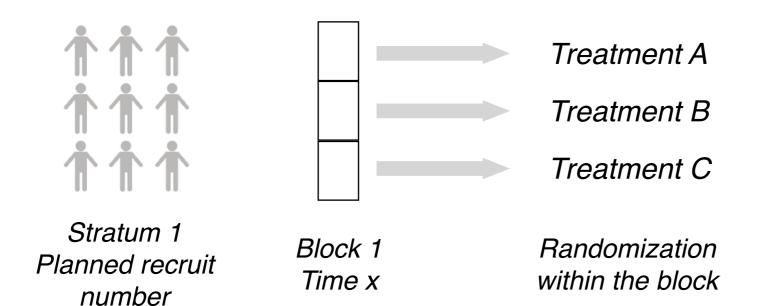


Randomization

Restricted randomization is widely applied

pre-set ratio based on specified covariates

Stratification + Blocking

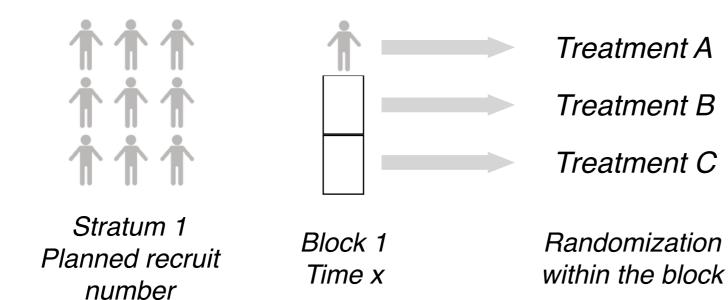




Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking

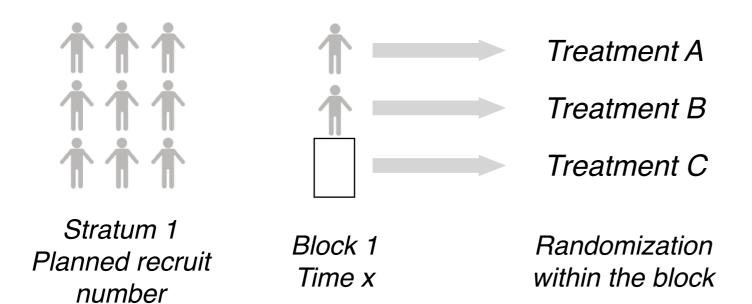




Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking

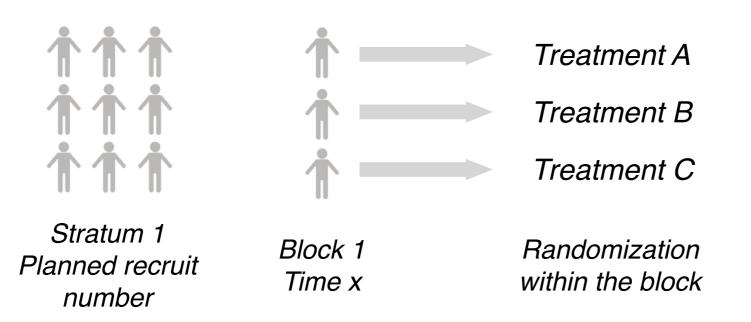




Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking

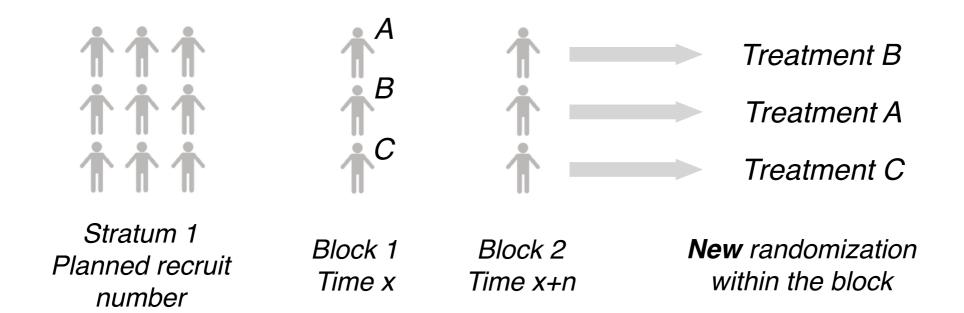




Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking

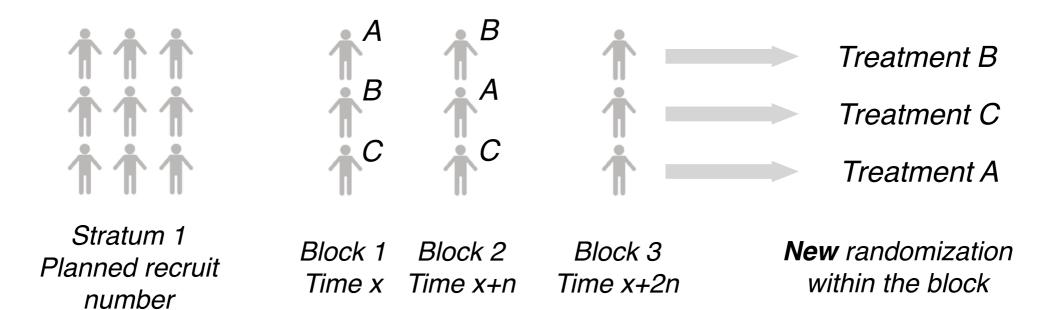




Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking





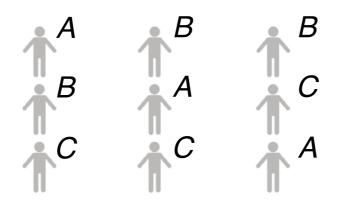
Randomization

Restricted randomization is widely applied pre-set ratio based on specified covariates

Stratification + Blocking



Stratum 1 Planned recruit number



Block 1 Block 2 Block 3 Time x Time x+n Time x+2n

Maintains allocation ratio throughout the trial

Flexibility in recruitment



Blinding / Masking

Blinding promotes objectivity psychological factors can greatly impact results

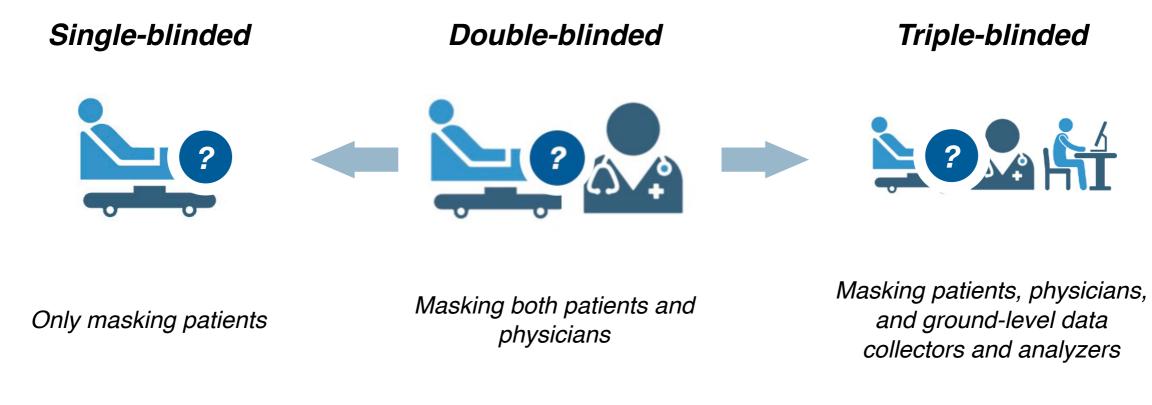


Instead, there's **a detailed protocol** to ensure proper masking and later unmasking



Blinding / Masking

Blinding promotes objectivity psychological factors can greatly impact results



Is it possible? Is it ethical?



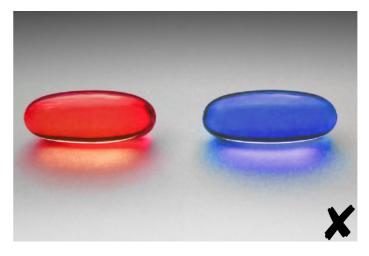
Blinding / Masking

Blinding promotes objectivity psychological factors can greatly impact results

Is it possible? Is it ethical?



Coded, identical-looking kits



A red capsule and a blue capsule



Blinding / Masking

Blinding promotes objectivity psychological factors can greatly impact results

Is it possible? Is it ethical?



Coded, identical-looking kits

- Pills, injections...
- Behavioral intervention...
- Surgery? Sham surgery...
- Treating placebo to dying patients...



Controlled comparison

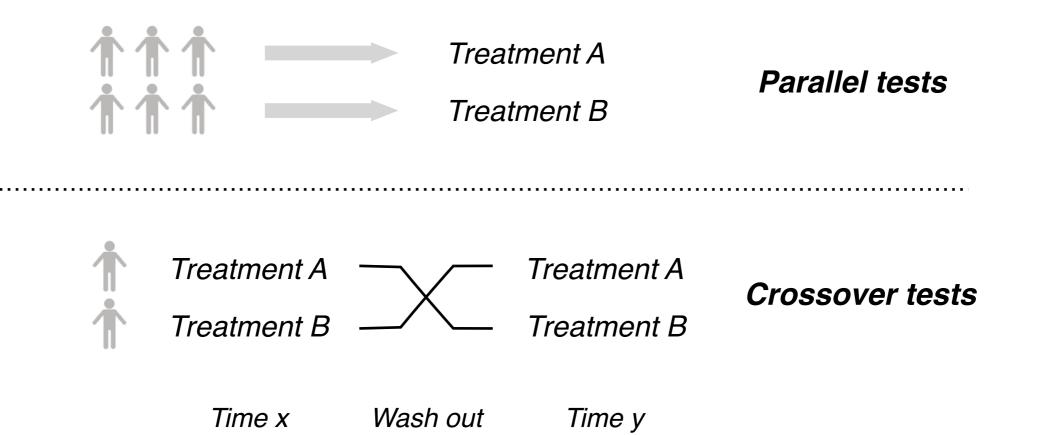


Treatment A: the new treatment Treatment B: standard of care Superiority, equivalency or non-inferiority



https://trials.lilly.com/en-US/blog/clinical-trial-design-parallel-crossover-studies

Controlled comparison



Each patient serve as his/her own control Chronic conditions, fast-responsive treatment (20%)



https://trials.lilly.com/en-US/blog/clinical-trial-design-parallel-crossover-studies

Clinical trial protocol



Well-designed protocol of patient recruitment and treatment



What treatment outcomes should be measured?

Hariton E, Locascio JJ. BJOG. 2018;125(13).

Design and conduct of clinical trials

Outcomes / Endpoints

• Primary endpoints

Addressing primary hypothesis, mostly important



- Secondary / surrogate endpoints
 Other potential treatment effects
 Mechanism, safety
- Other outcomes

Compliance Exploratory

Yu R, Coleman DA. Contemp Clin Trials Commun. 2015;1:22-27.

Design and conduct of clinical trials Outcomes / Endpoints

Semaglutide Phase 3 trials on <u>Type II Diabetes</u>: SUSTAIN 3



Primary: Change in HbA1c (Glycosylated Hemoglobin, correlated to average blood sugar level in past 2-3 months)

Secondary: Change in

- 1) Body weight
- 2) Fasting plasma glucose
- 3) Blood pressure
- 4) Satisfaction questionnaire status
- 5) Patients number achieving HbA1c Equal to or Below 6.5%

Design and conduct of clinical trials Outcomes / Endpoints

Semaglutide Phase 3 trials also gathered evidence for Alzheimer's disease



Other outcomes included measurement of

- reduced inflammatory markers
- *dementia-related phenotypes*
- cognitive decline...



Huge repurposing campaign: EVOKE and EVOKE 3+

Leverage safety and dosing results from previous trials I & II

Mosenzon O, Capehorn MS, De Remigis A, et al. Cardiovasc Diabetol. **2022**;21(1):172. Cummings JL, Atri A, Feldman HH, et al. Alzheimers Res Ther. **2025**;17(1):14. Design and conduct of clinical trials

Clinical trial protocol



Well-designed protocol of patient recruitment and treatment

Carefully-selected outcomes and measurements

Good clinical trial designs are vital for trial success

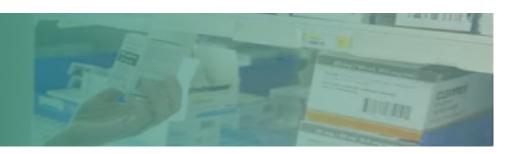
Hariton E, Locascio JJ. BJOG. 2018;125(13).

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies



Special considerations for biologics

Emerging trends and future directions

Outlines

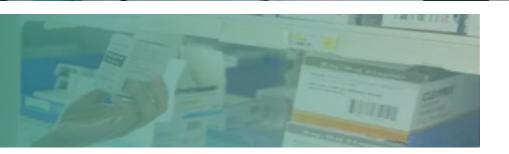
Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies

Special considerations for biologics

Emerging trends and future directions





European Society of Medical Oncology conference, Oct. 2016

Reveal of Phase 3 data from two head-to-head competing products





Keytruda (pembrolizumab)



Opdivo (nivolumab)



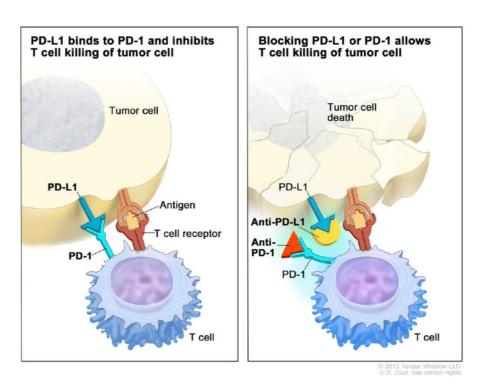
• Early dominance of the market

Anti-PD1 antibody therapy



Keytruda (pembrolizumab)







Opdivo (nivolumab)

Histol Myers Squibb

https://www.cancer.gov/about-cancer/treatment/types/immunotherapy/checkpoint-inhibitors

Non-small cell lung cancer (NSCLC)



Keytruda (pembrolizumab)



85% of all lung cancers

1.28 million new NSCLC cases from 2010 to 2017 in US



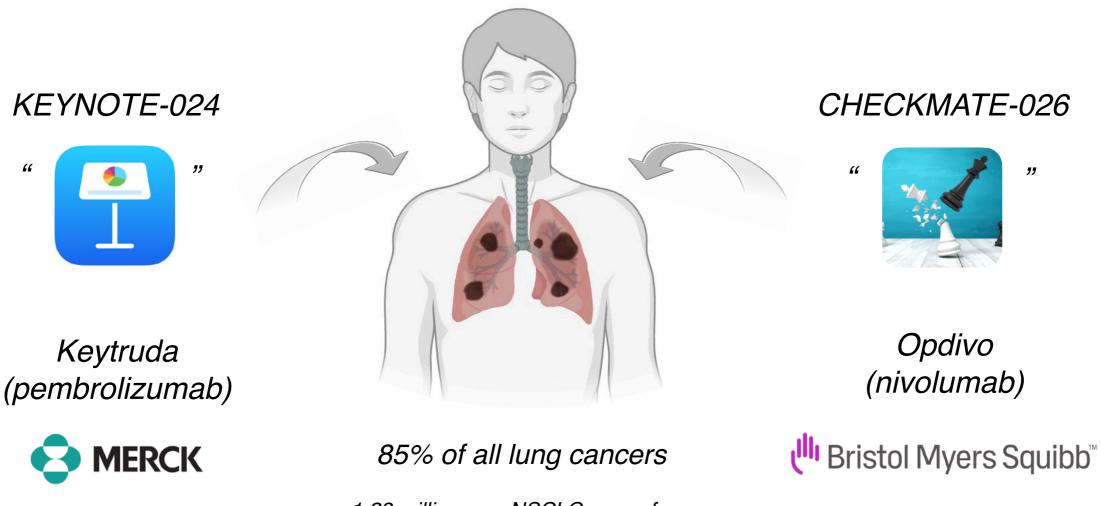
Opdivo (nivolumab)

(^{III}) Bristol Myers Squibb[™]

Both trials compares with chemotherapy as first-line treatment

https://my.clevelandclinic.org/health/diseases/6203-non-small-cell-lung-cancer

Non-small cell lung cancer (NSCLC)



1.28 million new NSCLC cases from 2010 to 2017 in US

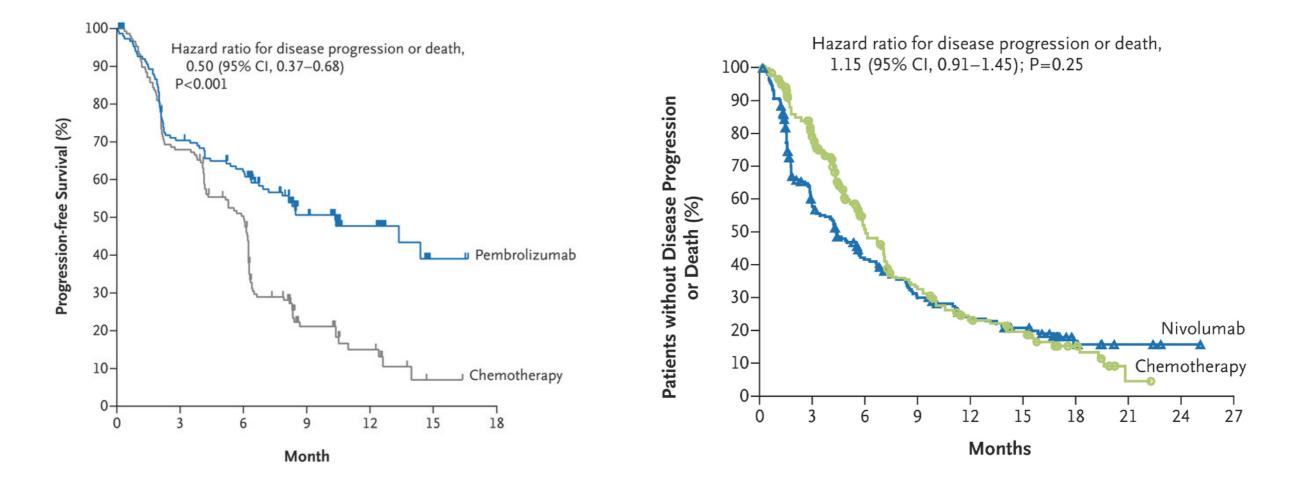
Keytruda reached all primary endpoints while Opdivo failed

https://my.clevelandclinic.org/health/diseases/6203-non-small-cell-lung-cancer

First-line monotherapy against NSCLC

KEYNOTE-024

CHECKMATE-026

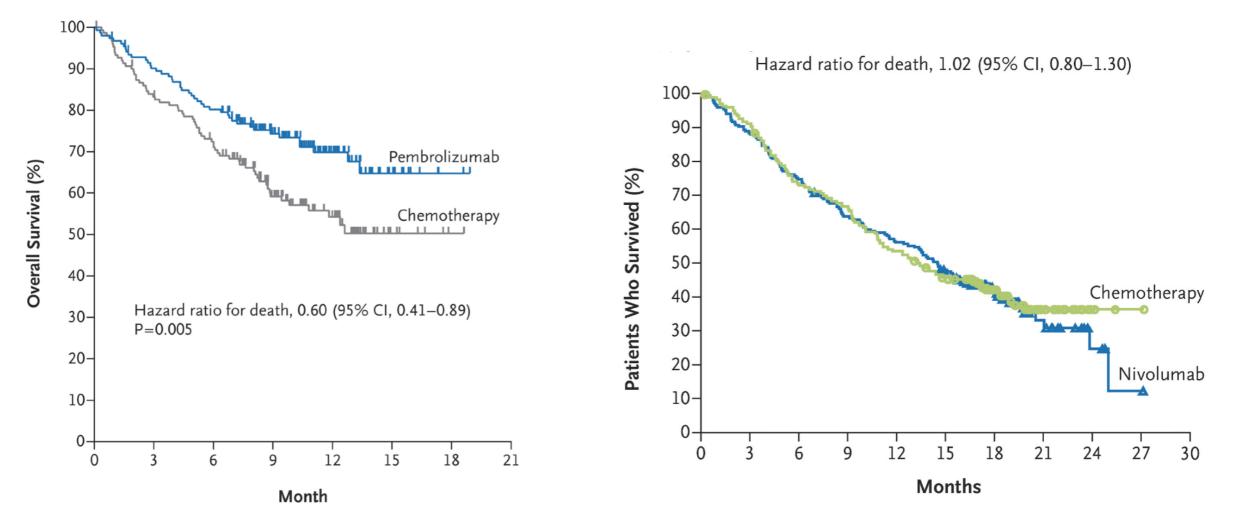


Keytruda increased patients progression-free survival (PFS)

First-line monotherapy against NSCLC

KEYNOTE-024

CHECKMATE-026



Keytruda increased patients overall survival (OS)

First-line monotherapy against NSCLC

KEYNOTE-024

"In patients with advanced NSCLC and PD-L1 expression on at least 50% of tumor cells, pembrolizumab was associated with <u>significantly longer</u> progression-free and overall survival and with fewer adverse events than was platinum-based chemotherapy."

CHECKMATE-026

Nivolumab <u>was not associated with</u> <u>significantly longer progression-free</u> <u>survival than chemotherapy among</u> <u>patients</u> with previously untreated stage IV or recurrent NSCLC with a PD-L1 expression level of 5% or more. <u>Overall</u> <u>survival was similar between groups.</u> Nivolumab had a favorable safety profile, as compared with chemotherapy, with no new or unexpected safety signals.

First-line monotherapy against NSCLC

KEYNOTE-024

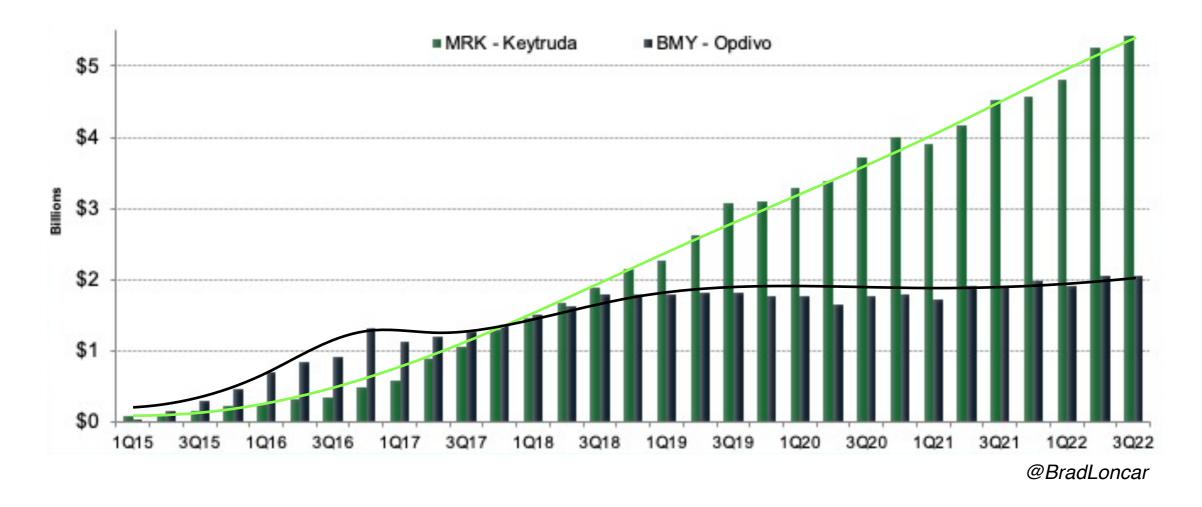
"In patients with advanced NSCLC and **PD-L1 expression on at least 50% of tumor cells**, pembrolizumab was associated with <u>significantly longer</u> progression-free and overall survival and with fewer adverse events than was platinum-based chemotherapy."

CHECKMATE-026

Nivolumab <u>was not associated with</u> <u>significantly longer progression-free</u> <u>survival than chemotherapy among</u> <u>patients</u> with previously untreated stage IV or recurrent NSCLC with a PD-L1 expression level of 5% or more. Overall <u>survival was similar between groups.</u> Nivolumab had a favorable safety profile, as compared with chemotherapy, with no new or unexpected safety signals.

A difference in patient recruitment threshold profoundly impacted results And more profoundly on product sales...

Trend in sales



Keytruda's sales quickly surpass opdivo in 2018, then top in 2023

Keytruda's sales in NSCLC significantly contributed to its global sales ~ \$10 Billion global sales in 2022 (50%)

First-line monotherapy against NSCLC

KEYNOTE-024

" In patients with advanced NSCLC and **PD-L1 expression on at least 50% of tumor cells,** pembrolizumab was associated with <u>significantly longer</u> progression-free and overall survival and with fewer adverse events than was platinum-based chemotherapy."

CHECKMATE-026

Nivolumab <u>was not associated with</u> <u>significantly longer progression-free</u> <u>survival than chemotherapy among</u> <u>patients</u> with previously untreated stage IV or recurrent NSCLC with a PD-L1 expression level of 5% or more. Overall <u>survival was similar between groups.</u> Nivolumab had a favorable safety profile, as compared with chemotherapy, with no new or unexpected safety signals.

What has contributed to this difference in trial strategies?

First-line monotherapy against NSCLC

KEYNOTE-024

" In patients with advanced NSCLC and **PD-L1 expression on at least 50% of tumor cells,** pembrolizumab was associated with <u>significantly longer</u> progression-free and overall survival and with fewer adverse events than was platinum-based chemotherapy."

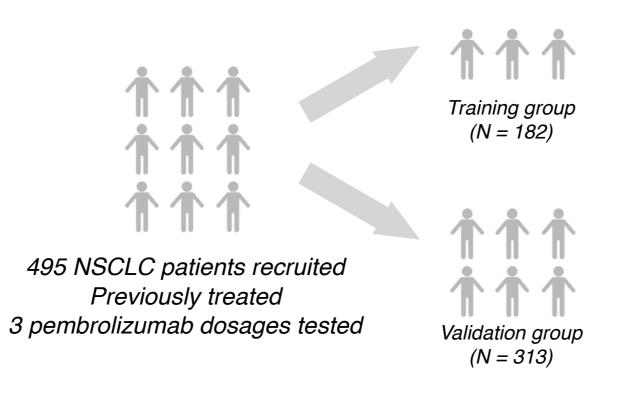
CHECKMATE-026

Nivolumab <u>was not associated with</u> significantly longer progression-free survival than chemotherapy among <u>patients</u> with previously untreated stage IV or recurrent NSCLC with a PD-L1 expression level of 5% or more. Overall survival was similar between groups. Nivolumab had a favorable safety profile, as compared with chemotherapy, with no new or unexpected safety signals.

What has contributed to this difference in trial strategies?

Keytruda's concern on PD-L1 level

KEYNOTE-001: a large international Phase 1 trial on NSCLC

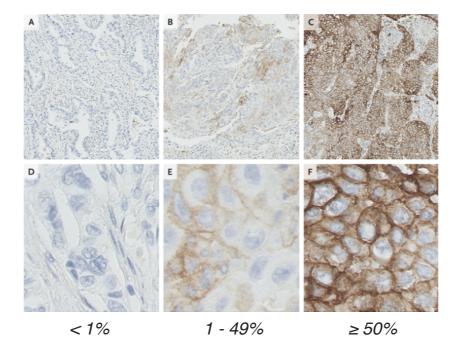


"...We also sought to define and validate a tumor PD-L1 expression level associated with an enhanced likelihood of benefit from pembrolizumab."

Keytruda's concern on PD-L1 level

KEYNOTE-001: a large international Phase 1 trial on NSCLC

Individual tumor PD-L1 expression tested Biopsy immunohistochemistry (IHC)





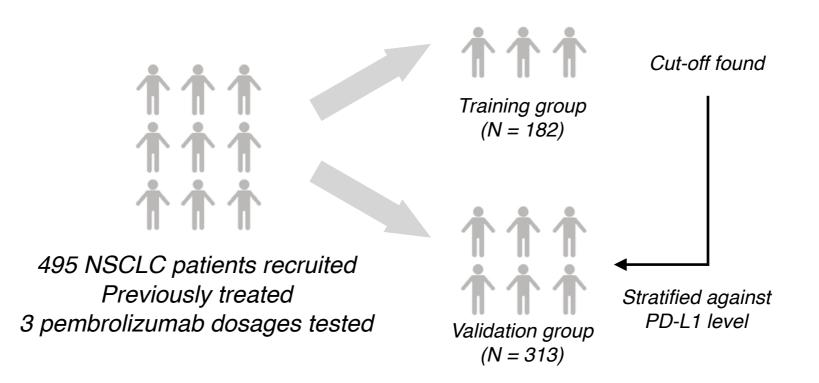
Training group (N = 182)

Initial test found the cutoff: **PD-L1 expression in at least 50%** of the tumor cells

Better response rate, PFS and OS

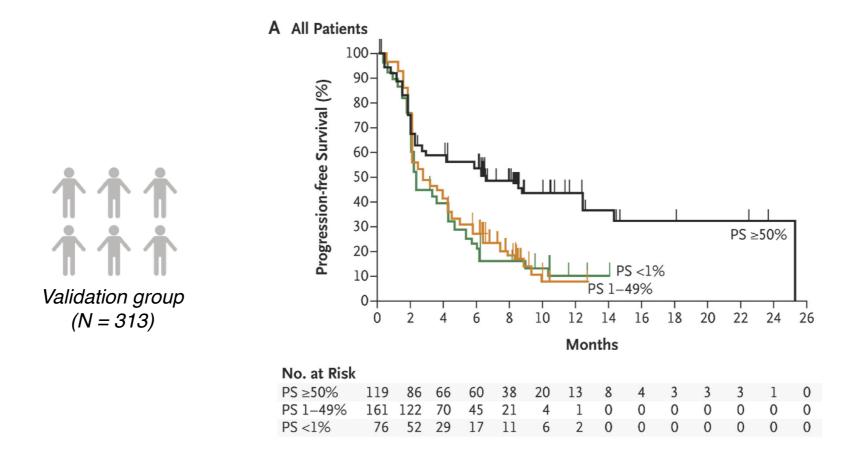
Keytruda vs. Opdivo trials Keytruda's concern on PD-L1 level

KEYNOTE-001: a large international Phase 1 trial on NSCLC



Keytruda's concern on PD-L1 level

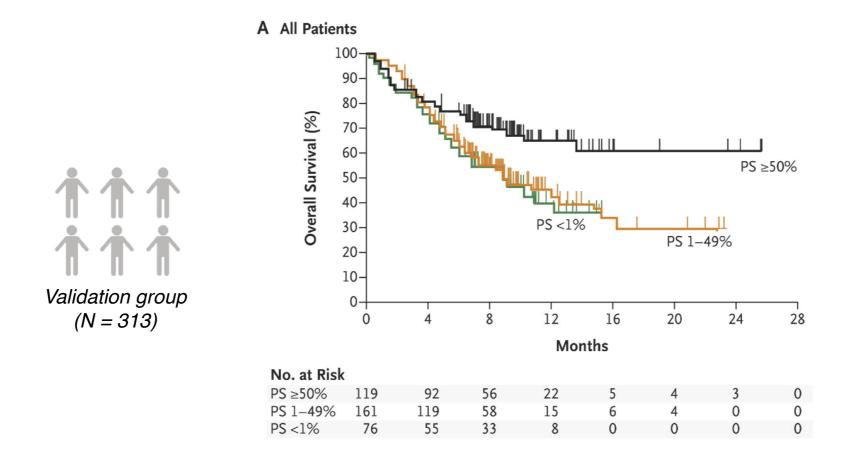
KEYNOTE-001: a large international Phase 1 trial on NSCLC



Better response rate, PFS and OS validated in patient group with ≥ 50% PD-L1 tumor expression

Keytruda's concern on PD-L1 level

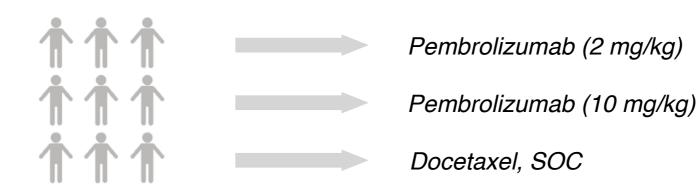
KEYNOTE-001: a large international Phase 1 trial on NSCLC



Better response rate, PFS and OS validated in patient group with ≥ 50% PD-L1 tumor expression

Keytruda's concern on PD-L1 level

KEYNOTE-010: pioneer Phase 2/3 study on NSCLC



1034 NSCLC patients recruited Previously treated ≥1% PD-L1–positive staining



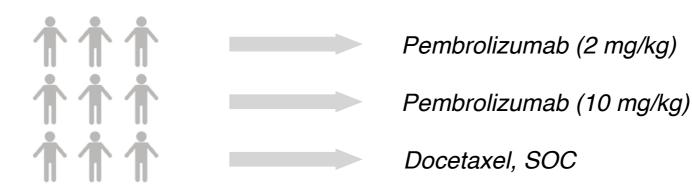
Primary endpoint: better survival for

- 1) All patients treated with Pembrolizumab
- 2) Patients with ≥ 50% PD-L1 expression

https://clinicaltrials.gov/study/NCT01905657 Herbst RS, Baas P, Kim DW, et al. The lancet. **2016**;387(10027), 1540-1550.

Keytruda's concern on PD-L1 level

KEYNOTE-010: pioneer Phase 2/3 study on NSCLC



1034 NSCLC patients recruited Previously treated ≥1% PD-L1–positive staining



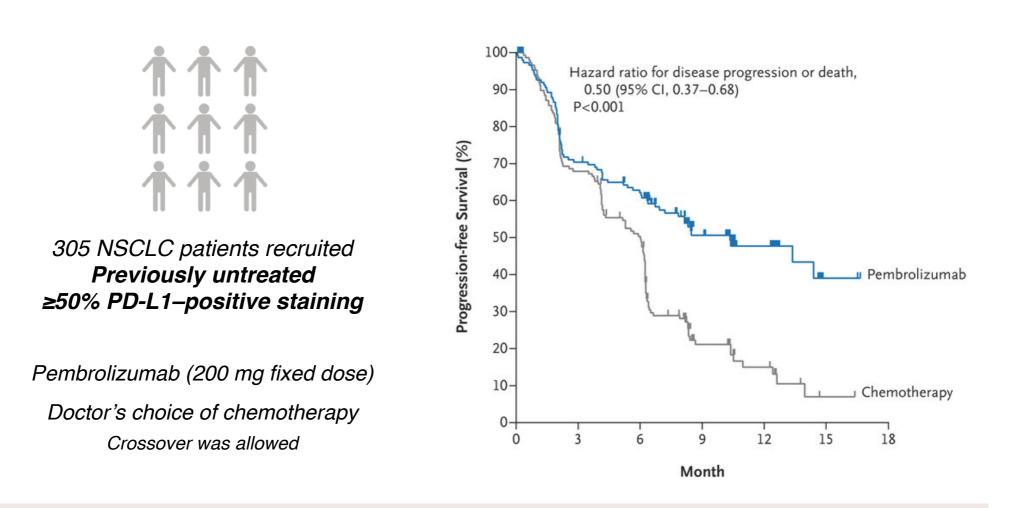
Primary endpoint: better survival for

- 1) All patients treated with Pembrolizumab No sig. benefit for pem. against chemo
- 2) Patients with ≥ 50% PD-L1 expression
 Huge improvement for pem. against chemo

https://clinicaltrials.gov/study/NCT01905657 Herbst RS, Baas P, Kim DW, et al. The lancet. **2016**;387(10027), 1540-1550.

Keytruda's concern on PD-L1 level

KEYNOTE-024: final Phase 3 study on NSCLC



Perbrolizumab/Keytruda outperformed first-line chemotherapy

Strong evidence for FDA approval of Keytruda as a first-line monotherapy

https://clinicaltrials.gov/study/NCT02142738 Reck M, Rodríguez-Abreu D, Robinson AG, et al. N Engl J Med. **2016**;375(19), 1823-1833.

First-line monotherapy against NSCLC

KEYNOTE-024

" In patients with advanced NSCLC and PD-L1 expression on at least 50% of tumor cells, pembrolizumab was associated with <u>significantly longer</u> progression-free and overall survival and with fewer adverse events than was platinum-based chemotherapy."

CHECKMATE-026

Nivolumab <u>was not associated with</u> significantly longer progression-free survival than chemotherapy among patients with previously untreated stage IV or recurrent NSCLC with a PD-L1 expression level of 5% or more. Overall survival was similar between groups. Nivolumab had a favorable safety profile, as compared with chemotherapy, with no new or unexpected safety signals.

What has contributed to this difference in trial strategy?

Opdivo's confidence in the leap

For savvy business reasons, Bristol-Myers opted to target a broad patient population, hoping for the widest approval for Opdivo possible. It was suggested in early 2016 — that despite no data or approval in the firstline setting — physicians were already prescribing Opdivo off label to about 20% of first-line NSCLC patients. Analysts predicted the first-line setting could be a \$12 billion market. Evercore ISI analyst Mark Schoenebaum previously estimated that Opdivo would bring in approximately \$9 billion in revenues by 2019, with more than half of that coming from the NSCLC indication.

"Gambled big"

Is this the whole story?

https://www.biopharmadive.com/news/biomarkers-bristol-myers-opdivo-lost-lung-cancer/435891/

Opdivo's confidence in the leap

For savvy business reasons, Bristol-Myers opted to target a broad patient

population, hoping for the widest approval for Opdivo possible. It was suggested in early 2016 — that despite no data or approval in the first-line setting — physicians were already prescribing Opdivo off label to about 20% of first-line NSCLC patients. Analysts predicted the first-line setting could be a \$12 billion market. Evercore ISI analyst Mark
Schoenebaum previously estimated that Opdivo would bring in approximately \$9 billion in revenues by 2019, with more than half of that coming from the NSCLC indication.

"Gambled big"

Is this the whole story?

https://www.biopharmadive.com/news/biomarkers-bristol-myers-opdivo-lost-lung-cancer/435891/

Approved as second-line treatment in 2015

Opdivo's confidence in the leap



PD-L1 expression level



Treatment responses and effects



No consensus on PD-L1 as a key biomarker

Merck, on the other hand, decided to take the more conservative approach. The New Jersey drugmaker opted on the side of precision medicine and used a pro-biomarker strategy, testing patients before trials and only allowing those patients into clinical trials that expressed certain levels of the PD-L1 biomarker. Investors weren't initially keen on this strategy — it limited the potential first-line indication to just 30% of that market, or \$4 billion. Now, it seems to be paying off.

https://insights.citeline.com/PS056912/Building-A-Better-Biomarker-PD-L1-Expression-Under-Spotlight-At-ASCO/ https://www.biopharmadive.com/news/biomarkers-bristol-myers-opdivo-lost-lung-cancer/435891/

Opdivo's confidence in the leap

CHECKMATE-017: Phase 3 trial on squamous NSCLC, second-line

...overall survival, response rate, and progression-free survival were significantly better with nivolumab than with docetaxel, **regardless of PD-L1 expression level.**

CHECKMATE-057: Phase 3 trial on non-squamous NSCLC, second-line

...overall survival was longer with nivolumab than with docetaxel. (In all PD-L1 level)

Both observed better treatment effects in patients with higher PD-L1 level

CHECKMATE-026: Phase 3 trial on all NSCLC, first-line

Targeting all patients with PD-L1 tumor-expression level of 1% or more

Brahmer J, Reckamp KL, Baas P, et al. N Engl J Med. **2015**;373(2):123-135. Borghaei H, Paz-Ares L, Horn L, et al. N Engl J Med. **2015**;373(17), 1627-1639. https://www.onclive.com/view/checkmate026-underscores-predictive-value-of-high-pdl1-expression

Opdivo's confidence in the leap

CHECKMATE-017: Phase 3 trial on squamous NSCLC, second-line

...overall survival, response rate, and progression-free survival were significantly better with nivolumab than with docetaxel, **regardless of PD-L1 expression level.**

CHECKMATE-057: Phase 3 trial on non-squamous NSCLC, second-line

...overall survival was longer with nivolumab than with docetaxel. (In all PD-L1 level)

Both observed better treatment effects in patients with higher PD-L1 level

CHECKMATE-026: Phase 3 trial on all NSCLC, first-line

Targeting all patients with PD-L1 tumor-expression level of 1% or more

Brahmer J, Reckamp KL, Baas P, et al. N Engl J Med. **2015**;373(2):123-135. Borghaei H, Paz-Ares L, Horn L, et al. N Engl J Med. **2015**;373(17), 1627-1639. https://www.onclive.com/view/checkmate026-underscores-predictive-value-of-high-pdl1-expression

Era of indication expansion



2.5 M patients, No.1 in 2023

1.8 M patients, No.9 in 2023

Opdivo

(nivolumab)

ivolumat

Both are great therapeutics!

https://www.biopharmadive.com/news/cancer-immunotherapy-decade-keytruda-opdivo-pd1-oncology/725774/

Era of indication expansion



Opdivo holds over 20+ indications now

https://www.cancerresearch.org/blog/june-2024/keytruda-receives-40th-fda-approval Kodama K, Djurian A, Lim Y. Drug Discov Today. **2022**;27(12):103390.

Outlines

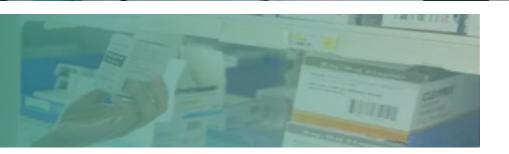
Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies

Special considerations for biologics

Emerging trends and future directions

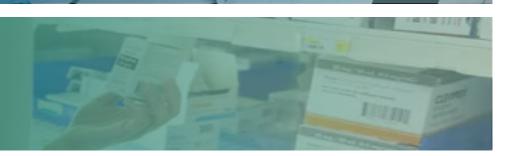


Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies

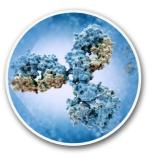


Special considerations for biologics

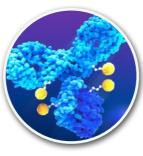


Emerging trends and future directions

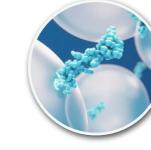
Medications derived from living organisms or containing components of living organisms

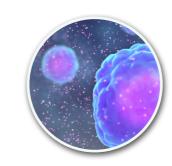


Antibodies (Monoclonal/ bispecific)

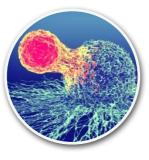


ADC





Peptide > 40 AAs Cytokines, growth factors...



T cell therapy



Stem cell therapy



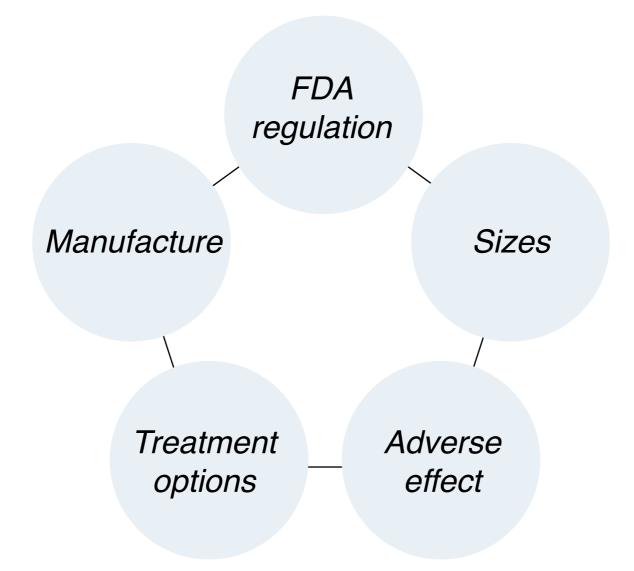
Gene therapy



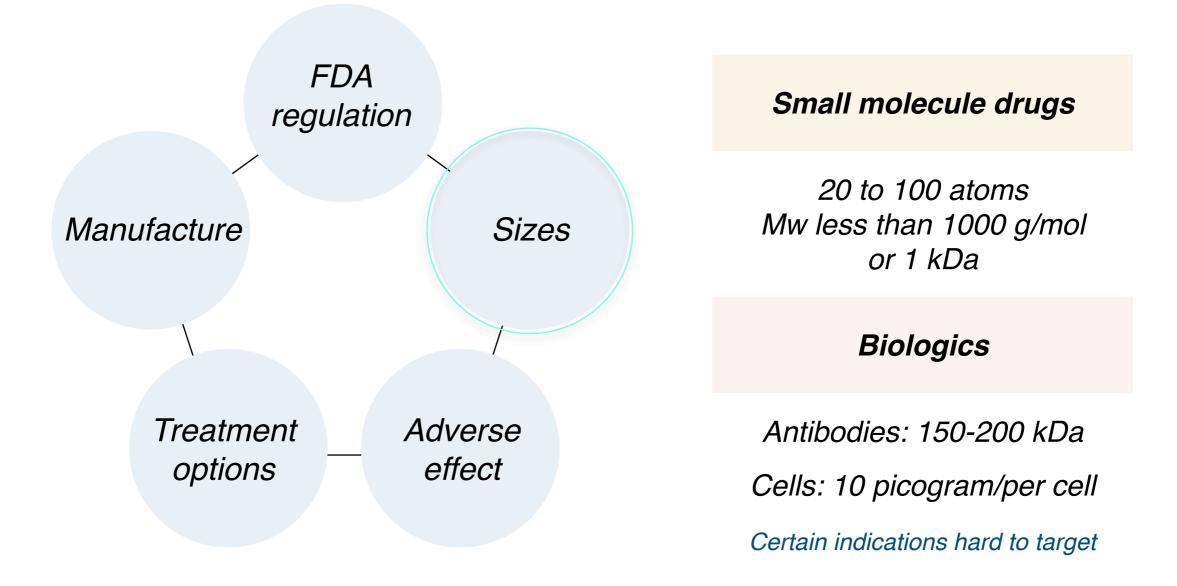
mRNA vaccines

•••

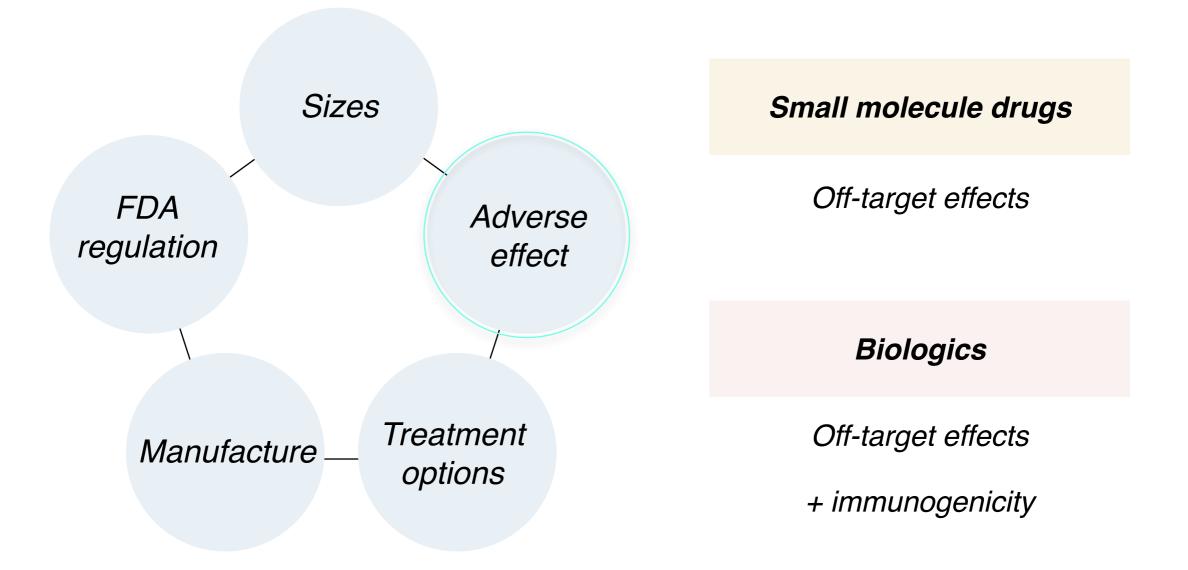
Differences compare to small molecule



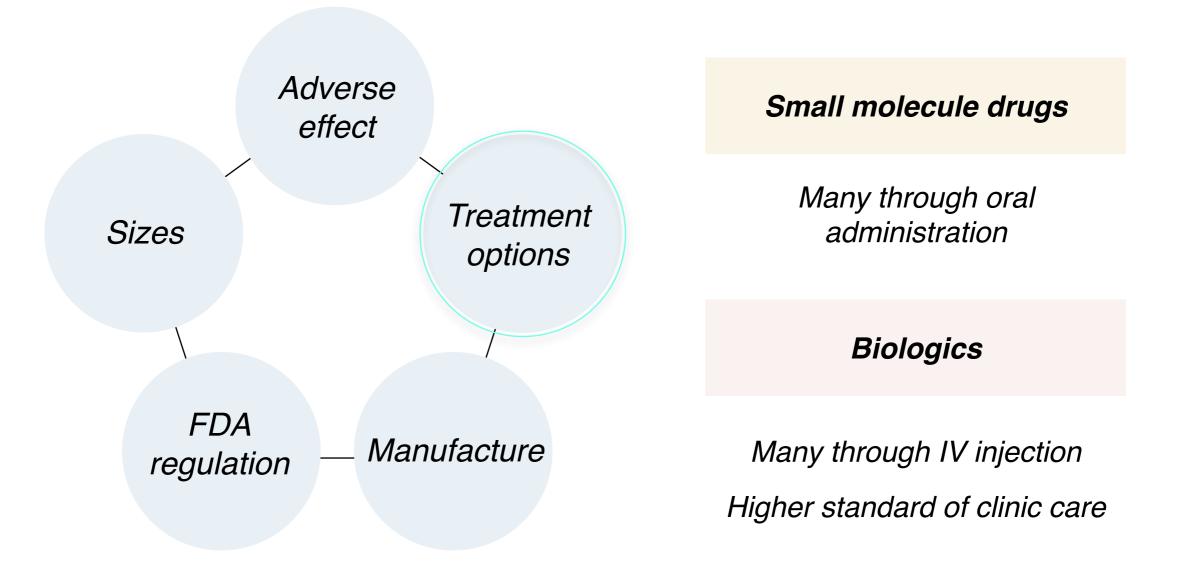
Differences compare to small molecule



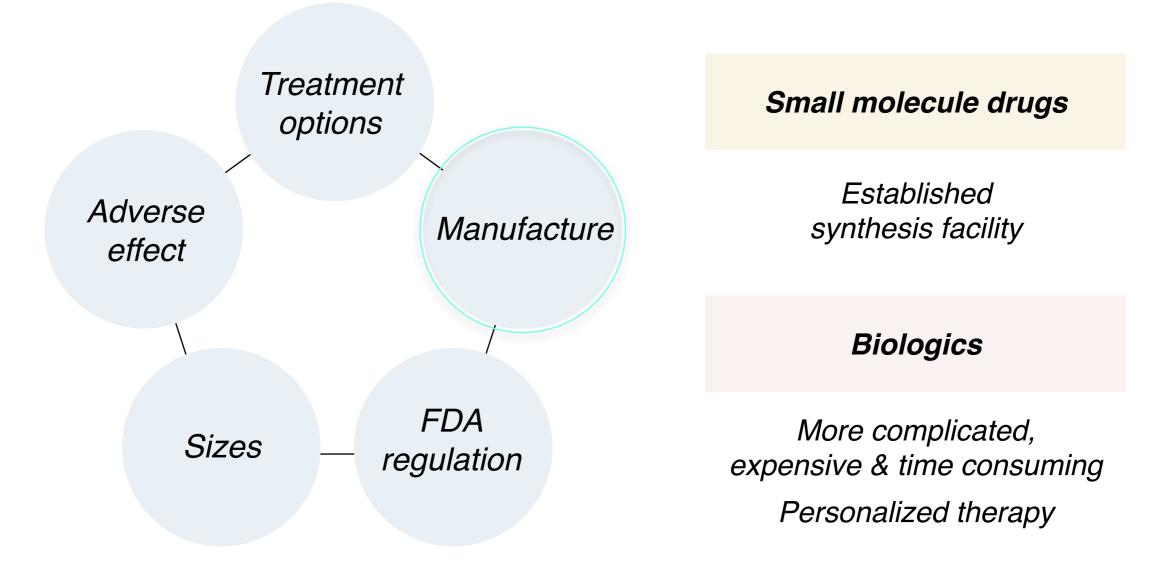
Differences compare to small molecule



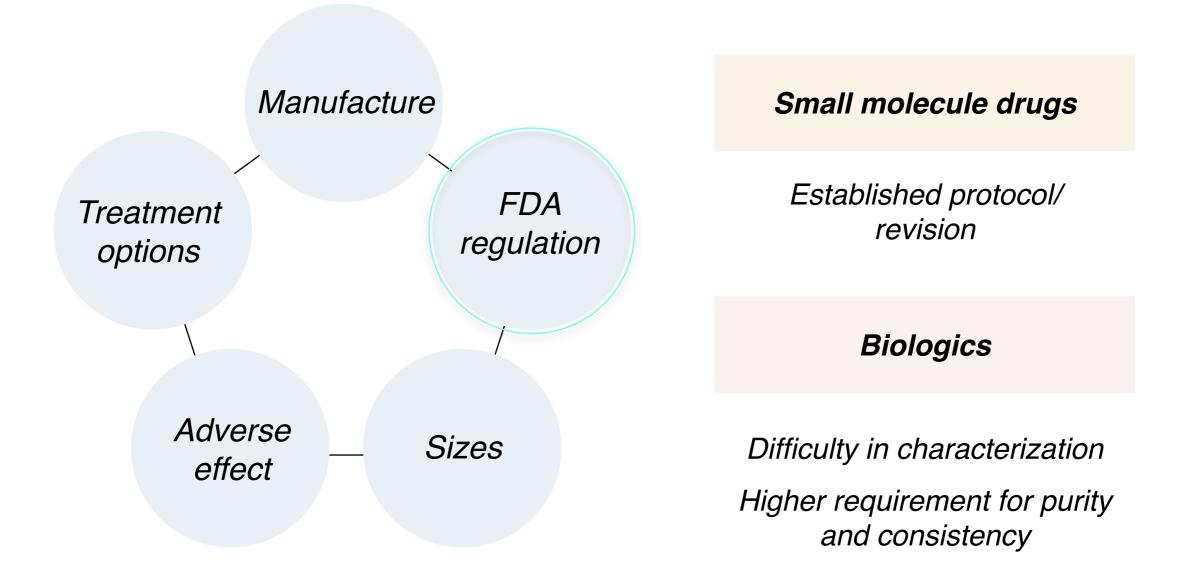
Differences compare to small molecule



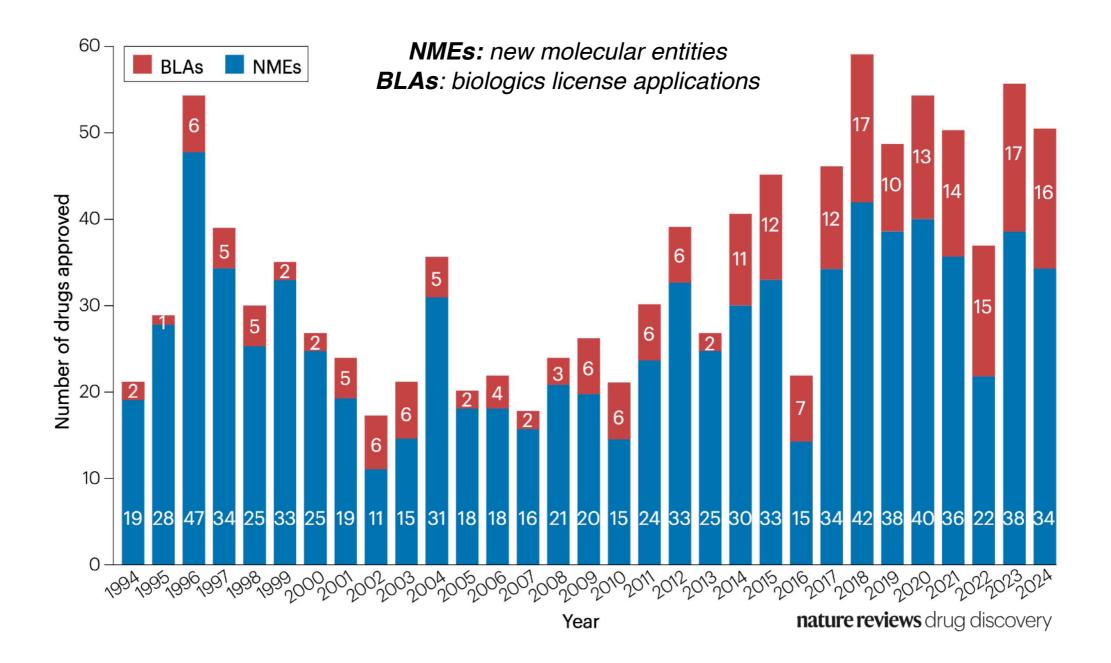
Differences compare to small molecule



Differences compare to small molecule



A gold rush



Sales of biologics is fast growing, soon reaching those of small molecules

https://www.nature.com/articles/d41573-025-00001-5

Life-cycle management

Biologics approved by FDA are granted **12 years of exclusivity**

blocking biosimilar (follow-on, me-too) applications for 4 years and approval for another 8 years

Orphan Drug Exclusivity (ODE) – 7 years New Chemical Entity (NCE) Exclusivity – 5 years

Developing a biologics therapy is not necessarily more time-consuming than developing a small molecule therapy

Nat Biotechnol. 2019;37(7):708-711





"12 years of protection from biosimilar competition is excessive"

...could **increase competition** among biological products, which has the potential to **reduce drug spending** in the U.S.

@ Policy proposal from Pew Health in 2017

Life-cycle management

Biologics approved by FDA are granted **12 years of exclusivity**

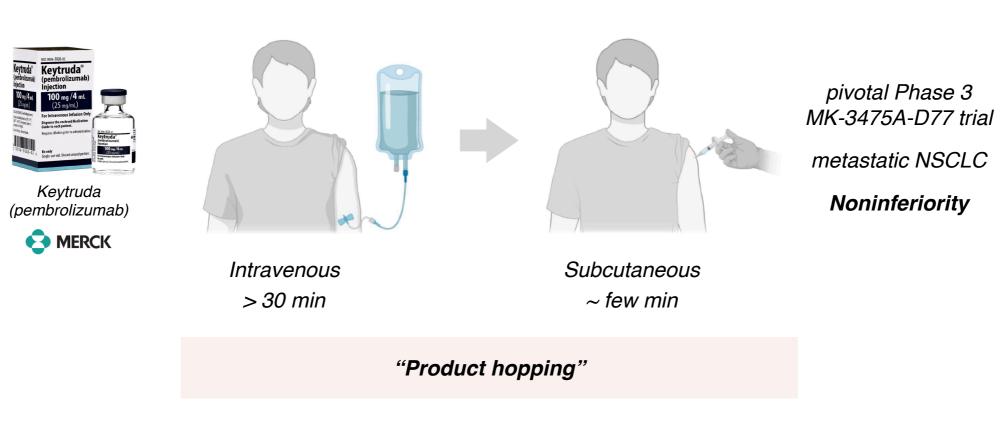
blocking **biosimilar** (follow-on, me-too) applications for 4 years and approval for another 8 years



Both Keytruda and Opdivo face exclusivity ending in 2028

Emerging anti-PD-1 biosimilars acquiring market shares outside US

Life-cycle management



"Merck's patents on the subcutaneous version of Keytruda could protect that formulation until at least 2040"

https://firstwordpharma.com/story/5927667

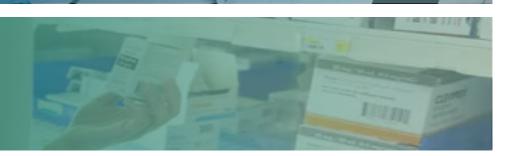
https://www.merck.com/news/merck-announces-phase-3-trial-of-subcutaneouspembrolizumab-with-berahyaluronidase-alfa-met-primary-endpoints/

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

Real-world case studies



Special considerations for biologics



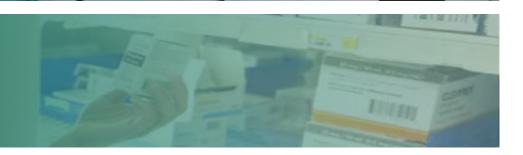
Emerging trends and future directions

Outlines

Introduction to fundamentals

Design and conduct of clinical trials

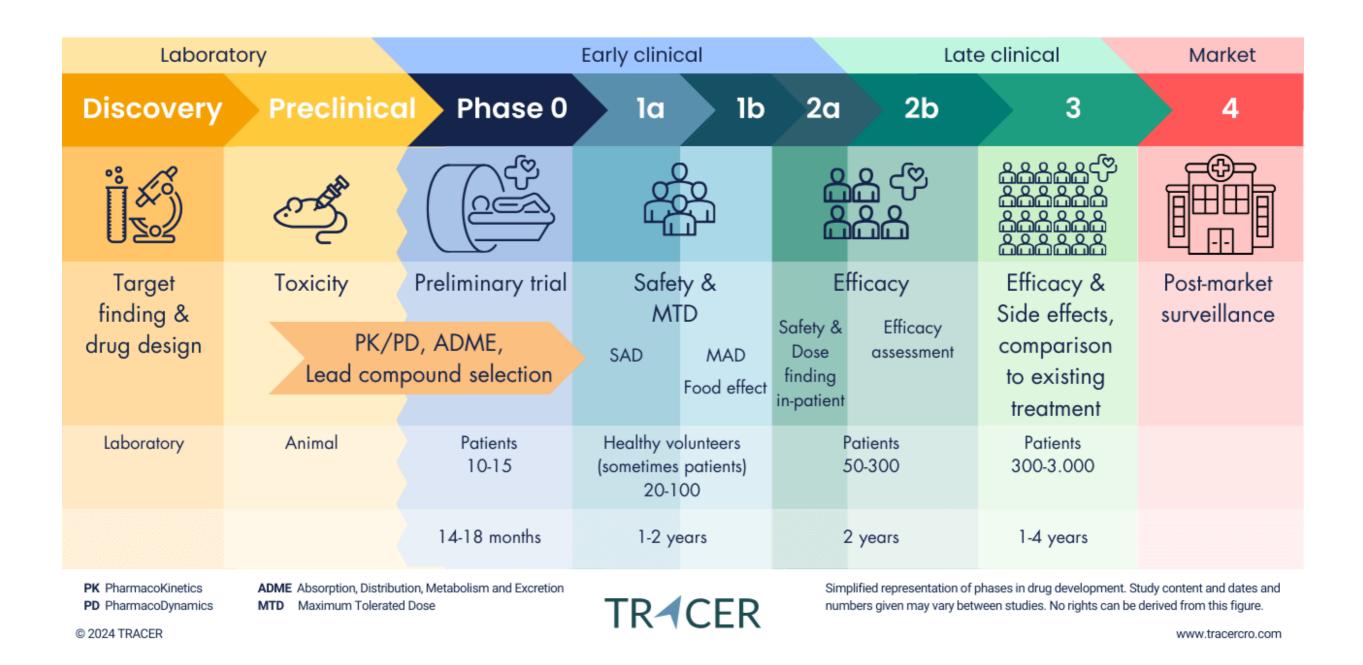
Real-world case studies



Special considerations for biologics

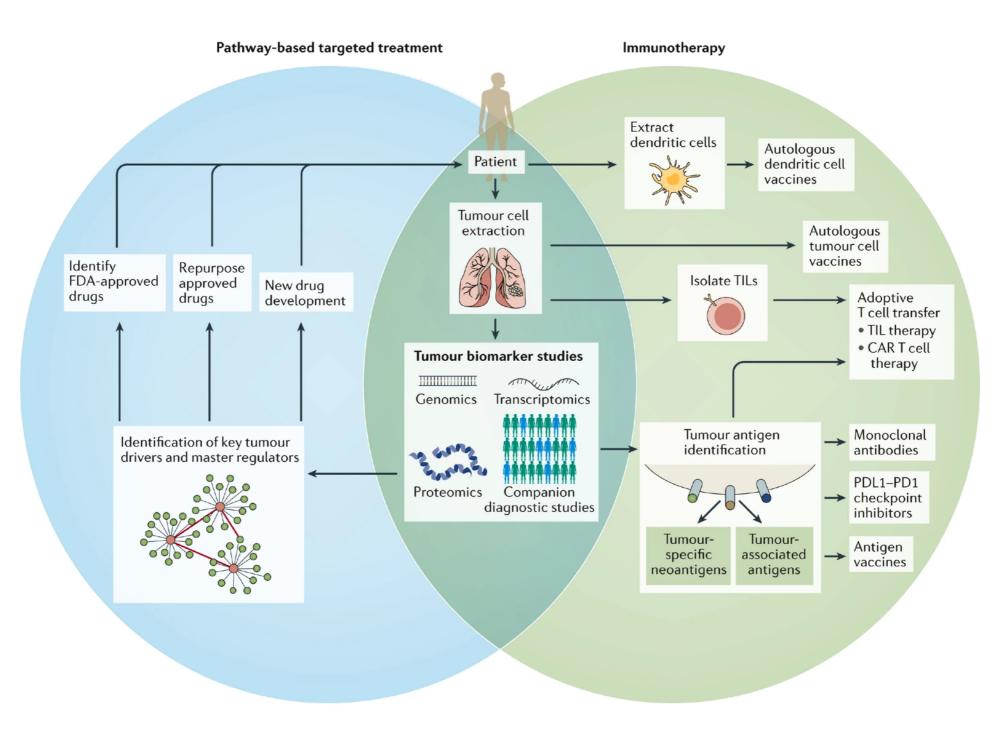
Emerging trends and future directions

Dynamic design of trial phases



Rossoni C, et al. Clin Trials. **2019**;16(6):635-644. https://www.tracercro.com/early-phase-clinical-trials/

Biomarker strategy and precision medicine



Nature Reviews | Drug Discovery

Dugger SA, Platt A, Goldstein DB. Nat Rev Drug Discov. 2018;17(3):183-196.

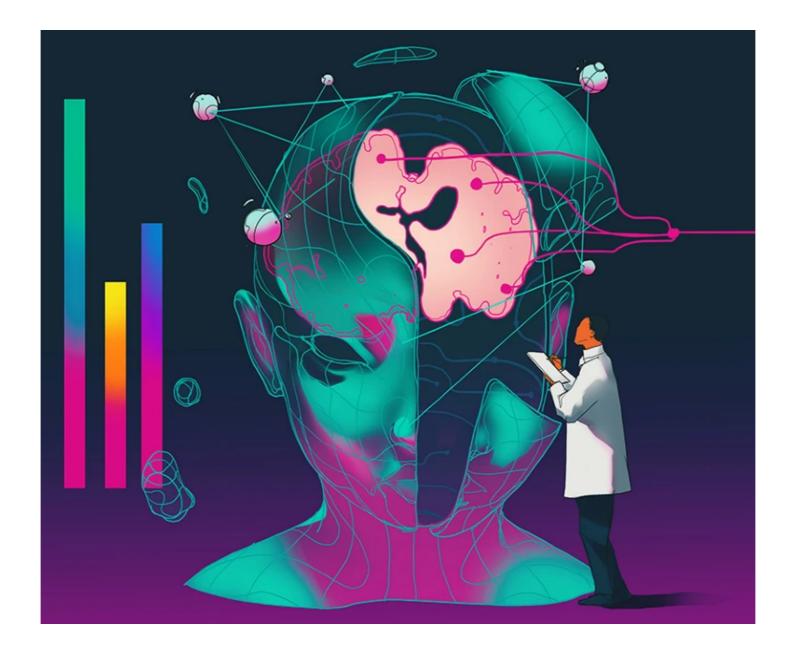
Globalization or de-globalization



Outside US contract research organization (CRO)

https://www.grandviewresearch.com/industry-analysis/global-clinical-trials-market

The role of artificial intelligence



From study design to patient recruitment...

https://www.nature.com/articles/d41586-024-00753-x

Thanks

Hope you find it helpful

