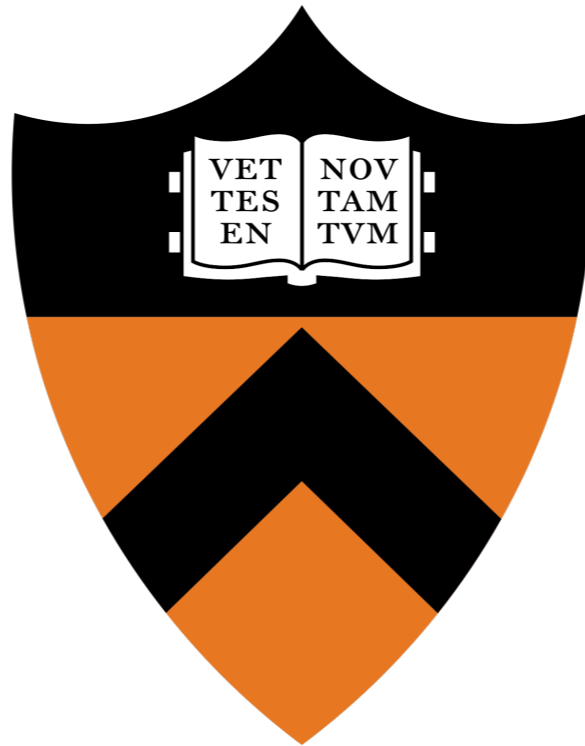


Photon Upconversion



Literature Group Meeting

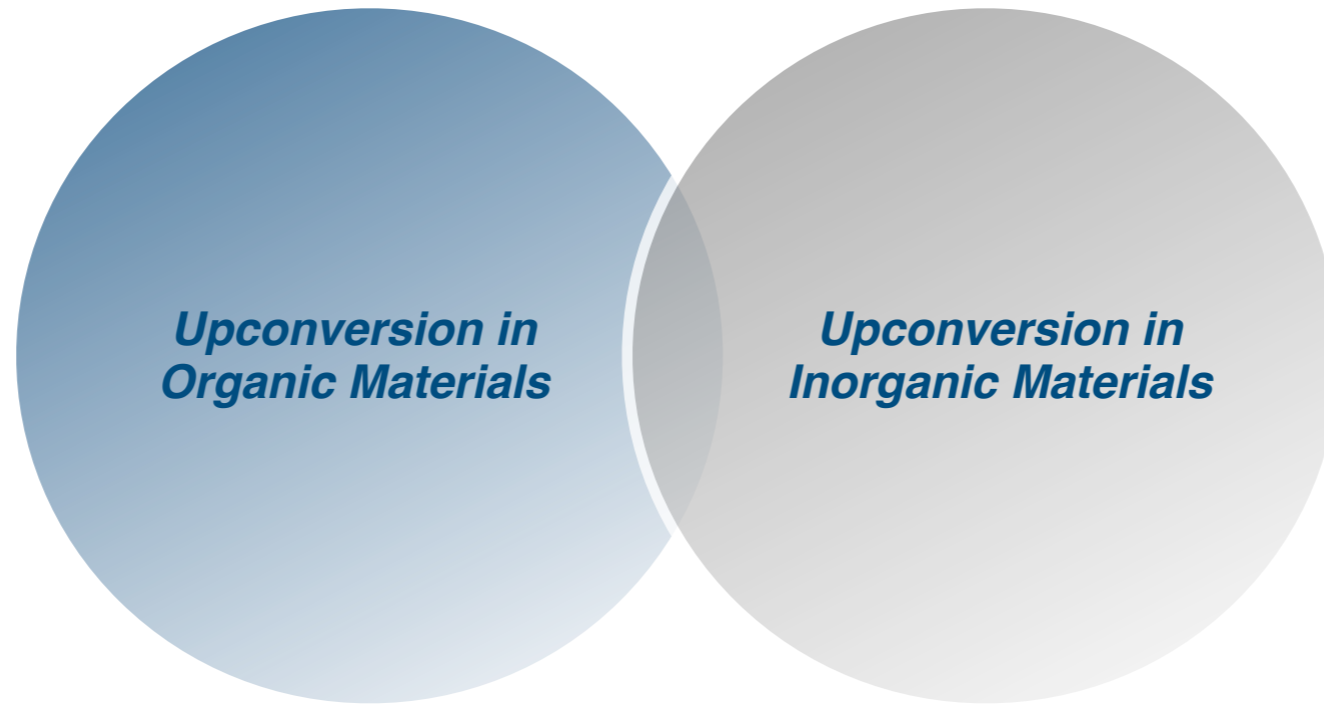
April 16th, 2024

Katherine Burton
MacMillan Group
Princeton University

Upconversion Overview



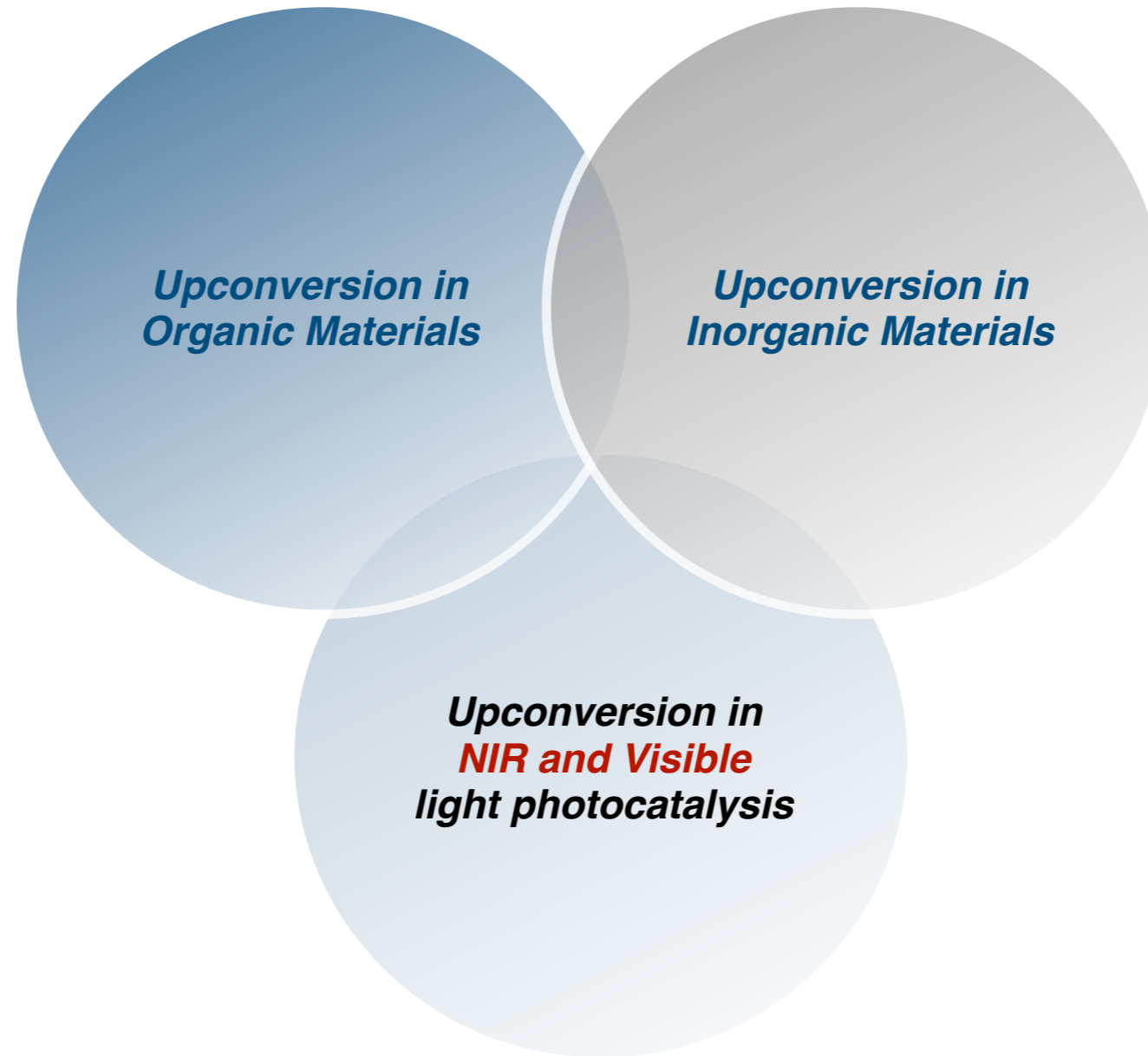
Upconversion Overview



*Upconversion in
Organic Materials*

*Upconversion in
Inorganic Materials*

Upconversion Overview



Understanding the process

The mechanism of photon upconversion

> 7 different mechanisms

Understanding the process

The mechanism of photon upconversion

> 7 different mechanisms

- *“A photophysical phenomenon that involves changing low-energy photons into high-energy ones”*

Understanding the process

The mechanism of photon upconversion

> 7 different mechanisms

- *“A photophysical phenomenon that involves changing low-energy photons into high-energy ones”*



anti-Stokes process

Upconversion applied in organic systems



***Upconversion in
Organic Materials***

2 key mechanisms:

- ***Triplet-Triplet
Annihilation***
- ***Energy Pooling***

Upconversion applied in organic systems



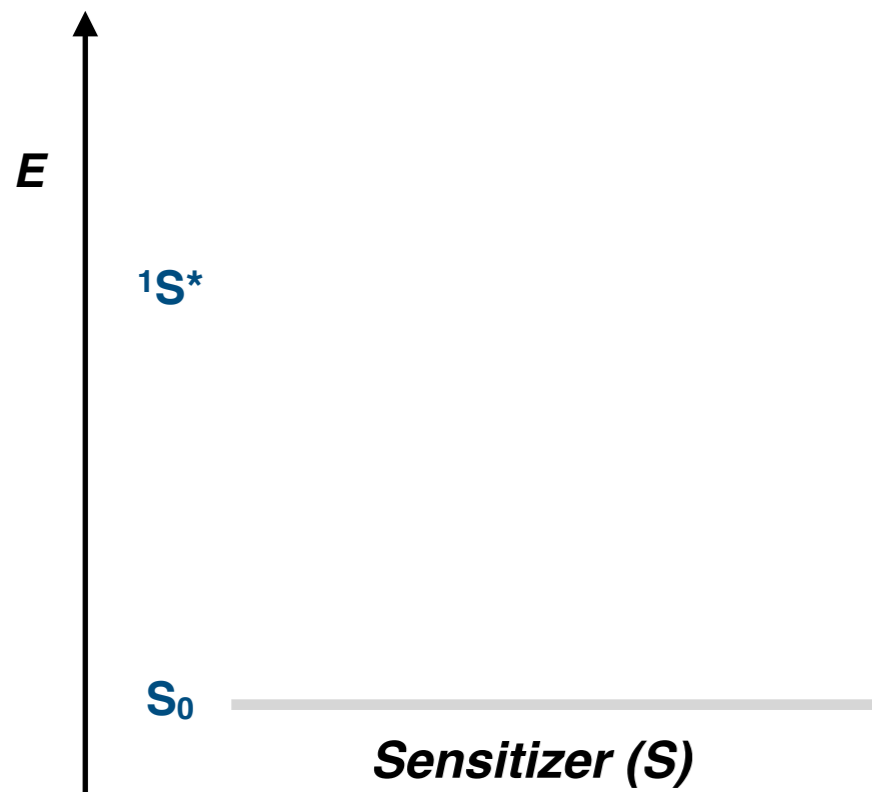
***Upconversion in
Organic Materials***

2 key mechanisms:

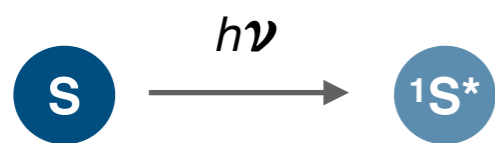
- ***Triplet-Triplet
Annihilation***
- *Energy Pooling*

Understanding the process

The mechanism of TTA photon upconversion

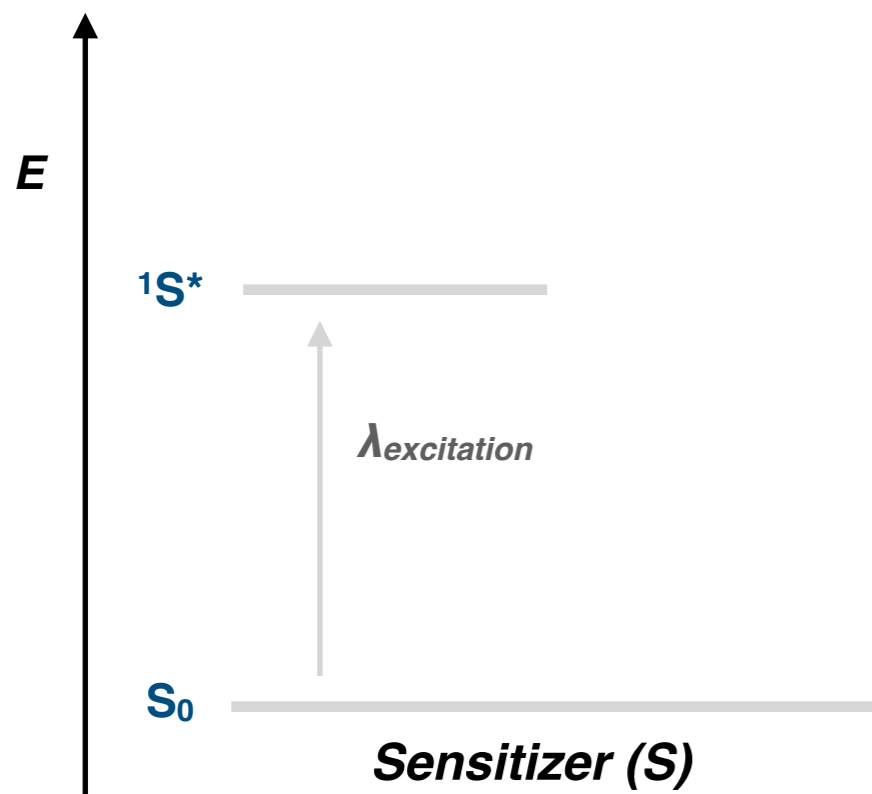


Triplet-Triplet Annihilation (TTA) Upconversion

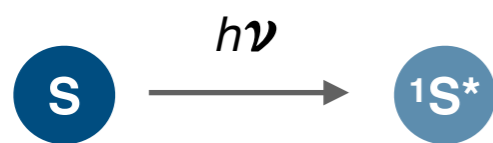


Understanding the process

The mechanism of TTA photon upconversion

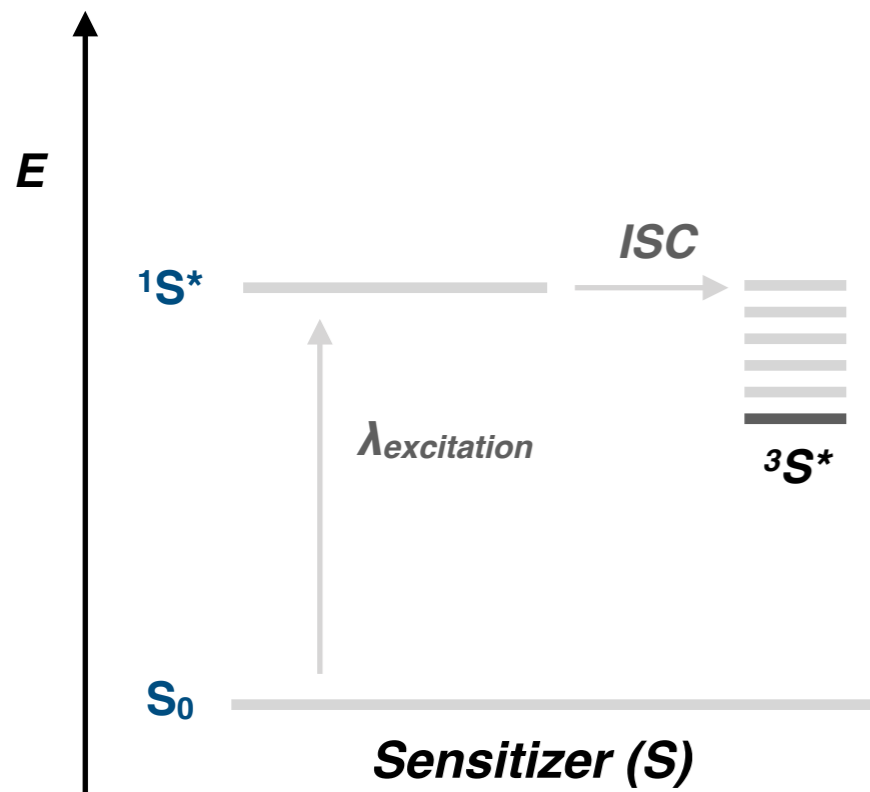


Triplet-Triplet Annihilation (TTA) Upconversion



Understanding the process

The mechanism of TTA photon upconversion

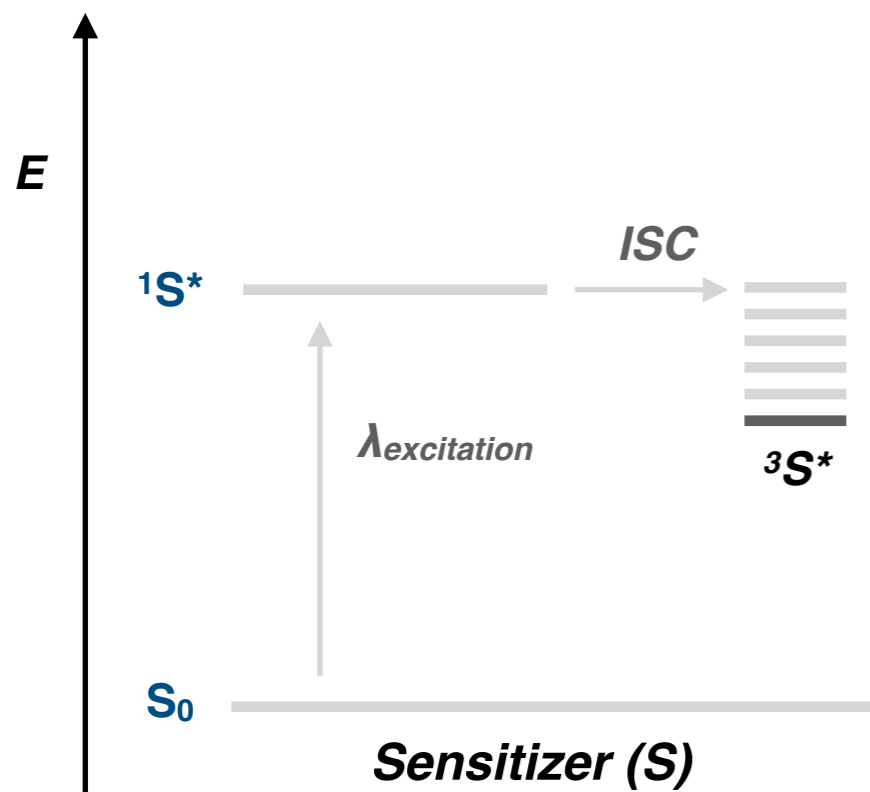


Triplet-Triplet Annihilation (TTA) Upconversion



Understanding the process

The mechanism of TTA photon upconversion



Key point #1

Long-lived triplet excited state

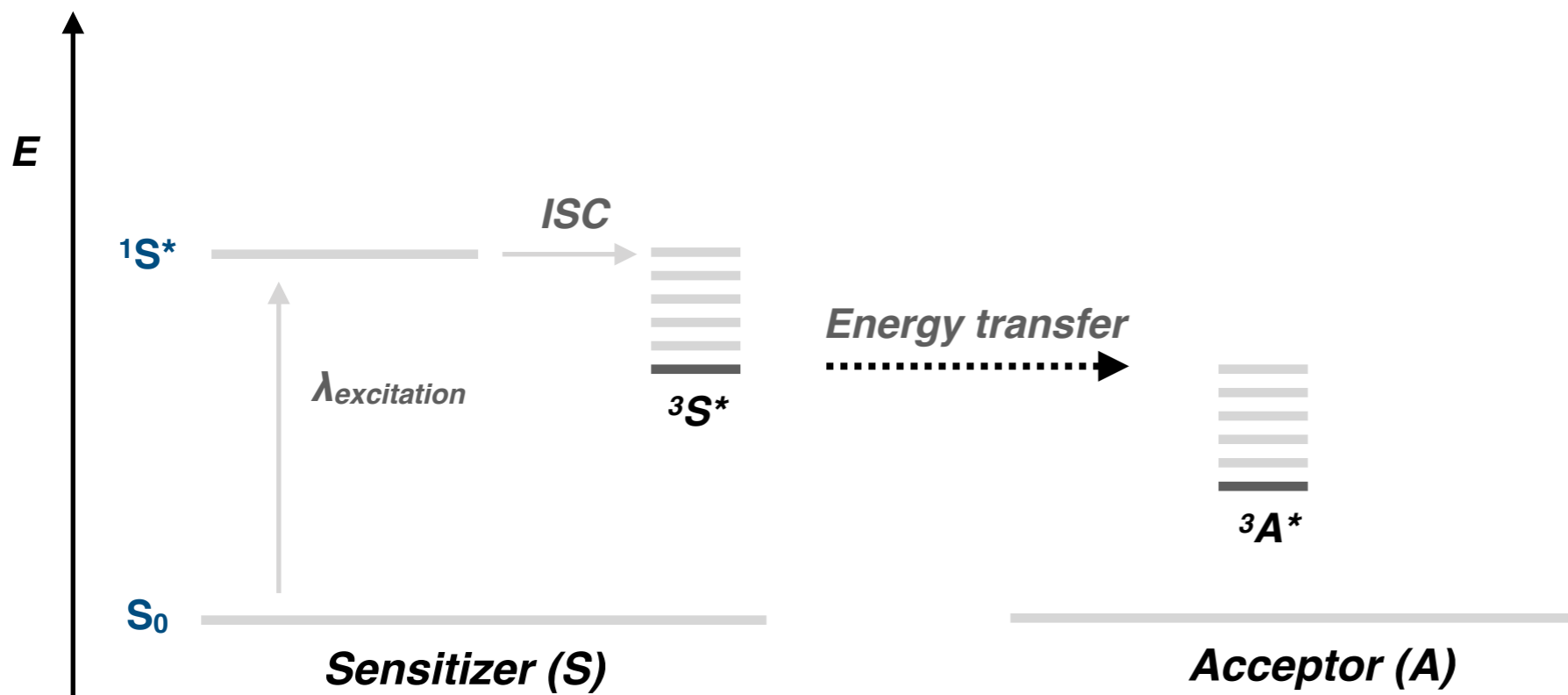
- Required to populate triplet state of annihilator

Triplet-Triplet Annihilation (TTA) Upconversion



Understanding the process

The mechanism of TTA photon upconversion

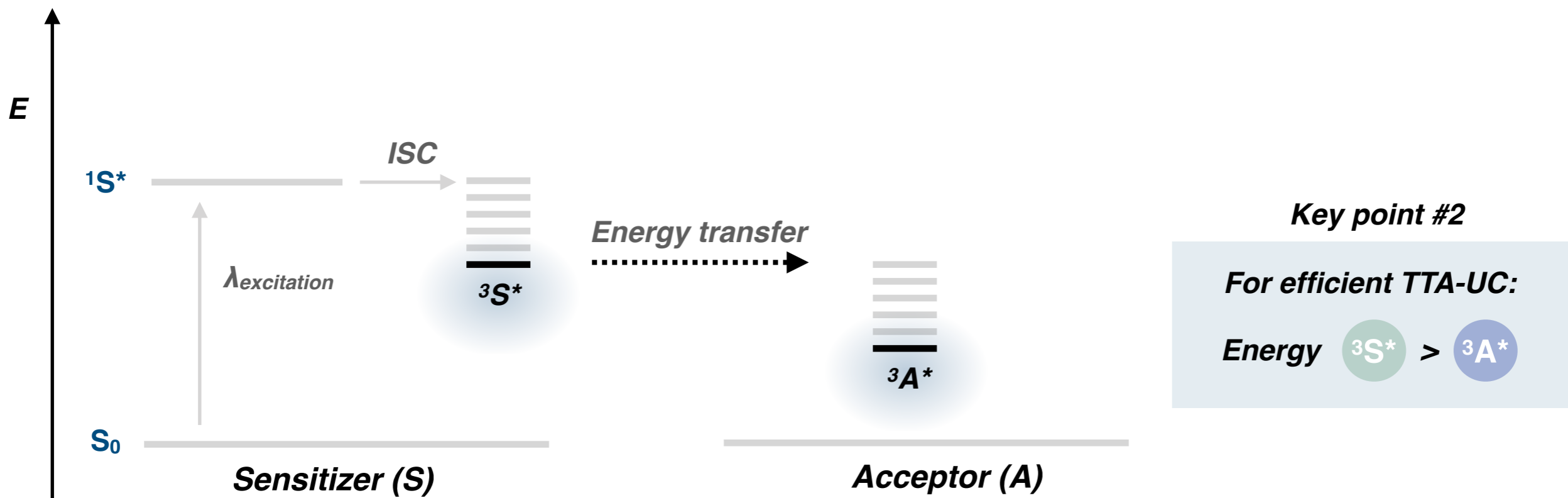


Triplet-Triplet Annihilation (TTA) Upconversion



Understanding the process

The mechanism of TTA photon upconversion

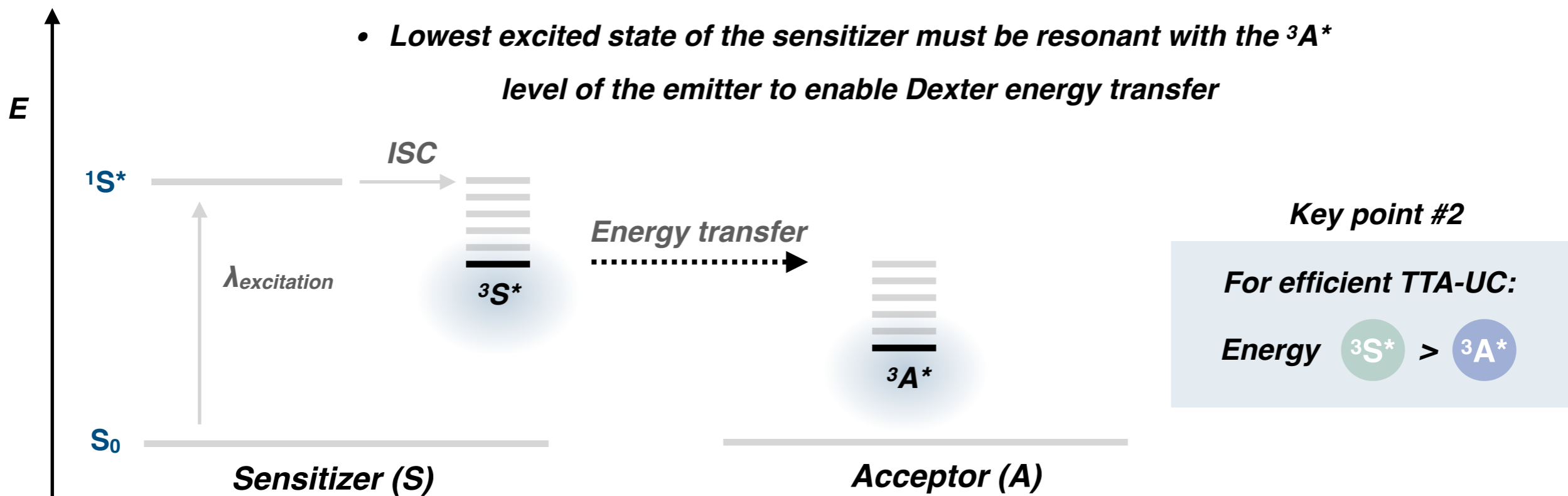


Triplet-Triplet Annihilation (TTA) Upconversion



Understanding the process

The mechanism of TTA photon upconversion

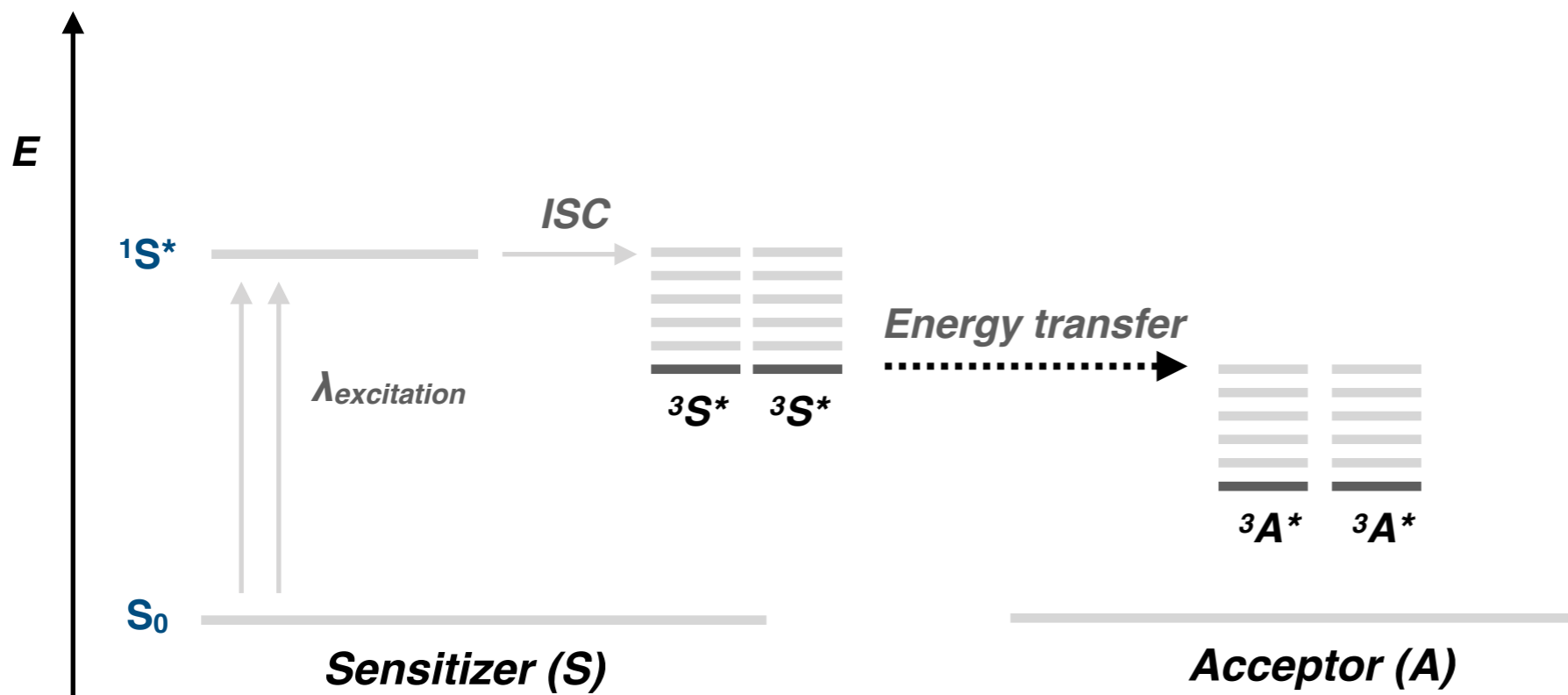


Triplet-Triplet Annihilation (TTA) Upconversion

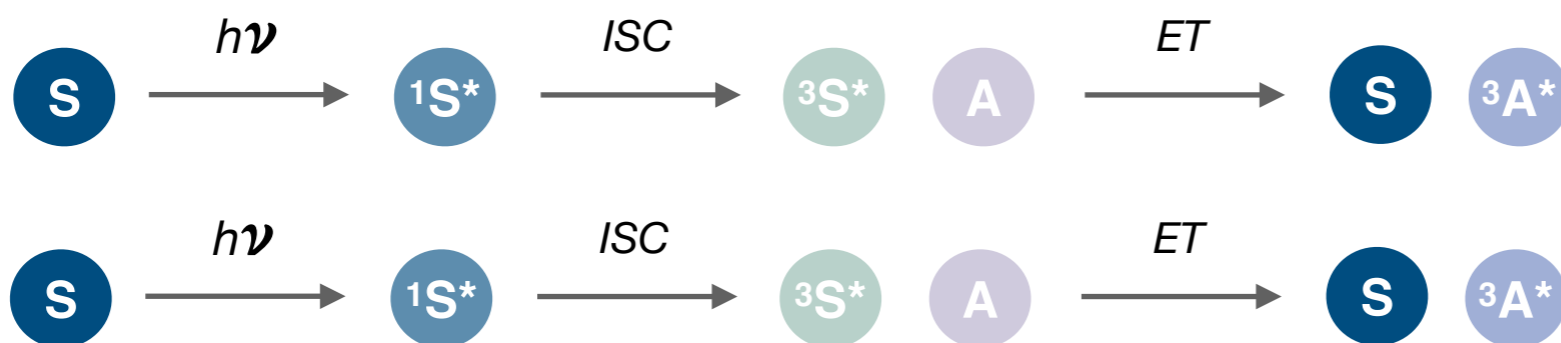


Understanding the process

The mechanism of TTA photon upconversion

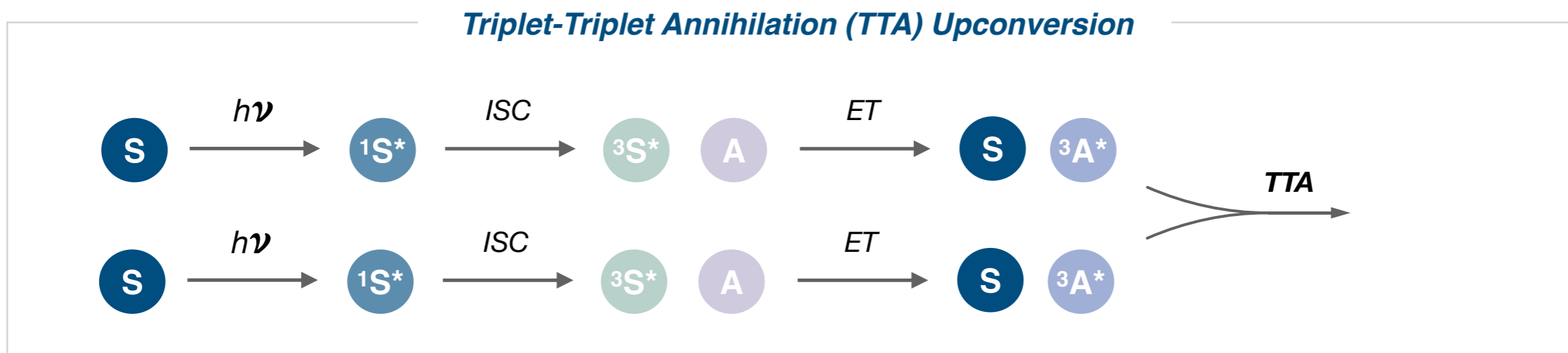
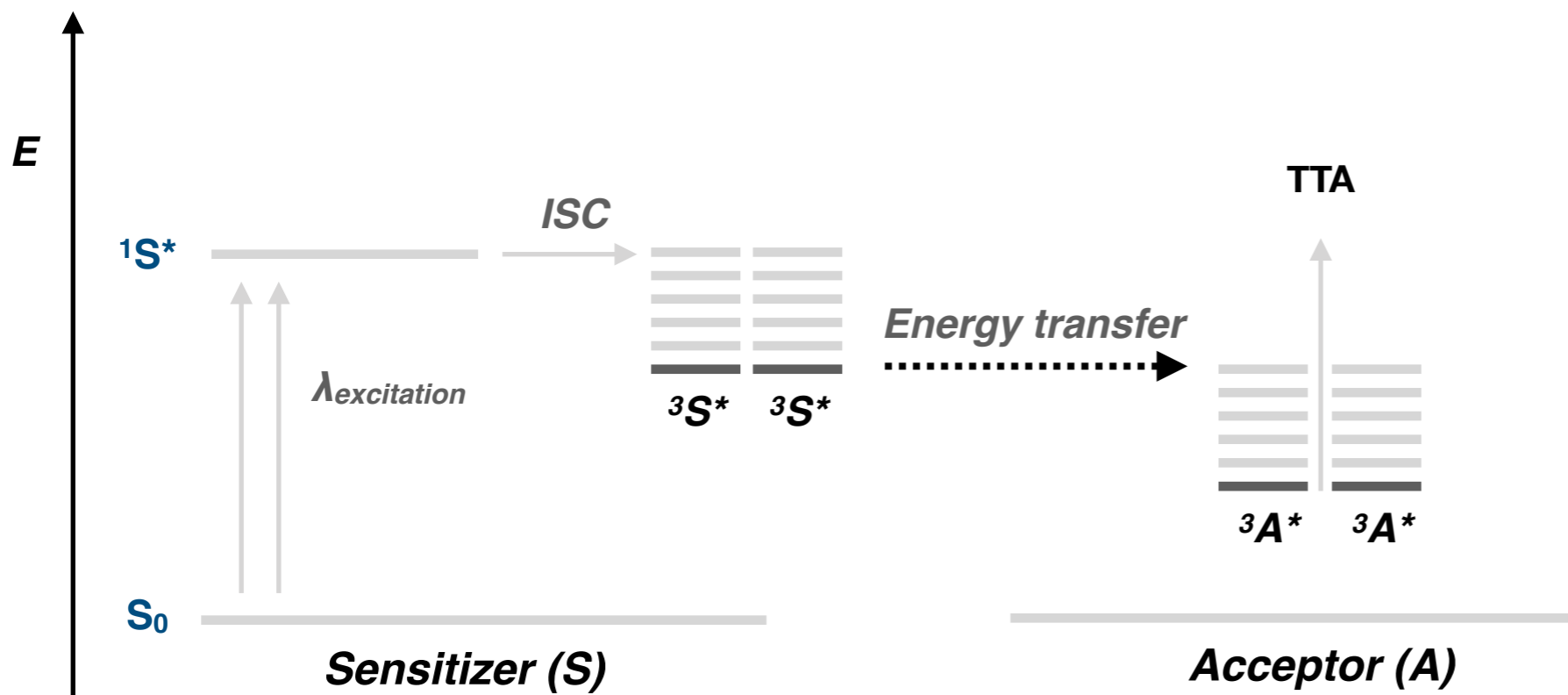


Triplet-Triplet Annihilation (TTA) Upconversion



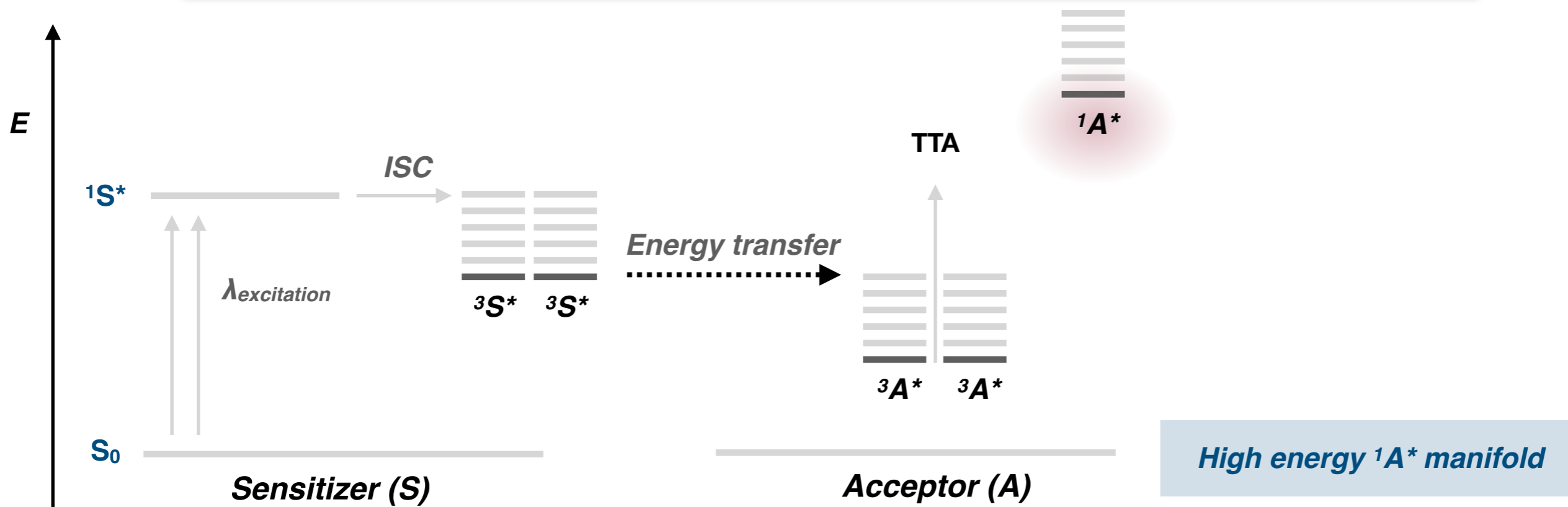
Understanding the process

The mechanism of TTA photon upconversion

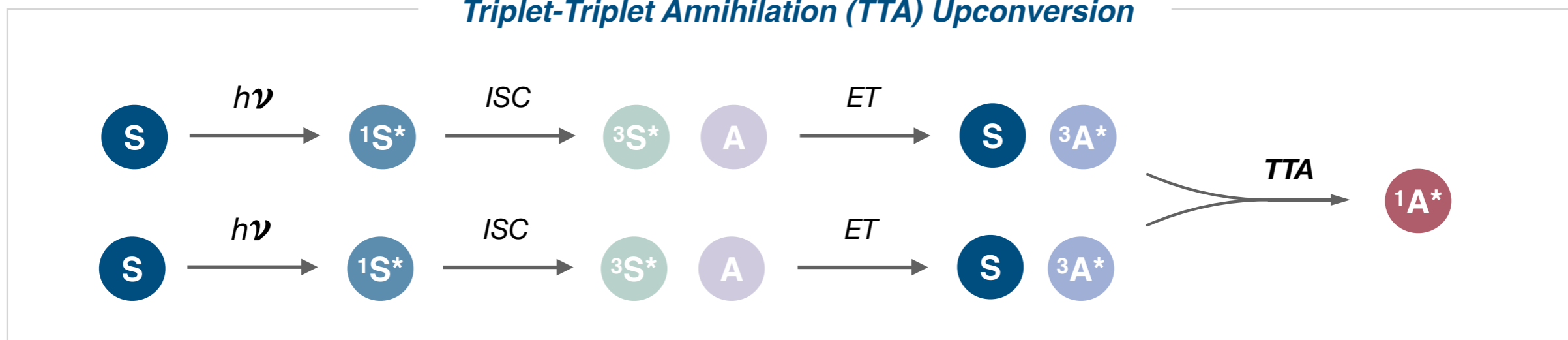


Understanding the process

The mechanism of TTA photon upconversion

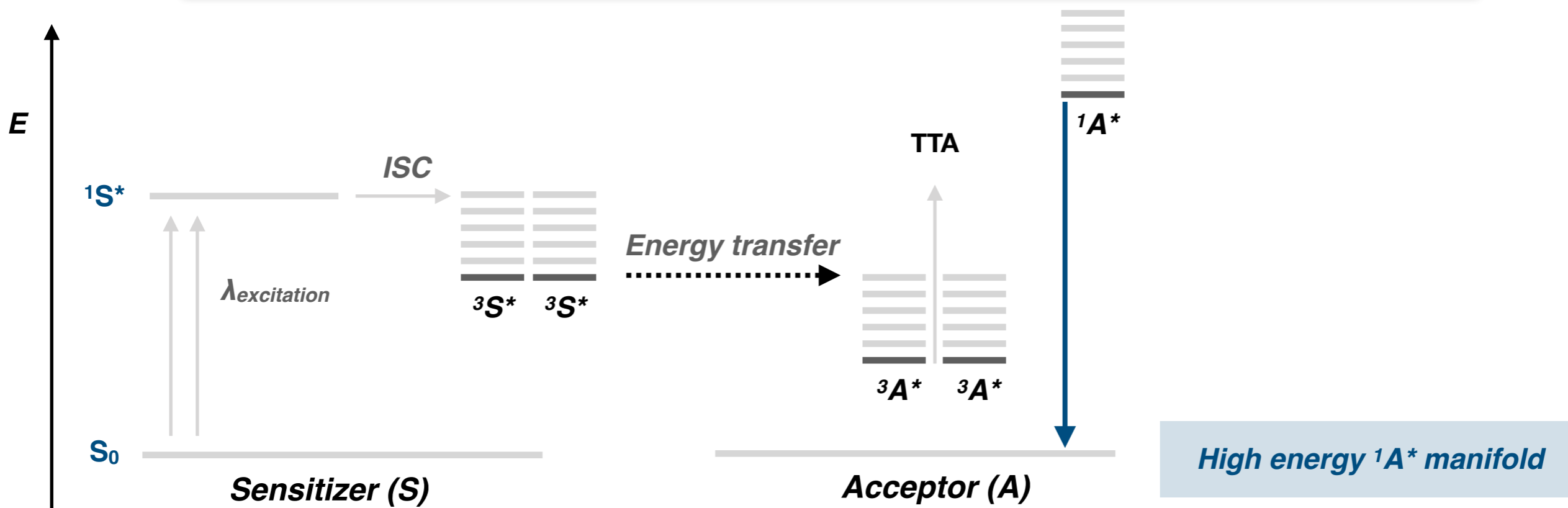


Triplet-Triplet Annihilation (TTA) Upconversion

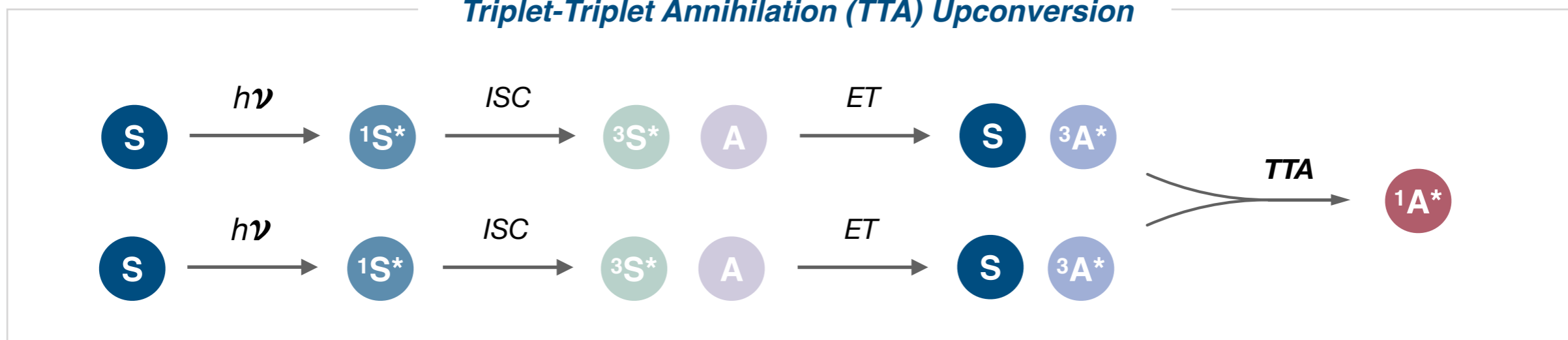


Understanding the process

The mechanism of TTA photon upconversion



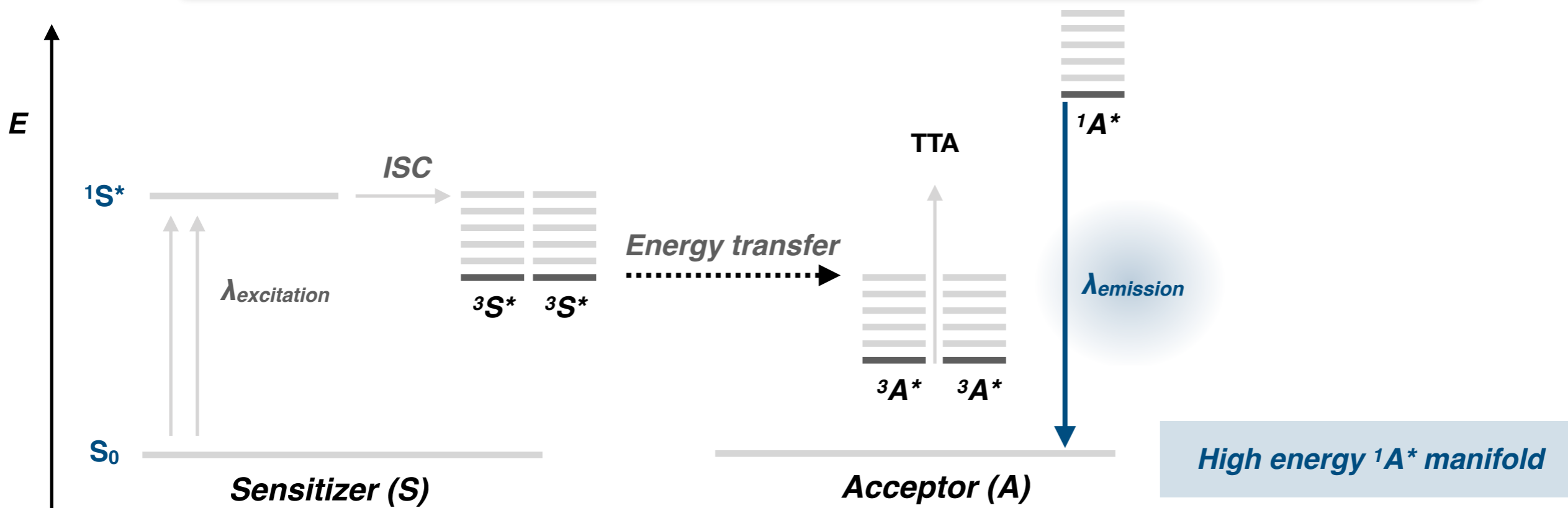
Triplet-Triplet Annihilation (TTA) Upconversion



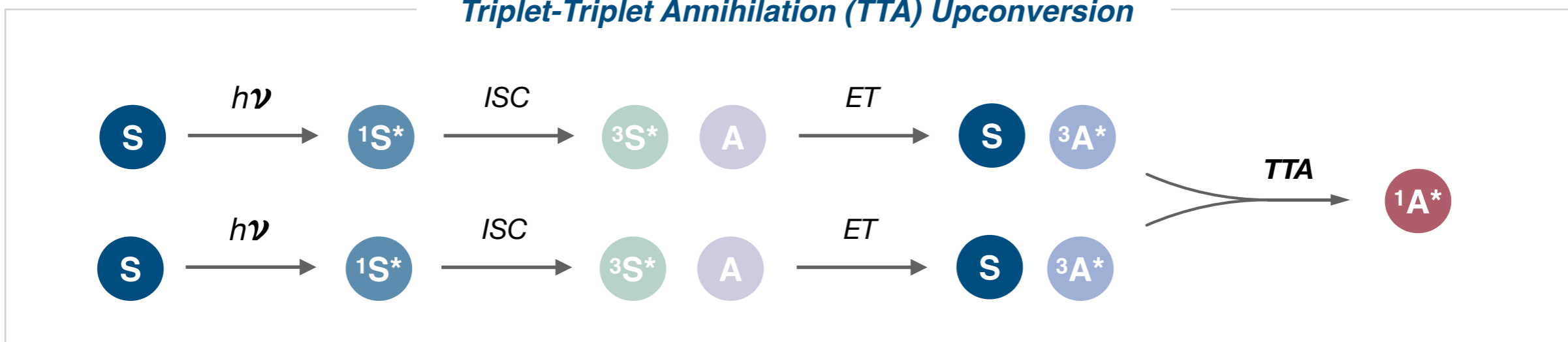
Upconverted **fluorescence** emission occurs from the **singlet state**

Understanding the process

The mechanism of TTA photon upconversion



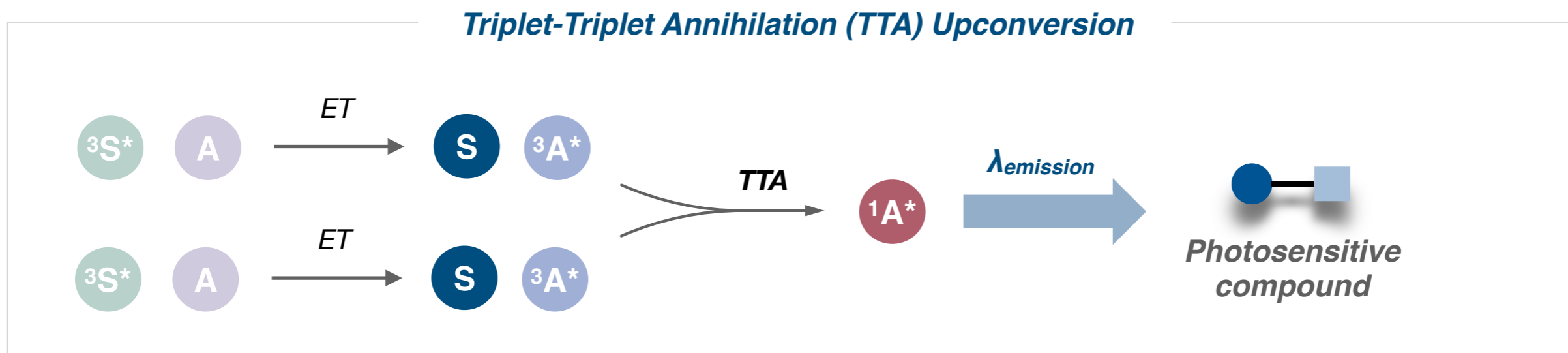
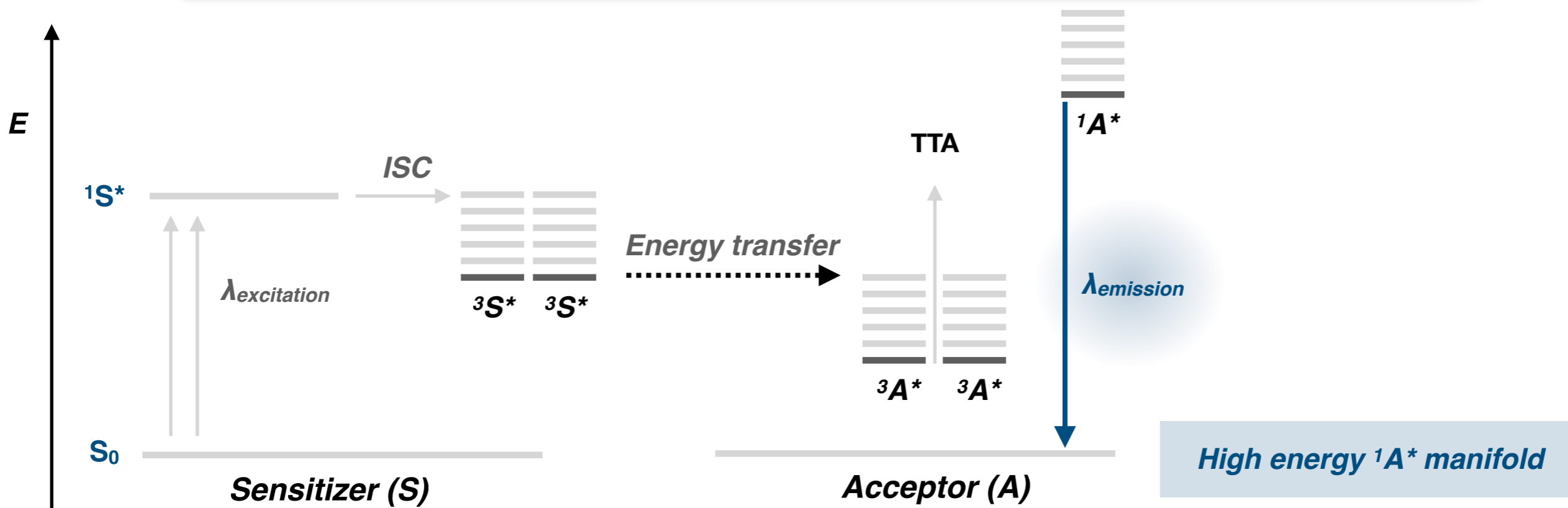
Triplet-Triplet Annihilation (TTA) Upconversion



Upconverted **fluorescence** emission occurs from the **singlet state**

Understanding the process

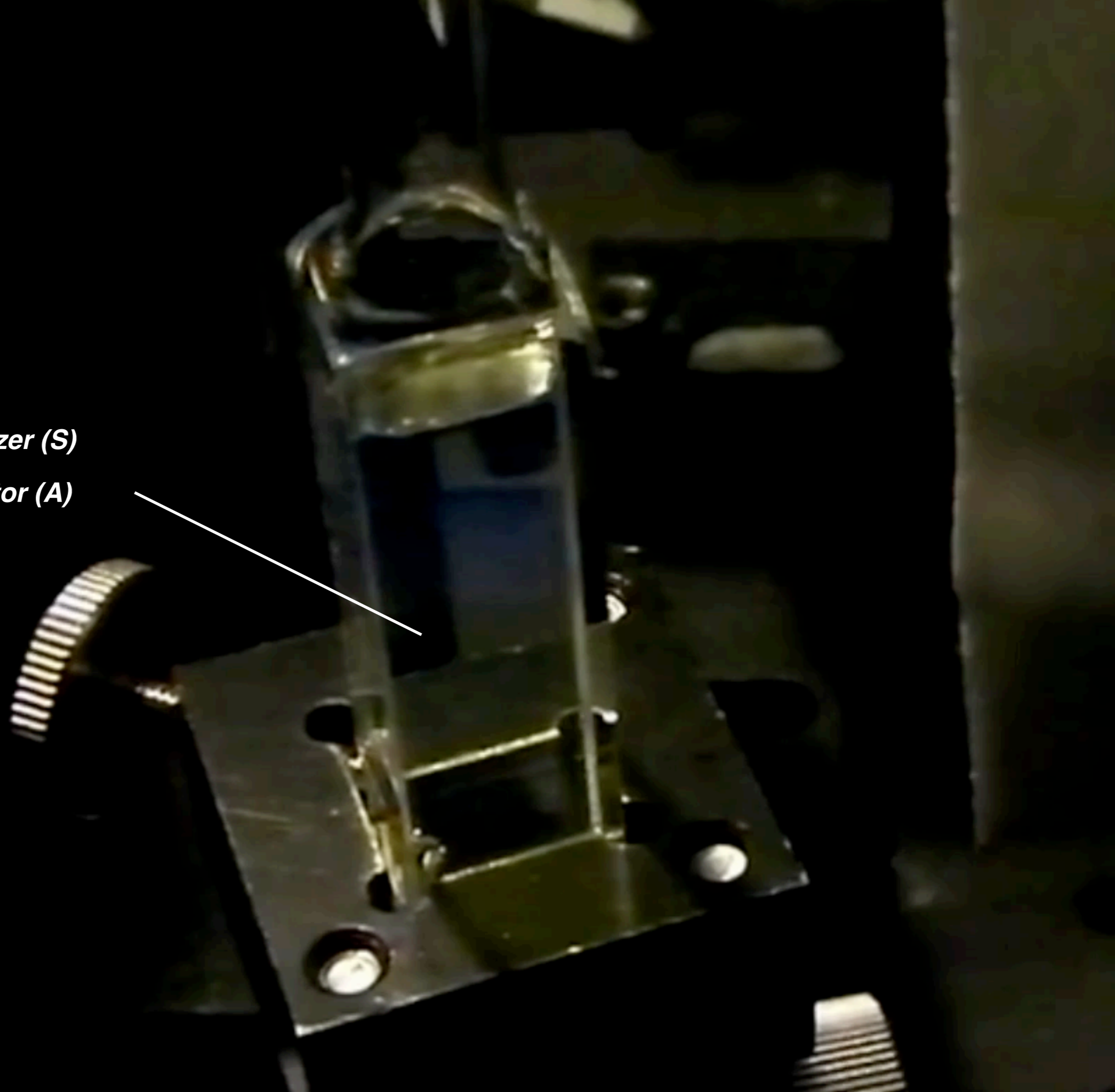
The mechanism of TTA photon upconversion



Upconverted **fluorescence** emission occurs from the **singlet state**

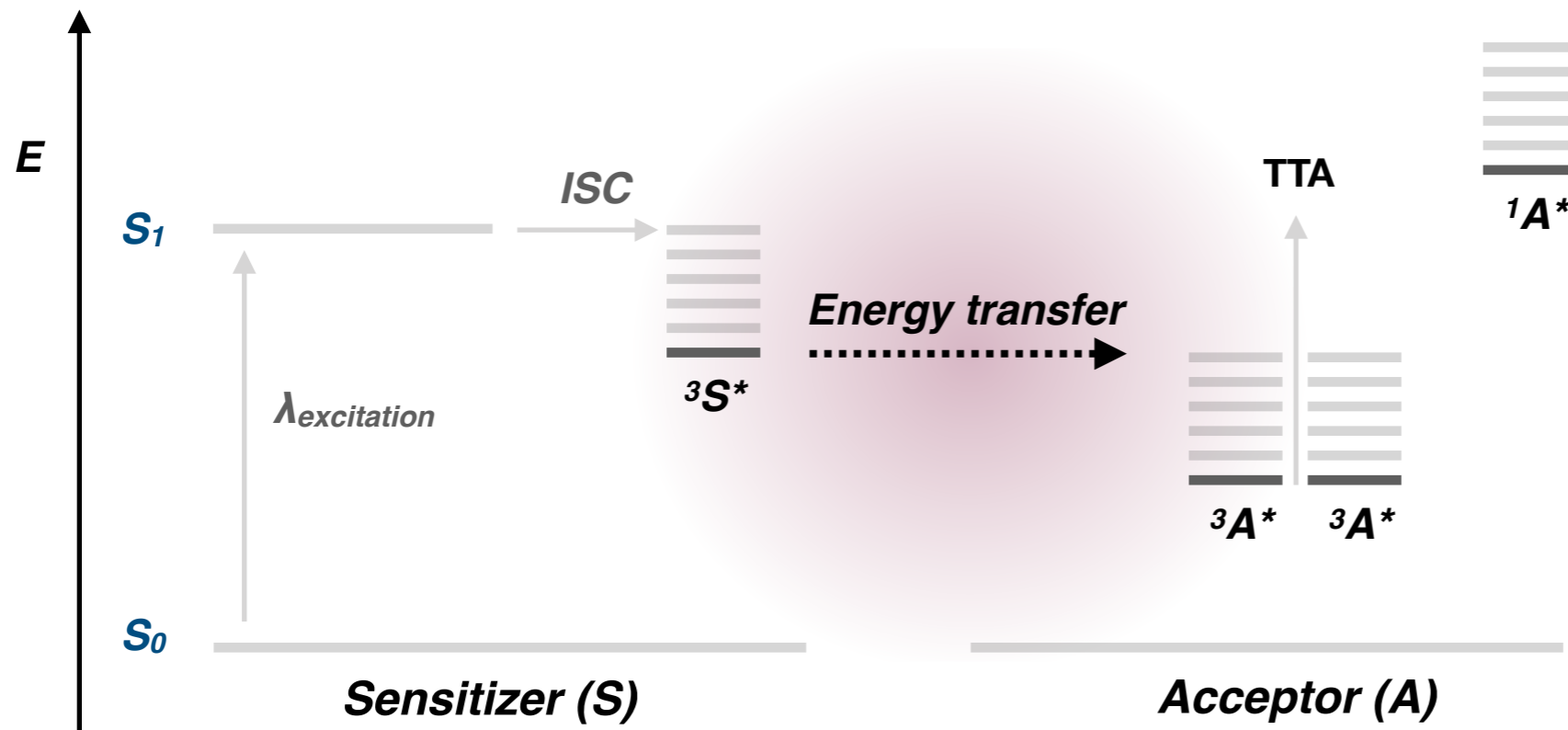
Sensitizer (S)

Acceptor (A)



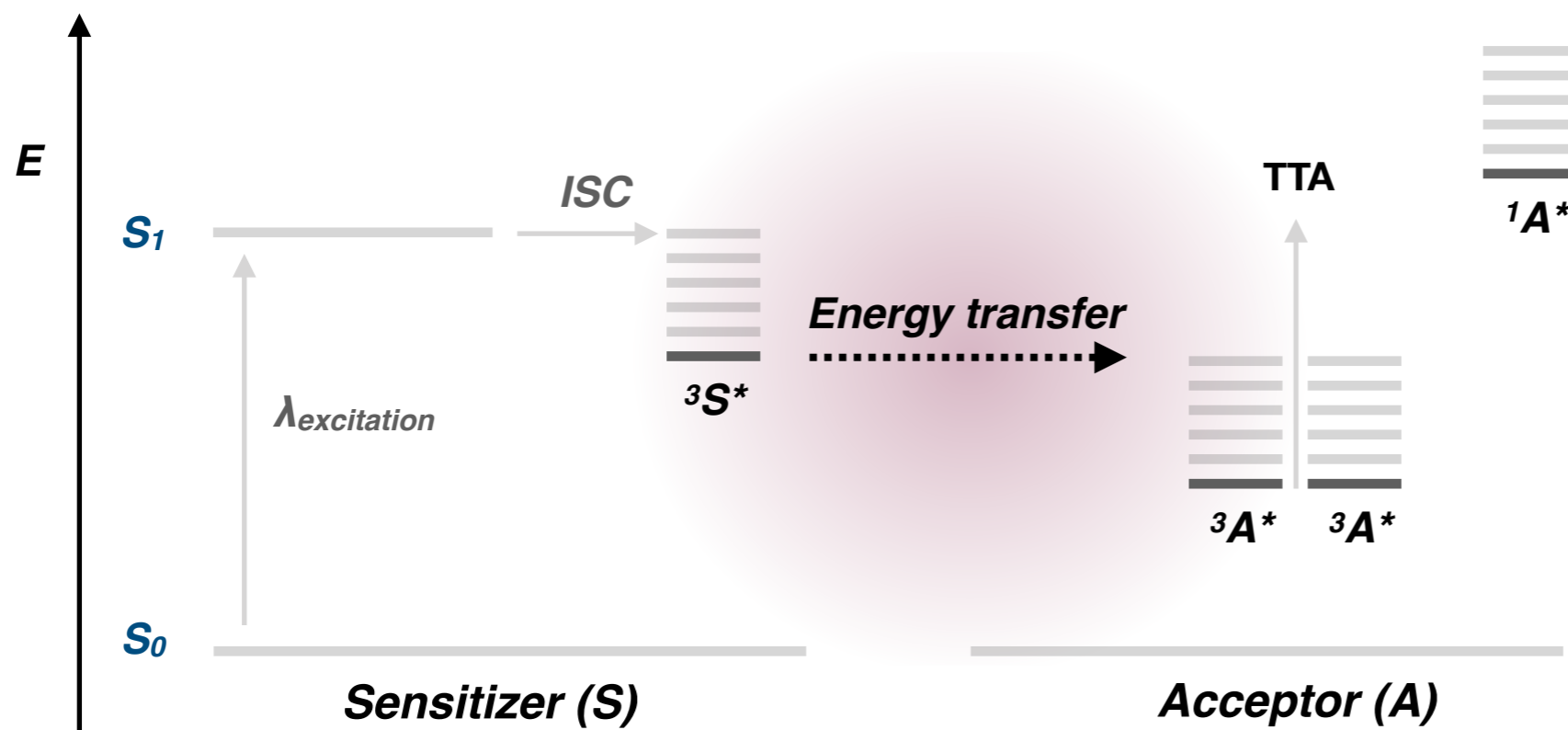
Understanding the process

The mechanism of photon upconversion



Understanding the process

The mechanism of photon upconversion

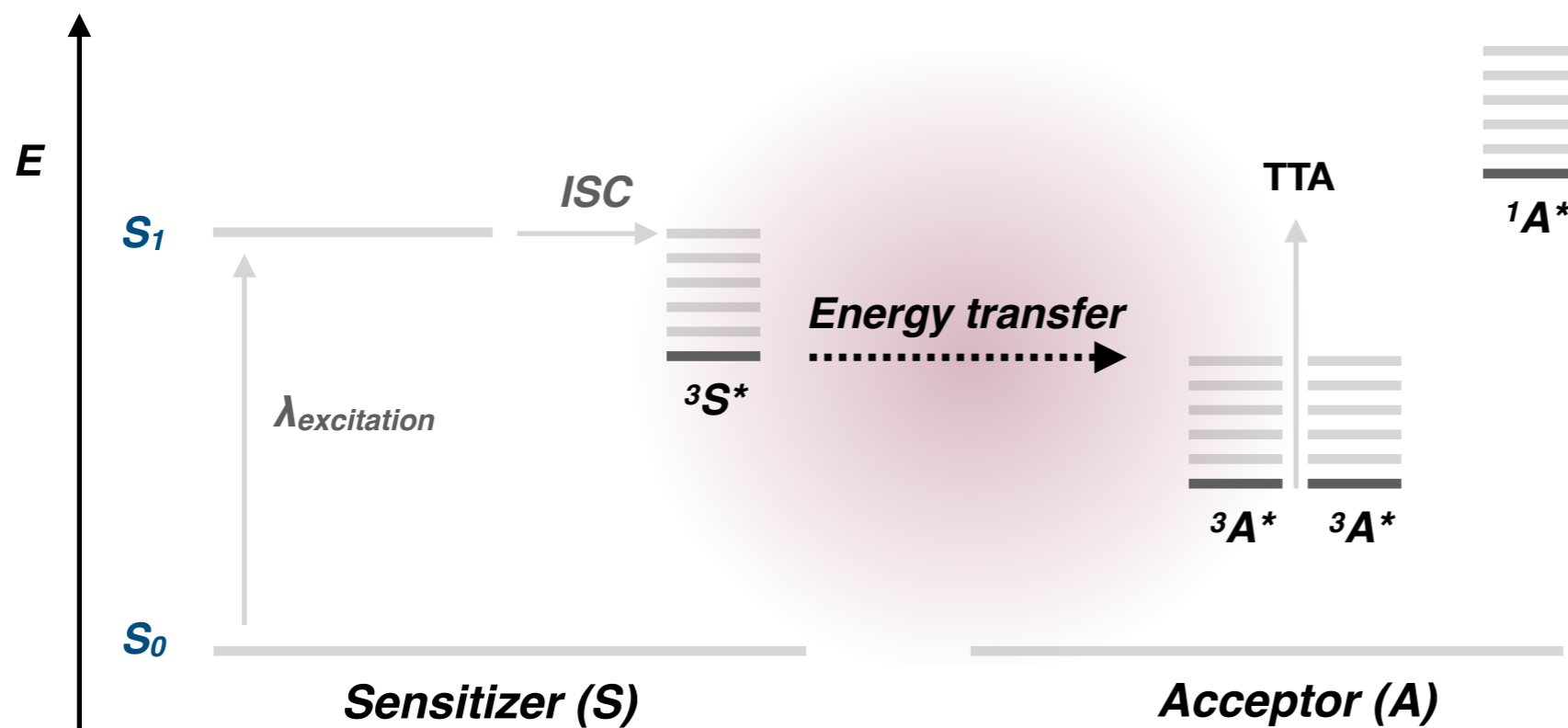


Triplet-Triplet Annihilation (TTA) Upconversion

- Upconversion via **triplet fusion** is limited to **direct activation** of the acceptor

Understanding the process

The mechanism of photon upconversion



Triplet-Triplet Annihilation (TTA) Upconversion

- Upconversion via **triplet fusion** is limited to **direct activation** of the acceptor

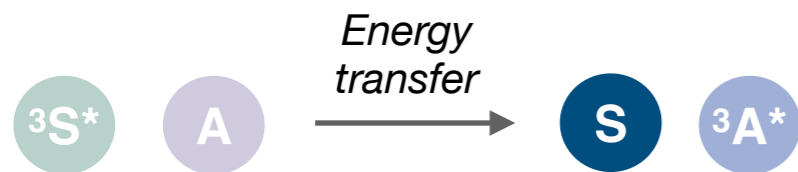
A highly tunable acceptor is needed

Upconversion applied in organic systems



***Upconversion in
Organic Materials***

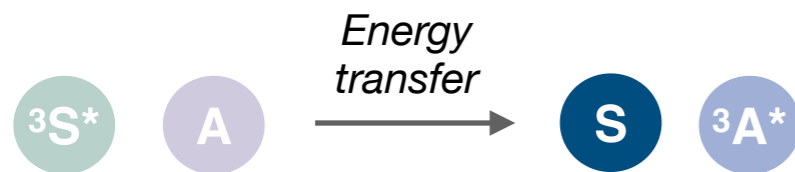
Upconversion applied in organic systems



Acceptor (A) = Organic substrate

Upconversion applied in organic systems

***Upconversion in
Organic Materials***



Acceptor (A) = Organic substrate

Organic molecules = synthetically diverse

Upconversion applied in organic systems

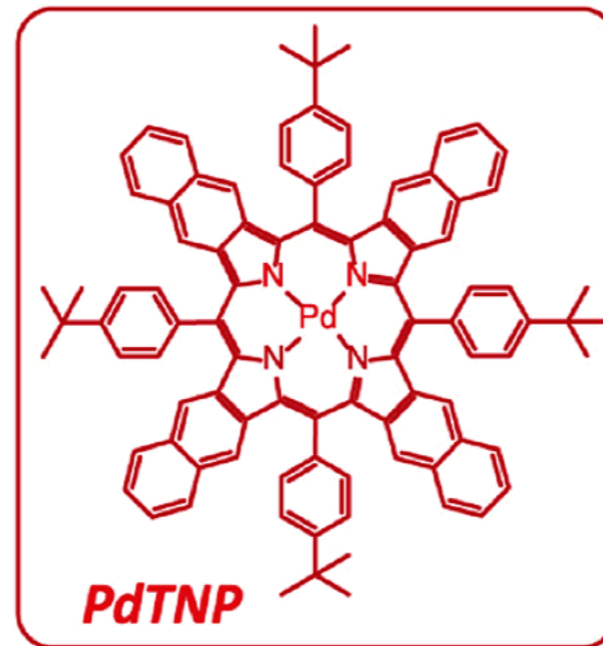
*Upconversion in
Organic Materials*

$^3S^*$ = PdTNP

Upconversion applied in organic systems

*Upconversion in
Organic Materials*

$3S^*$ = PdTNP

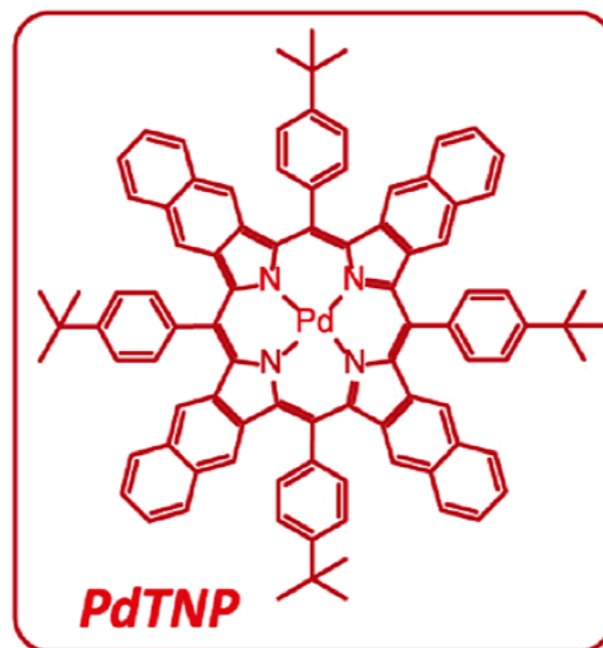


PdTNP

Upconversion applied in organic systems

*Upconversion in
Organic Materials*

$^3S^*$ = PdTNP



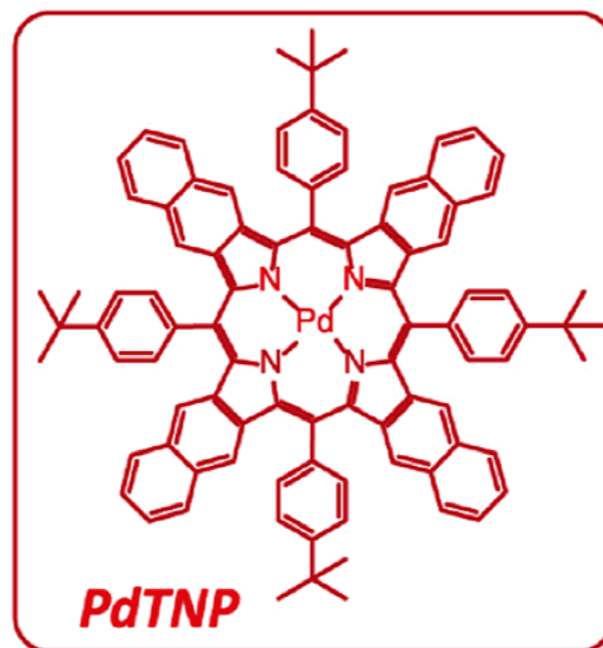
PdTNP

- Long-lived triplet lifetime $\tau_T = 65 \mu s$

Upconversion applied in organic systems

*Upconversion in
Organic Materials*

$^3S^*$ = PdTNP



PdTNP

- Long-lived triplet lifetime $\tau_T = 65 \mu s$
- Goal: NIR to green light TTA UC

Upconversion applied in organic systems

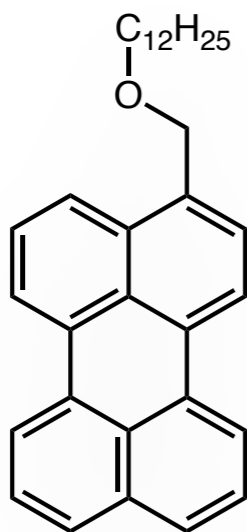
Upconversion in Organic Materials



excitation = 653 nm



$3S^*$ = PdTNP



A =

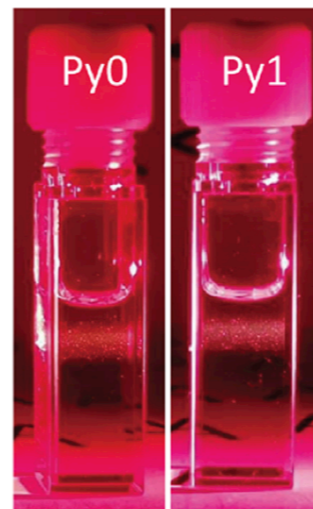
Py0

Upconversion applied in organic systems

Upconversion in Organic Materials

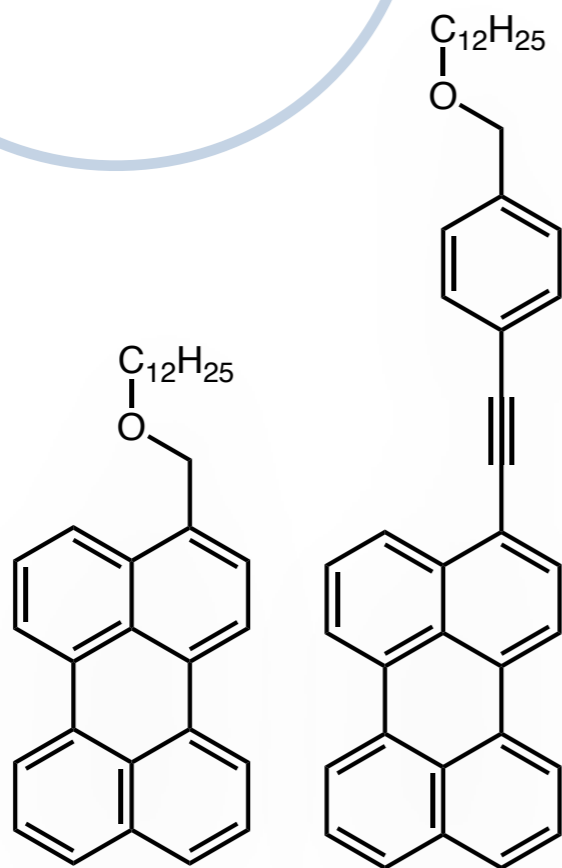


excitation = 653 nm



$3S^*$ = PdTNP

A =



Py0

Py1

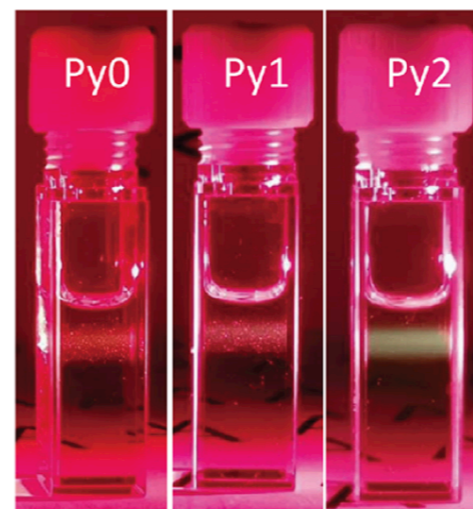
conjugation in organic annihilator

Upconversion applied in organic systems

Upconversion in Organic Materials

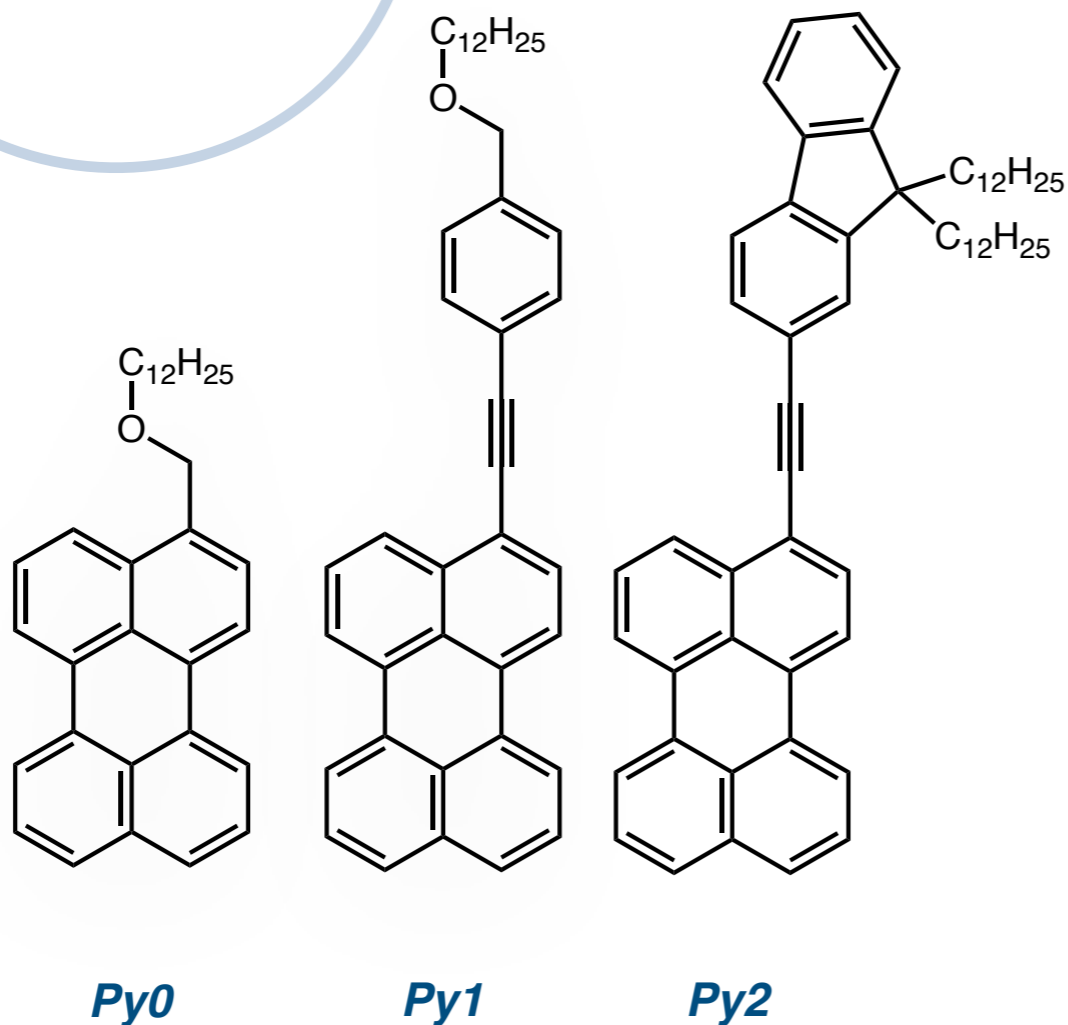


excitation = 653 nm



$3S^*$ = PdTNP

A =



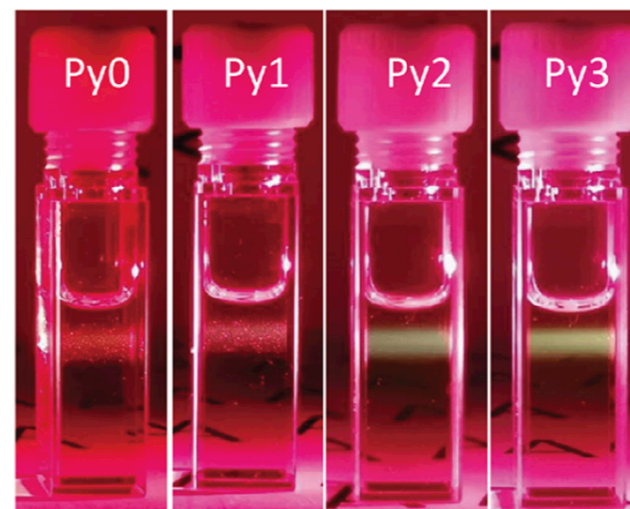
conjugation in organic annihilator

Upconversion applied in organic systems

Upconversion in Organic Materials

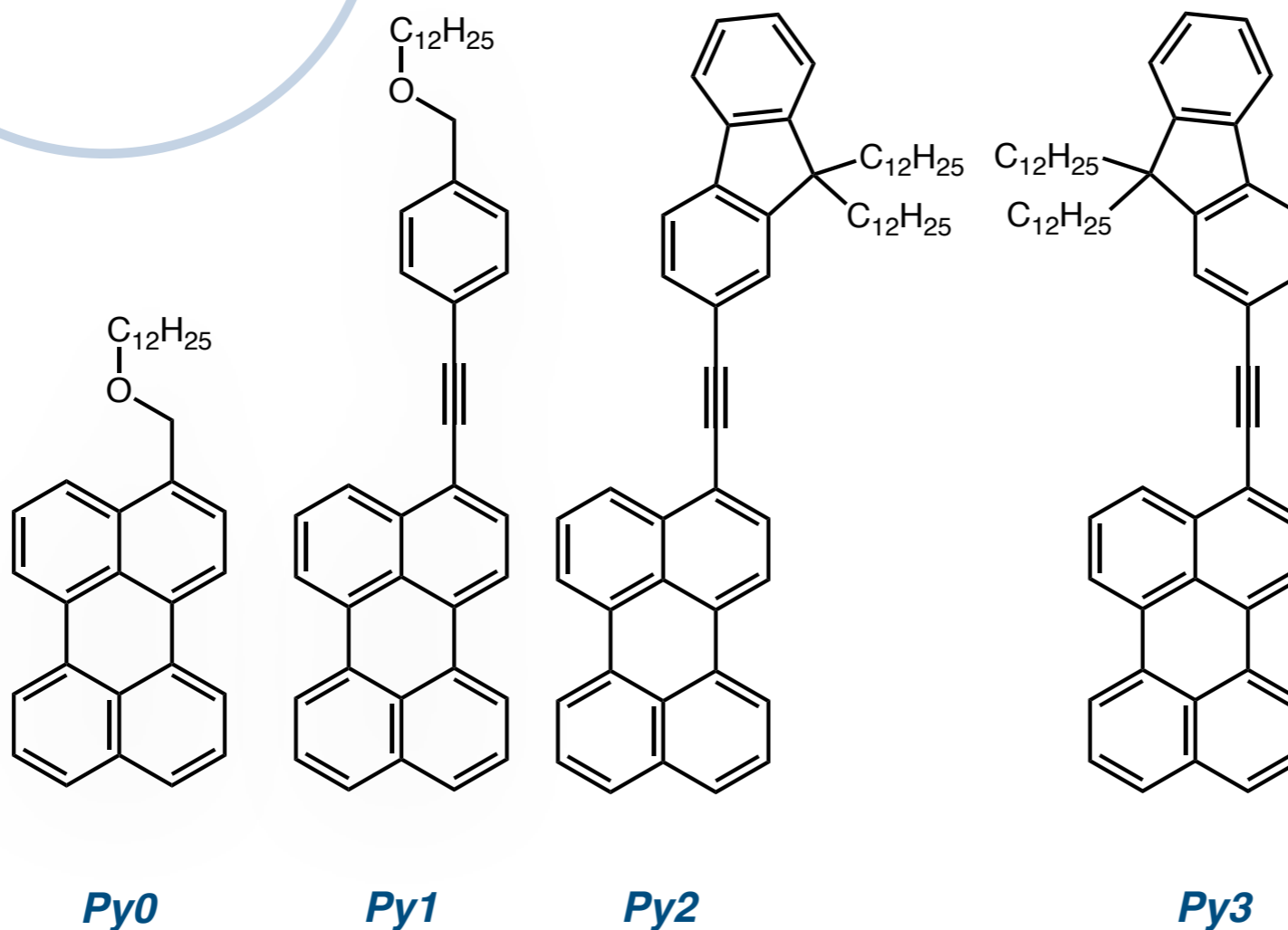


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$3S^*$ = PdTNP

A =



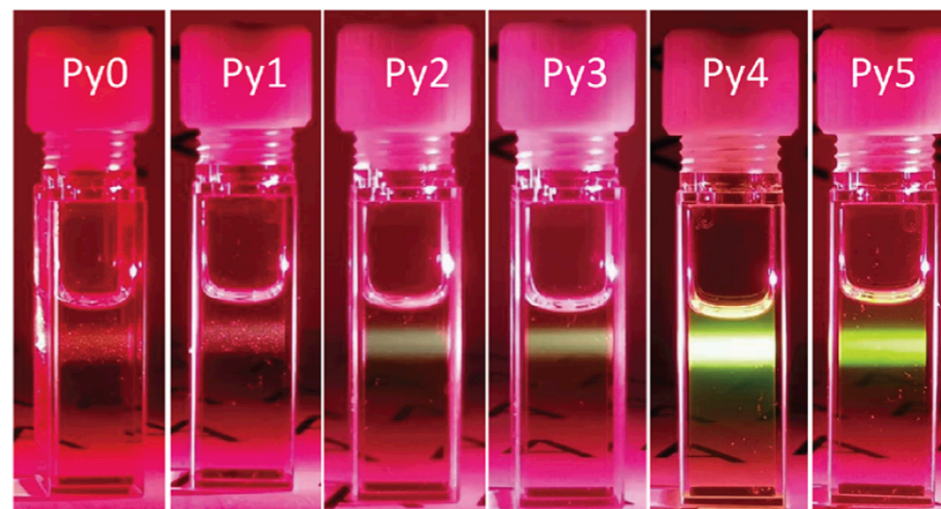
conjugation in organic annihilator

Upconversion applied in organic systems

Upconversion in Organic Materials

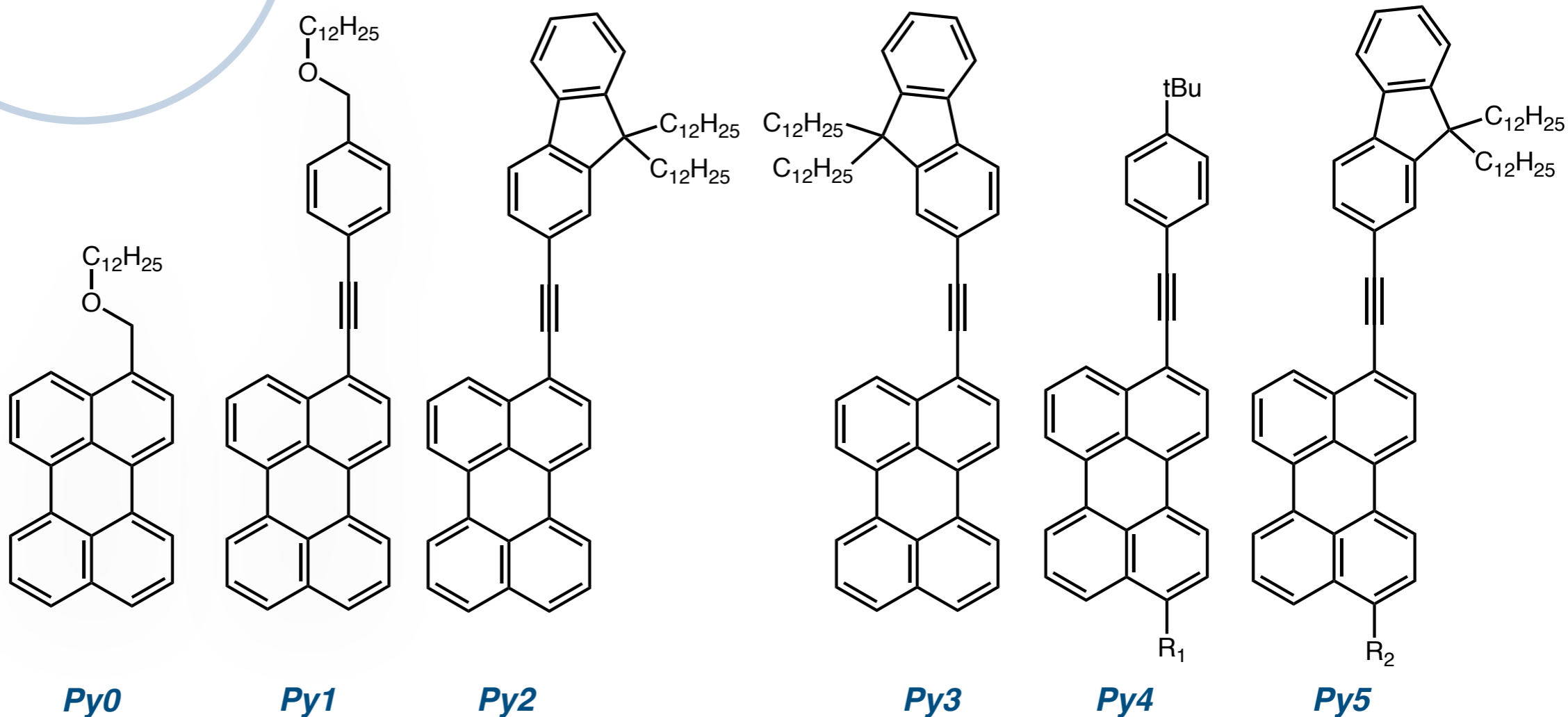


excitation = 653 nm



$3S^*$ = PdTNP

A =



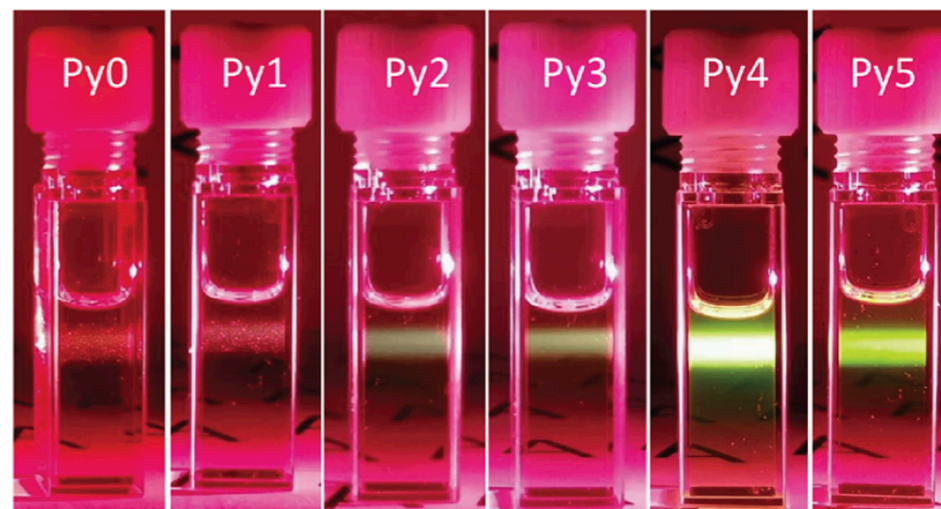
conjugation in organic annihilator

Upconversion applied in organic systems

Upconversion in Organic Materials

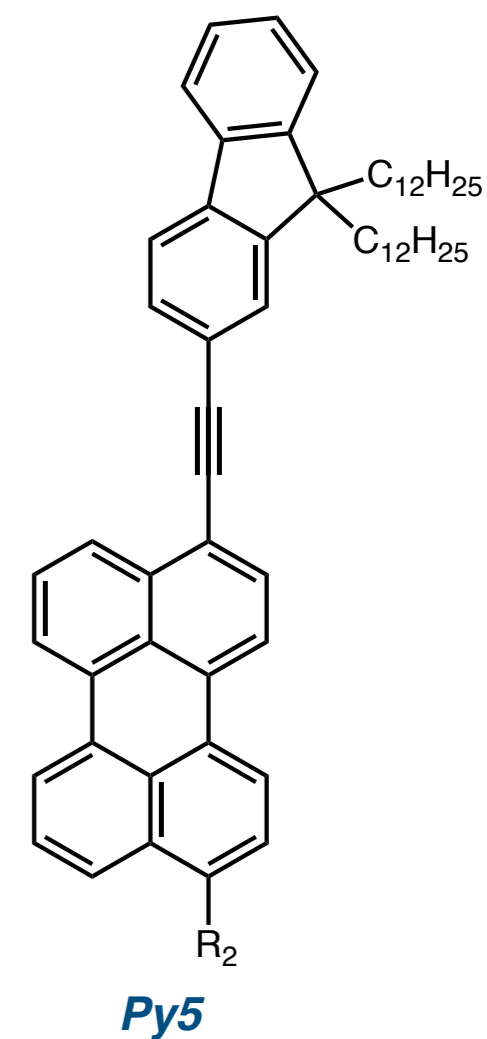
- NIR activated TTA upconversion


excitation = 653 nm



$3S^*$ = PdTNP

A =



conjugation in organic annihilator

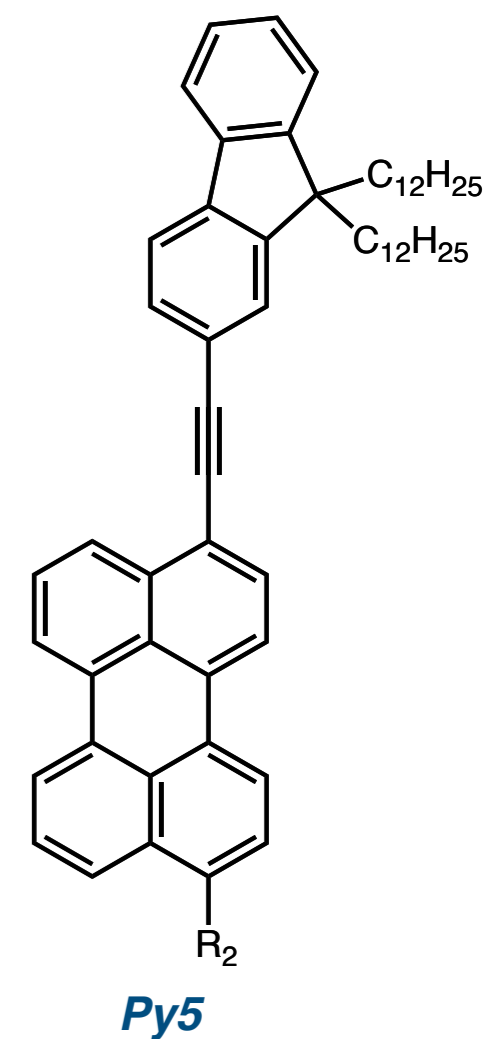
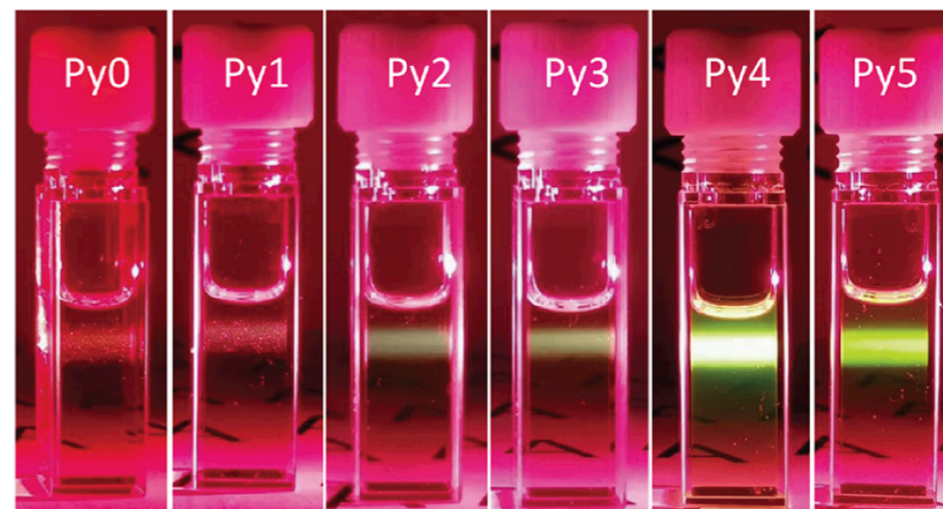
Upconversion applied in organic systems

Upconversion in Organic Materials

- NIR activated TTA upconversion
 $\Phi_{UC} = 16.7\%$ (653 nm)



excitation = 653 nm



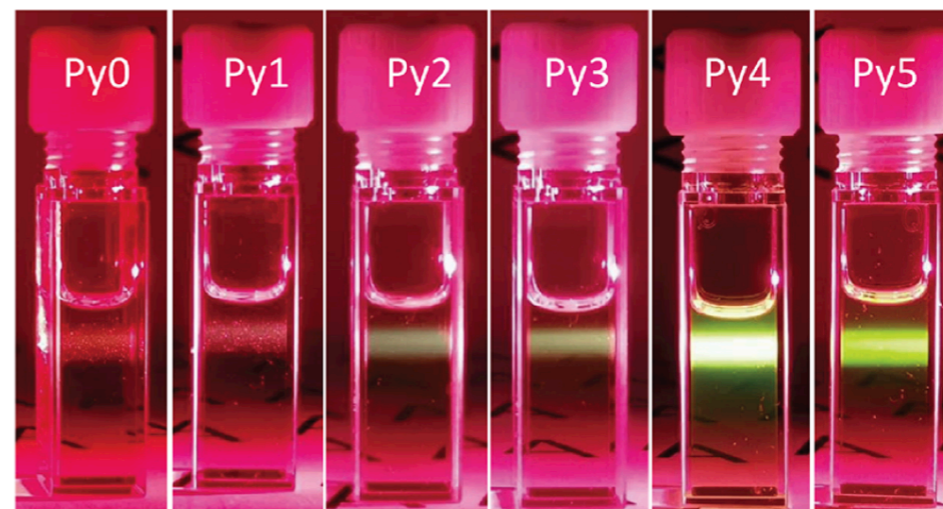
conjugation in organic annihilator

Upconversion applied in organic systems

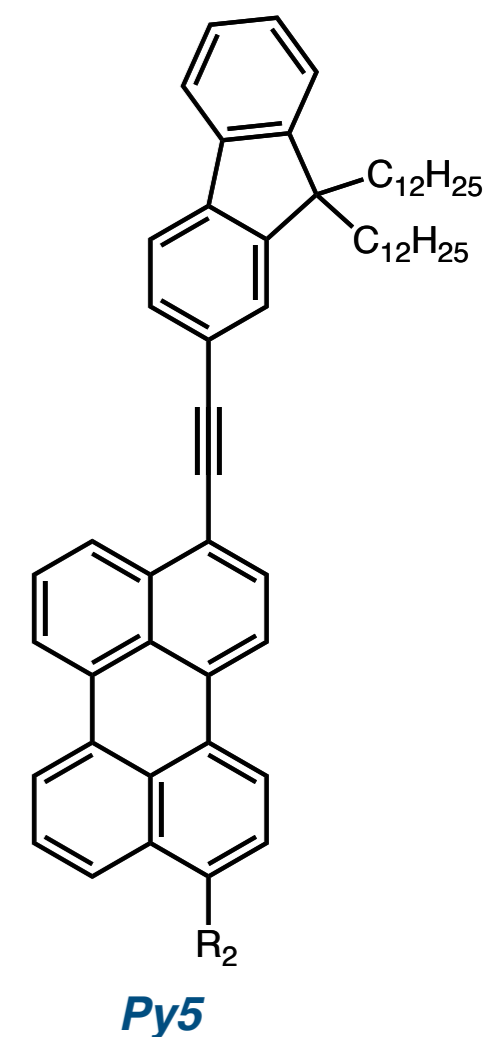
Upconversion in Organic Materials



excitation = 653 nm



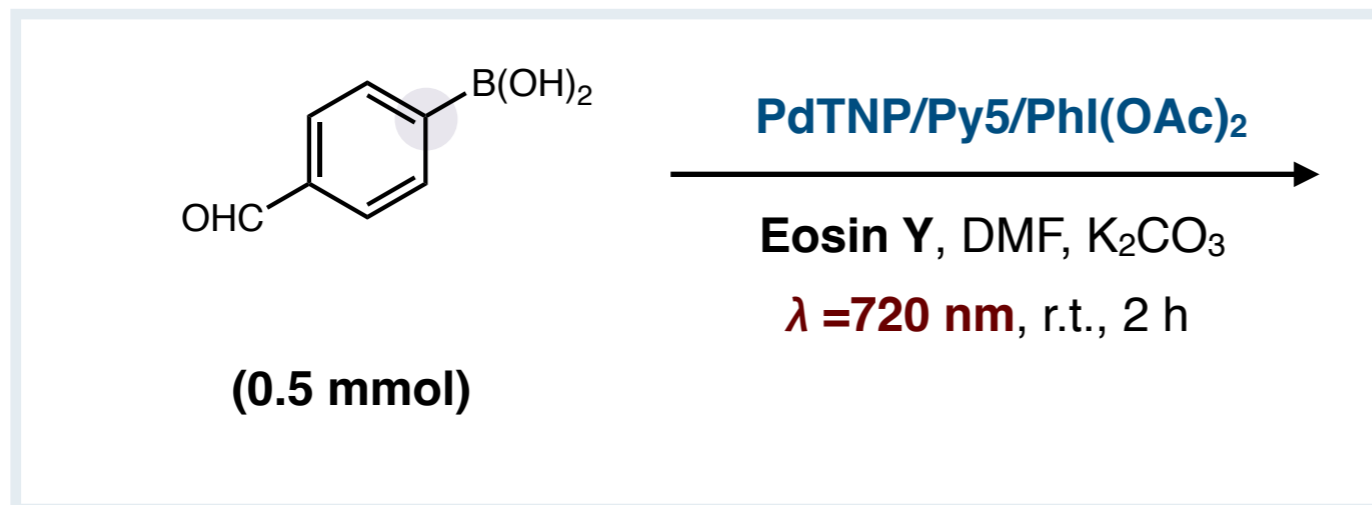
- **NIR activated TTA upconversion**
 $\Phi_{UC} = 16.7\%$ (653 nm)
- **Highest recorded NIR to green TTA-UC pair**



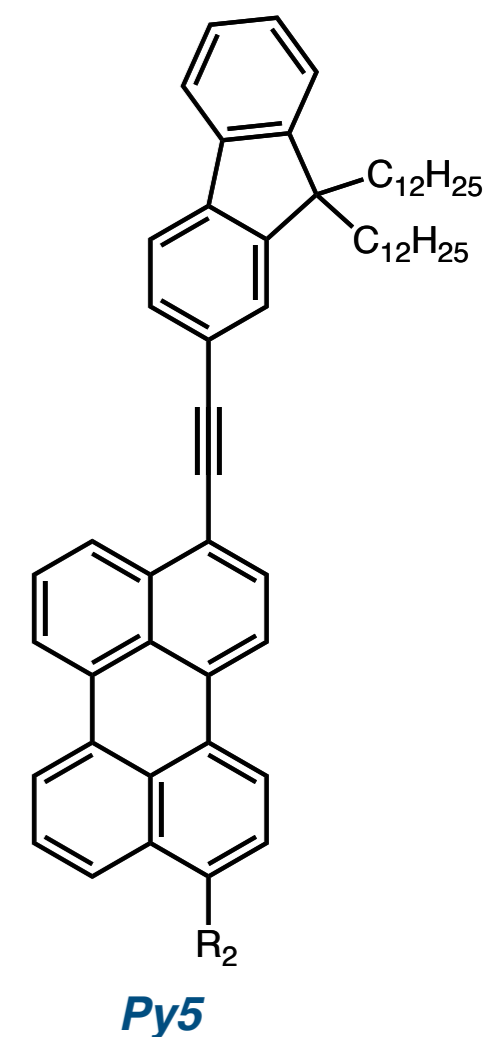
conjugation in organic annihilator

Upconversion applied in organic systems

- TTA-UC applied to photoredox



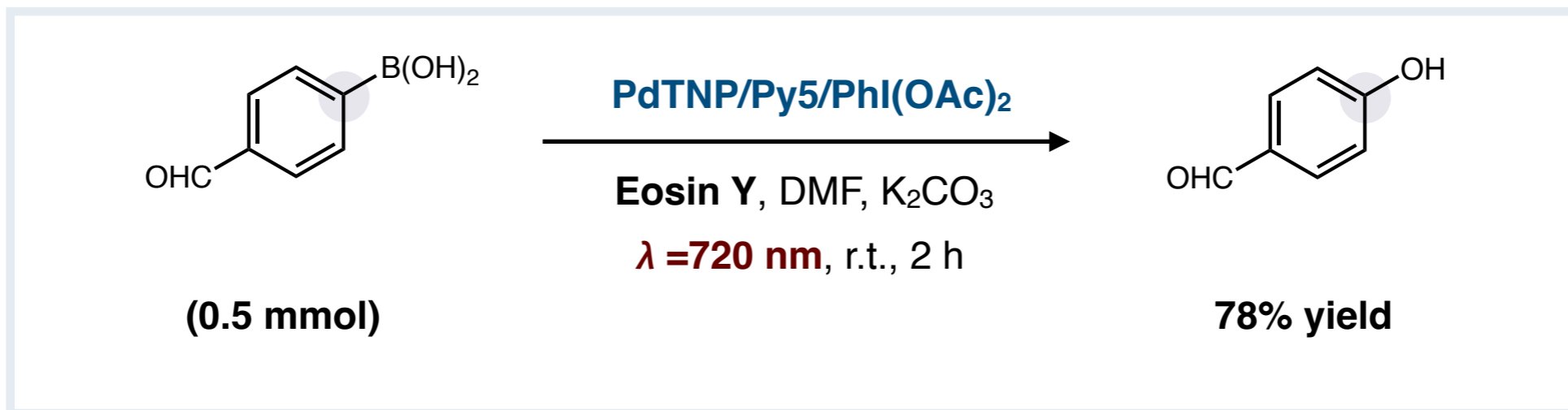
- NIR activated TTA upconversion
 $\Phi_{UC} = 16.7\%$ (653 nm)
- Highest recorded NIR to green TTA-UC pair



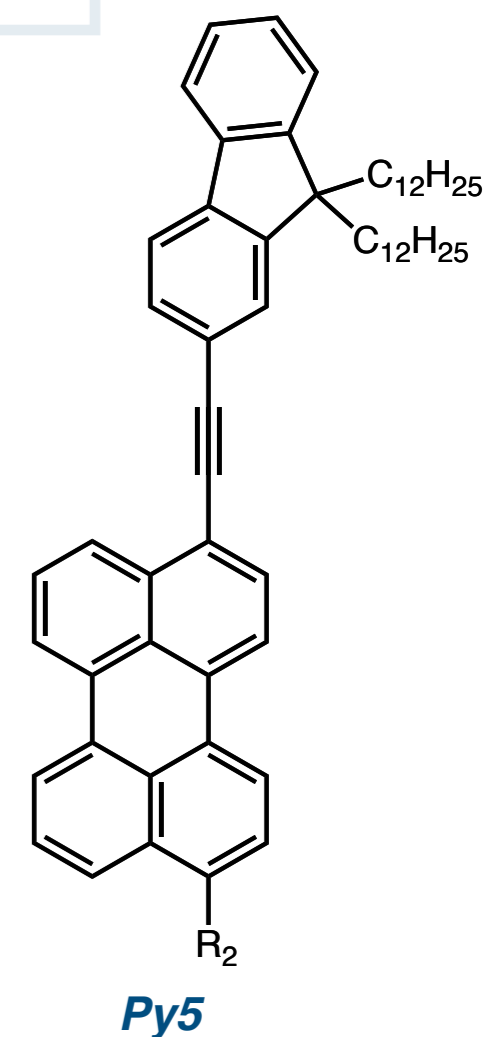
conjugation in organic annihilator

Upconversion applied in organic systems

- TTA-UC applied to photoredox



- NIR activated TTA upconversion
 $\Phi_{\text{UC}} = 16.7\%$ (653 nm)
- Highest recorded NIR to green TTA-UC pair



conjugation in organic annihilator

Upconversion in Photocatalysis

2 major considerations in NIR/vis upconversion

***Upconversion in
near-IR (NIR) and
Visible light
photocatalysis***

Upconversion in Photocatalysis

Consideration 1

- “Although irradiation with visible light is attractive for a number of reasons, **the longer the wavelength of absorption, the less energy the singlet and triplet excited states will possess**”

**Upconversion in
near-IR (NIR) and
Visible light
photocatalysis**

Upconversion in Photocatalysis

Consideration 1

- “Although irradiation with visible light is attractive for a number of reasons, **the longer the wavelength of absorption, the less energy the singlet and triplet excited states will possess**”

**Upconversion in
near-IR (NIR) and
Visible light
photocatalysis**

Consideration 2

- Molecules designed to **absorb in IR** do so at the **expense of triplet lifetime**

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

Tune a 1st-row transition metal to access sensitizer reactivity under NIR

21	22	23	24	25	26	27	28	29	30
Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn

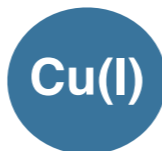
Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

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Previously:



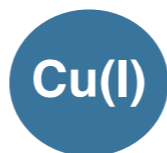
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Previously:



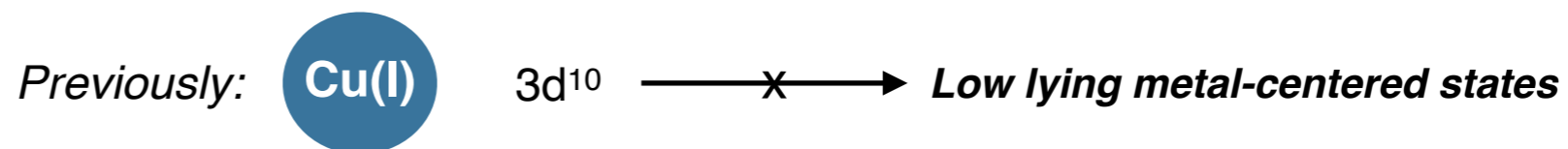
3d¹⁰

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

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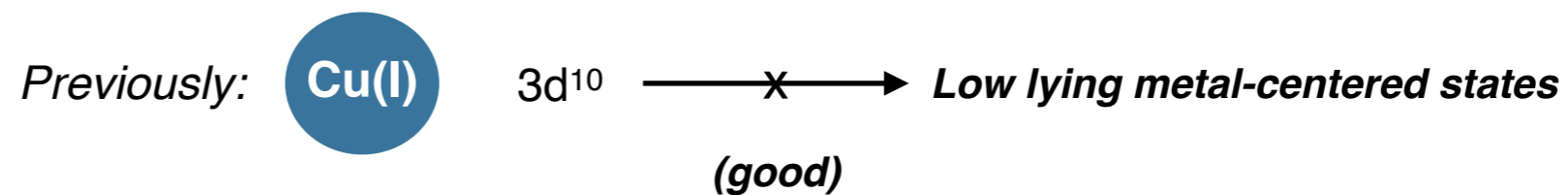


Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

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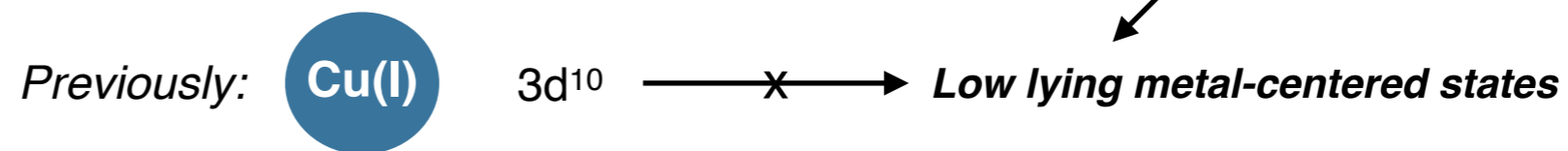


Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

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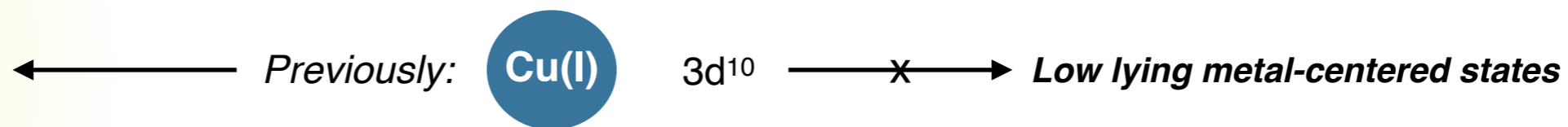
Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

Tune a 1st-row transition metal to access sensitizer reactivity under NIR

Luminescent CT excited states



Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

Tune a 1st-row transition metal to access sensitizer reactivity under NIR

Luminescent CT excited states



3d⁶



Low lying metal-centered states

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

Synthetic challenge:

Tune a 1st-row transition metal to access sensitizer reactivity under NIR

Luminescent CT excited states



3d⁶



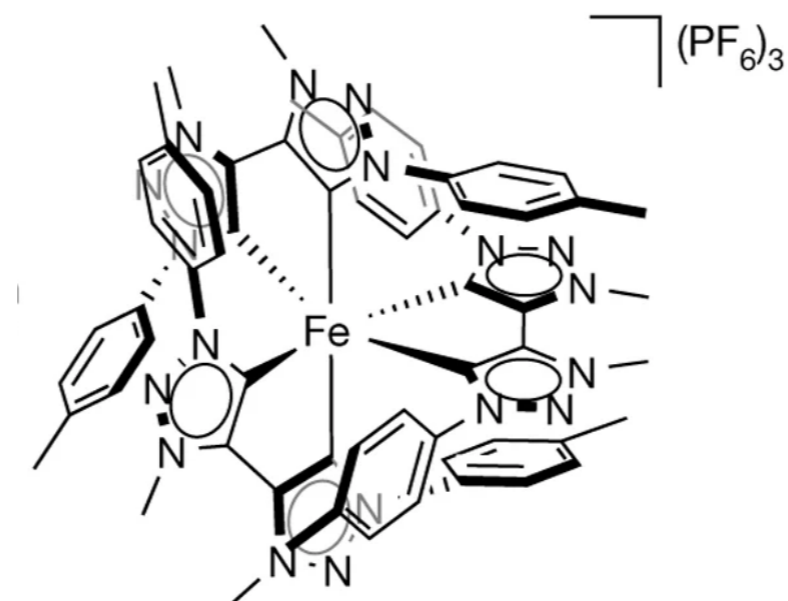
Low lying metal-centered states

sub-picosecond CT excited-state lifetime

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

- *Previously thought:*



Low-spin

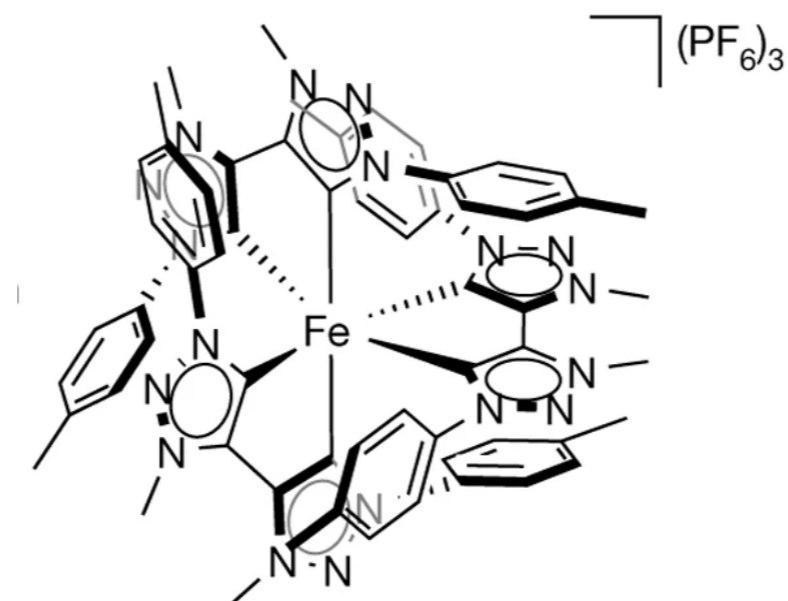


$3d^5$

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

- **Previously thought:**



Low-spin

Fe(III)

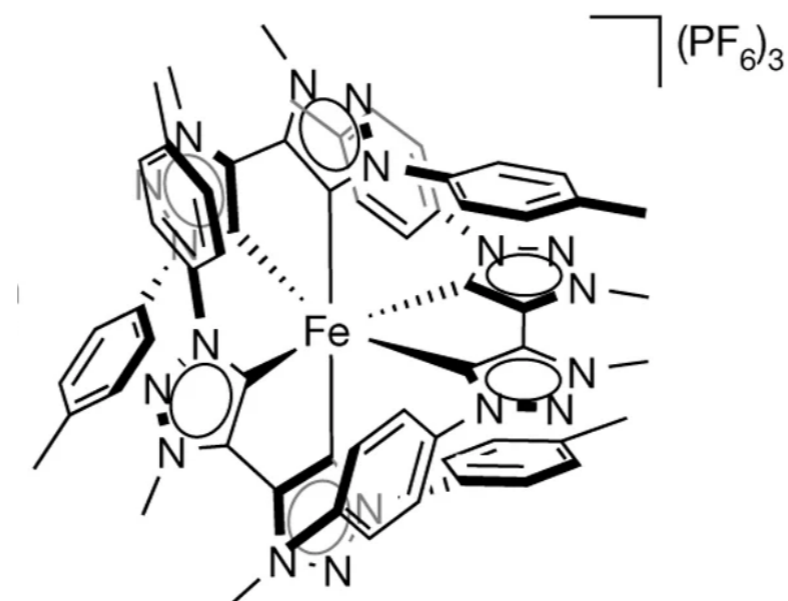
$3d^5$

- **Luminescent from LMCT excited state**

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

- **Previously thought:**



Low-spin



3d⁵

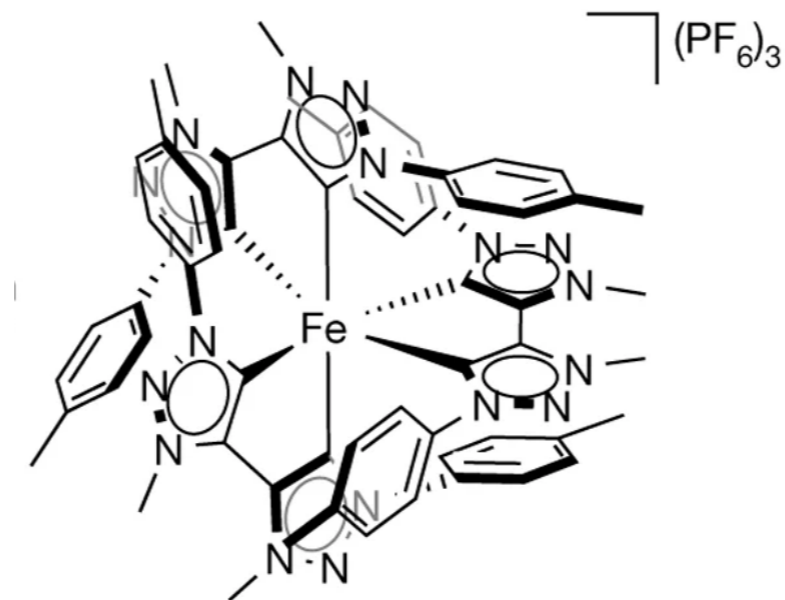
- **Luminescent from LMCT excited state**

$$\tau_{\text{Excited-state}} = 0.1 \text{ ns}^*$$

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC

- **Previously thought:**



Low-spin

Fe(III)

3d⁵

- **Luminescent from LMCT excited state**

$$\tau_{\text{Excited-state}} = 0.1 \text{ ns}^*$$

Alternative to:

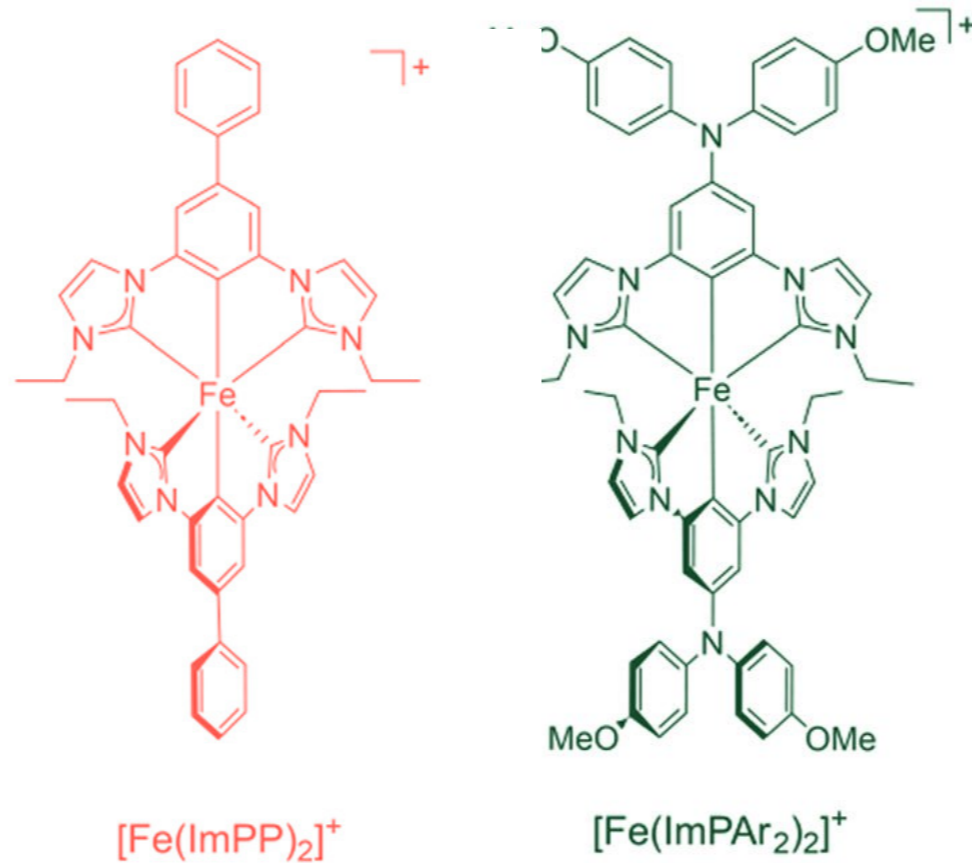
Fe(II)

3d⁶

- **MLCT**

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC



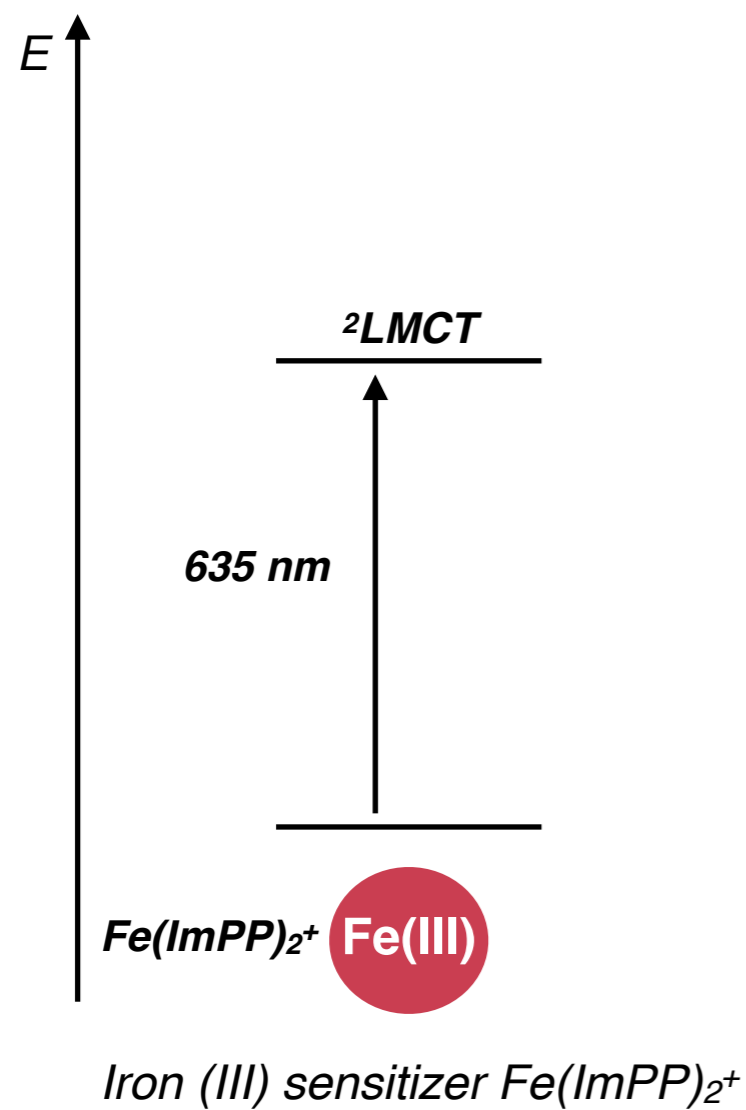
Low-spin

Fe(III)

$3d^5$

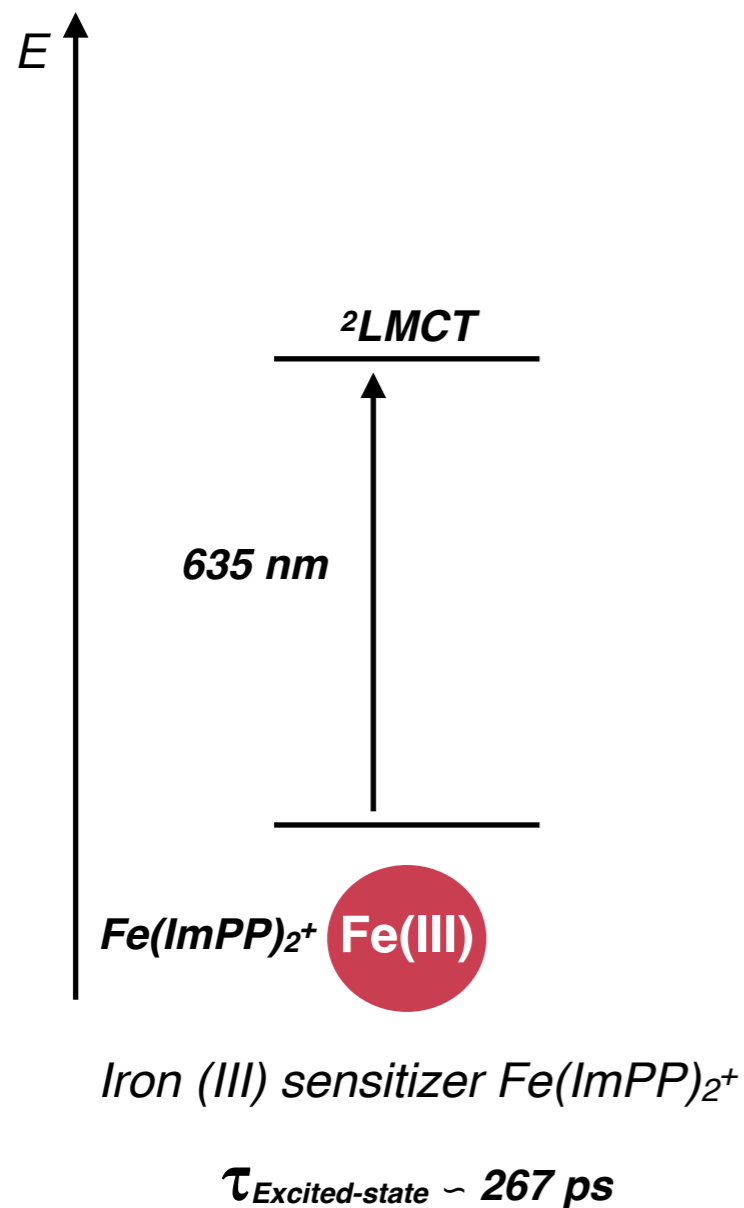
Tuning Sensitizer Energy Levels for Photoredox

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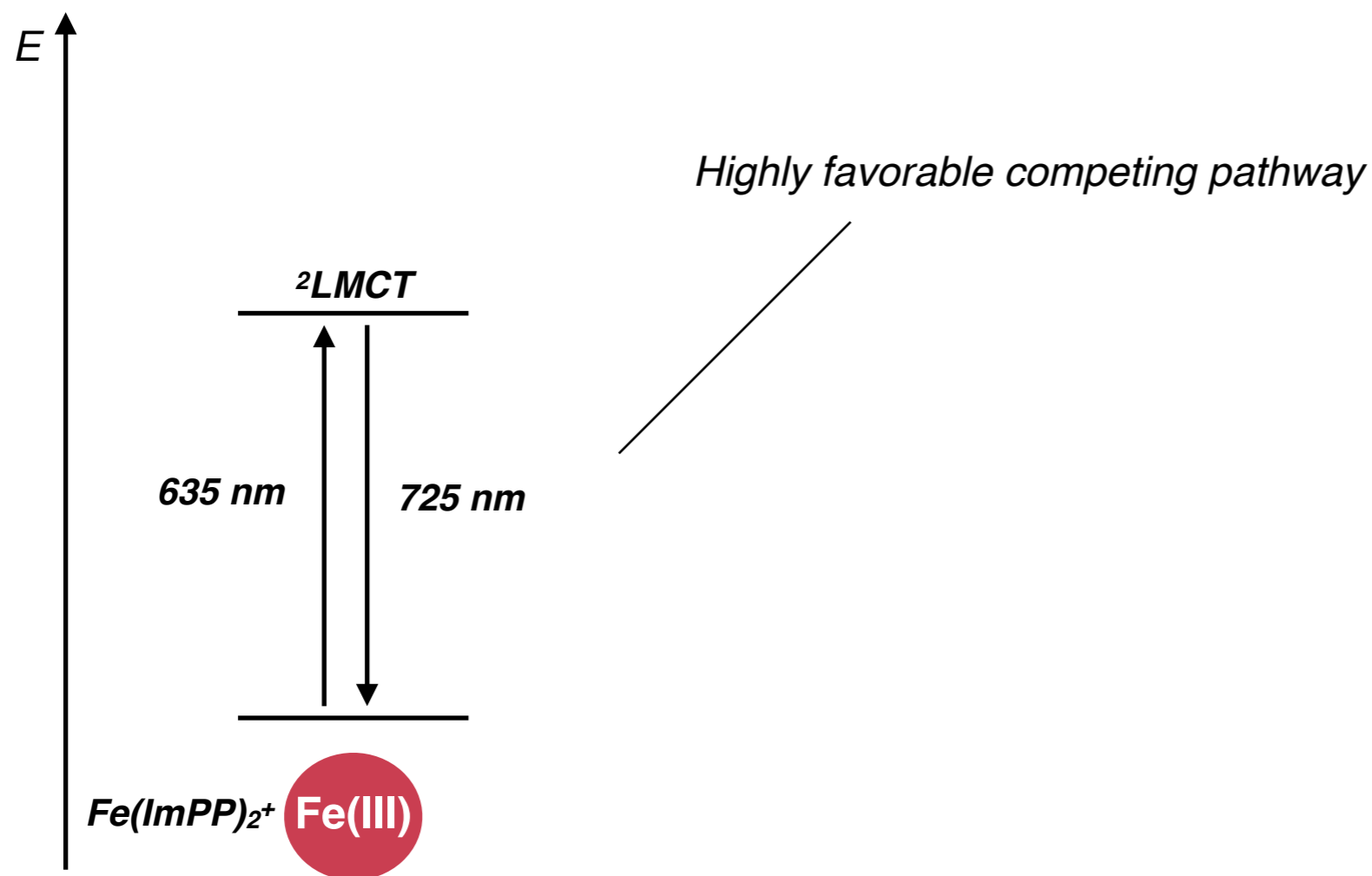
Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC



Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC



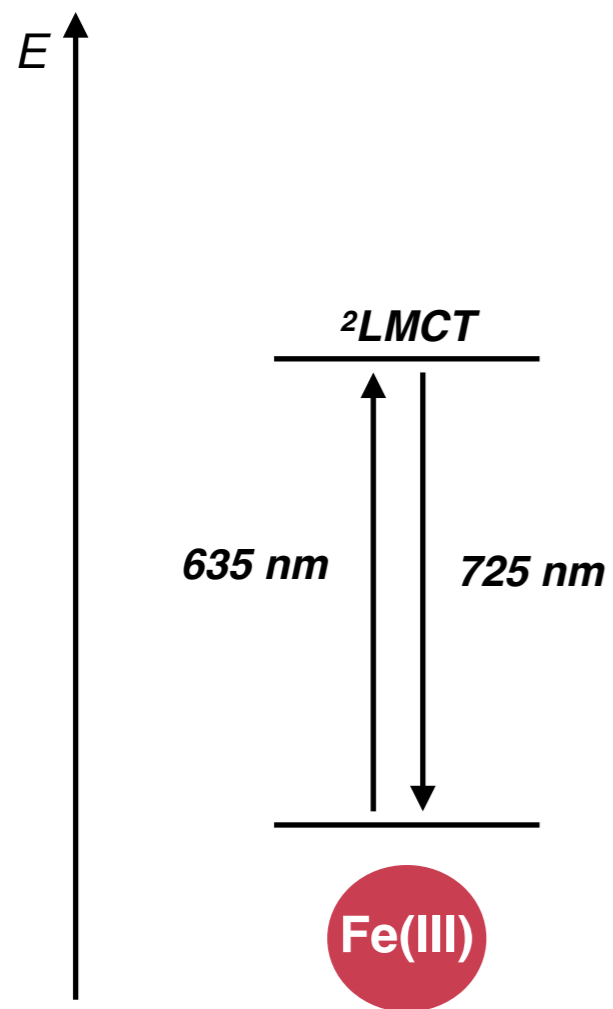
Iron (III) sensitizer Fe(ImPP)_2^+

$\tau_{\text{Excited-state}} \sim 267 \text{ ps}$

- **red-light emission**

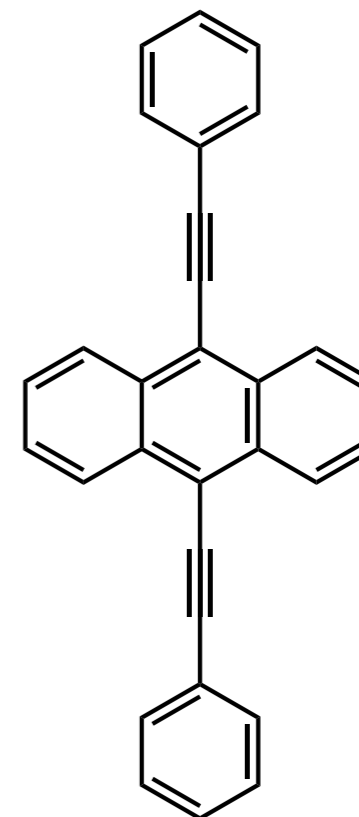
Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC



Iron (III) sensitizer $\text{Fe}(\text{ImPP})_2^+$

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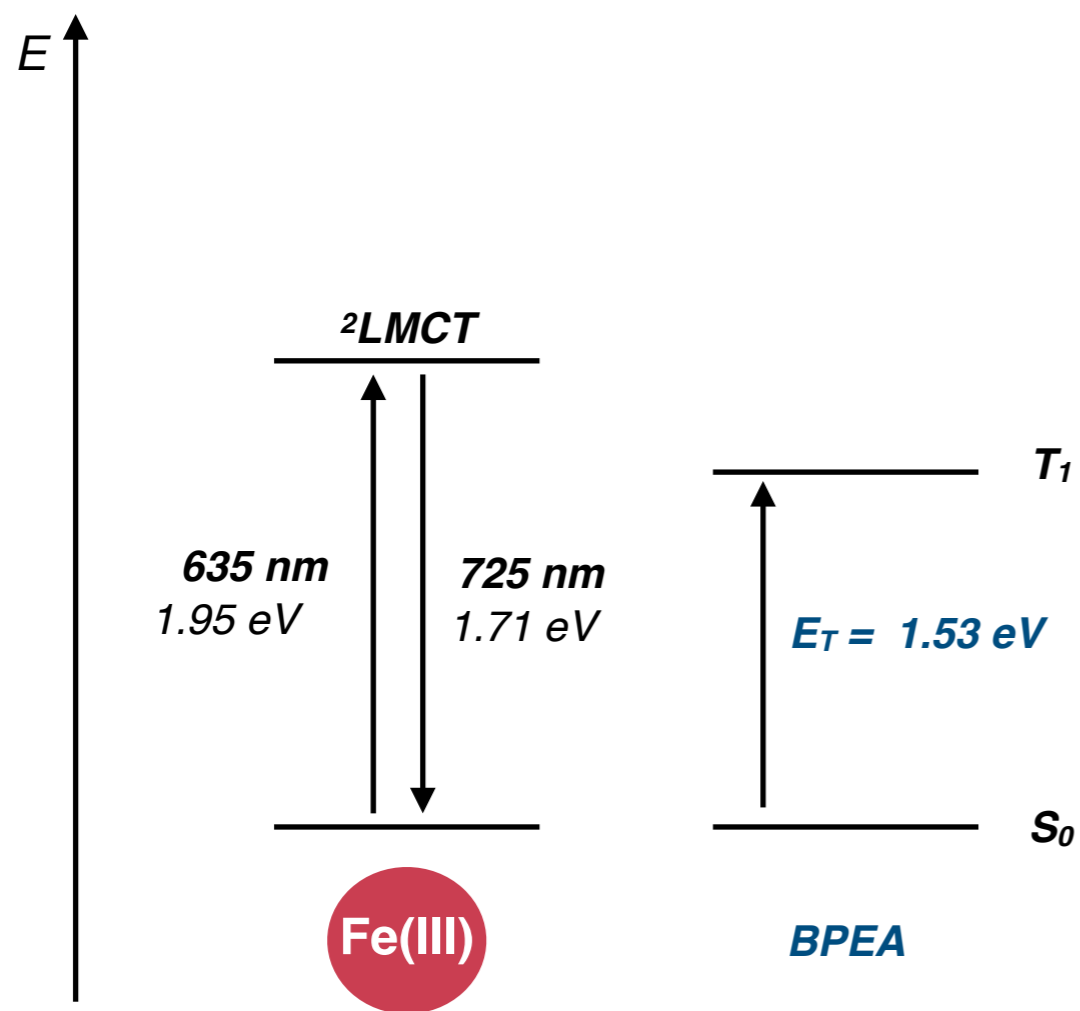


9,10-bis(phenylethynyl)anthracene (BPEA)

Organic annihilator

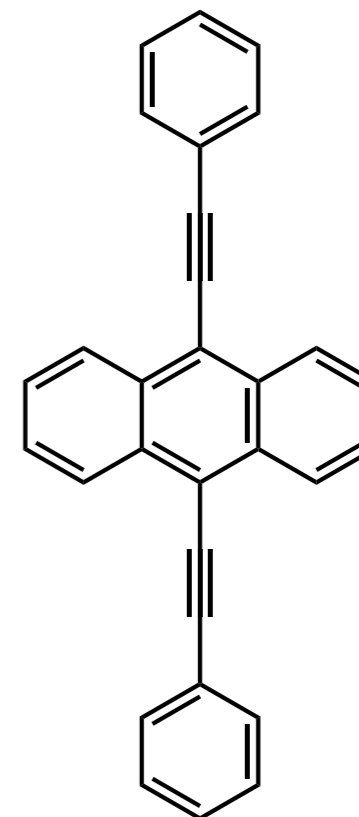
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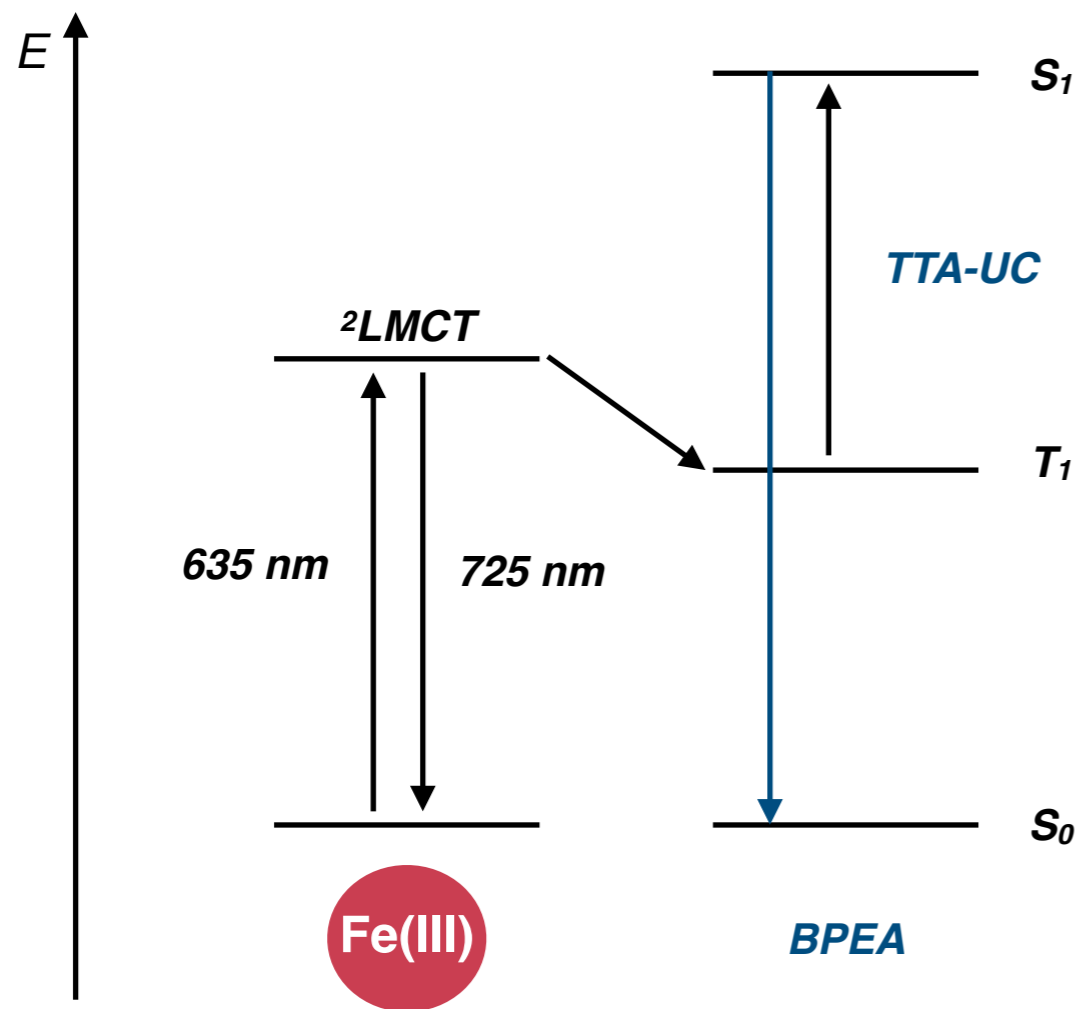


9,10-bis(phenylethynyl)anthracene (BPEA)

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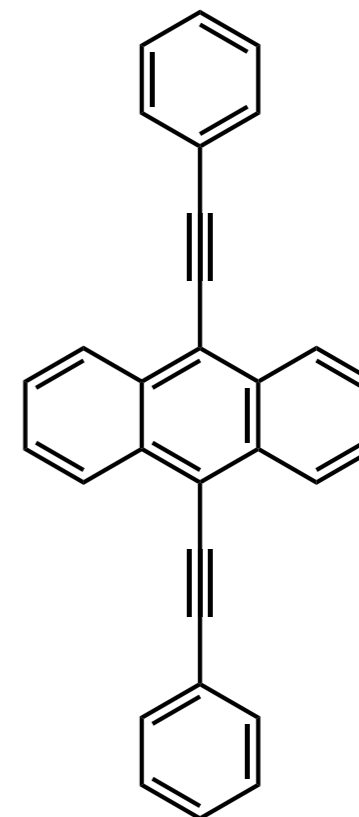
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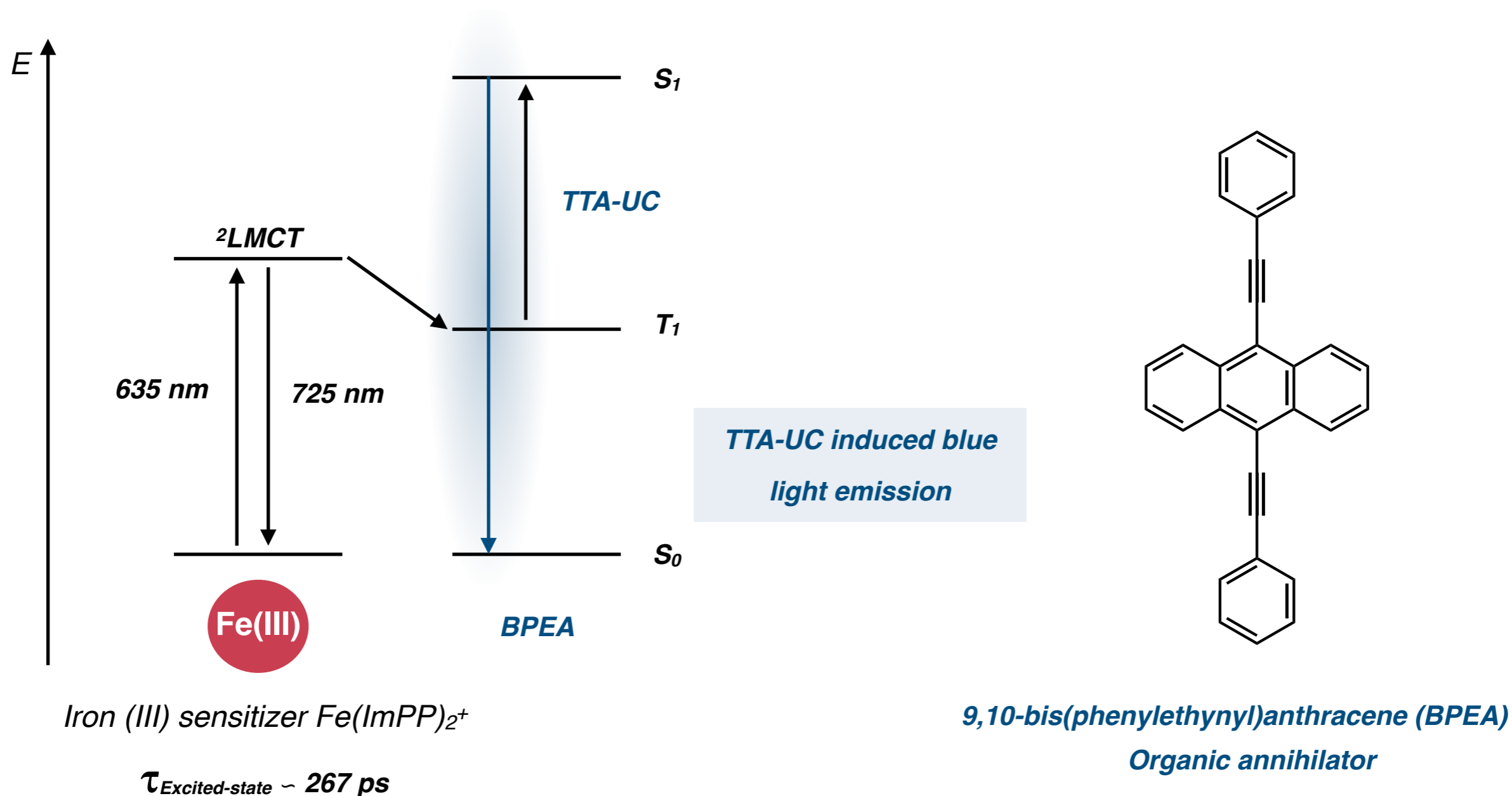


9,10-bis(phenylethynyl)anthracene (BPEA)

Organic annihilator

Tuning Sensitizer Energy Levels for Photoredox

Iron (III) sensitizer for organic annihilator TTA UC



Upconversion applied in organic systems



***Upconversion in
Organic Materials***

2 key mechanisms:

- ***Triplet-Triplet
Annihilation*** ✓
- ***Energy Pooling***

Upconversion applied in organic systems

***Upconversion in
Organic Materials***

Cooperative Energy Pooling (CEP)

- *energy transfer mechanism*

Upconversion applied in organic systems



Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- *energy transfer mechanism*
- *lowest-lying singlet excitations*

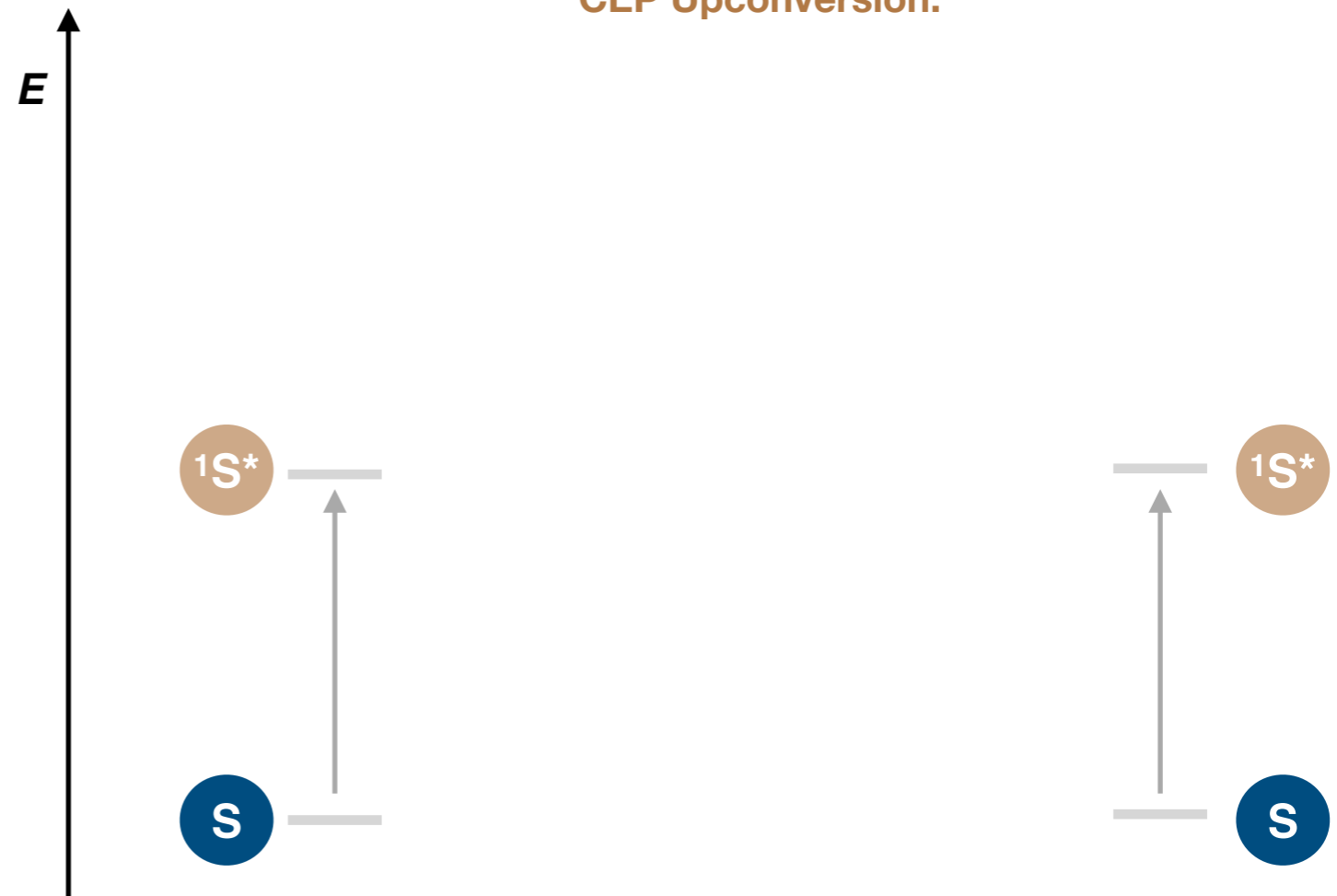
Upconversion applied in organic systems

Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- energy transfer mechanism
- lowest-lying singlet excitations

CEP Upconversion:

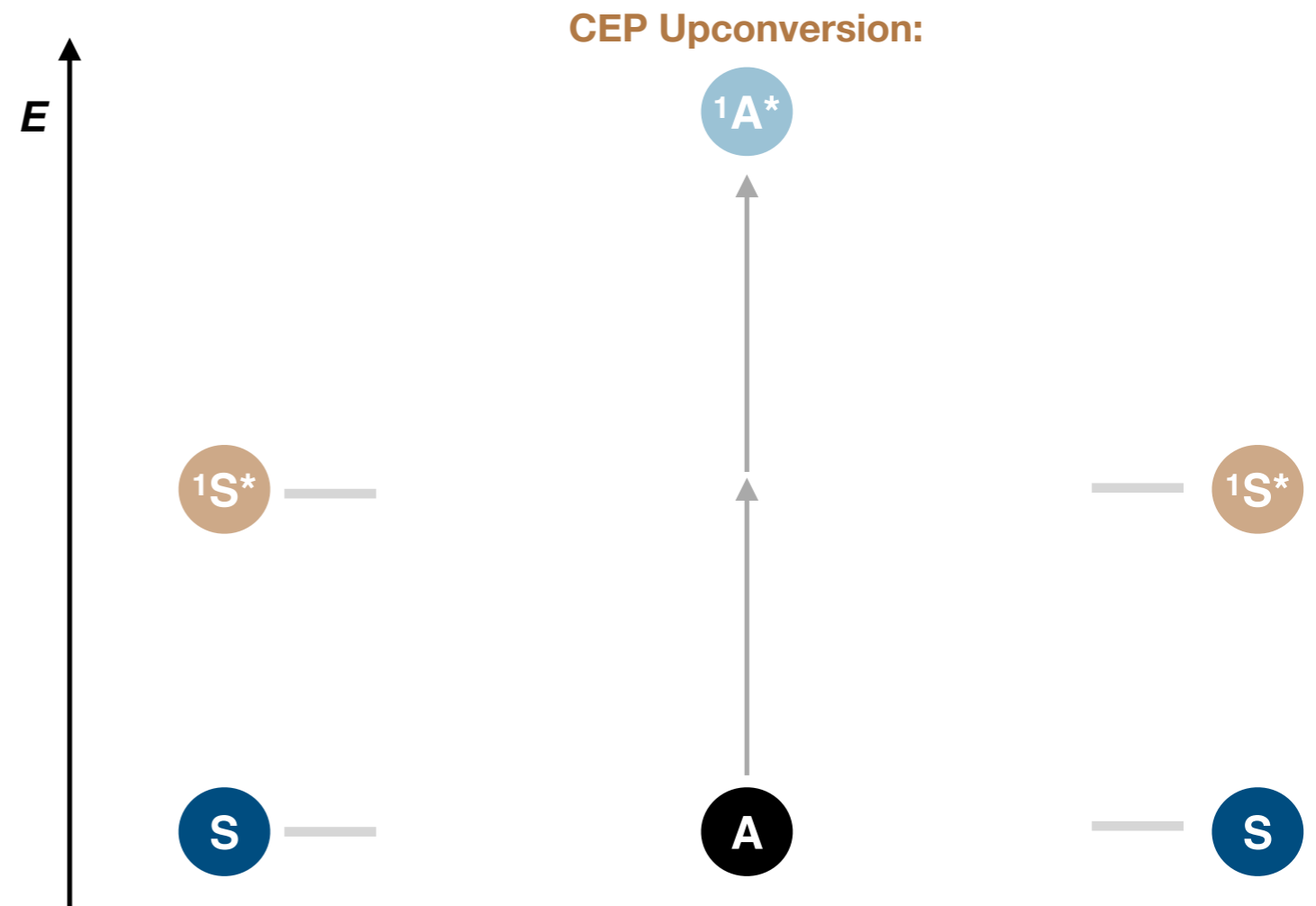


Upconversion applied in organic systems

Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- energy transfer mechanism
- lowest-lying singlet excitations

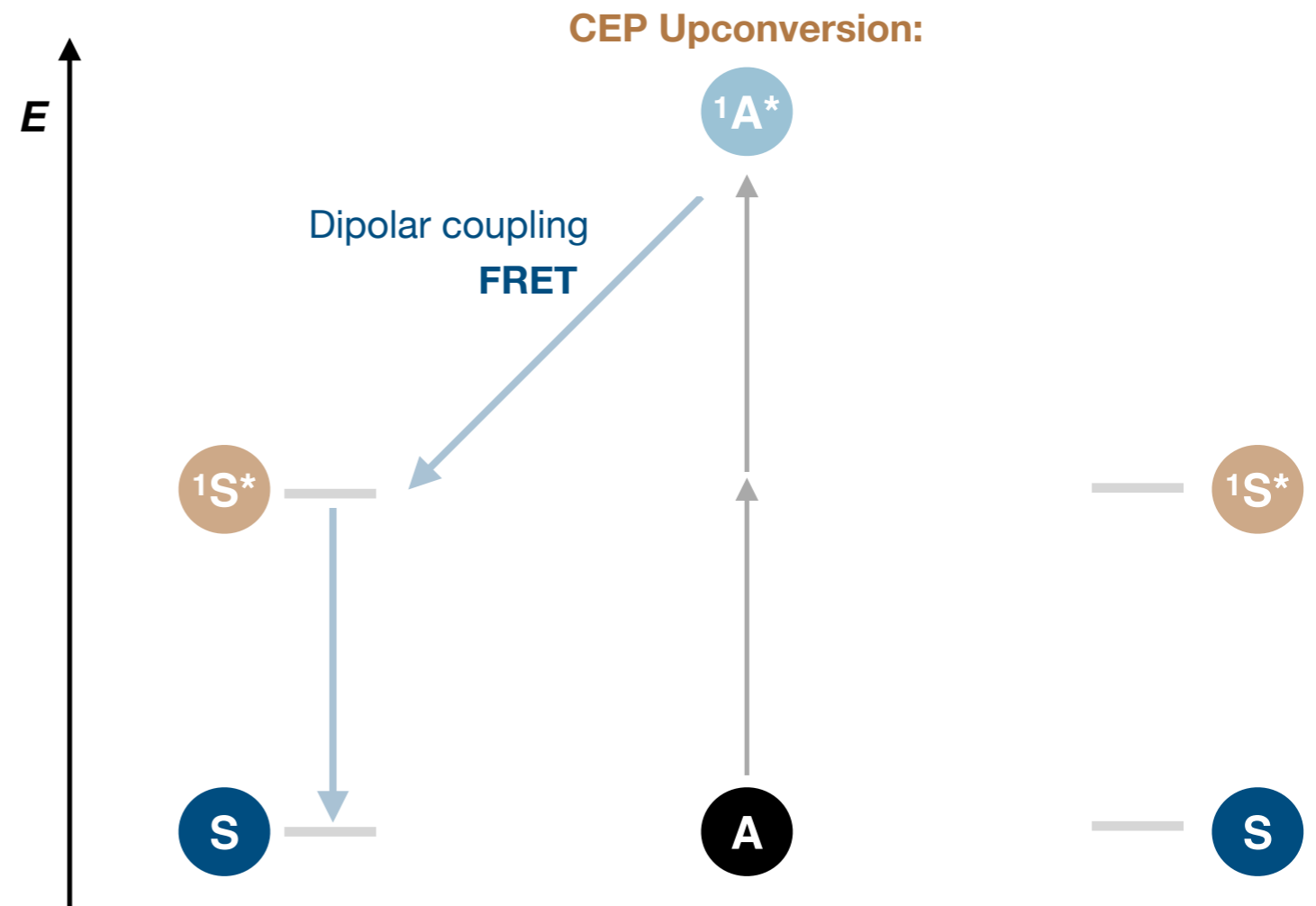


Upconversion applied in organic systems

Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- energy transfer mechanism
- lowest-lying singlet excitations

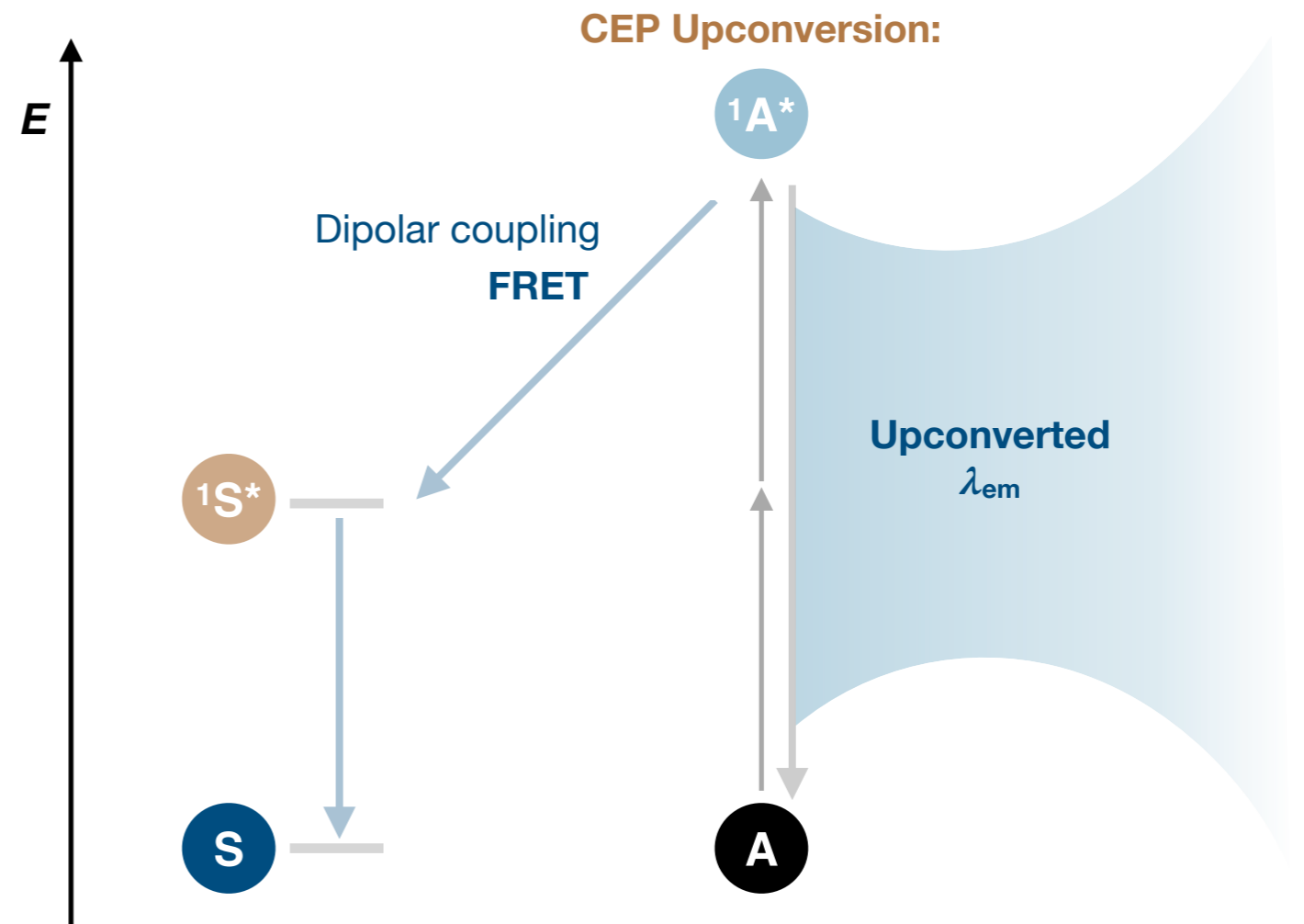


Upconversion applied in organic systems

Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- energy transfer mechanism
- lowest-lying singlet excitations

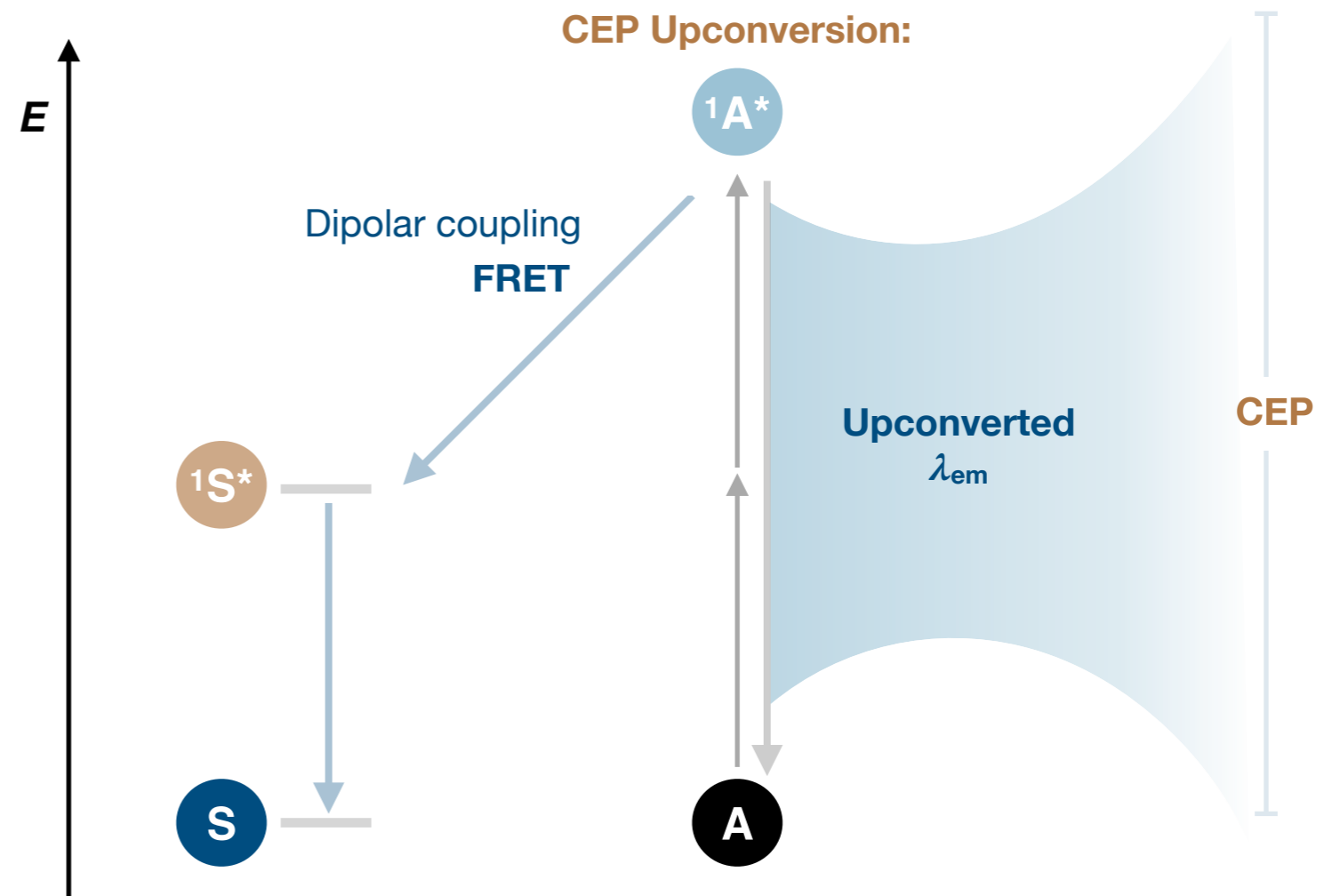


Upconversion applied in organic systems

Upconversion in Organic Materials

Cooperative Energy Pooling (CEP)

- energy transfer mechanism
- lowest-lying singlet excitations



- *Potential towards **selective** photochemical reactivity*



*Upconversion using
near-IR (NIR) light*

Biological tissues have an optical
transparency window in **NIR** range
~ 700-1100 nm

**Upconversion using
near-IR (NIR) light**

Biological tissues have an optical
transparency window in **NIR** range
~ 700-1100 nm

**Upconversion using
near-IR (NIR) light**

- *Reduced photodamage effects*

Biological tissues have an optical transparency window in **NIR** range
~ 700-1100 nm

Upconversion using near-IR (NIR) light

- *Reduced photodamage effects*
- *Deeper light penetration*

Upconversion applied in organic systems

Hydrogel upconversion

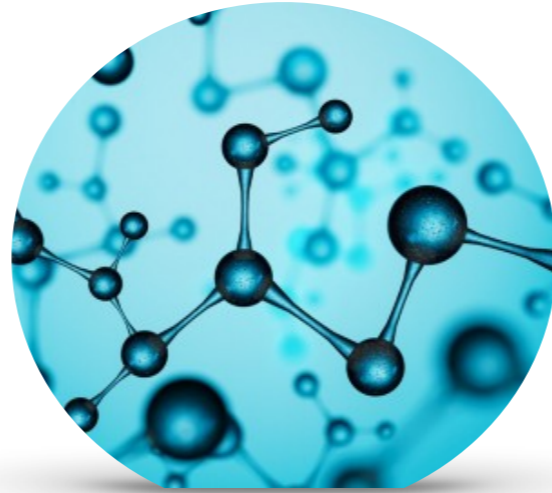
Can you apply upconversion to therapeutics?

Upconversion applied in organic systems

Hydrogel upconversion

The utility of hydrogels:

3D biocompatible scaffold



Upconversion applied in organic systems

Hydrogel upconversion

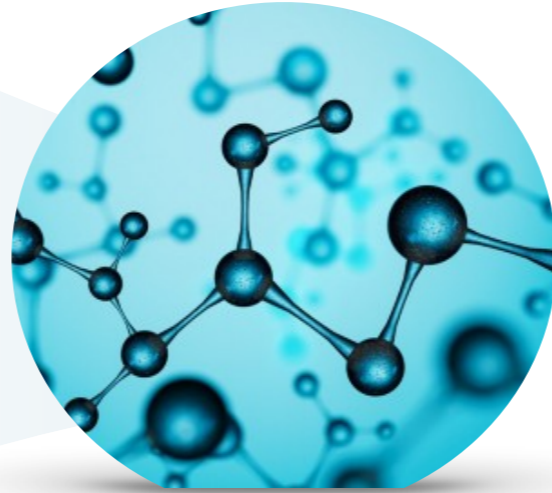
The utility of hydrogels:

3D biocompatible scaffold

Hydrophobic polymers

+

Water-soluble polymers



Upconversion applied in organic systems

Hydrogel upconversion

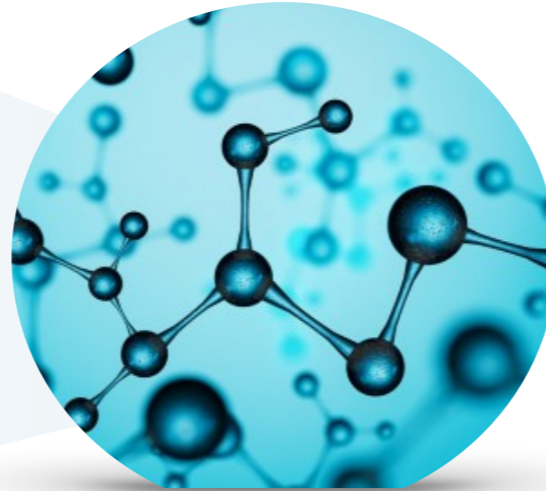
The utility of hydrogels:

3D biocompatible scaffold

Hydrophobic polymers

+

Water-soluble polymers



- *Control drug and protein delivery*

Upconversion applied in organic systems

Hydrogel upconversion

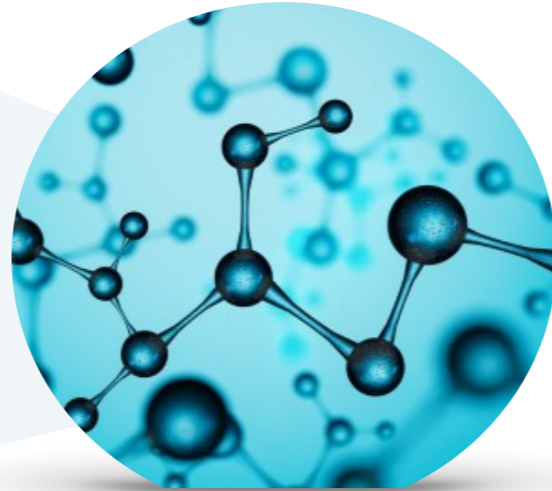
The utility of hydrogels:

3D biocompatible scaffold

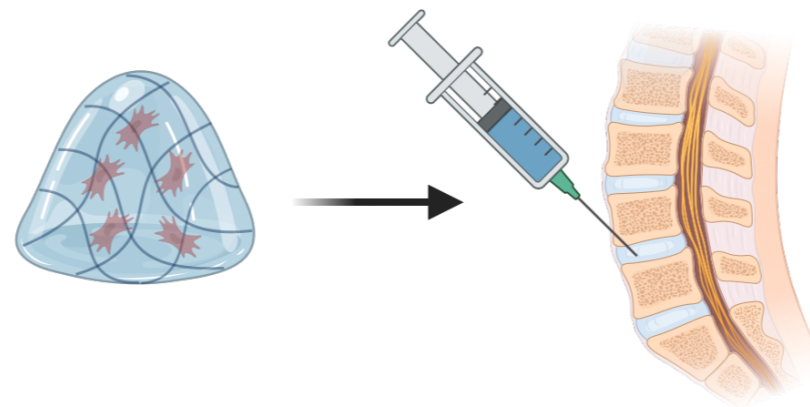
Hydrophobic polymers

+

Water-soluble polymers



- *Control drug and protein delivery*
- *The most widely used scaffolds to regenerate damaged tissue*

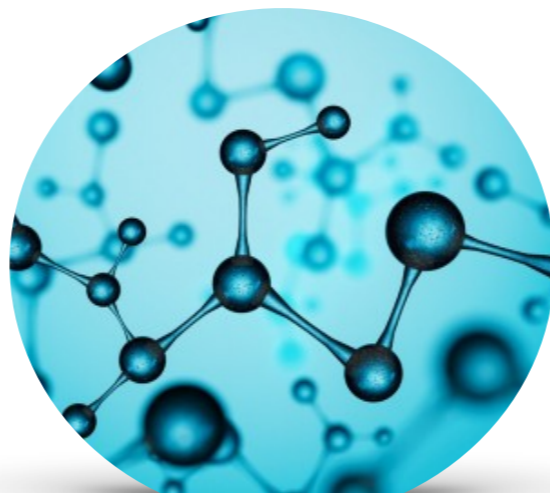


Upconversion applied in organic systems

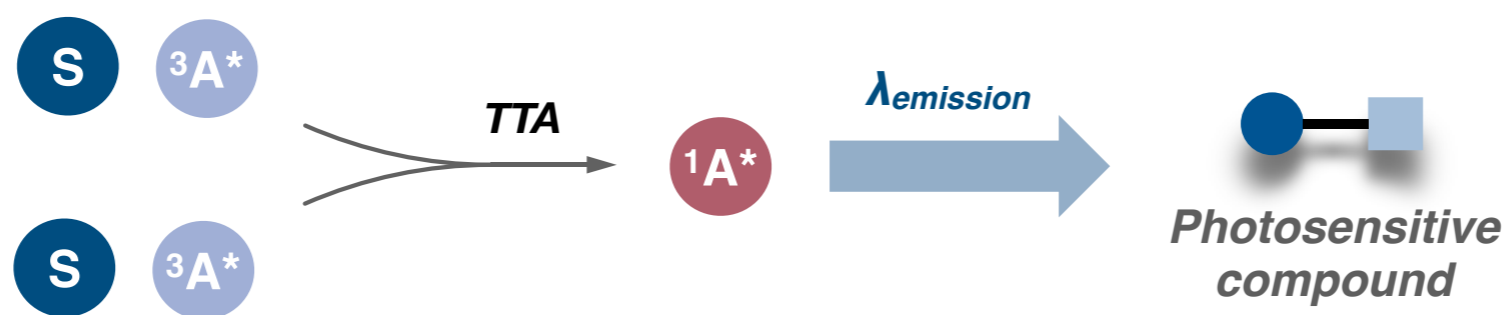
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Triplet-Triplet Annihilation (TTA) Upconversion

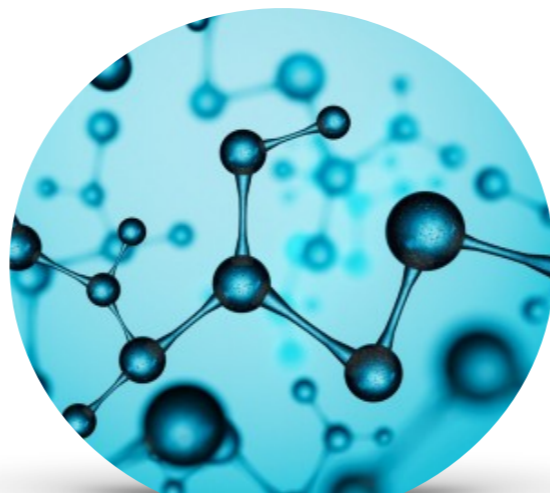


Upconversion applied in organic systems

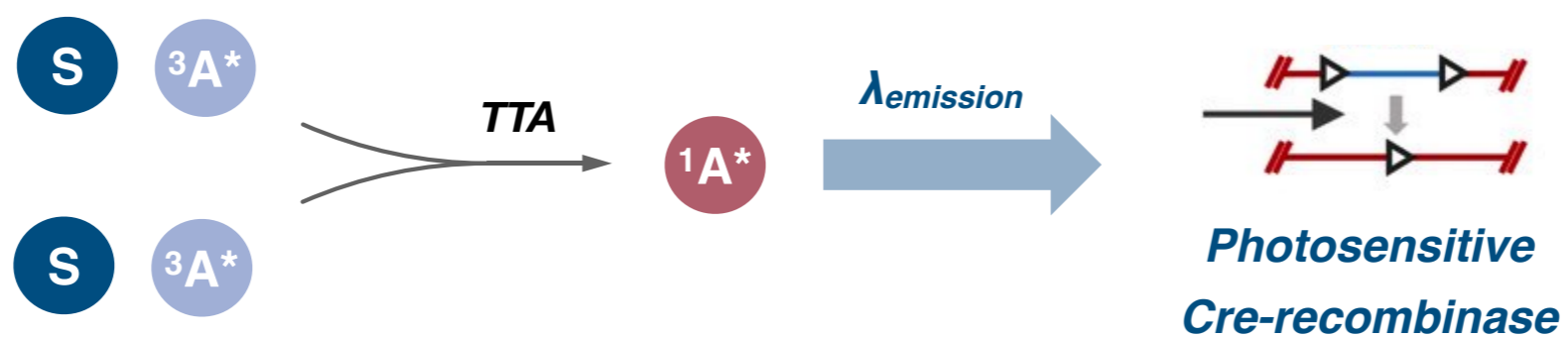
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Triplet-Triplet Annihilation (TTA) Upconversion

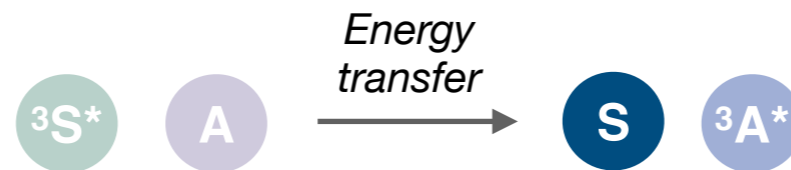


Upconversion applied in organic systems

Hydrogel upconversion

Design considerations:

#1. Hydrophobic chromophore O₂ quenching



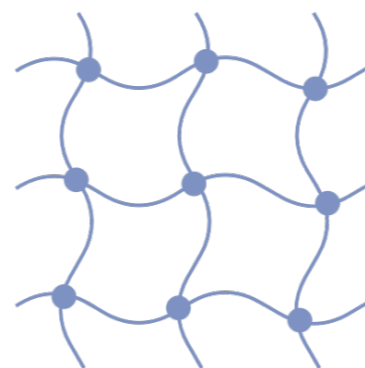
Acceptor (A) = Organic substrate

Upconversion applied in organic systems

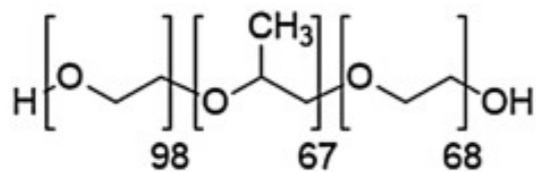
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Viscous hydrogel matrix:



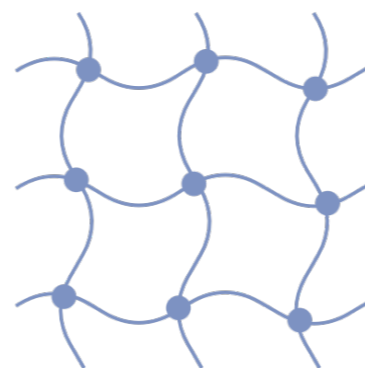
Pluronic F127

Upconversion applied in organic systems

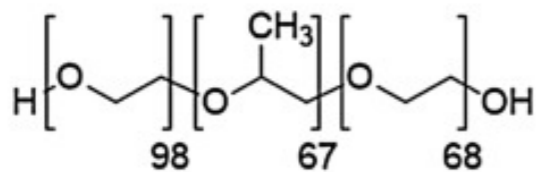
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Viscous hydrogel matrix:



Pluronic F127

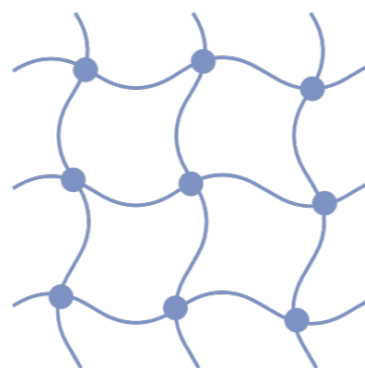
- Suppresses O₂ diffusion

Upconversion applied in organic systems

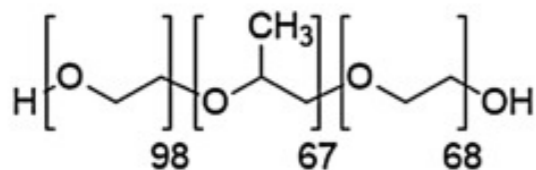
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Viscous hydrogel matrix:



Pluronic F127

- Suppresses O₂ diffusion

#1. Hydrophobic chromophore O₂ quenching

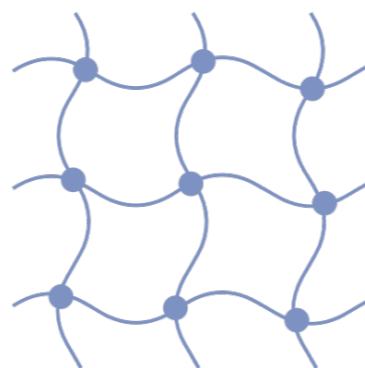


Upconversion applied in organic systems

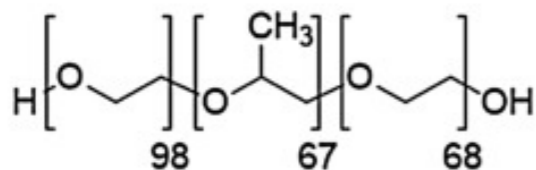
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Viscous hydrogel matrix:



Pluronic F127

- Suppresses O₂ diffusion

#1. Hydrophobic chromophore O₂ quenching ✓

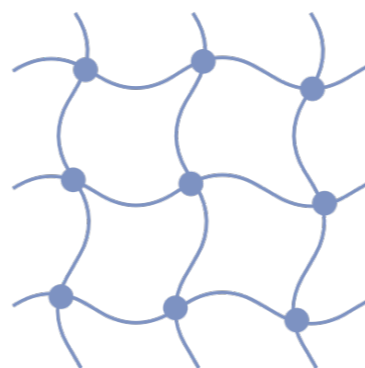
#2. τ_{excited} sensitizer too short to enable collision with acceptors

Upconversion applied in organic systems

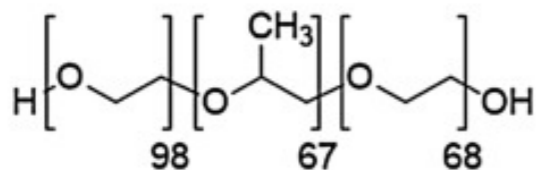
Hydrogel upconversion

Design considerations:

3D biocompatible scaffold



Viscous hydrogel matrix:



Pluronic F127

- Suppresses O₂ diffusion

#2. τ_{excited} sensitizer too short to enable collision with acceptors

Consideration 2

- Molecules designed to **absorb in IR** do so at the **expense of triplet lifetime**

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

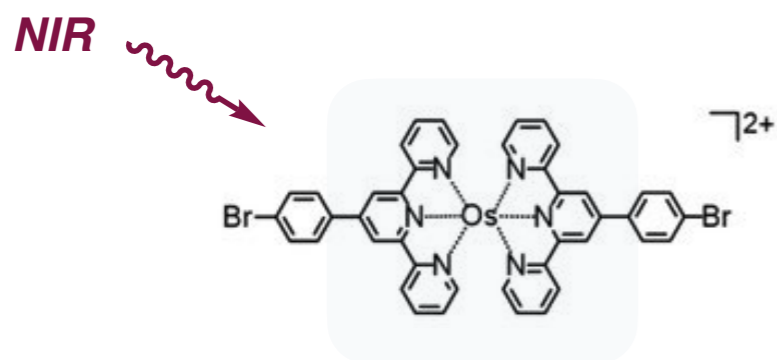
- ***Elongate sensitizer triplet lifetime***

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- **Elongate sensitizer triplet lifetime**



Os(peptpy)₂²⁺

$\tau \sim 0.2 \mu\text{s}$

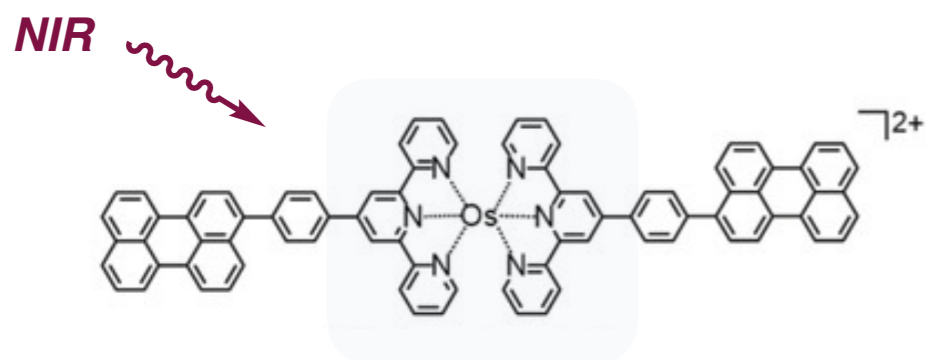
S

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- **Elongate sensitizer triplet lifetime**



Donor Os(peptpy)₂²⁺

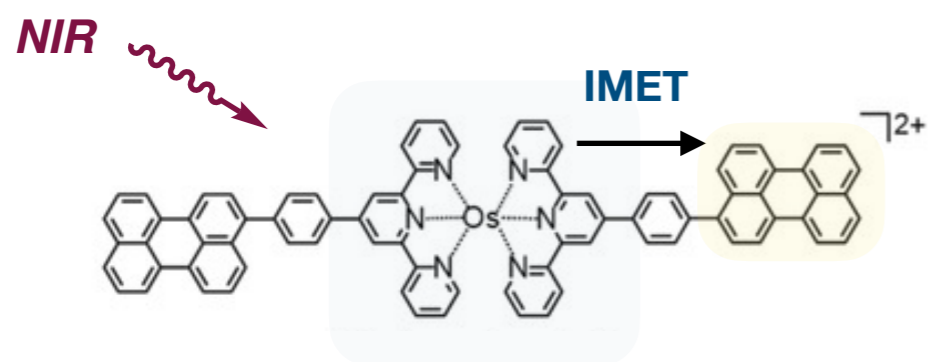


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime



Donor Os(peptpy)₂²⁺

S

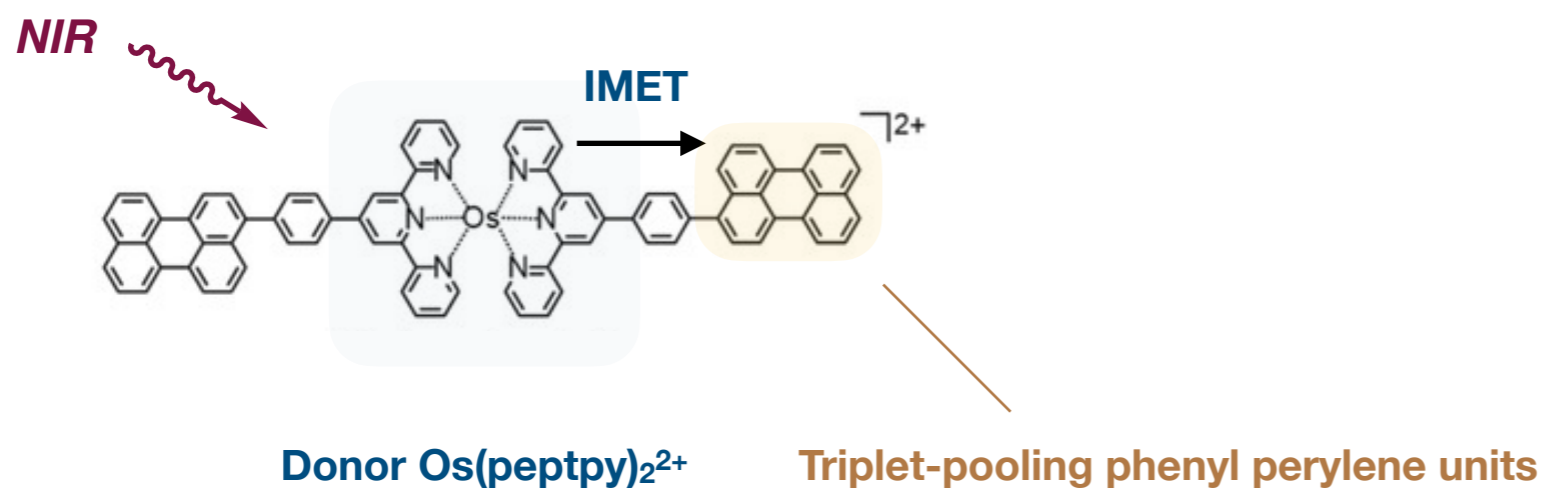
Intramolecular energy transfer (IMET)

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- **Elongate sensitizer triplet lifetime**



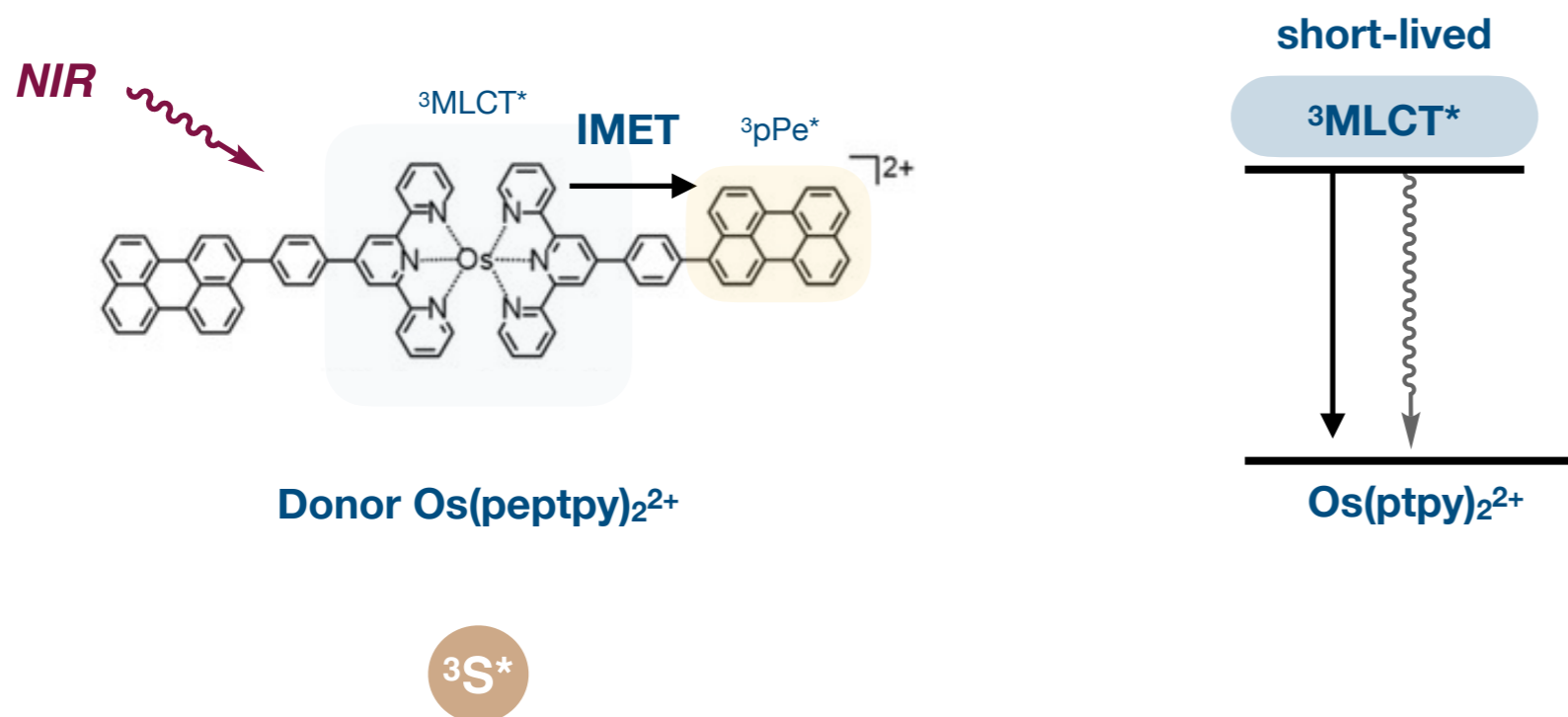
S

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

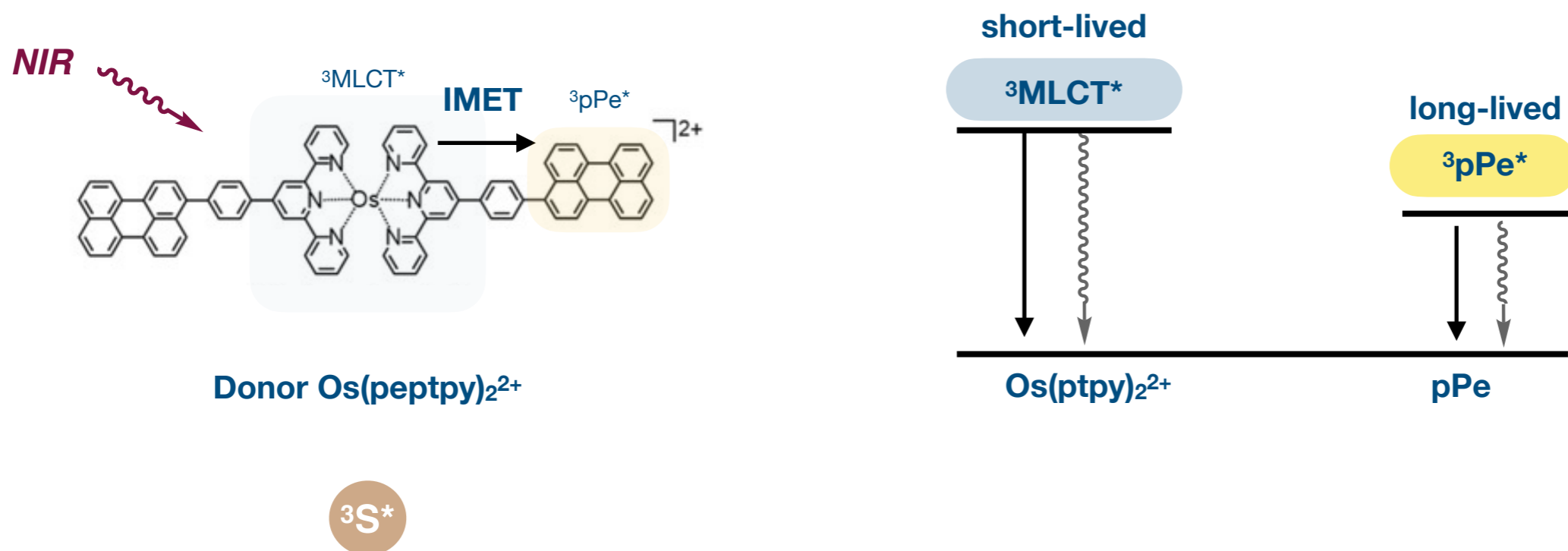


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

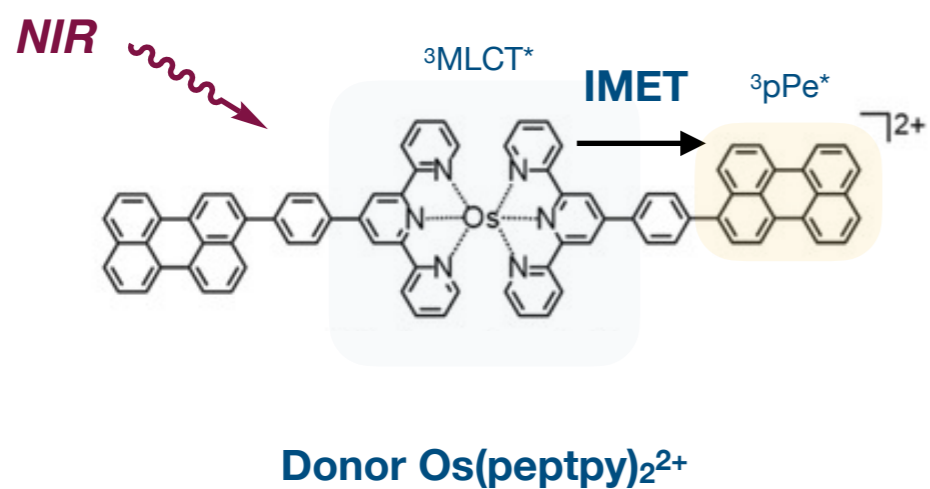


Upconversion applied in organic systems

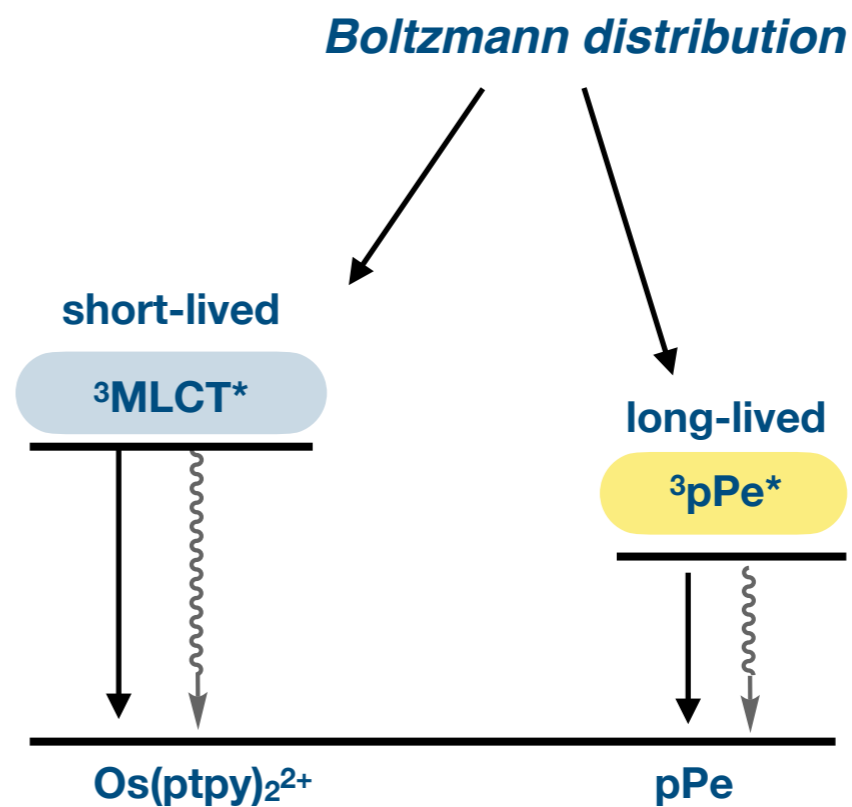
Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime



$^3\text{S}^*$

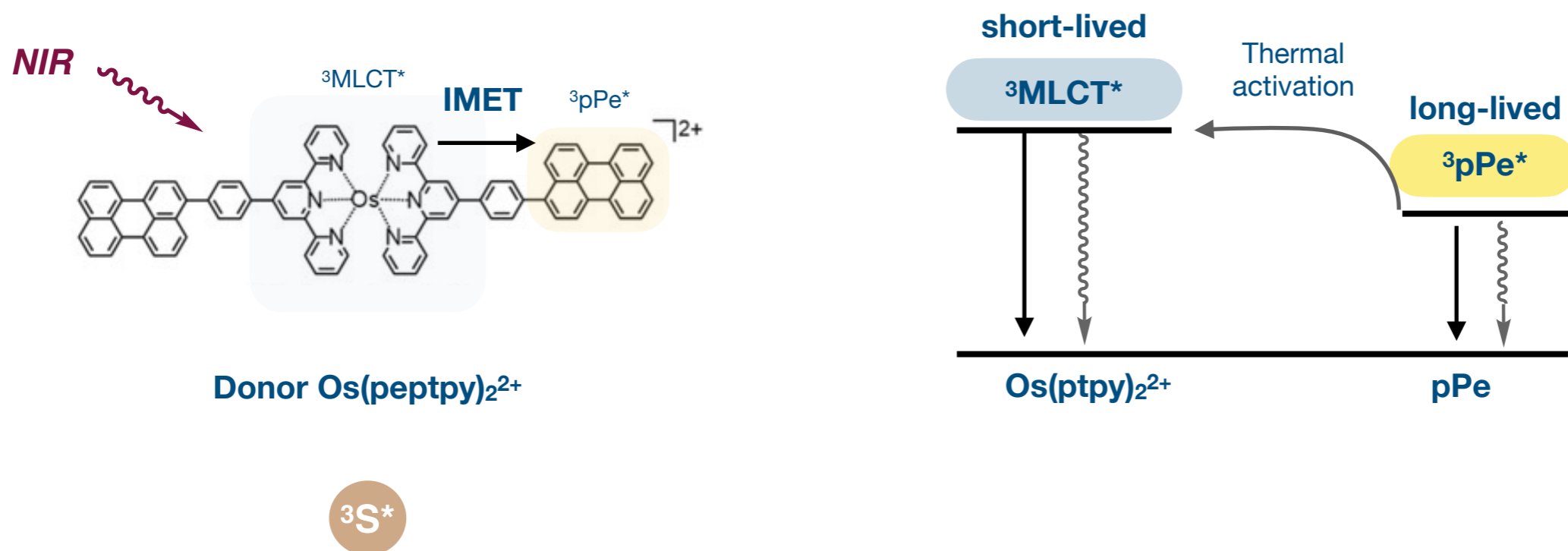


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

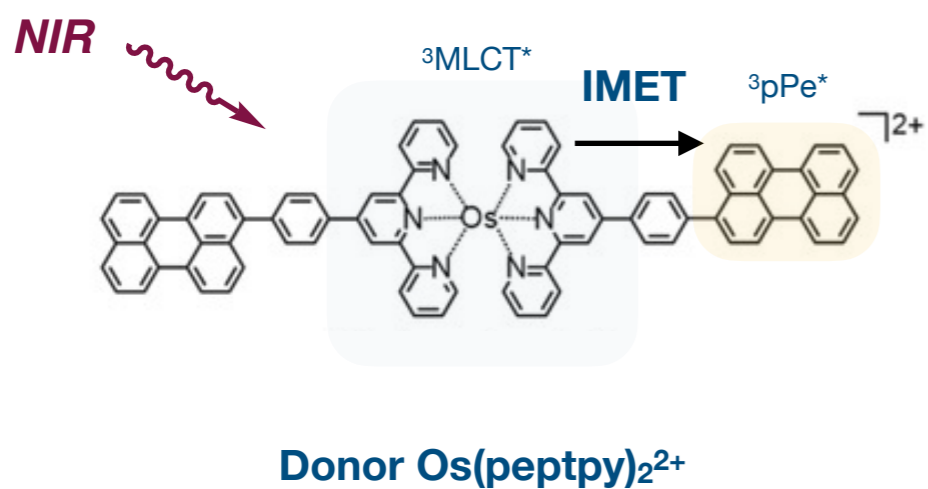


Upconversion applied in organic systems

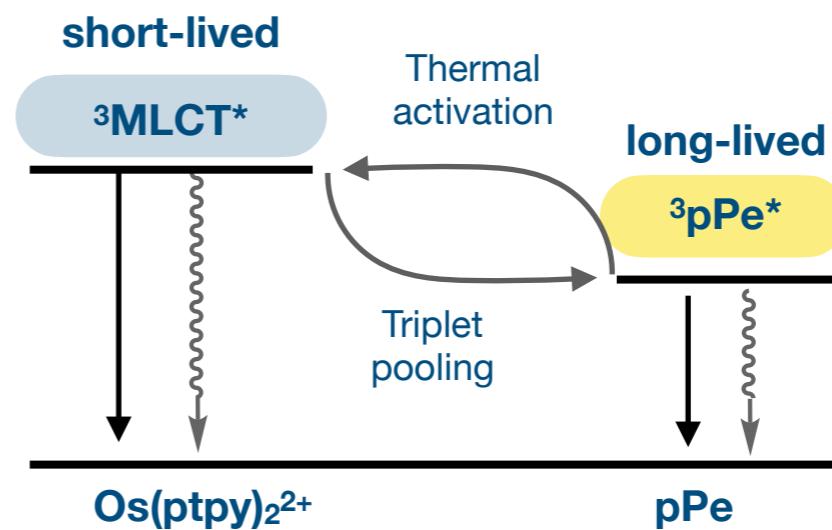
Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime



$^3\text{S}^*$

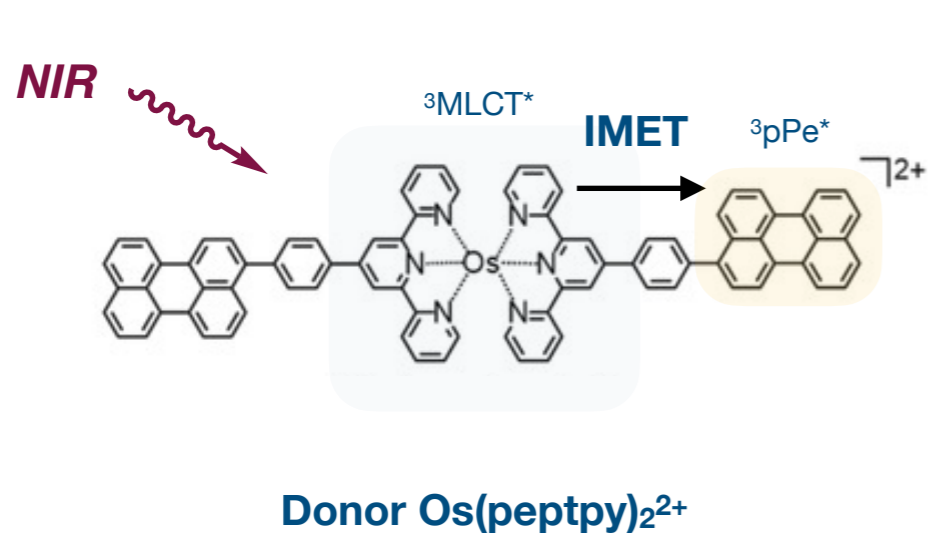


Upconversion applied in organic systems

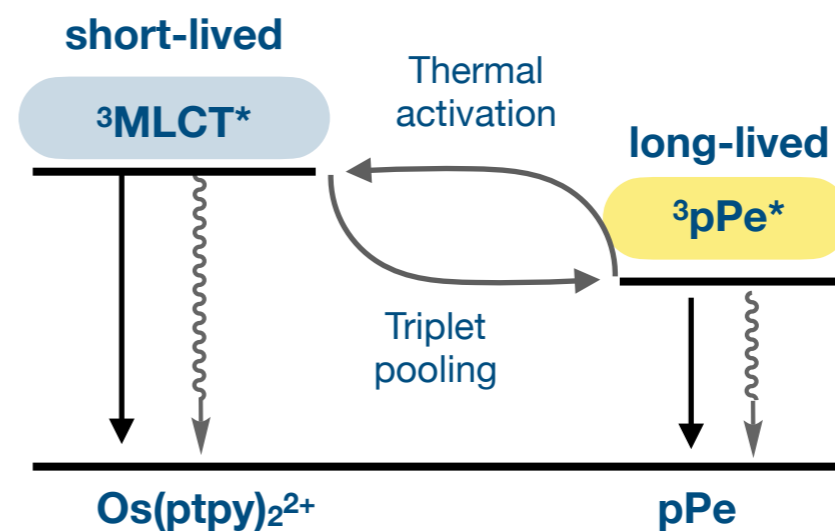
Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime



$^3\text{S}^*$



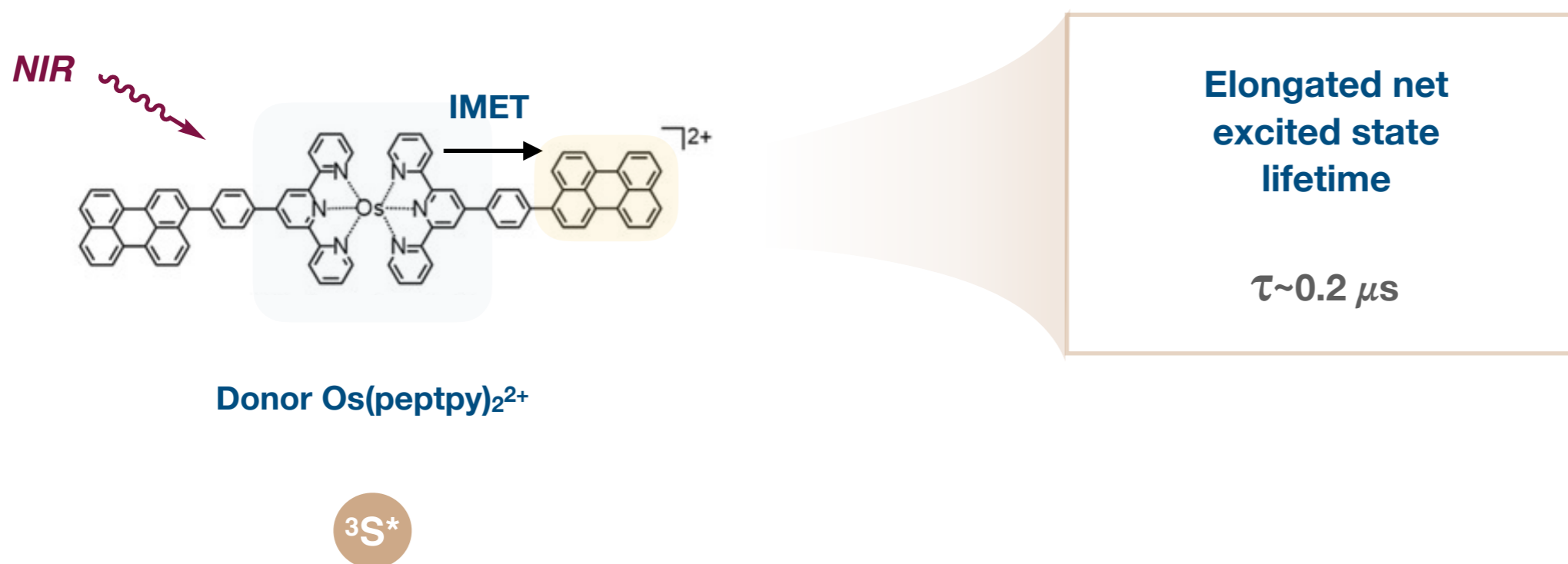
- Excited state thermal equilibrium between $^3\text{MLCT}$ and $^3\text{pPe}^*$

Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

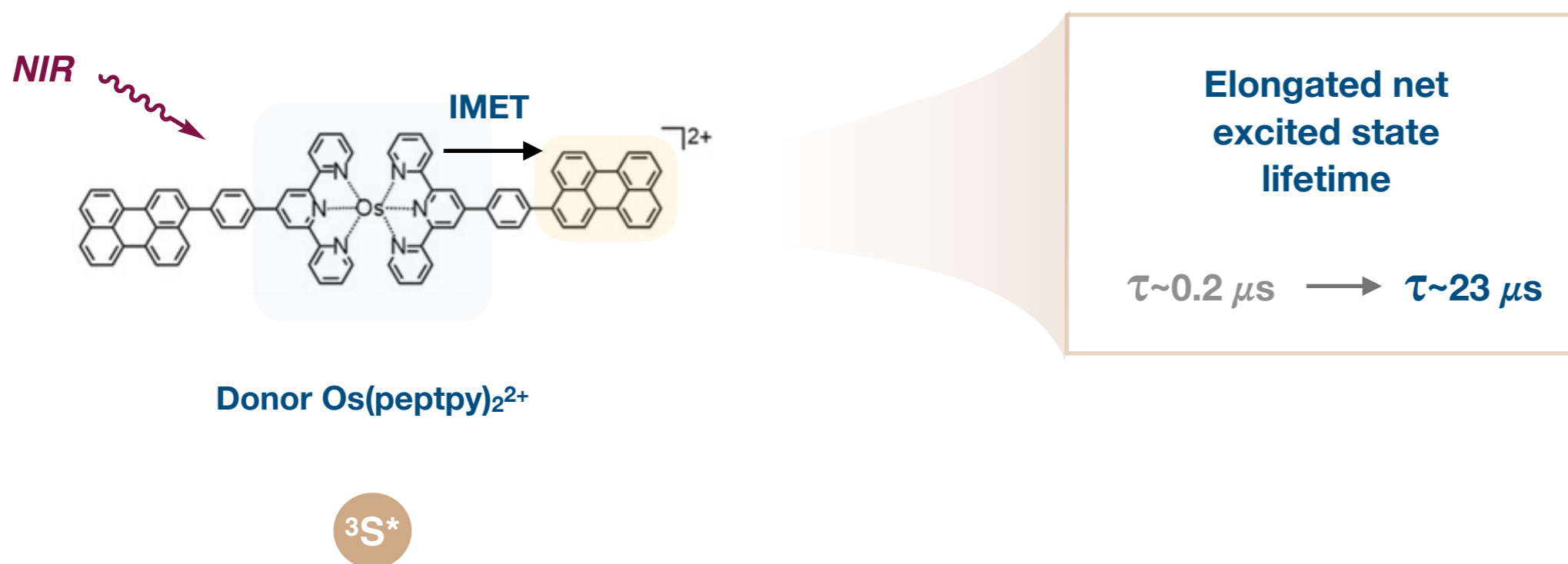


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- **Elongate sensitizer triplet lifetime**

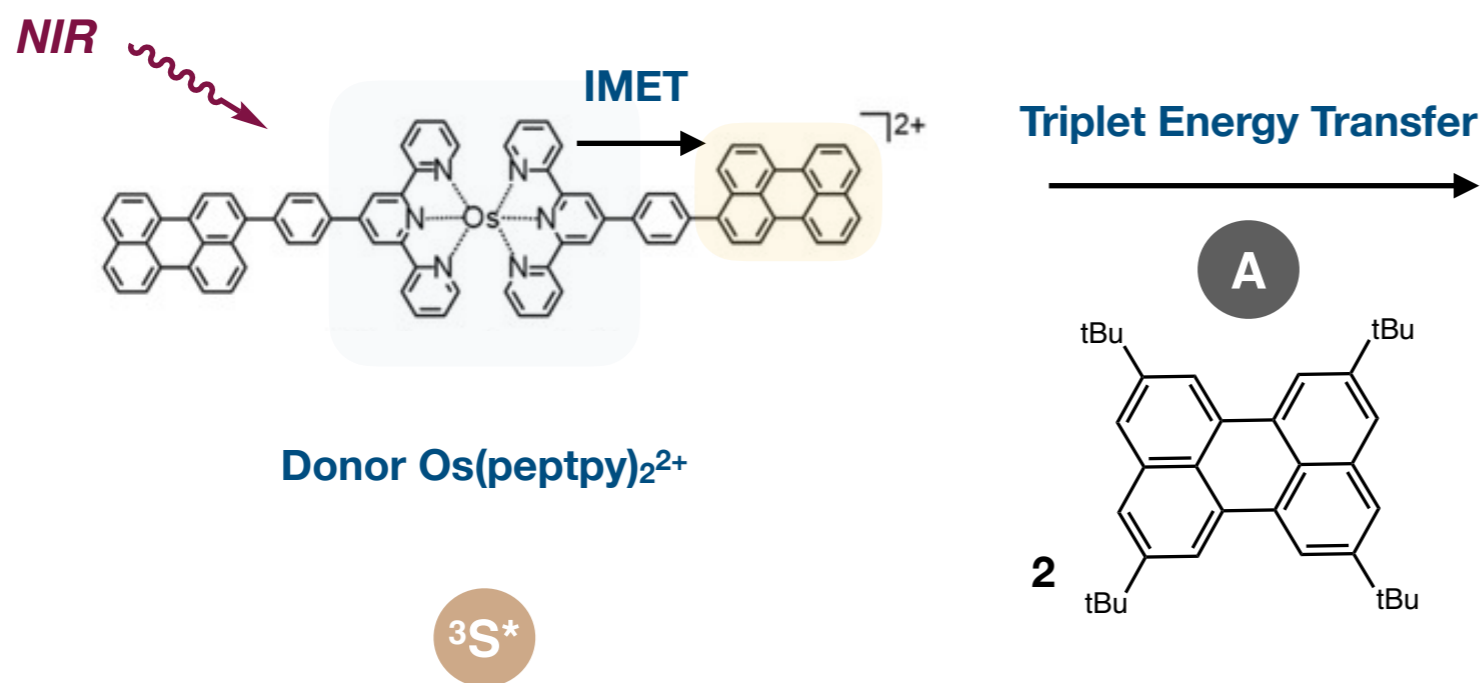


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

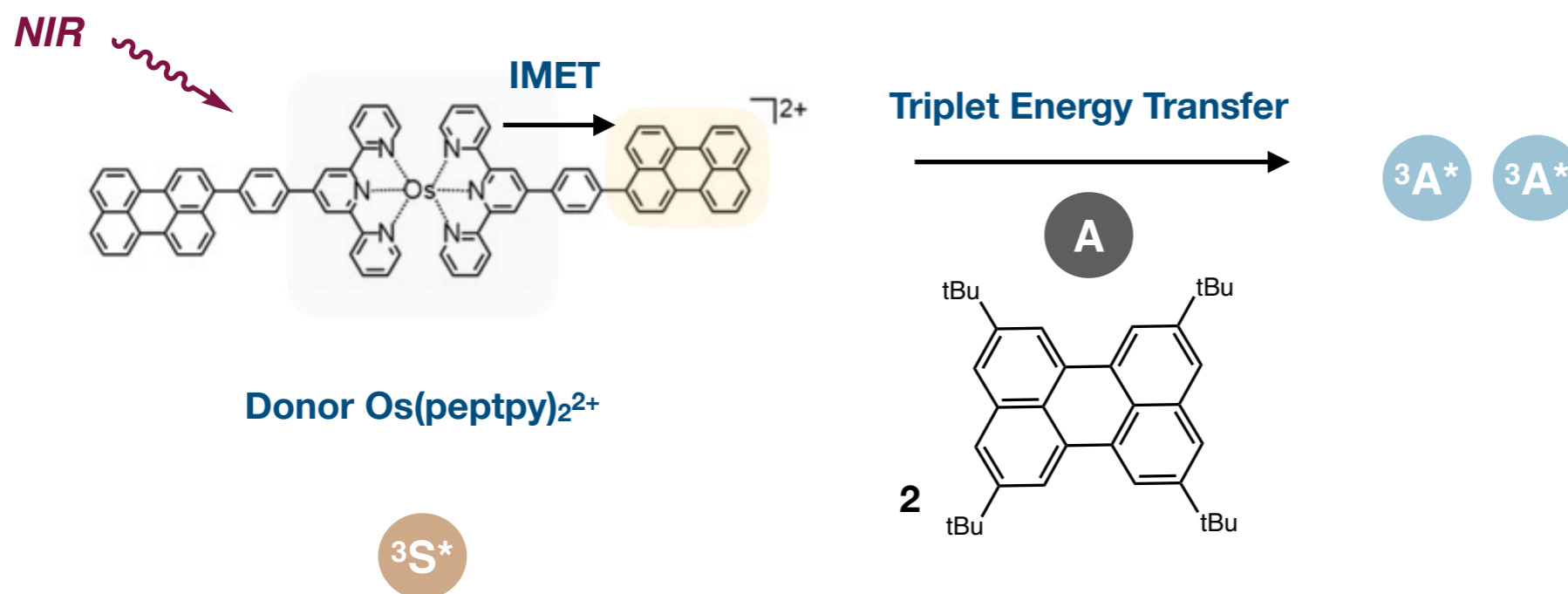


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime

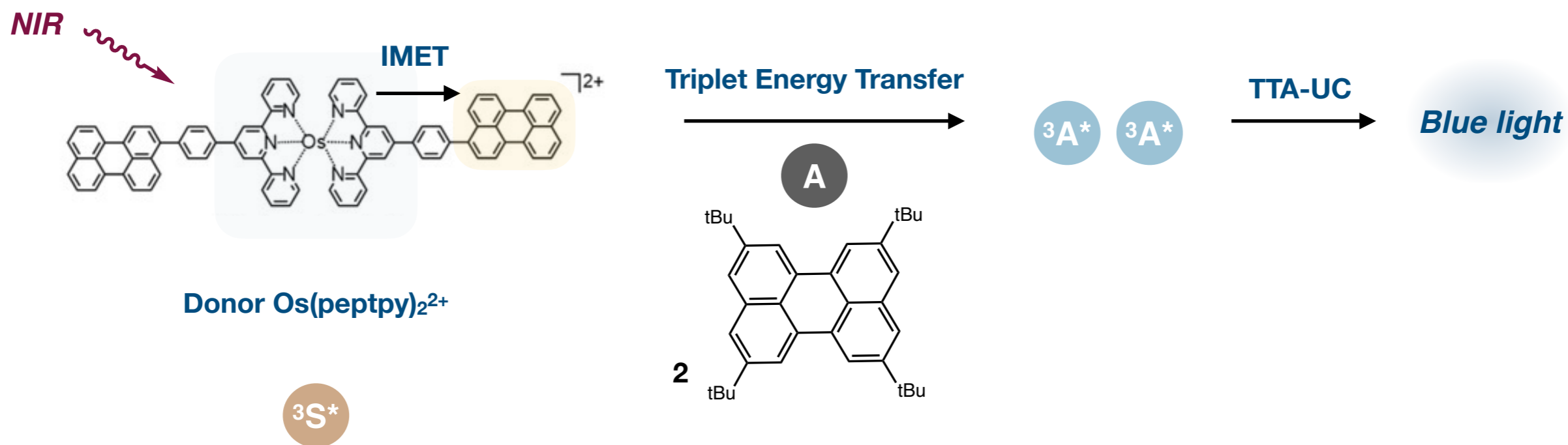


Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Design considerations:

- Elongate sensitizer triplet lifetime



Upconversion applied in organic systems

TTA-UC in hydrogel scaffolds

Blue light induced signal activation:

Blue light

***Interface TTA-UC with
photoactivatable switch***

Upconversion applied in organic systems

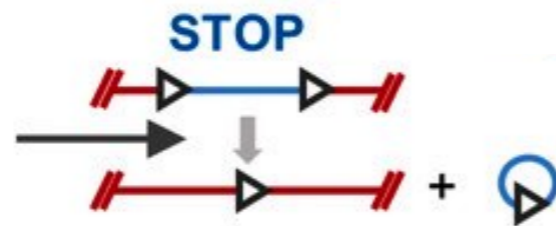
TTA-UC in hydrogel scaffolds

Blue light induced signal activation:

Blue light



Cre recombinase



**Interface TTA-UC with
photoactivatable switch**

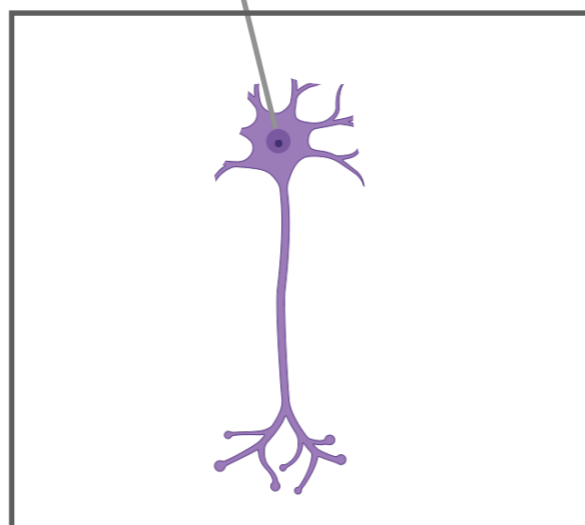
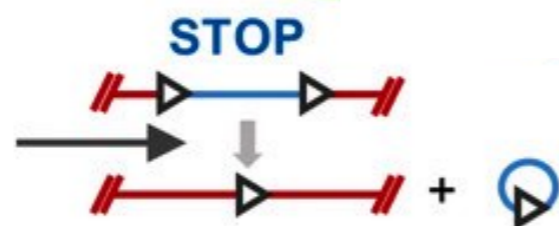
Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Blue light induced signal activation:

Blue light

Cre recombinase



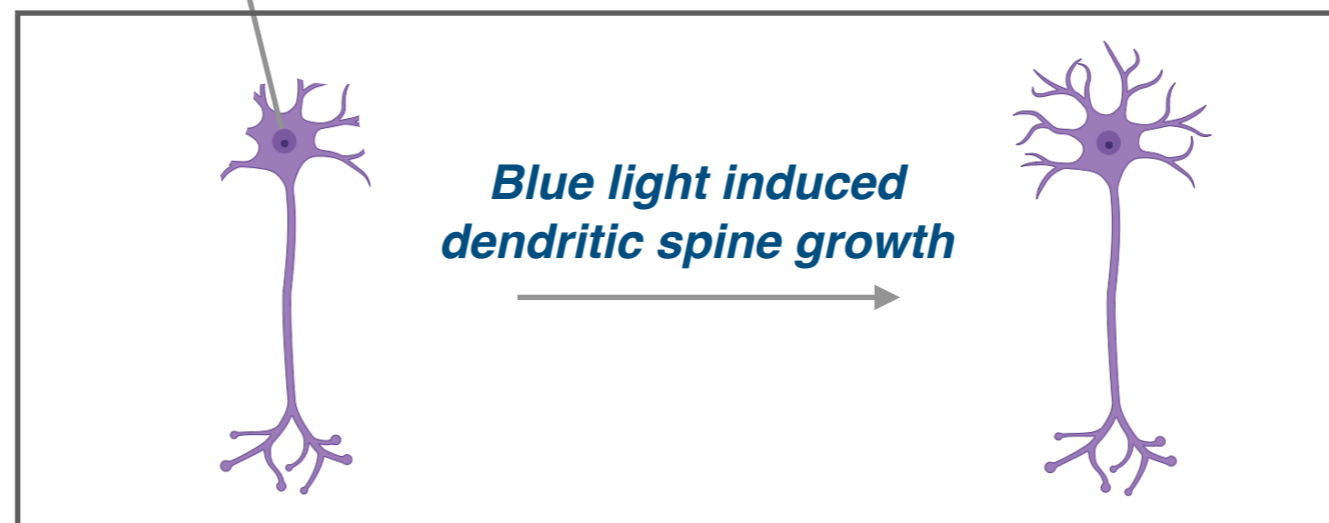
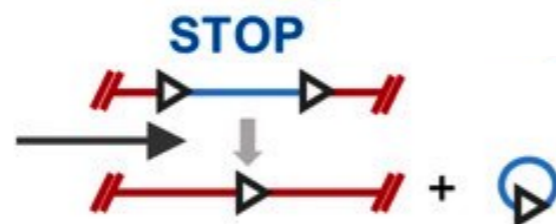
Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

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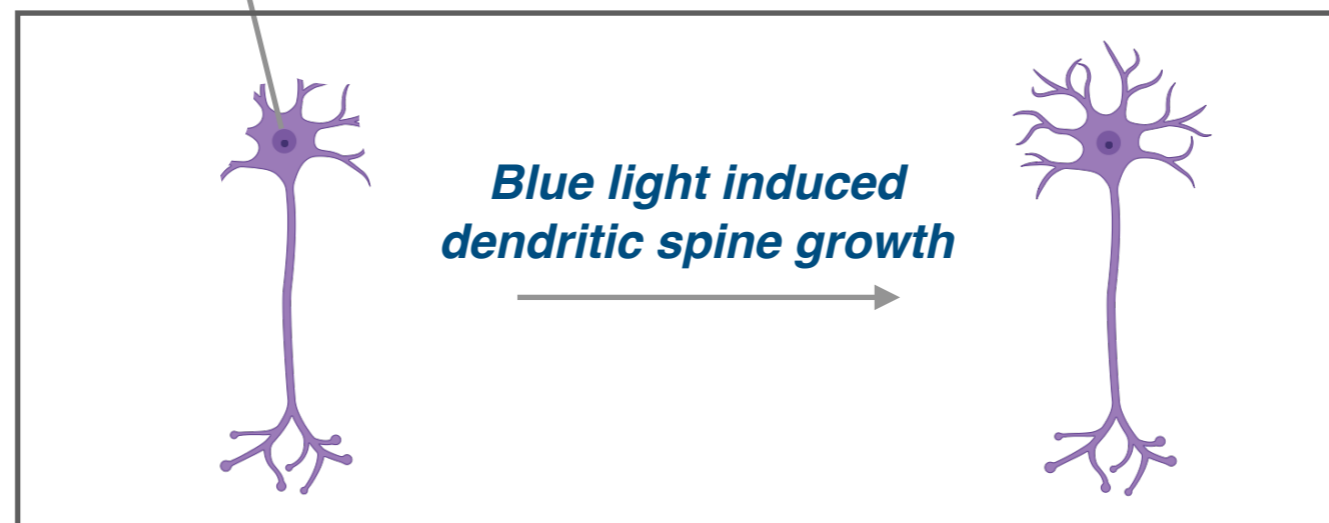
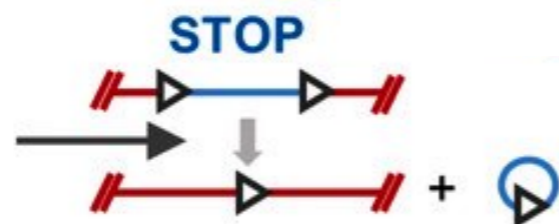
Upconversion applied in organic systems

Hydrogel upconversion: Energy transfer via triplet lifetime manipulation

Blue light induced signal activation:

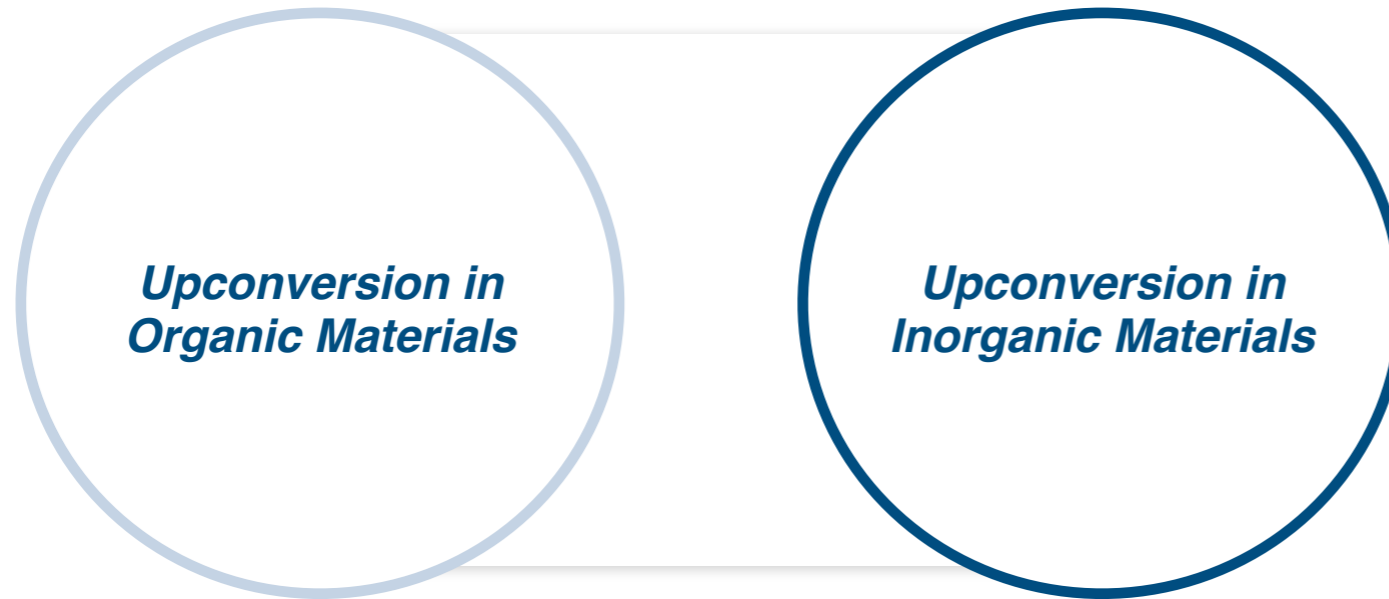
Blue light

Cre recombinase



Neuronal activity acts as a read out to success of method

Processes of Upconversion



- *How does upconversion occur in **inorganic** systems?*

Processes of Upconversion

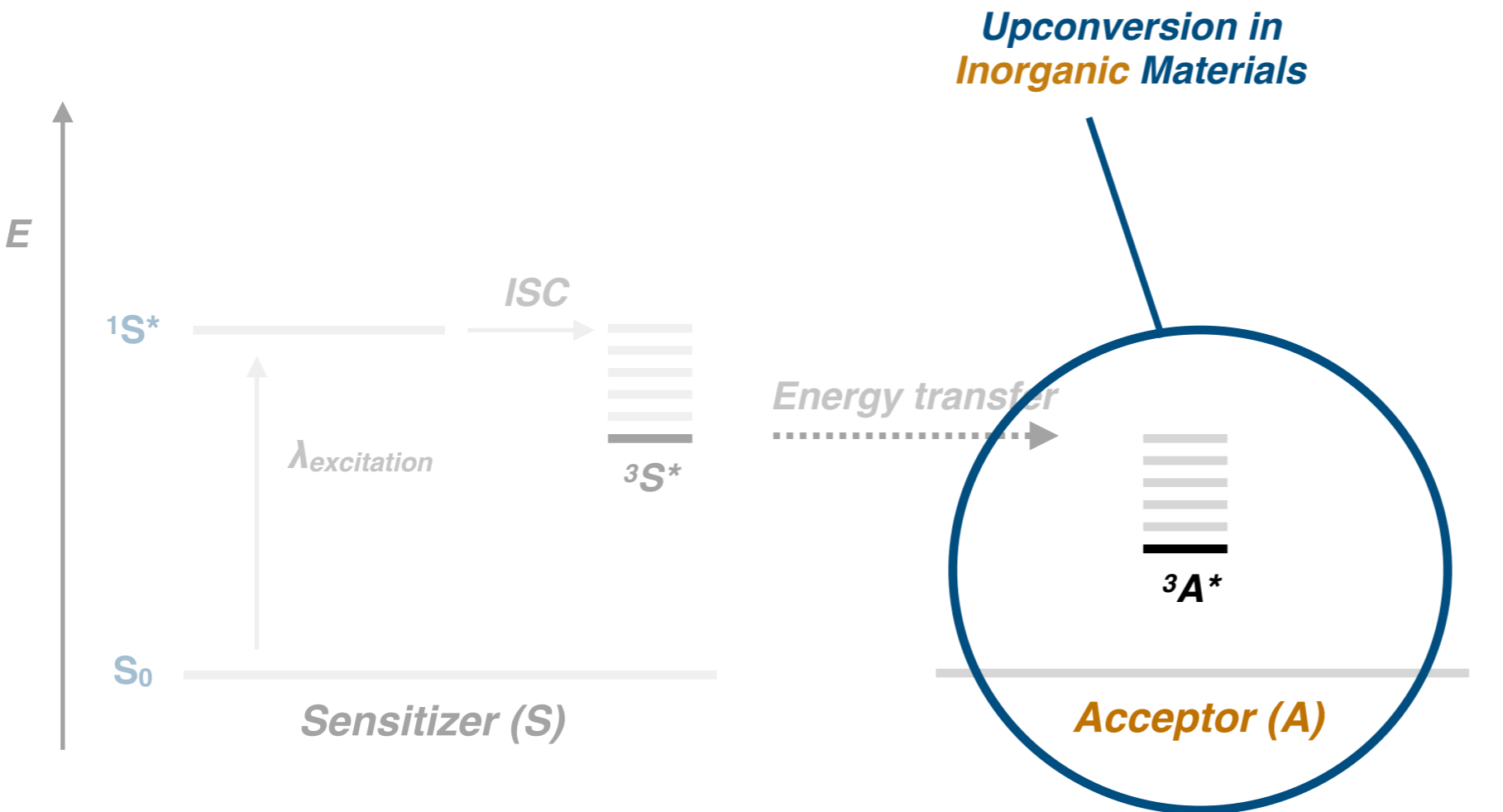
***Upconversion in
Organic Materials***

***Upconversion in
Inorganic Materials***

- ***Energy transfer, cross relaxation***
- ***Excited-State Absorption***
- ***Photon Avalanche***

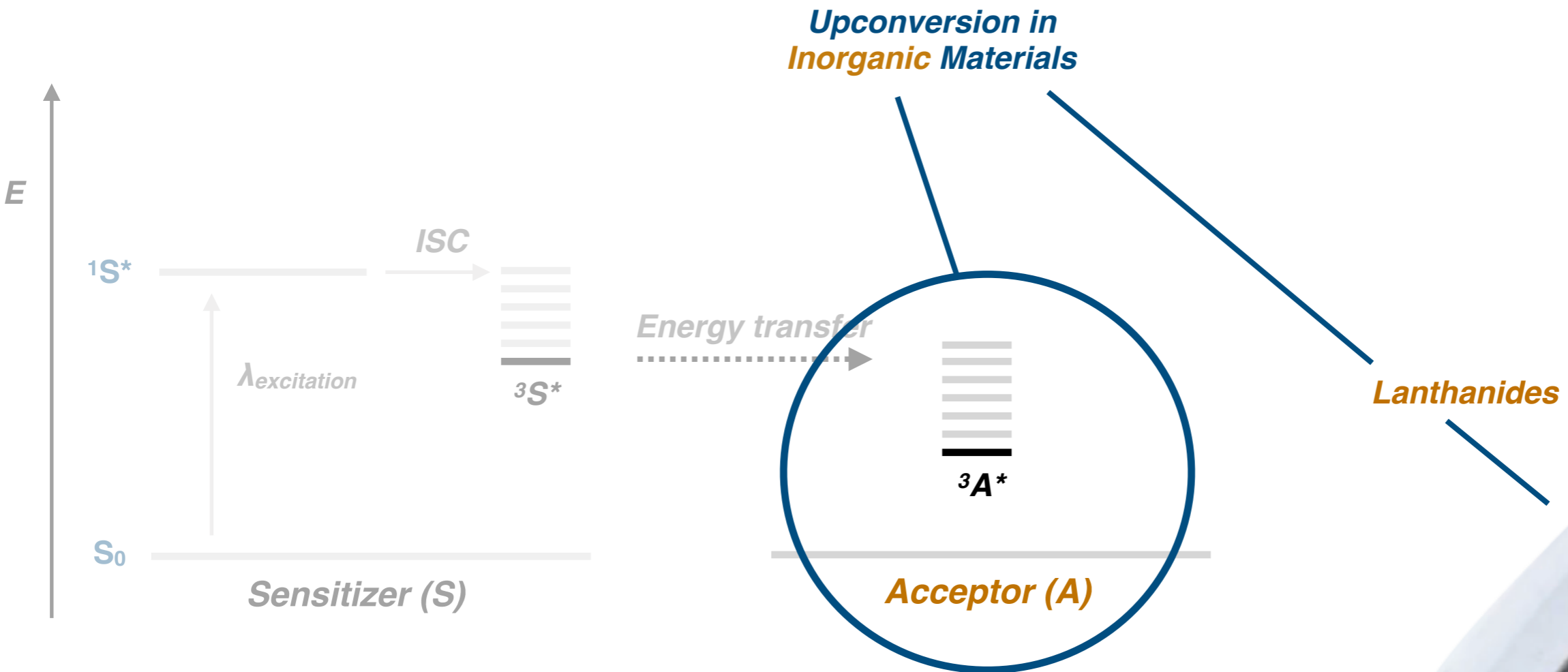
Upconversion in Inorganic Systems

Lanthanide annihilators



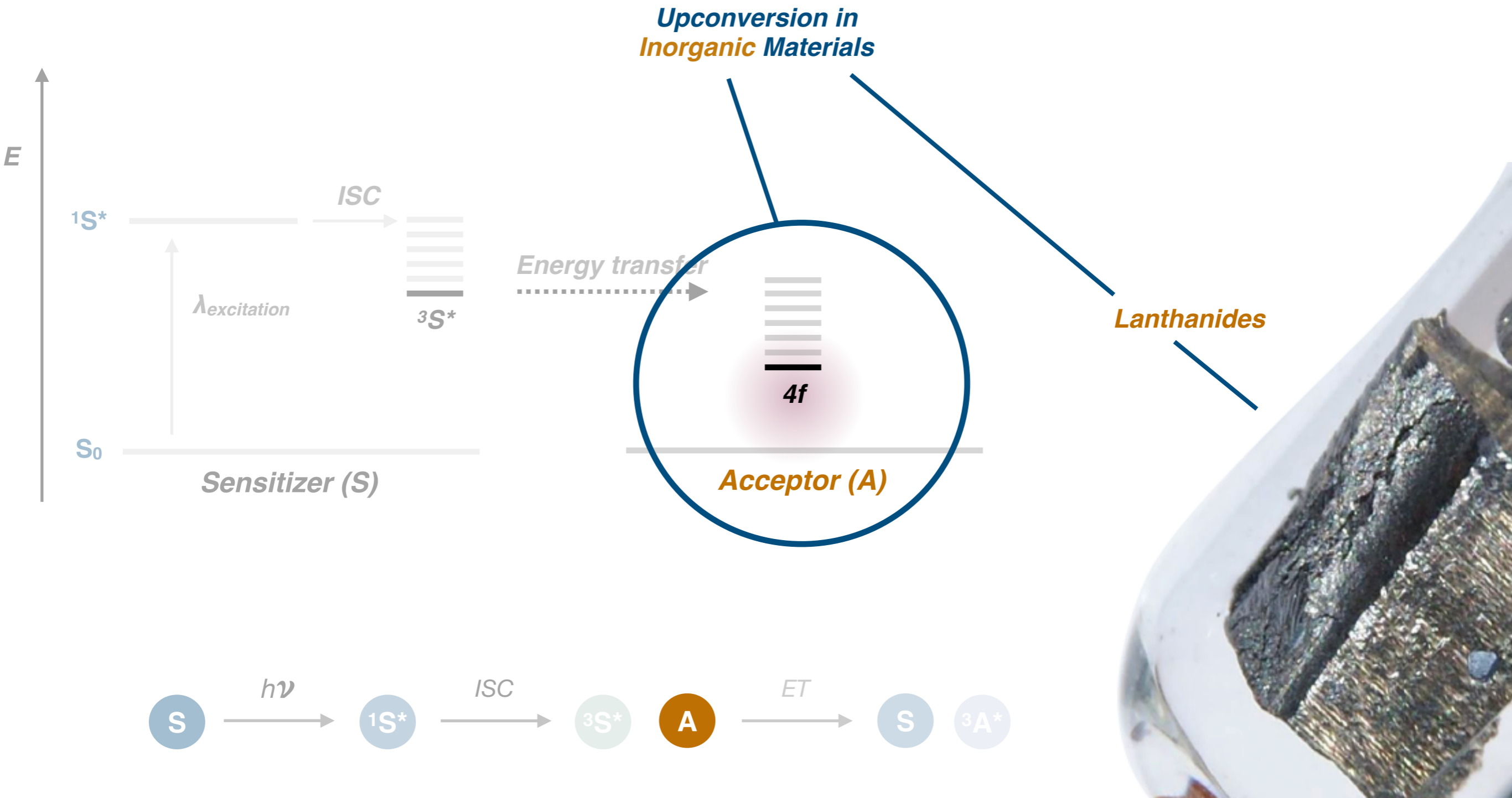
Upconversion in Inorganic Systems

Lanthanide annihilators



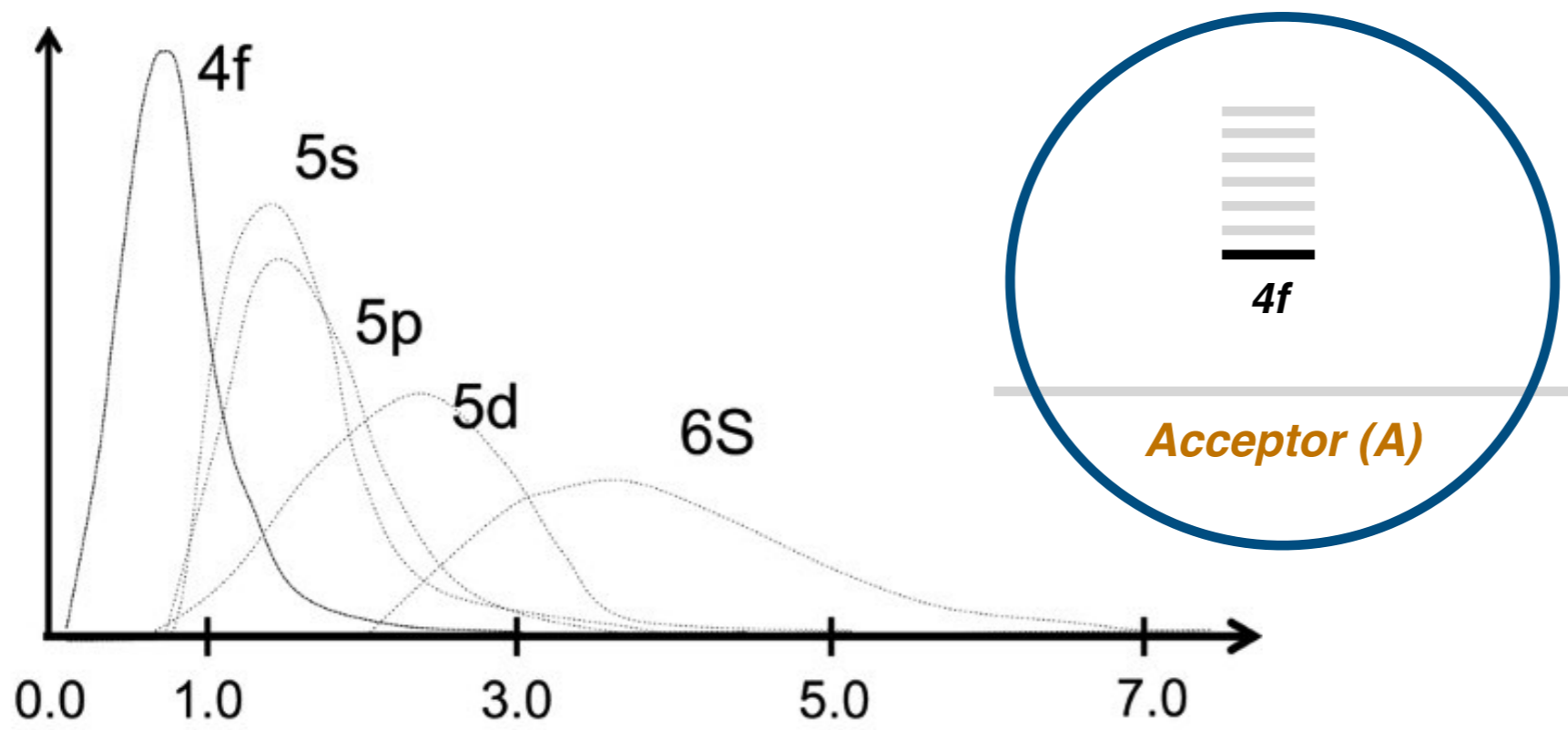
Upconversion in Inorganic Systems

Lanthanide annihilators



Upconversion in Inorganic Systems

Lanthanide annihilators

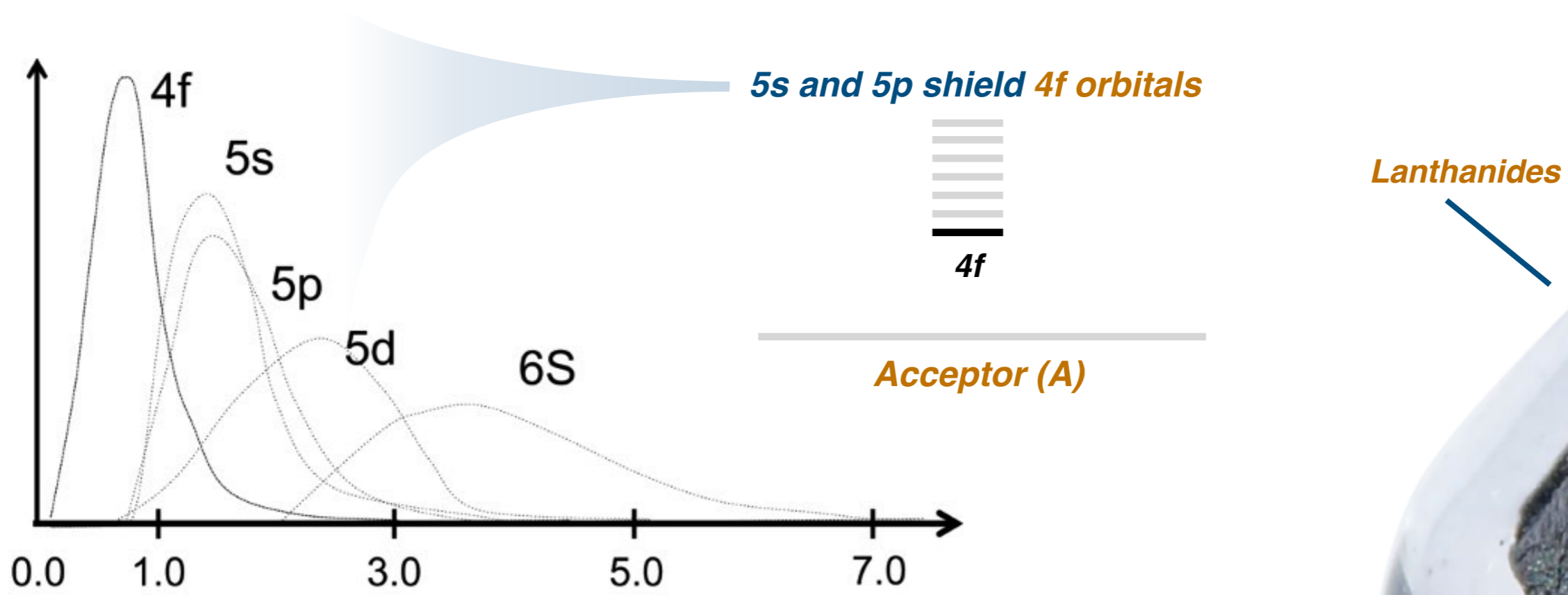


Lanthanides



Upconversion in Inorganic Systems

Lanthanide annihilators



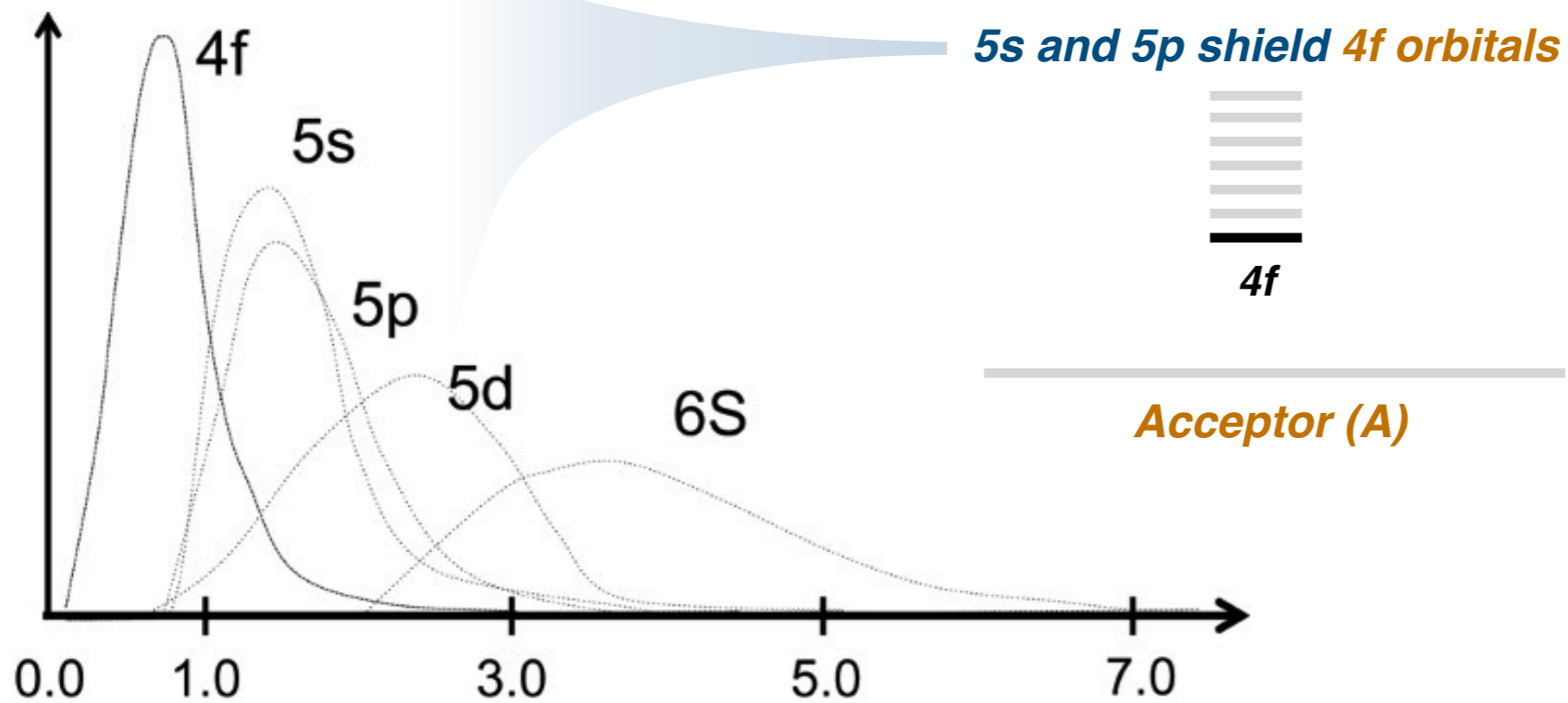
Upconversion in Inorganic Systems

Lanthanide annihilators

1. Stabilized electronic configuration



Narrow-band emission spectra



Lanthanides



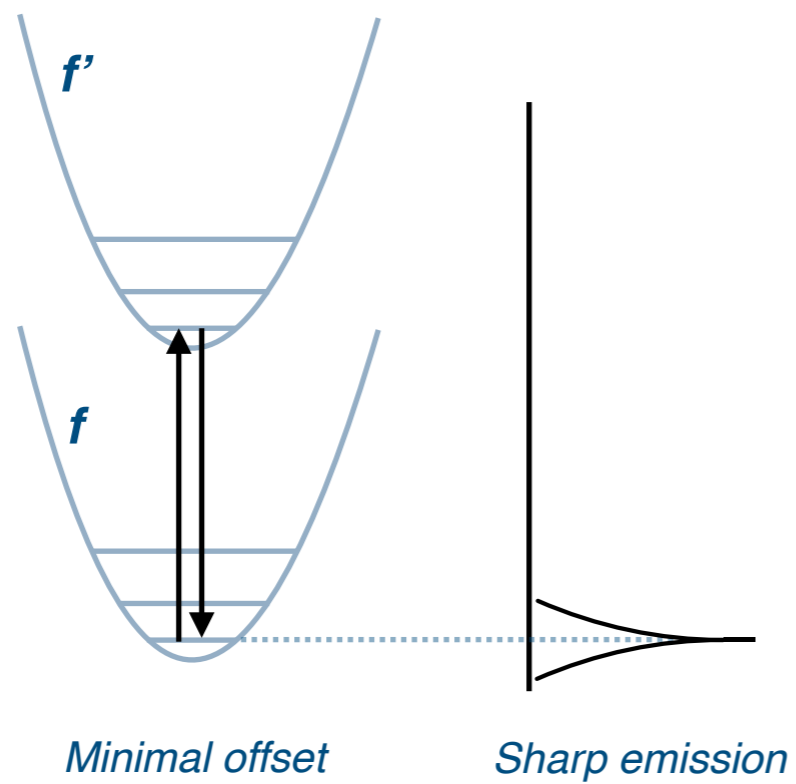
Upconversion in Inorganic Systems

Lanthanide annihilators

1. Stabilized electronic configuration



Narrow-band emission spectra



Lanthanides



Upconversion in Inorganic Systems

Lanthanide annihilators

1. **Stabilized electronic configuration**

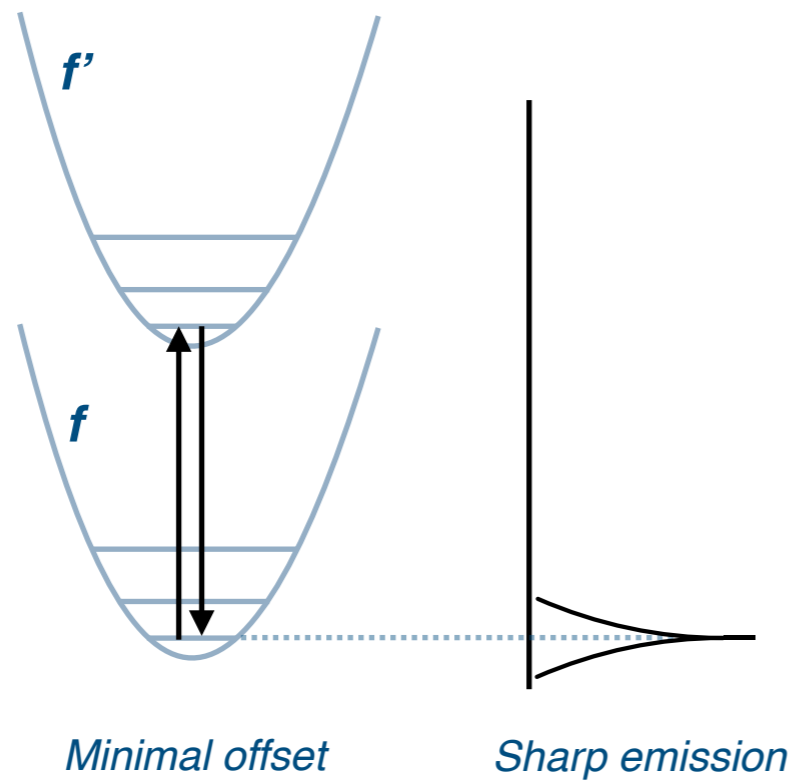
2. **f-f transitions are spin-forbidden**



Narrow-band emission spectra



Long excited state lifetimes



Lanthanides



Upconversion in Inorganic Systems

Solid state upconversion



Upconversion was identified as a **parasitic** process

In competition with laser activity

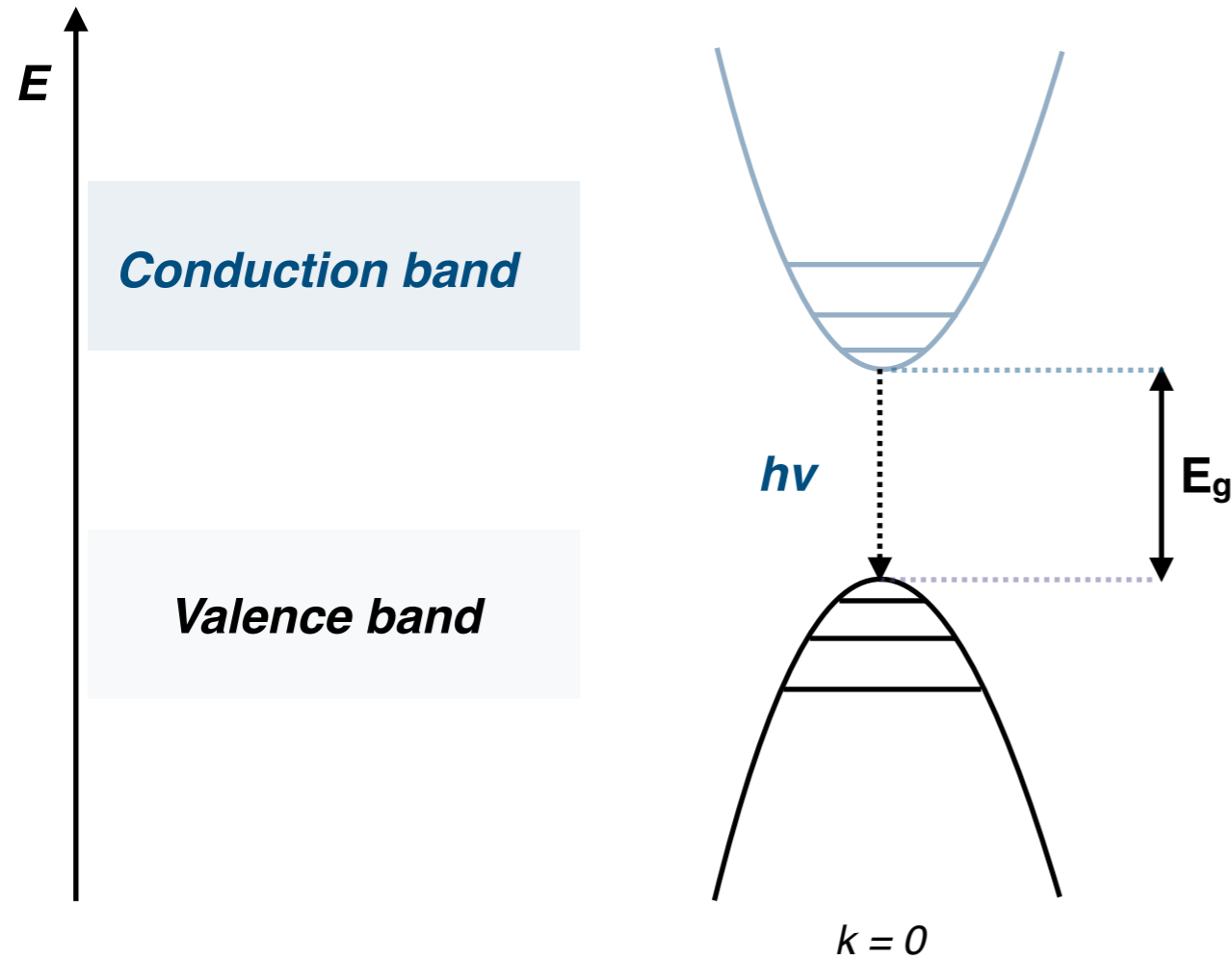
1960s

Discovery of lasers

Upconversion in Inorganic Systems

Solid state upconversion

Laser activity:



Direct band gap structure

Upconversion was identified as a *parasitic process*

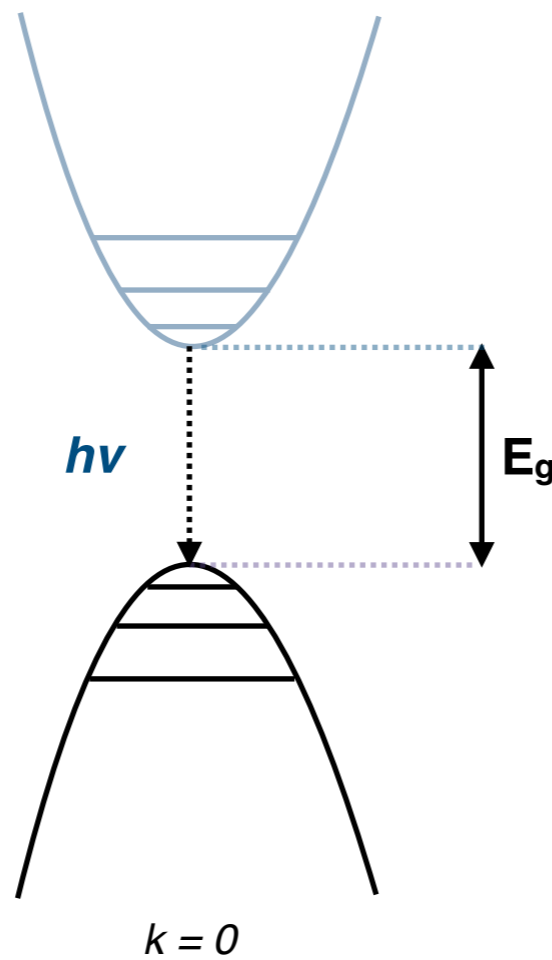
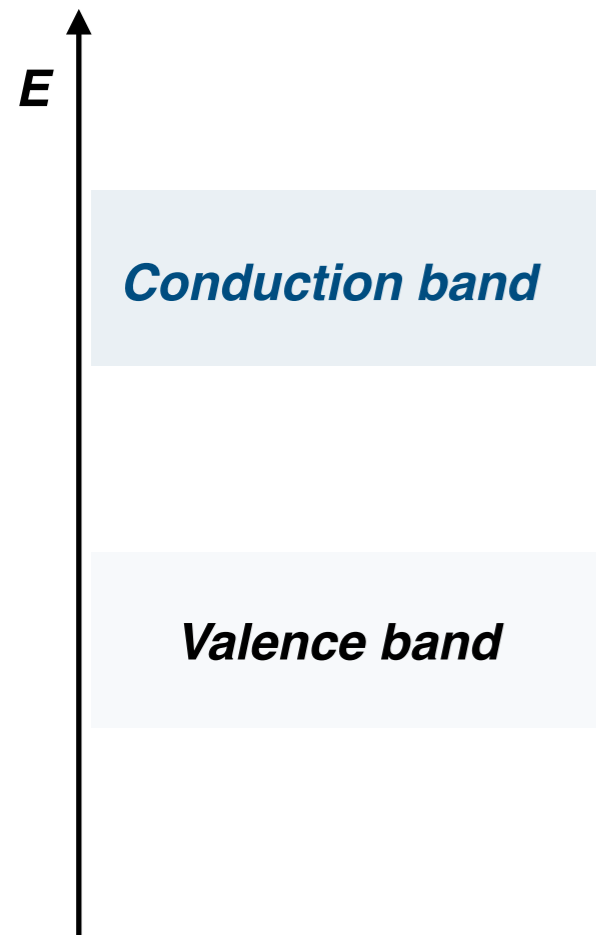
In competition with laser activity

1960s
Discovery of lasers

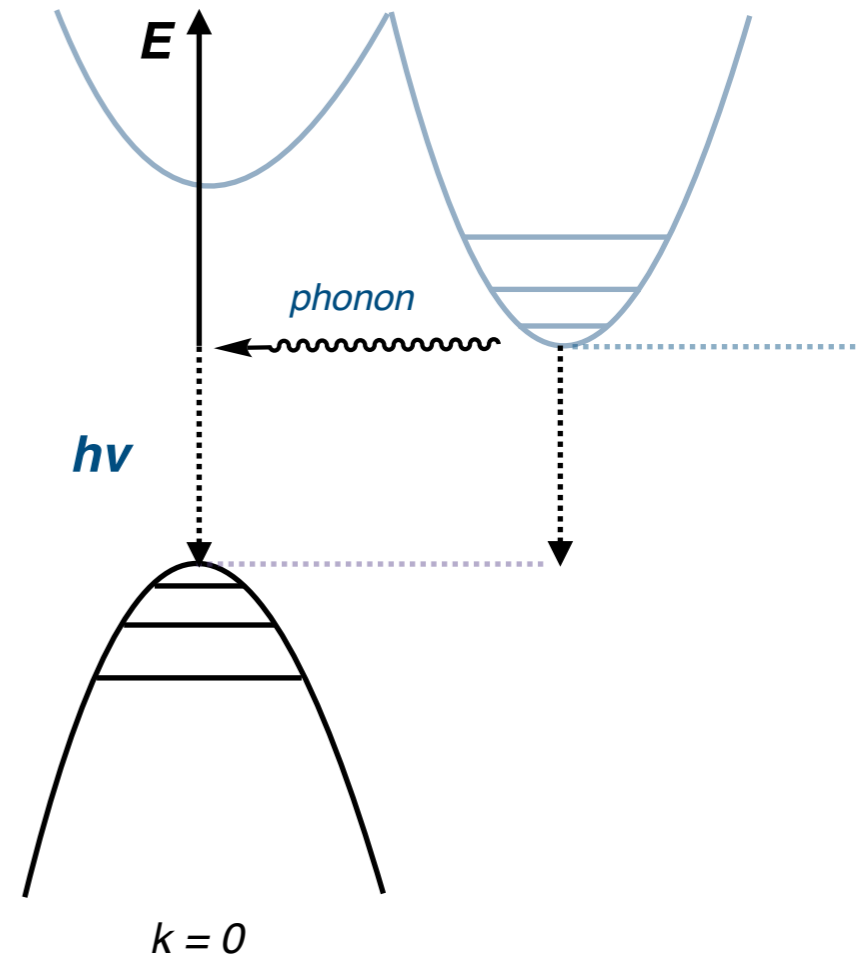
Upconversion in Inorganic Systems

Solid state upconversion

Laser activity:



Direct band gap structure



Indirect band gap structure

Upconversion was identified as a parasitic process

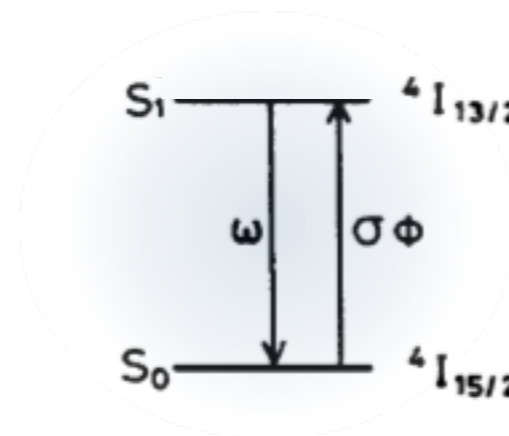
In competition with laser activity

1960s
Discovery of lasers

Upconversion in Inorganic Systems

Solid state upconversion

Energy Transfer Upconversion

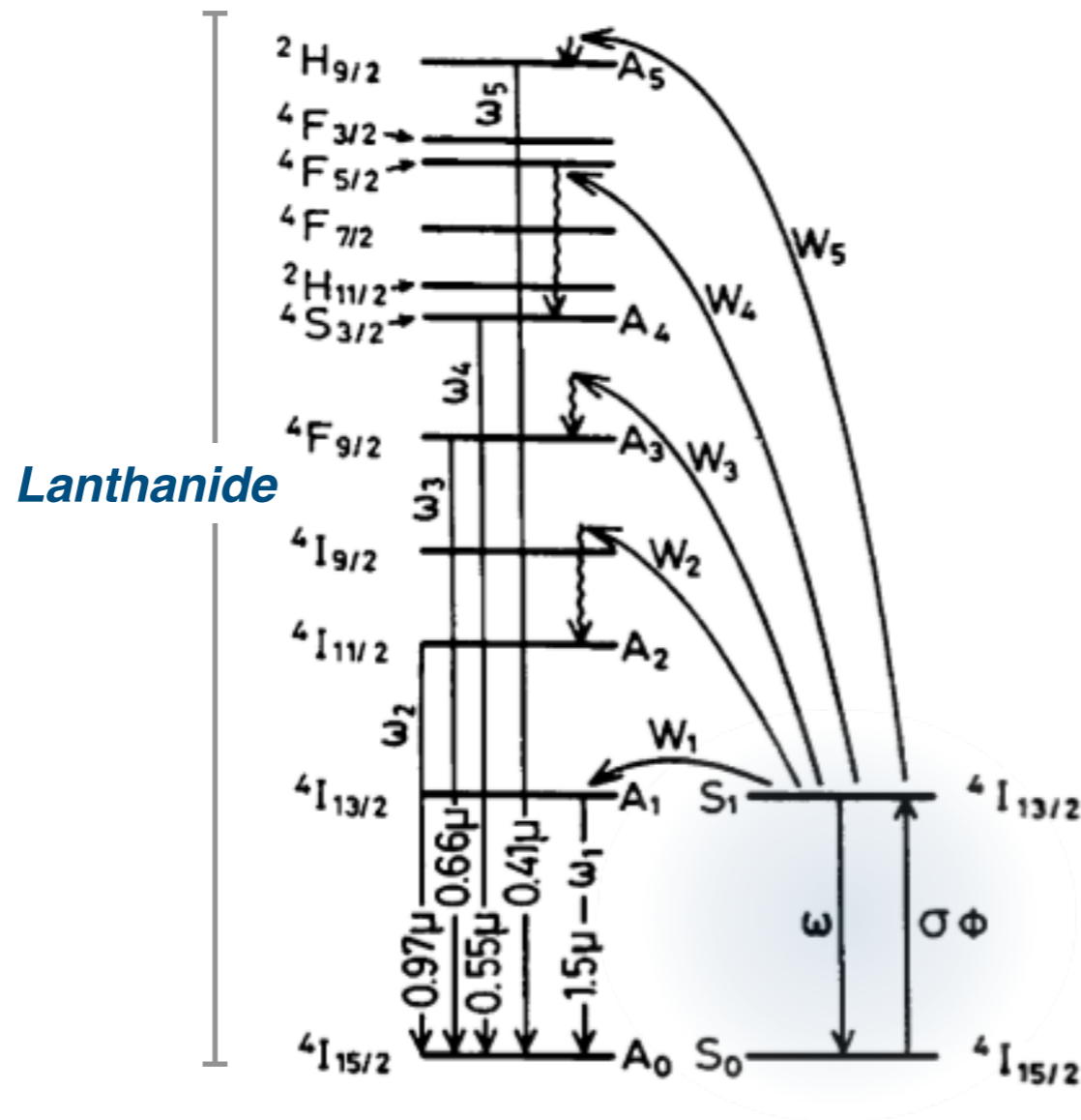


Upconversion competes with direct radiative decay

Upconversion in Inorganic Systems

Solid state upconversion

Energy Transfer Upconversion

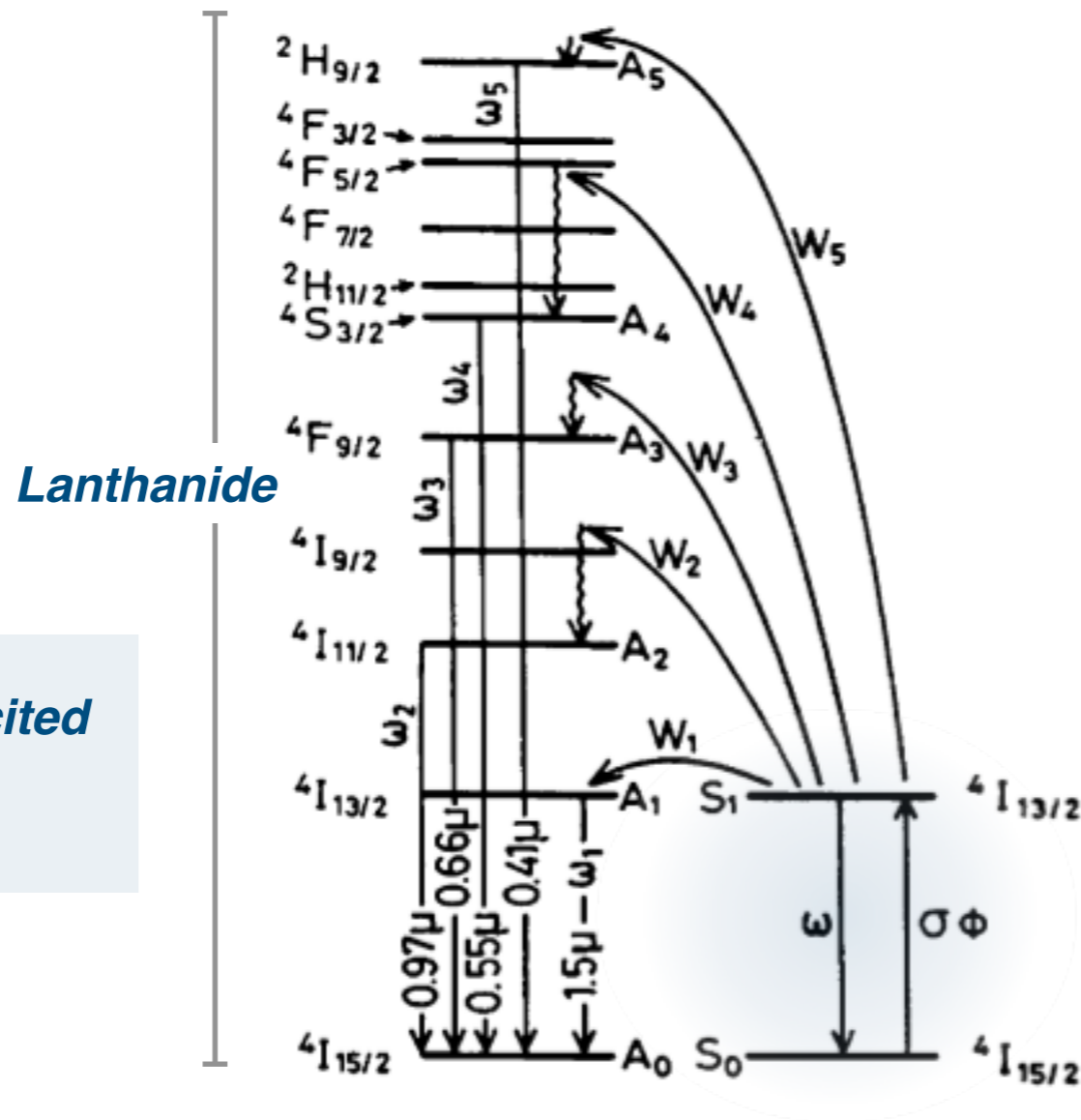


Upconversion competes with direct radiative decay

Upconversion in Inorganic Systems

Solid state upconversion

Energy Transfer Upconversion

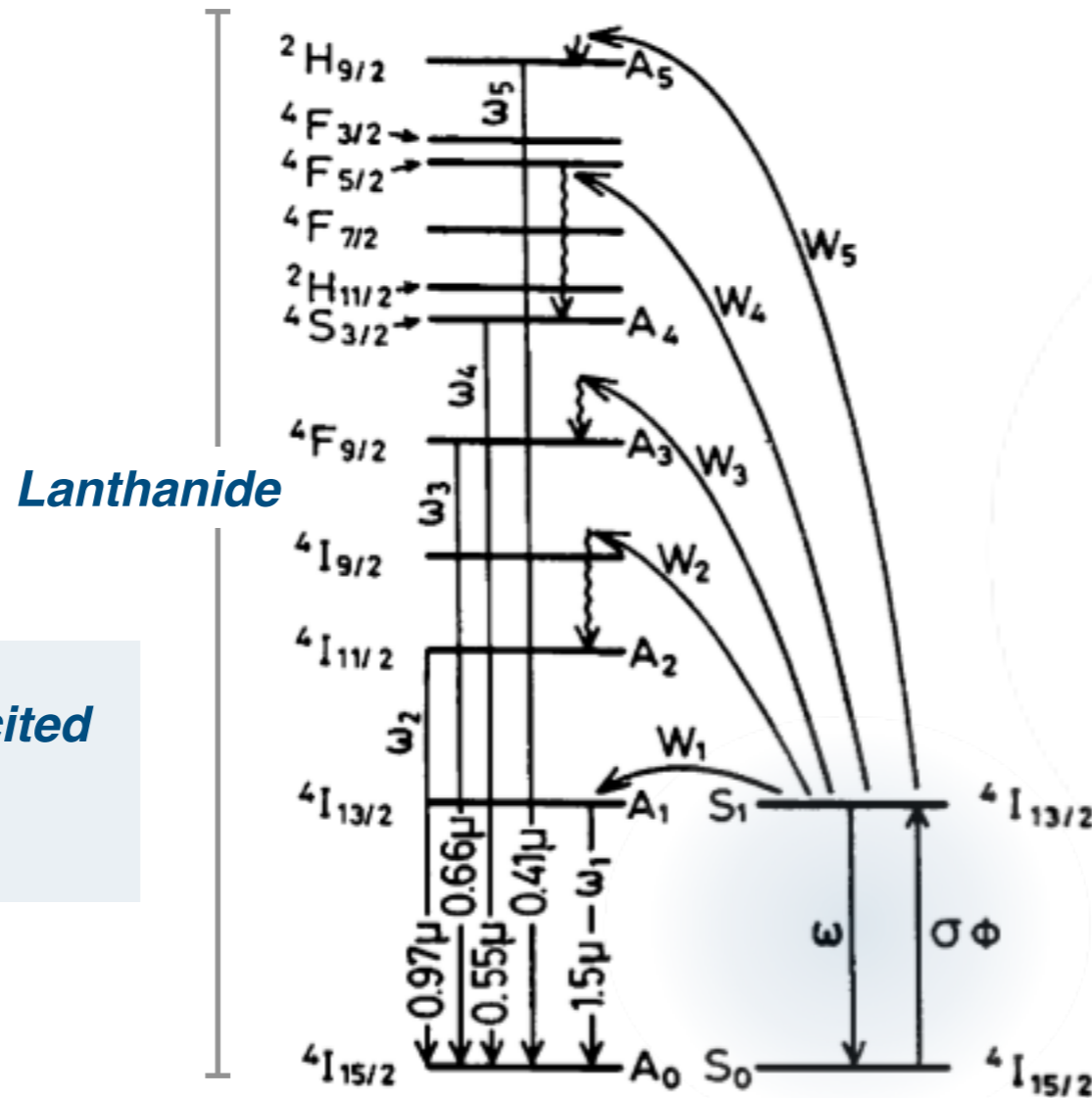


Upconversion competes with direct radiative decay

Upconversion in Inorganic Systems

Solid state upconversion

Energy Transfer Upconversion



Excitation of an excited laser-active ion

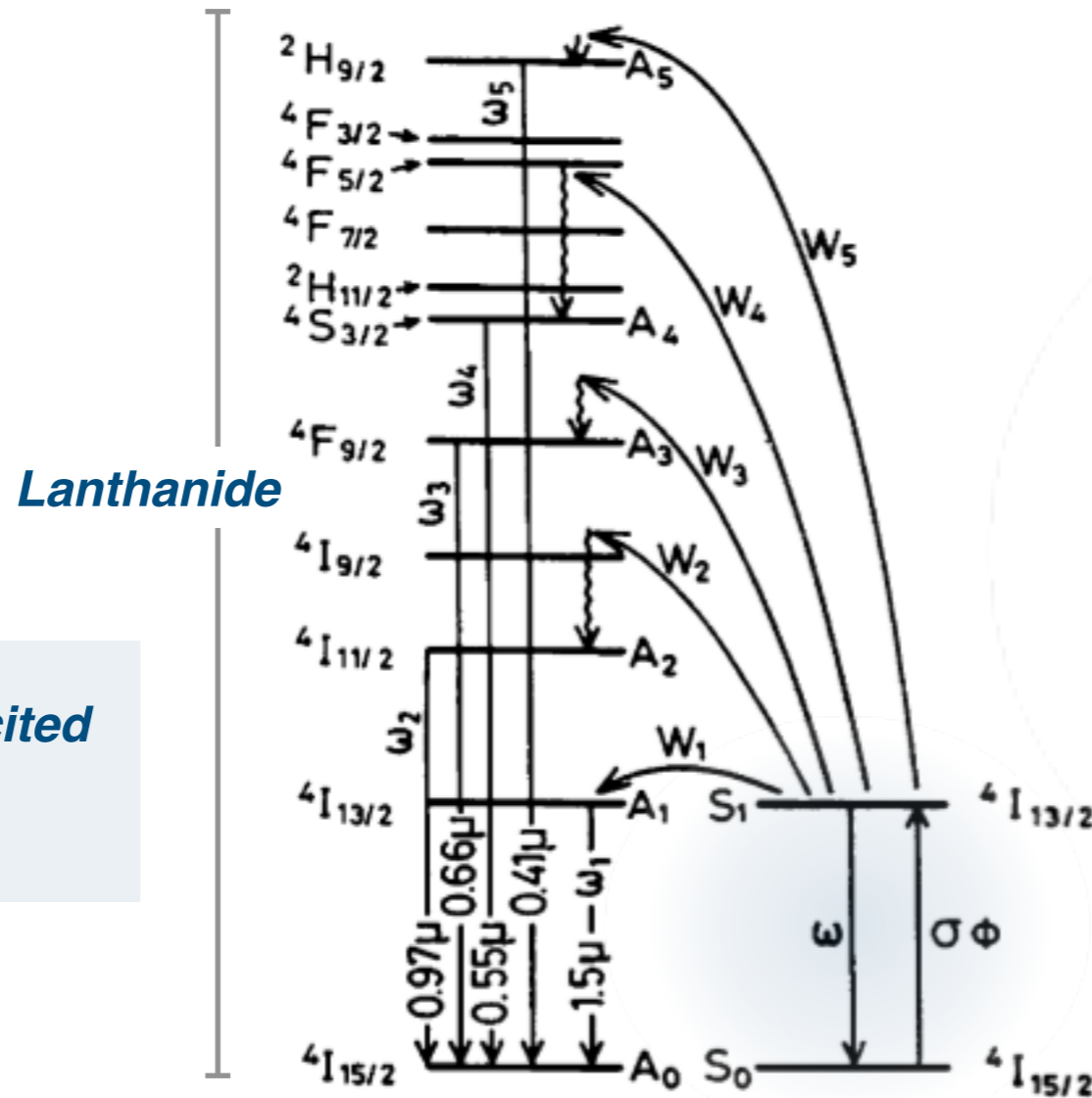
- Ground configuration $4f^n$ states

Upconversion competes with direct radiative decay

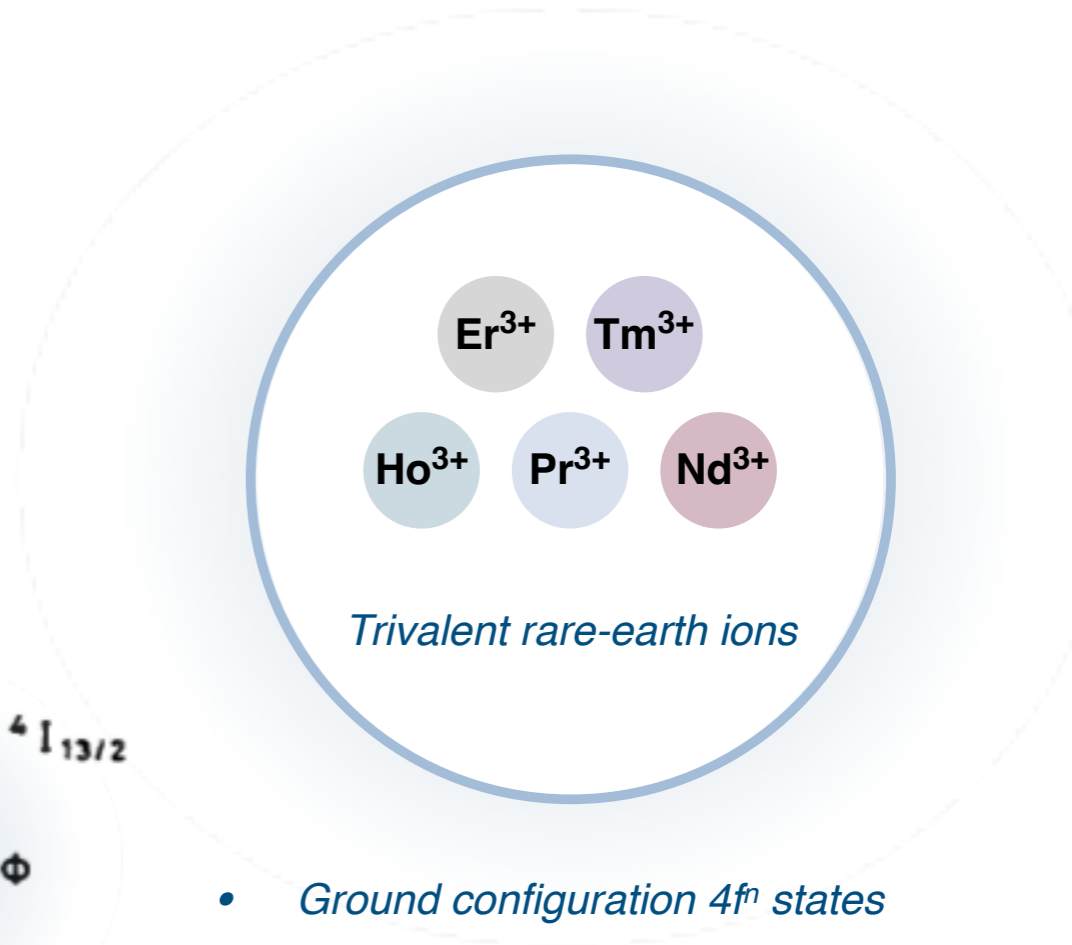
Upconversion in Inorganic Systems

Solid state upconversion

Energy Transfer Upconversion



Excitation of an excited laser-active ion



- Ground configuration $4f^n$ states
- metastable levels accessible using low energy photons

Upconversion competes with direct radiative decay

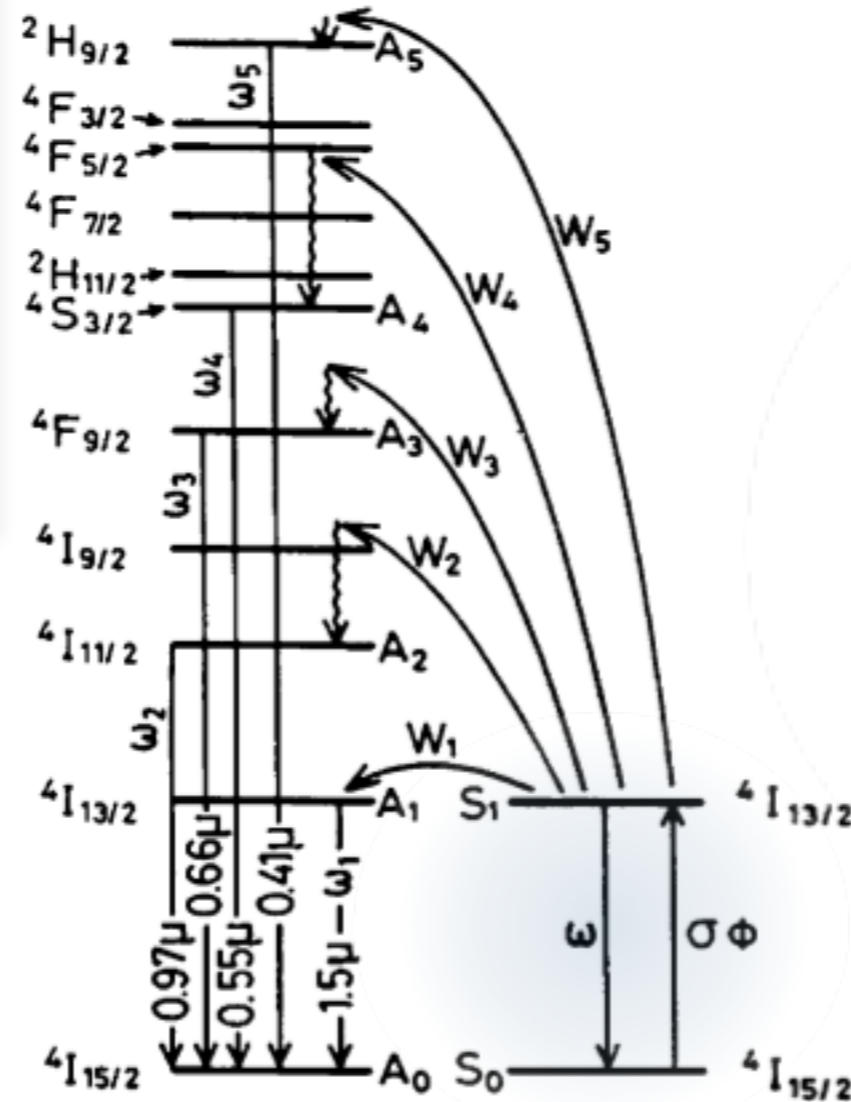
Upconversion in Inorganic Systems

Solid state upconversion

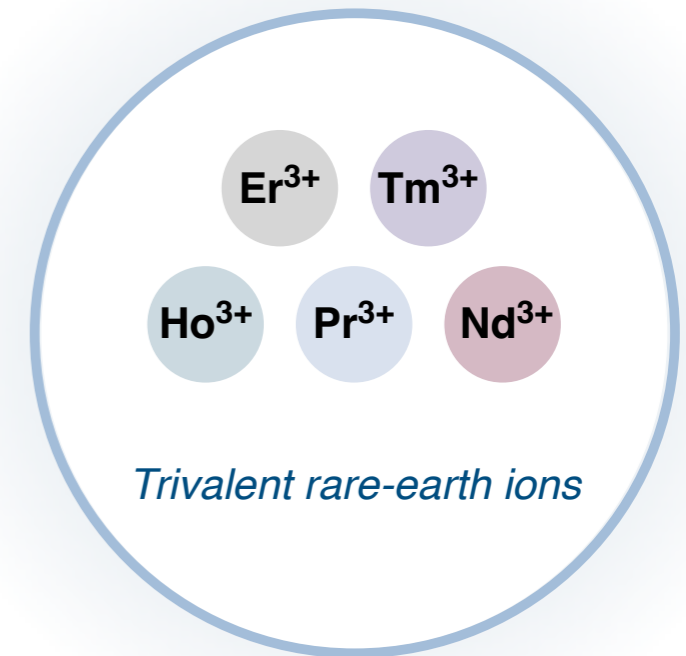
Energy Transfer Upconversion

(1) Energy Transfer

Excitation of an excited laser-active ion



- Ground configuration $4f^n$ states
- metastable levels accessible using low energy photons



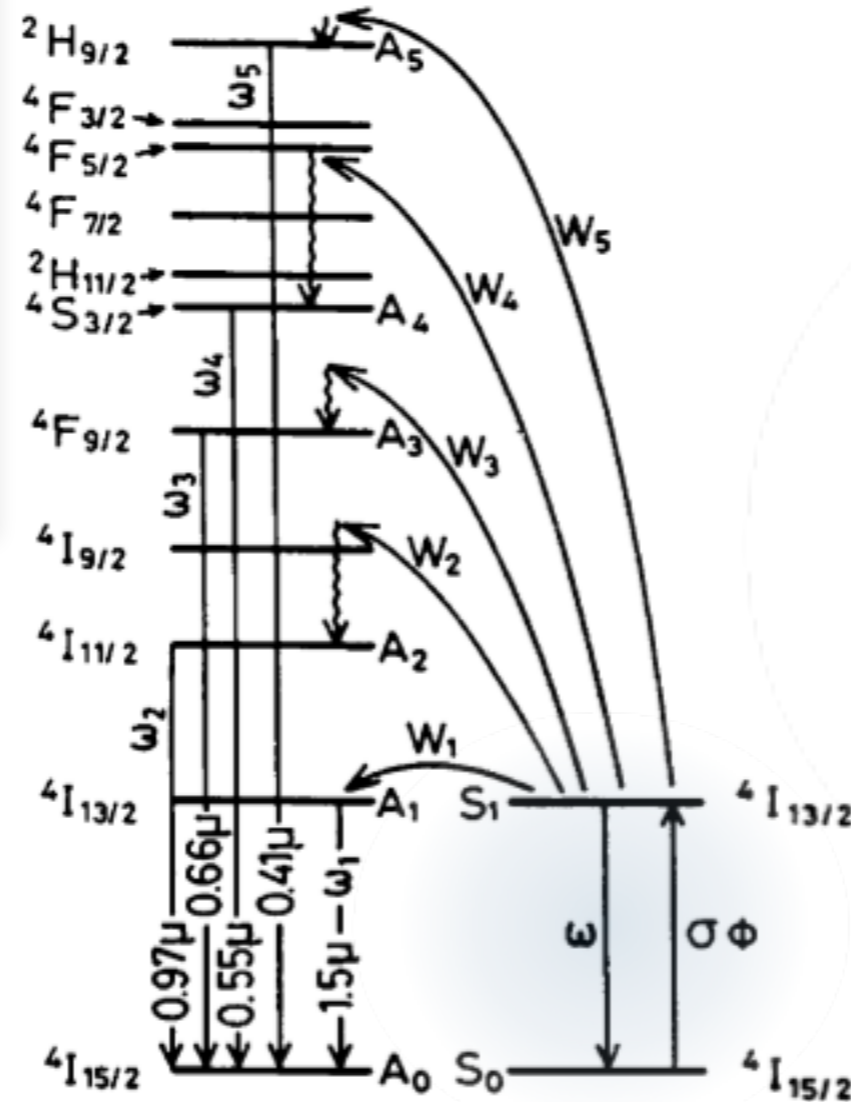
Upconversion competes with direct radiative decay

Upconversion in Inorganic Systems

Solid state upconversion

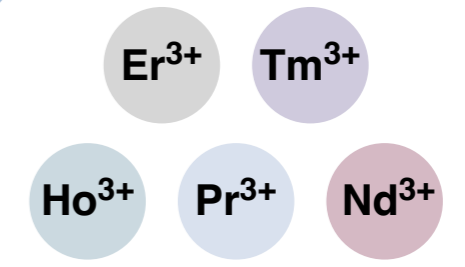
Energy Transfer Upconversion

(1) Energy Transfer



- Ground configuration $4f^n$ states
- metastable levels accessible using low energy photons

Trivalent rare-earth ions



- The most efficient UC systems occur in solid-state materials doped with lanthanide ions

Upconversion in Inorganic Systems

*Inorganic systems: 5 possible mechanisms may occur**

(1) Energy Transfer

- ***Commonly observed in nanoparticle upconversion***

Upconversion in Inorganic Systems

Inorganic systems: **5 possible mechanisms** may occur*

(1) Energy Transfer

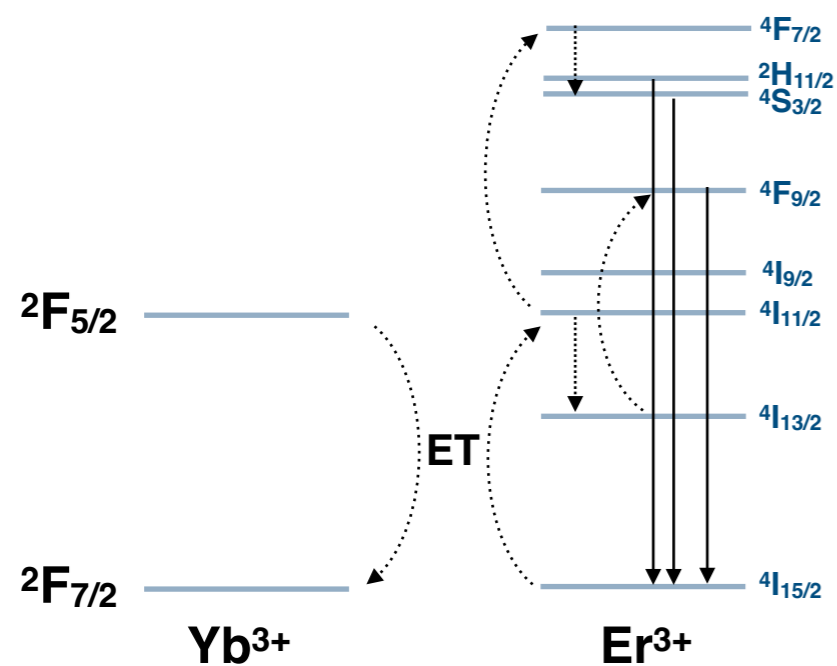
- **Commonly observed in nanoparticle upconversion**
(NaY_{0.77}Yb_{0.20}Er_{0.03}F₄)

Upconversion in Inorganic Systems

Inorganic systems: 5 possible mechanisms may occur*

(1) Energy Transfer

- Commonly observed in nanoparticle upconversion
($\text{NaY}_{0.77}\text{Yb}_{0.20}\text{Er}_{0.03}\text{F}_4$)



Sensitizer

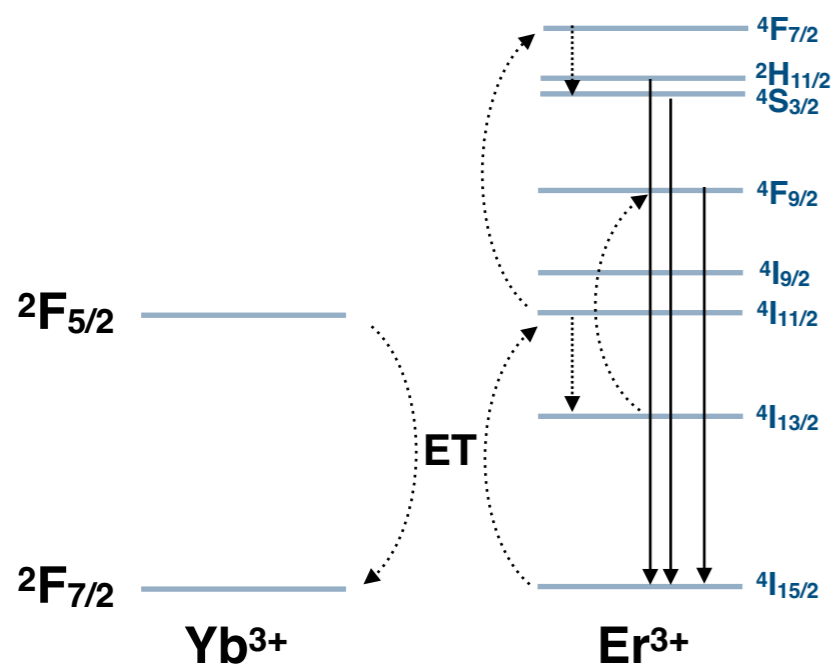
Acceptor

Upconversion in Inorganic Systems

Inorganic systems: 5 possible mechanisms may occur*

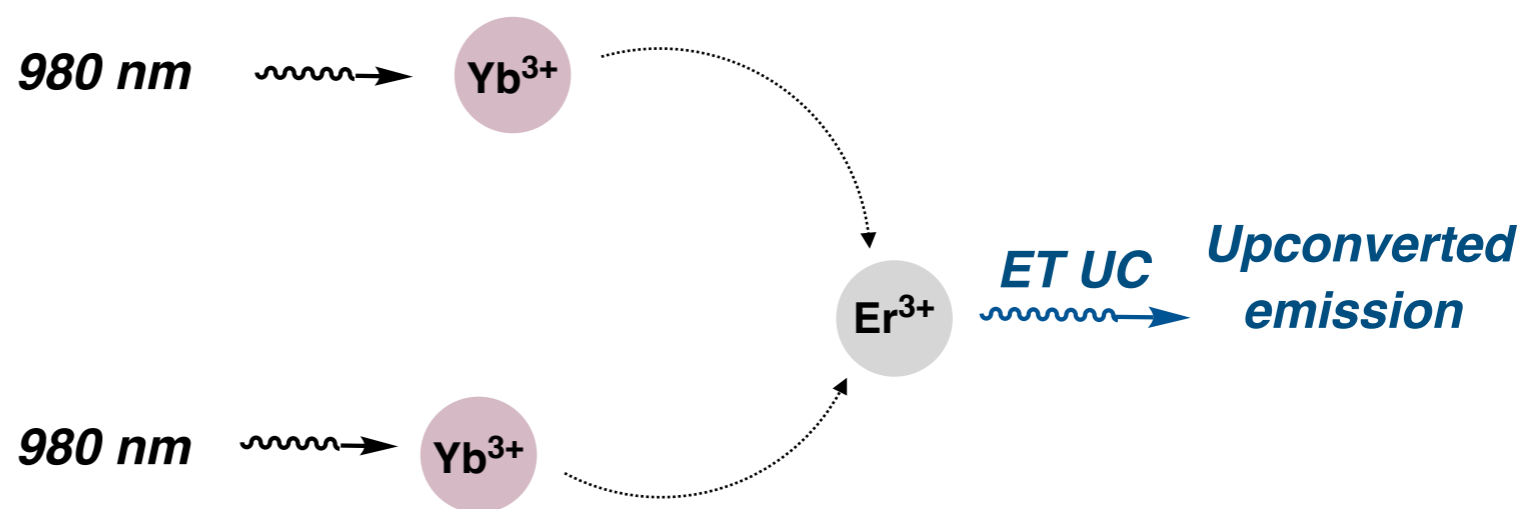
(1) Energy Transfer

- Commonly observed in nanoparticle upconversion
($\text{NaY}_{0.77}\text{Yb}_{0.20}\text{Er}_{0.03}\text{F}_4$)



Sensitizer

Acceptor



Photon Upconversion in Inorganic Materials

*Inorganic systems: 5 possible mechanisms may occur**

(1) Energy Transfer

- *Inorganic UCs*



**5th mechanism: Cooperative upconversion will not be discussed*

Photon Upconversion in Inorganic Materials

*Inorganic systems: 5 possible mechanisms may occur**

(1) Energy Transfer

- *Inorganic UCs*



(2) Photon Avalanche

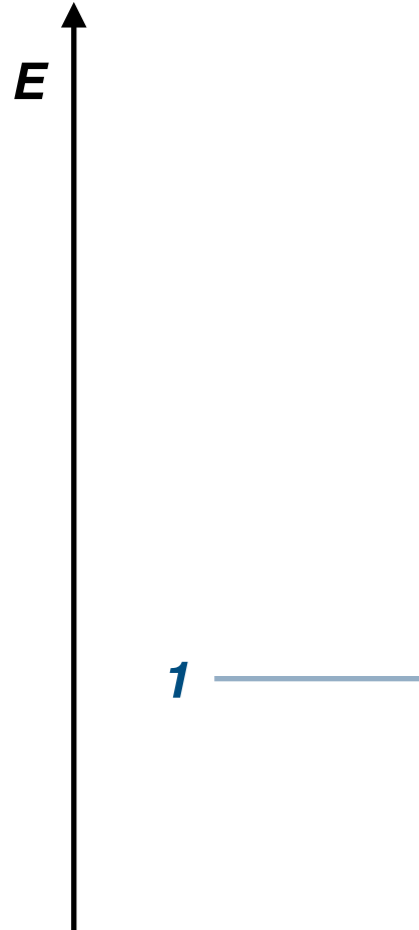
**(2) Cross-relaxation
Upconversion**

(3) Excited-State Absorption

**5th mechanism: Cooperative upconversion will not be discussed*

Inorganic Upconversion: Photon Avalanche

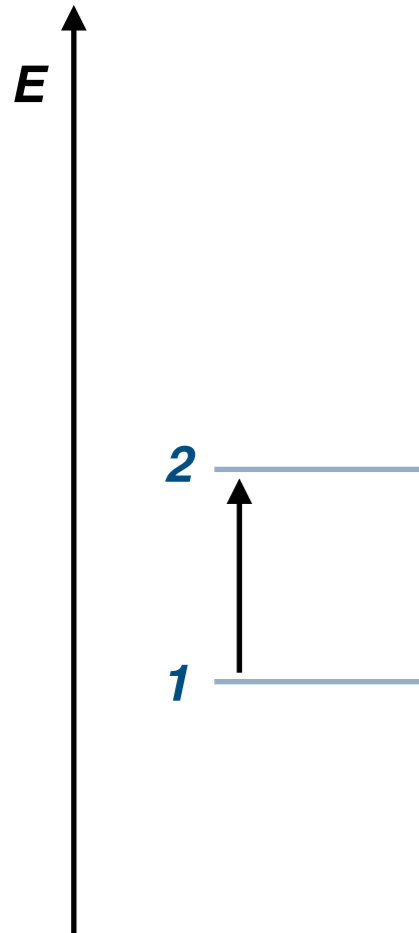
Mechanism of Photon Avalanche



- *Less common mechanism of upconversion*
- *Occurs inside laser cavities*

Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



- *Less common mechanism of upconversion*
- *Occurs inside laser cavities*

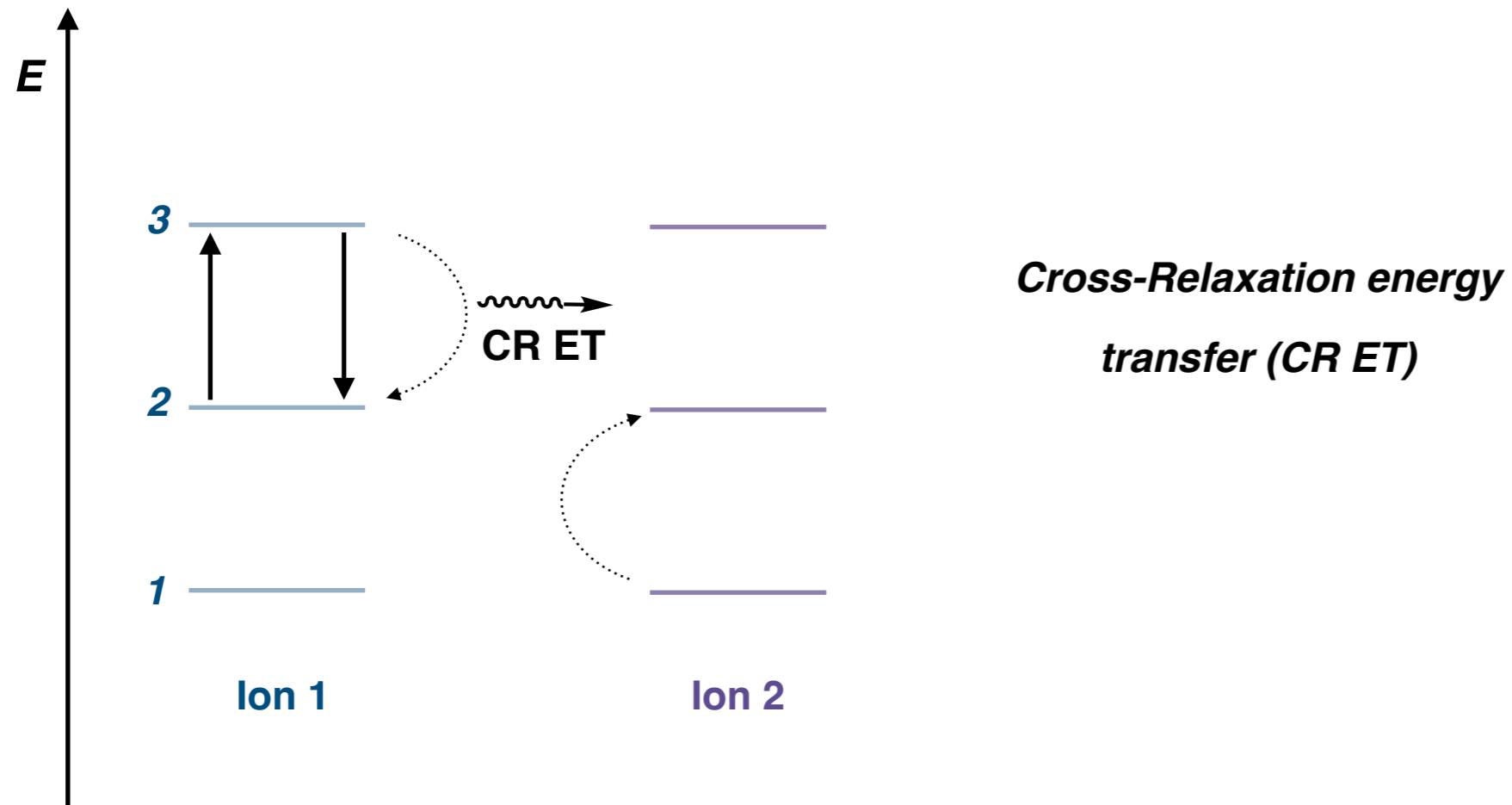
Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



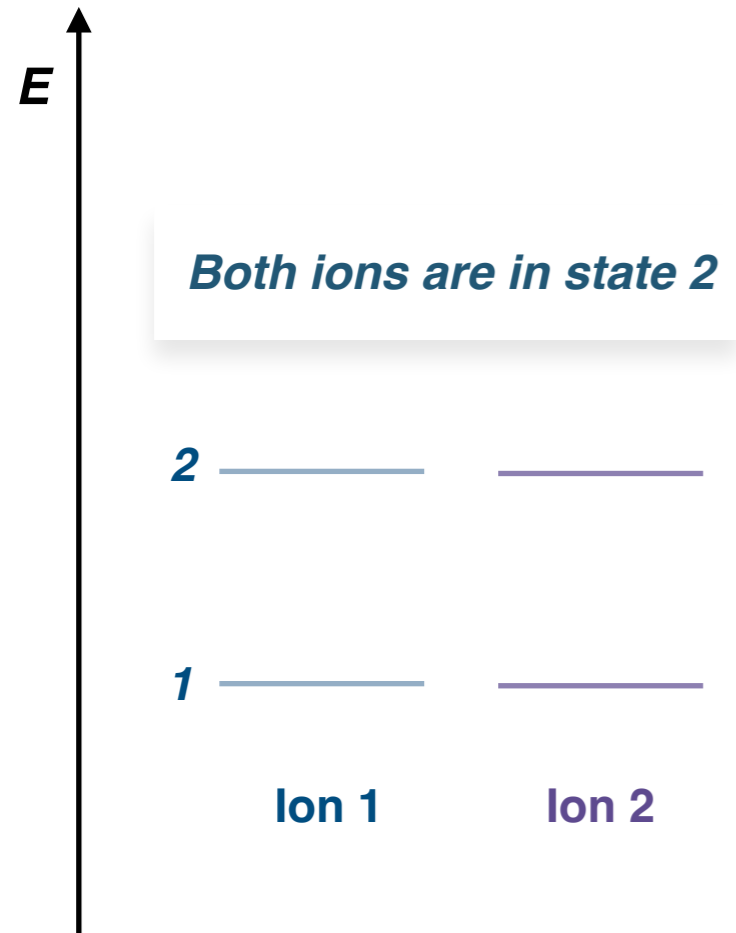
Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



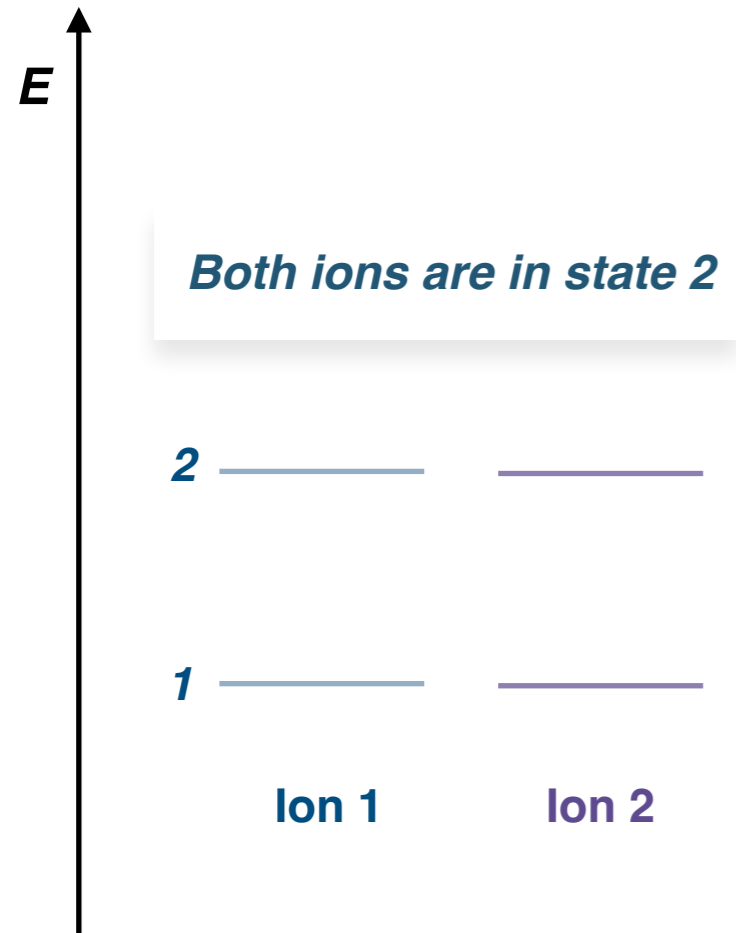
Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



***Photon Avalanche
Upconversion***

=

***Excited State Absorption
(ESA)***

then

Cross Relaxation ET

Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



***Photon Avalanche
Upconversion***

=

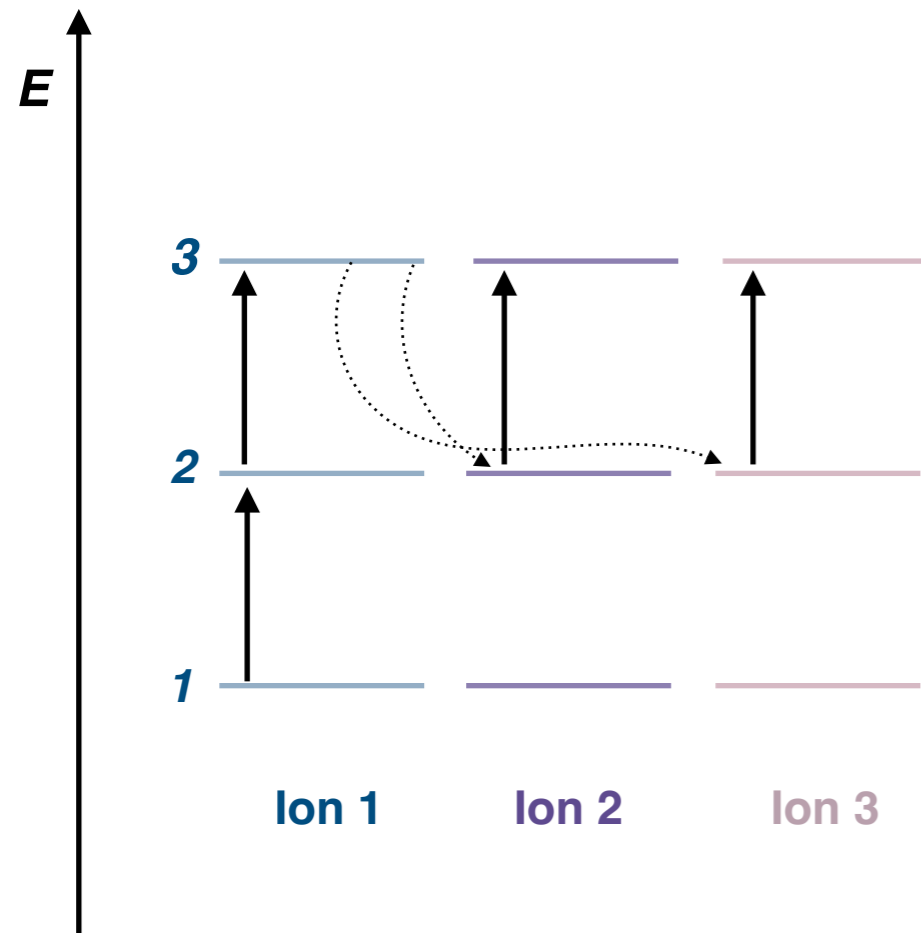
***Excited State Absorption
(ESA)***

then

Cross Relaxation ET

Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



*ESA occurs from state 2 to state 3
to induce upconversion*

*Photon Avalanche
Upconversion*

=

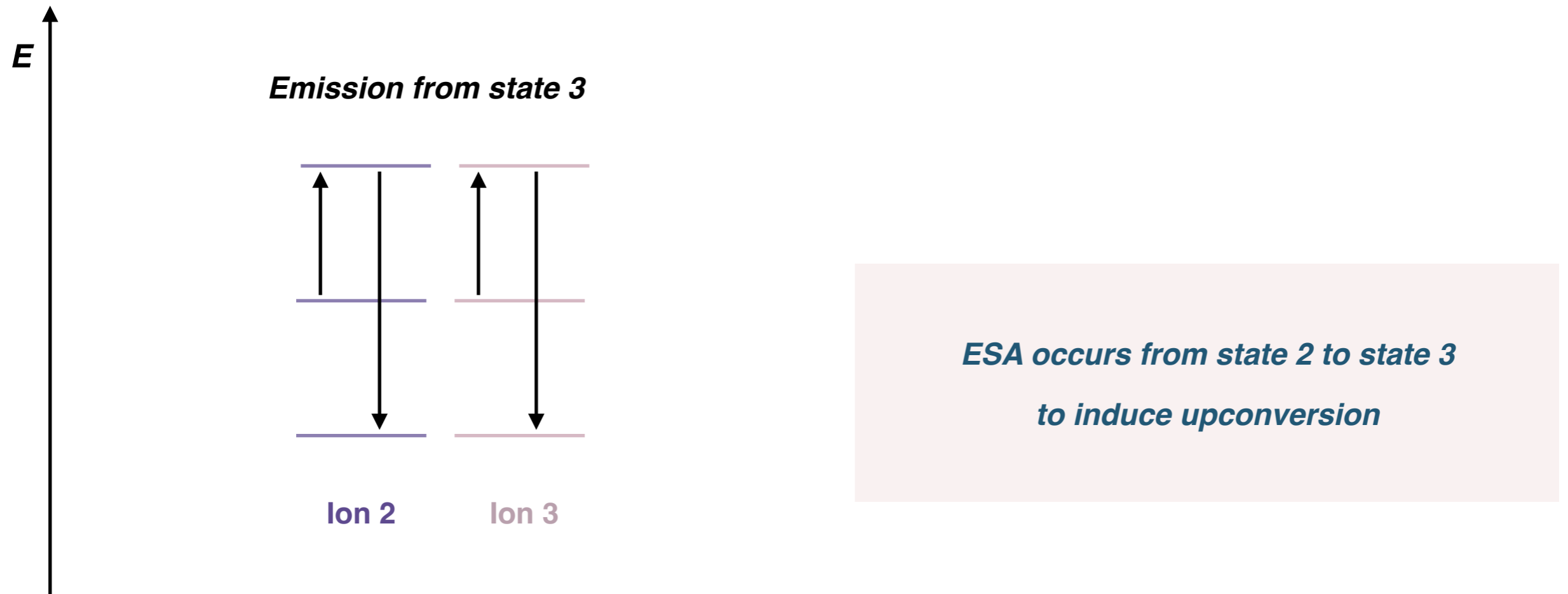
*Excited State Absorption
(ESA)*

then

Cross Relaxation ET

Inorganic Upconversion: Photon Avalanche

Mechanism of Photon Avalanche



***Photon Avalanche
Upconversion***

=

***Excited State Absorption
(ESA)***

then

Cross Relaxation ET

Upconversion in Inorganic Systems

*How is inorganic materials upconversion used towards
biological applications?*

Upconversion in Inorganic Systems

Applications in a biological context

***Immunolabeling
and fluorescent
imaging***

Jethva, P., Momin, M., Khan, T., Omri, A. *Materials*. **2022**. *15*, 2374.

Wang, M., Mi, C., Zhang, Y., Liu, J., Li, F., Mao, C., Xu, S. *J. Phys. Chem.* **2009**. *113*, 19021–19027.

Upconversion in Inorganic Systems

Applications in a biological context

***Immunolabeling
and fluorescent
imaging***

Workflow:

1. Amino-functionalization of nanoparticles

Promotes (NaY_{0.77}Yb_{0.20}Er_{0.03}F₄) biocompatibility

Upconversion in Inorganic Systems

Applications in a biological context

***Immunolabeling
and fluorescent
imaging***

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2. Nanoparticle-antibody conjugation

Rabbit anti-CEA8

Upconversion in Inorganic Systems

Applications in a biological context

**Immunolabeling
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Workflow:

1. Amino-functionalization of nanoparticles

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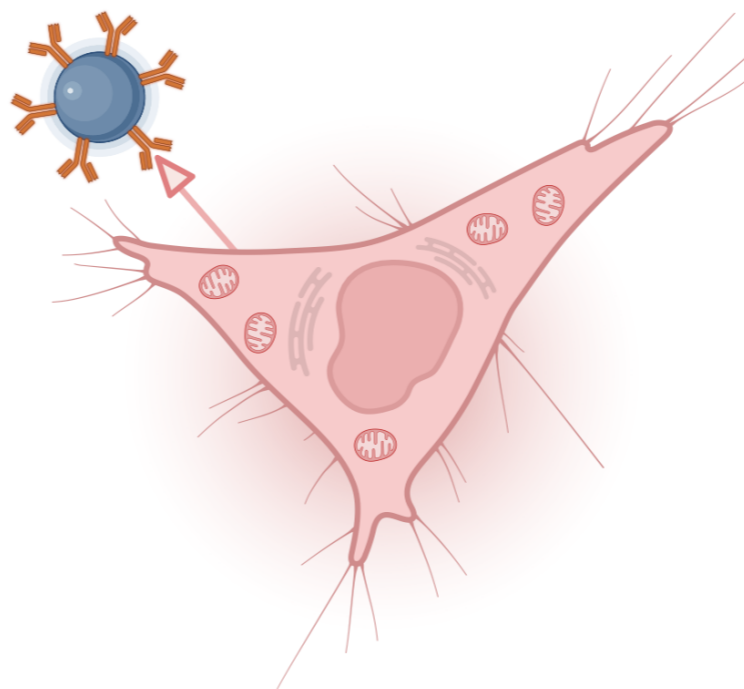


2. Nanoparticle-antibody conjugation

Rabbit anti-CEA8



3. Nanoparticle incubation with live HeLa cells



Upconversion in Inorganic Systems

Applications in a biological context

*Immunolabeling
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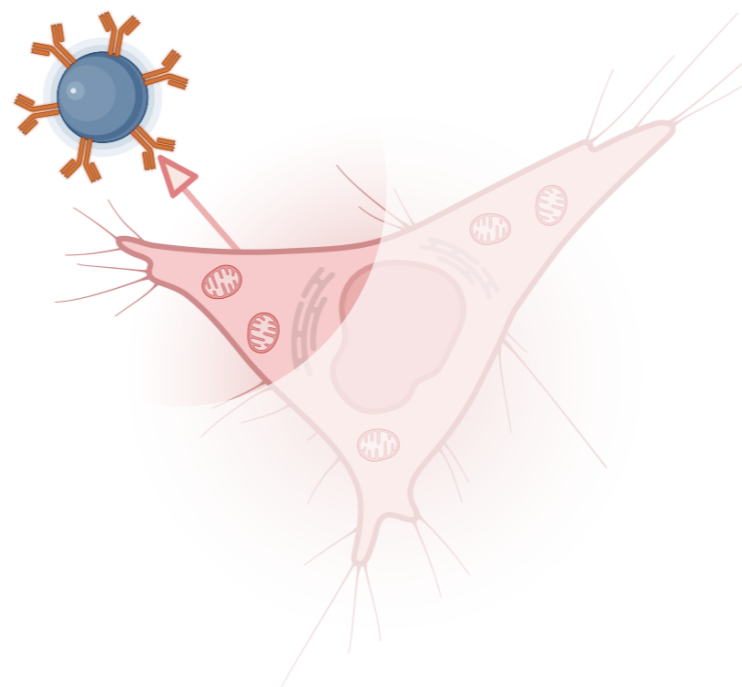


2. Nanoparticle-antibody conjugation

Rabbit anti-CEA8



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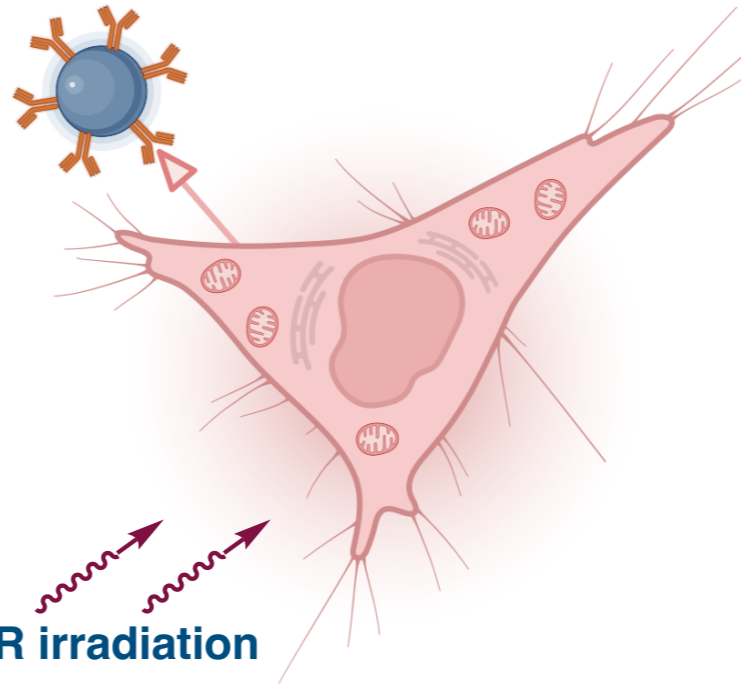


Upconversion in Inorganic Systems

Applications in a biological context

*Immunolabeling
and fluorescent
imaging*

3. Nanoparticle incubation with live HeLa cells



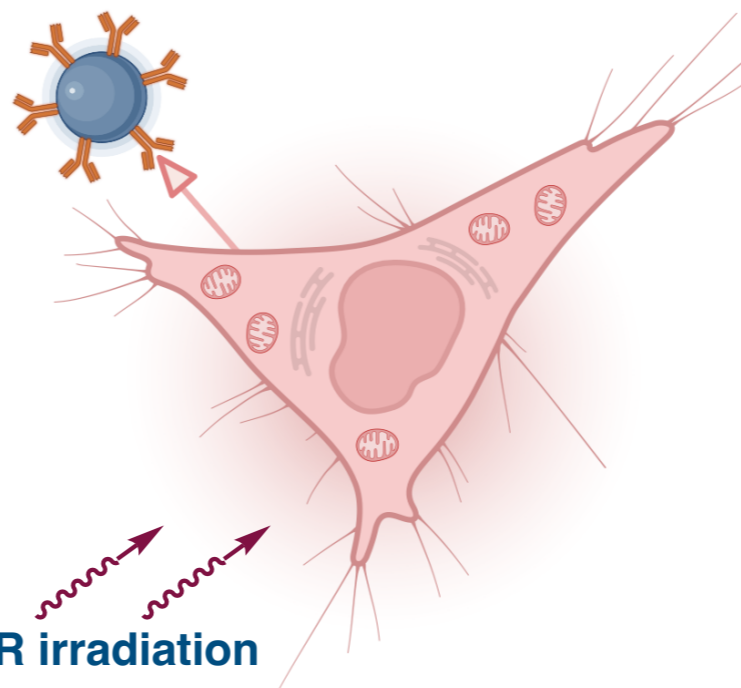
4. Near IR irradiation

Upconversion in Inorganic Systems

Applications in a biological context

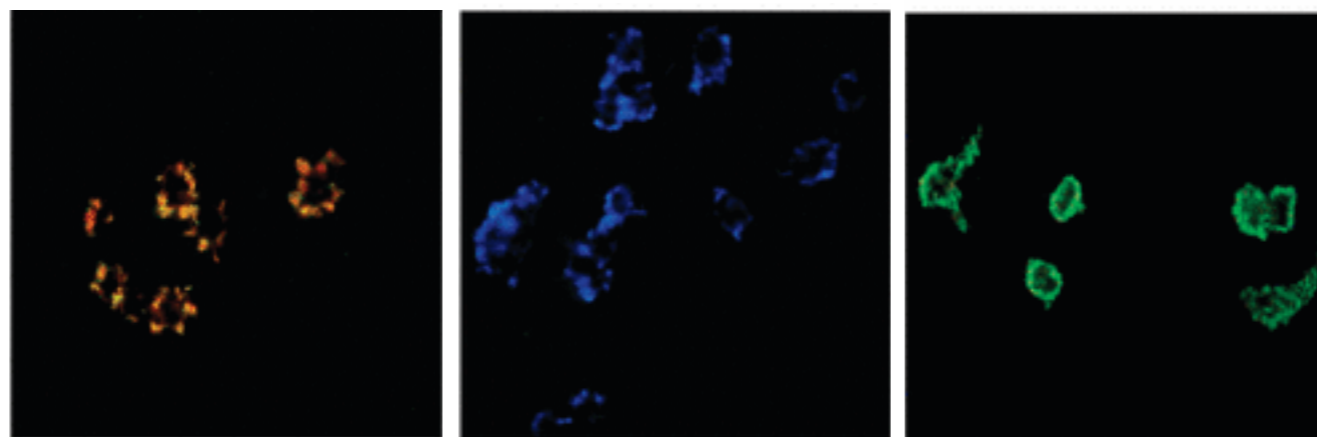
*Immunolabeling
and fluorescent
imaging*

3. Nanoparticle incubation with live HeLa cells



4. Near IR irradiation

5. Inverted fluorescence microscopy



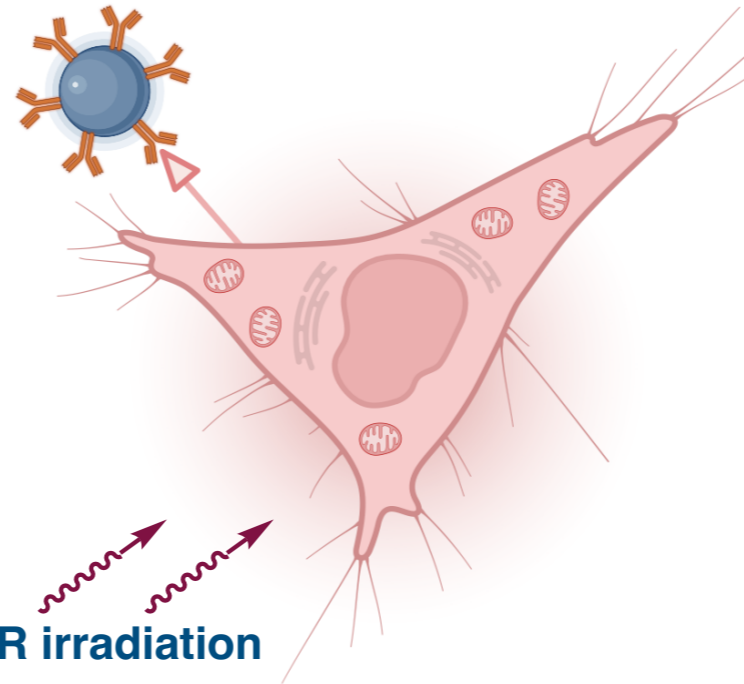
Confirmation of cell membrane NP labelling

Upconversion in Inorganic Systems

Applications in a biological context

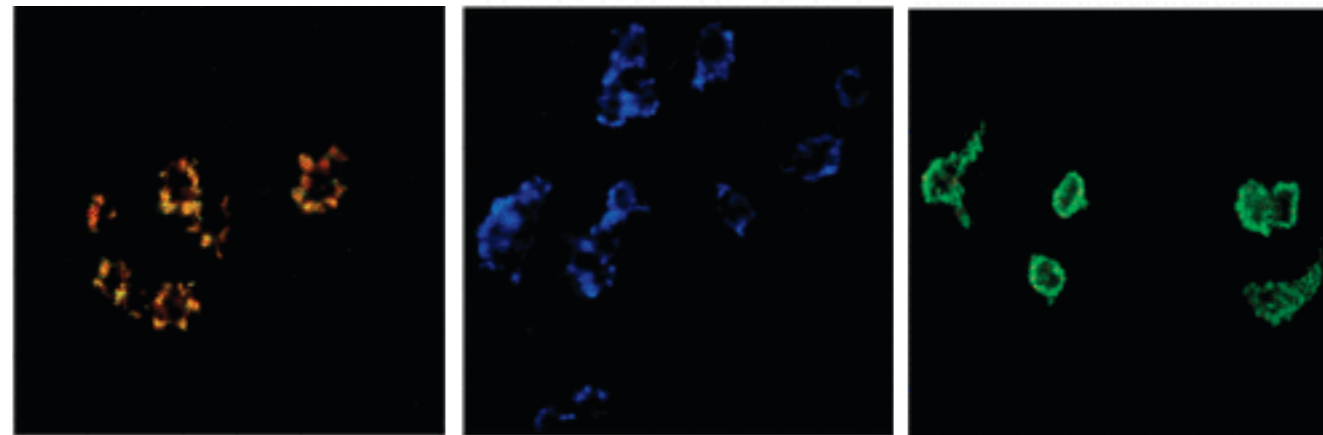
*Immunolabeling
and fluorescent
imaging*

3. Nanoparticle incubation with live HeLa cells



4. Near IR irradiation

5. Inverted fluorescence microscopy



Tune the emission wavelength from same NP

Jethva, P., Momin, M., Khan, T., Omri, A. *Materials*. **2022**. 15, 2374.

Wang, M., Mi, C., Zhang, Y., Liu, J., Li, F., Mao, C., Xu, S. *J. Phys. Chem.* **2009**. 113, 19021–19027.

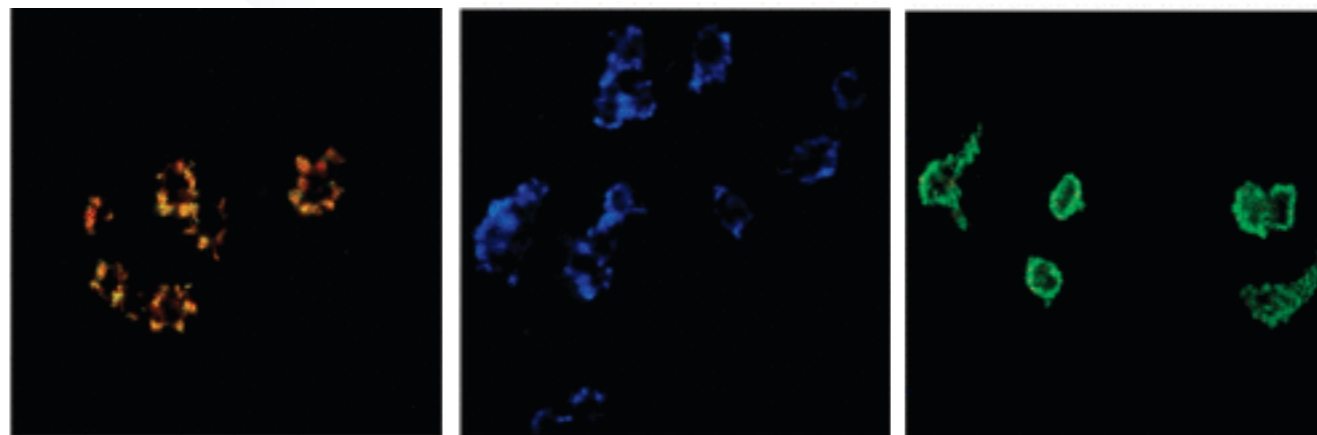
Upconversion in Inorganic Systems

Applications in a biological context

***Immunolabeling
and fluorescent
imaging***

- ***Biocompatible interface***
- ***High cell surface targets specificity recognition***
- ***NP UC performs fluorescence for cell imaging***

**Inverted fluorescence
microscopy**



Tune the emission wavelength from same NP

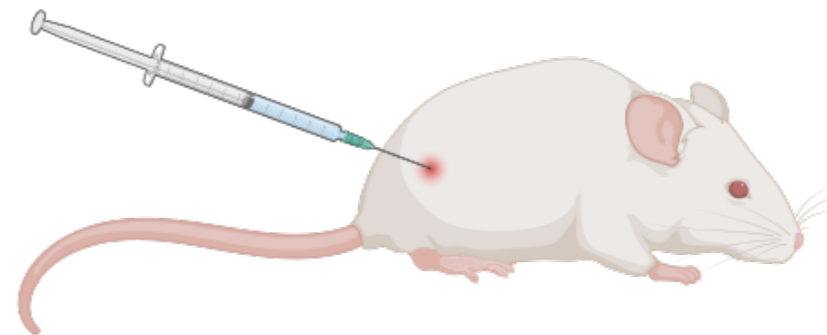
Jethva, P., Momin, M., Khan, T., Omri, A. *Materials*. **2022**. 15, 2374.

Wang, M., Mi, C., Zhang, Y., Liu, J., Li, F., Mao, C., Xu, S. *J. Phys. Chem.* **2009**. 113, 19021–19027.

Upconversion in Inorganic Systems

Applications in a biological context

***High-Contrast
Visualization***

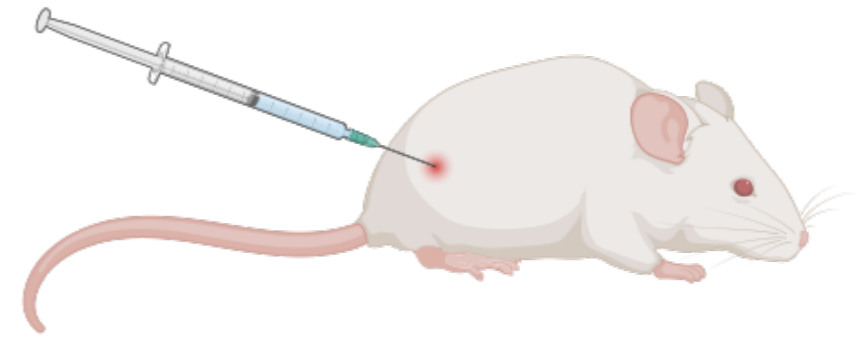


Injection with hydrophilic NaLuF₄Yb UC nanoparticles

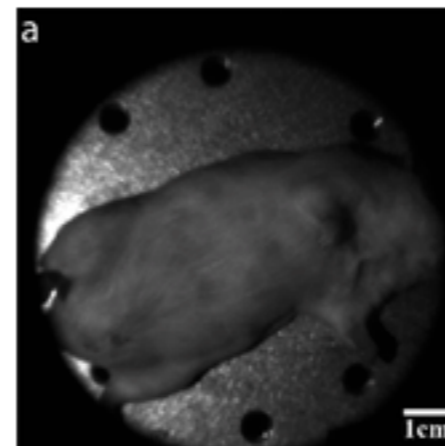
Upconversion in Inorganic Systems

Applications in a biological context

**High-Contrast
Visualization**



Injection with hydrophilic NaLuF₄Yb UC nanoparticles

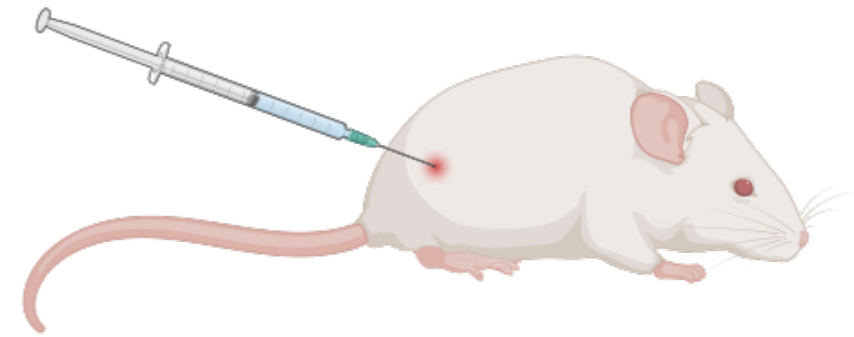


Bright field light

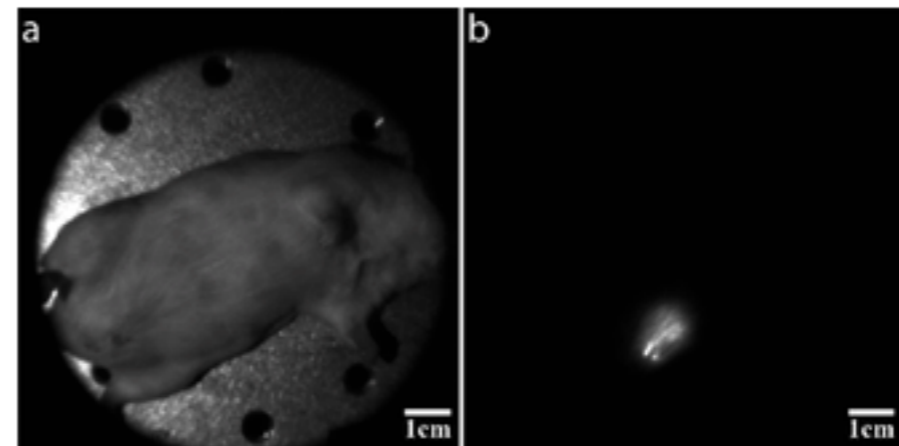
Upconversion in Inorganic Systems

Applications in a biological context

**High-Contrast
Visualization**



Injection with hydrophilic NaLuF₄Yb UC nanoparticles



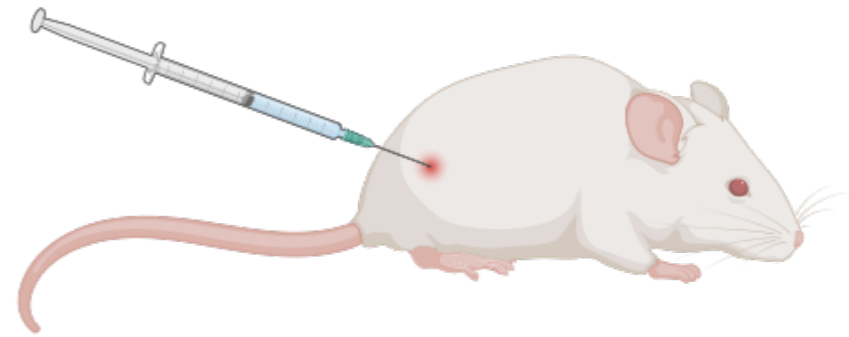
Bright field light

*Time-gated
luminescence
(980 nm NIR)*

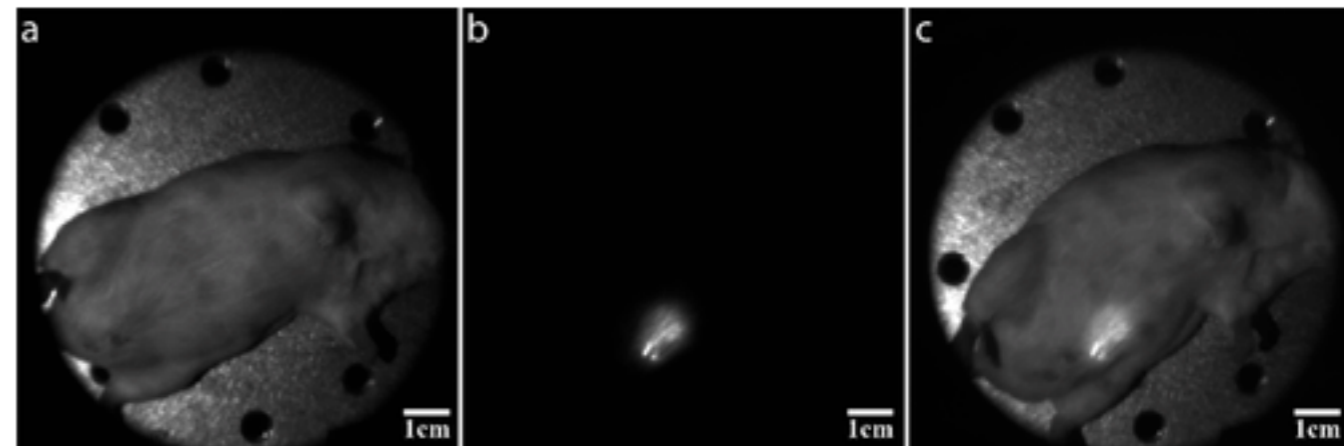
Upconversion in Inorganic Systems

Applications in a biological context

**High-Contrast
Visualization**



Injection with hydrophilic NaLuF₄Yb UC nanoparticles



Bright field light

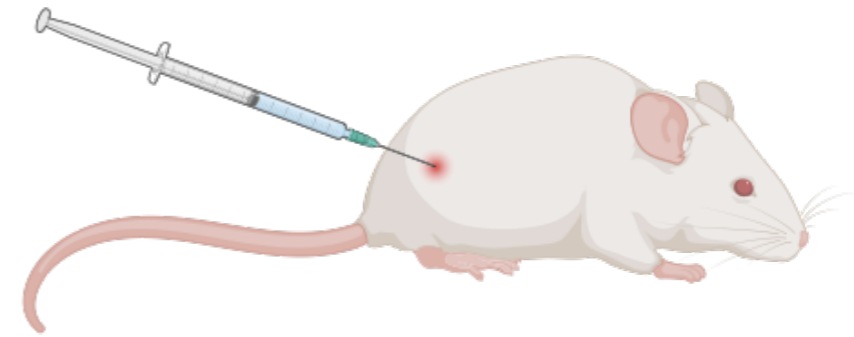
*Time-gated
luminescence
(980 nm NIR)*

*Bright field light
+
Time-gated
luminescence
(980 nm NIR)*

Upconversion in Inorganic Systems

Applications in a biological context

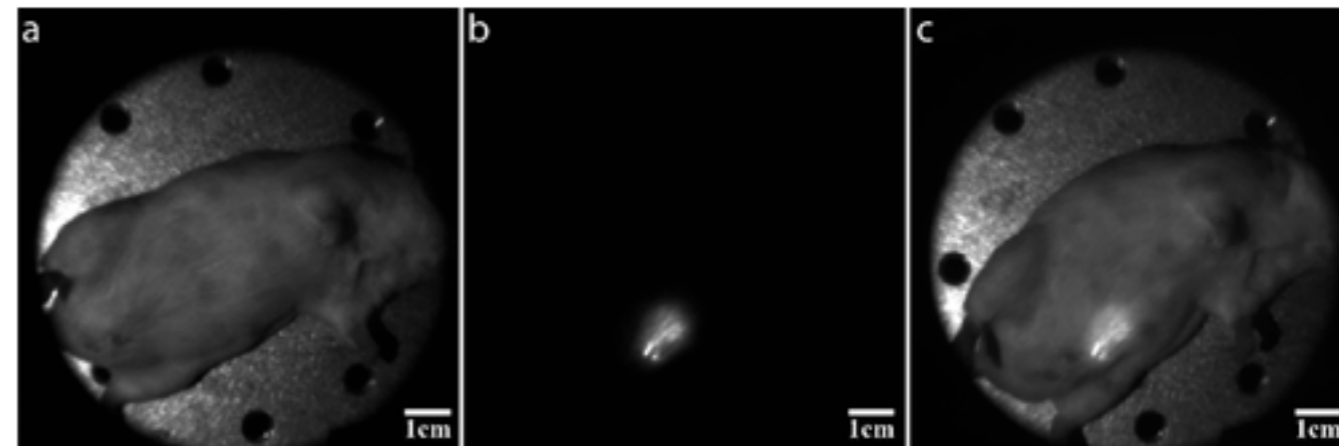
**High-Contrast
Visualization**



Injection with hydrophilic NaLuF₄Yb UC nanoparticles

- **Lower autofluorescence background signals**

Enhances signal-to-noise ratio



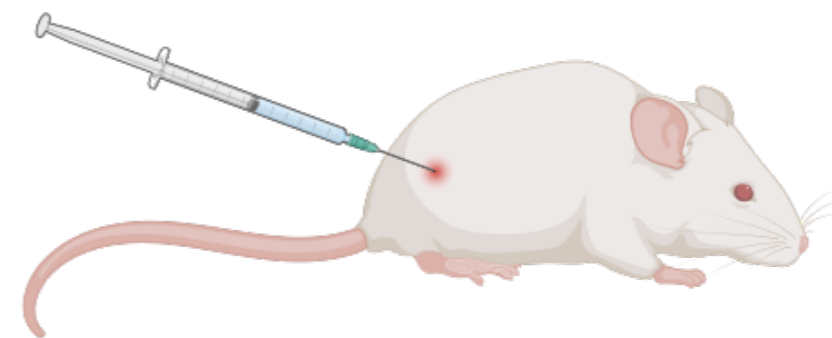
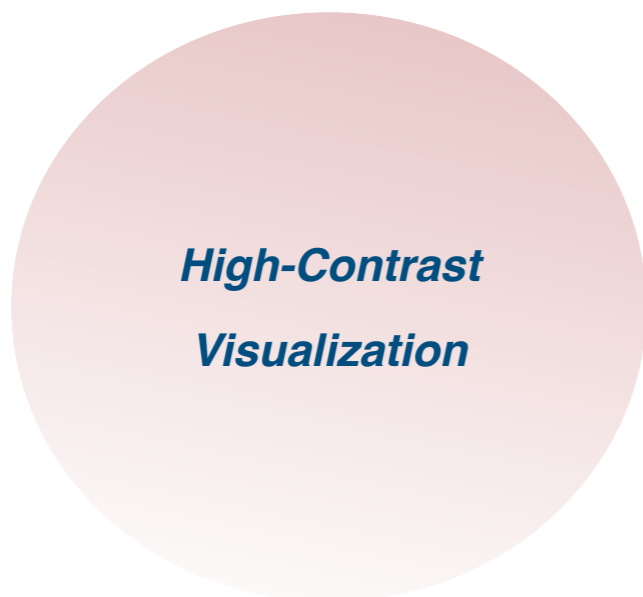
Bright field light

*Time-gated
luminescence
(980 nm NIR)*

*Bright field light
+
Time-gated
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(980 nm NIR)*

Upconversion in Inorganic Systems

Applications in a biological context



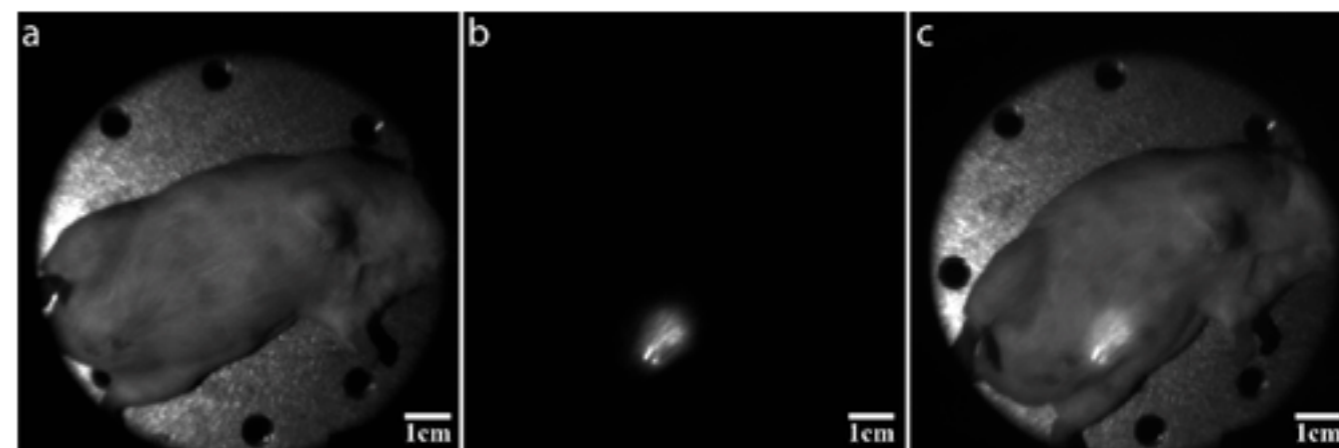
Injection with hydrophilic NaLuF₄Yb UC nanoparticles

- **Lower autofluorescence background signals**

Enhances signal-to-noise ratio



- **Enables sensitive fluorescence detection**



Bright field light

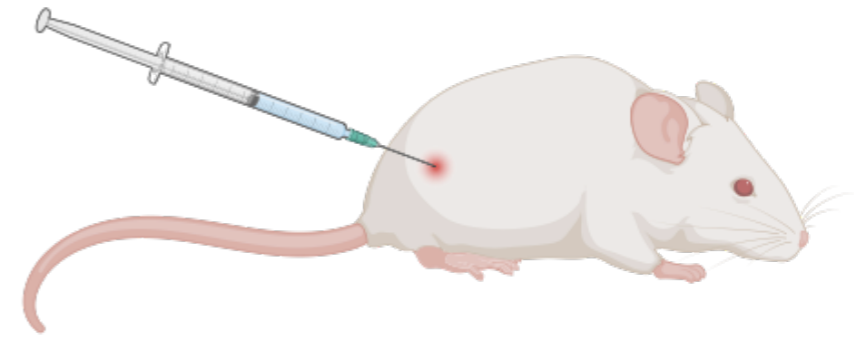
*Time-gated
luminescence
(980 nm NIR)*

*Bright field light
+
Time-gated
luminescence
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Upconversion in Inorganic Systems

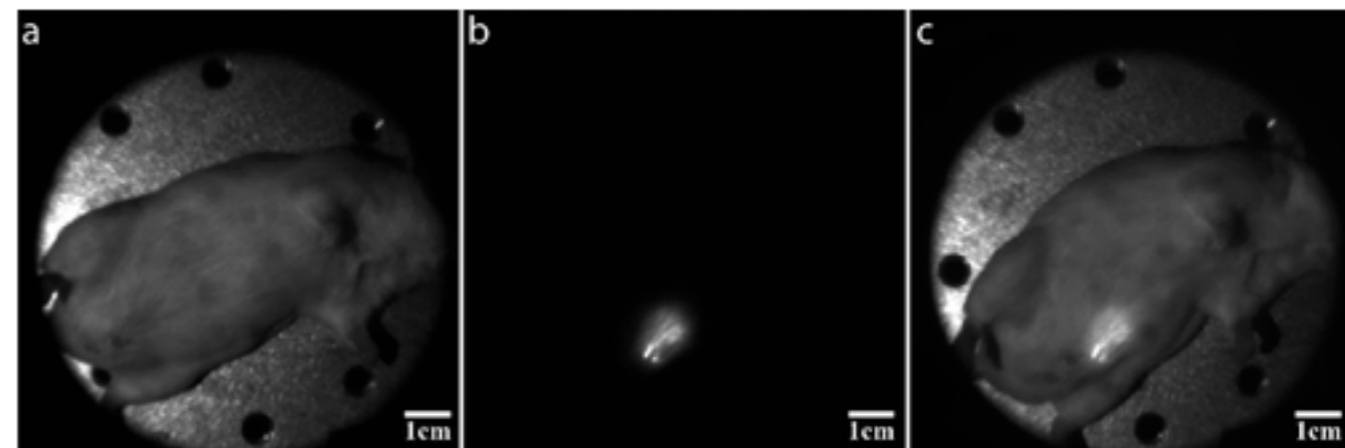
Applications in a biological context

**High-Contrast
Visualization**



Injection with hydrophilic NaLuF₄Yb UC nanoparticles

**Deeper tissue penetration opens avenues
to more complex settings**



Bright field light

*Time-gated
luminescence
(980 nm NIR)*

*Bright field light
+
Time-gated
luminescence
(980 nm NIR)*

Pharmaceuticals in Medicinal Chemistry

Top 200 Small Molecule Pharmaceuticals by Retail Sales in 2022

1 Paxlovid (Nirmovir/Hematinivir) \$18,533 Billion Infectious Diseases	2 Eliquis (Apixiban) \$11,729 Billion Cardiology/Vascular Diseases	3 Biktarvy (Bictegravir/Emtricitabine/Rilpivirine) \$10,393 Billion Infectious Diseases	4 Revlimid (Lenalidomide) \$10,241 Billion Oncology	5 Jardiance (Empagliflozin) \$8,388 Billion Diabetes	6 Imbruvica (Vemurafenib) \$8,352 Billion Oncology	7 Tivicay (Dolutegravir) \$8,103 Billion Infectious Diseases	8 Trikafa (Etrasimver/Faccosfor/vaccosfor) \$7,487 Billion Semic Conductor	9 Xarelto (Rivastigmine) \$7,215 Billion Cardiology/Vascular Diseases	10 Xtandi (Enzalutamide) \$4,734 Billion Oncology	11 Lagevrio (Levamisole) \$4,684 Billion Oncology	12 Tagrisso (Osimertinib) \$4,444 Billion Oncology	13 Ibrance (Palbocicli) \$4,300 Billion Oncology	14 Farxiga (Dapagliflozin) \$4,281 Billion Diabetes	15 Entresto (Sacubitril/Valsartan) \$4,140 Billion Cardiology/Vascular Diseases	16 Invega Sustenna (Paliperidone Palmitate) \$4,140 Billion Oncology	17 Veklury (Remdesivir) \$3,955 Billion Infectious Diseases	18 Lynparza (Olaparic) \$3,754 Billion Oncology	19 Ofev (Nintedanib) \$3,474 Billion Respiratory Diseases	20 Pomalyst (Pomalidomide) \$3,477 Billion Oncology
21 Vyvanse (Lisdexamfetamine) \$3,219 Billion Neurology	22 Rexulti (Brexpiprazole) \$3,191 Billion Neurology	23 Januvia (Sitagliptin) \$2,813 Billion Diabetes	24 Lenvima (Lenvatinib) \$2,742 Billion Oncology	25 Yondelis (Eribulin and Formoterol) \$2,538 Billion Respiratory Diseases	26 Rinvoq (Upadacitinib) \$2,523 Billion Neurology	27 Verzenio (Palbocicli) \$2,483 Billion Oncology	28 Vynandel (Tofacitinib) \$2,464 Billion Rare Diseases	29 Genyova (Etrasimver) \$2,464 Billion Infectious Diseases	30 Otezla (Apremilast) \$2,288 Billion Oncology	31 Aubagio (Tofacitinib) \$2,227 Billion Neurology	32 Triumeq (Abacavir/Dolutegravir/Rilpivirine) \$2,217 Billion Infectious Diseases	33 Sprycel (Ticagrelor) \$2,145 Billion Oncology	34 Trelegly Ellipta (Fluticasone/Vilanterol) \$2,131 Billion Respiratory Diseases	35 Promacta (Eprosma) \$2,088 Billion Oncology	36 Calquence (Acalofibrin) \$2,027 Billion Oncology	37 Vraylar (Cariprazine) \$2,008 Billion Neurology	38 Spinraza (Nusinersen) \$2,036 Billion Genetic Diseases	39 Gilenya (Fingolimod) \$2,013 Billion Neurology	40 Venclexta (Vandetanib) \$2,009 Billion Oncology
41 Abilify Maintena (Aripiprazole) \$1,953 Billion Neurology	42 Prezista and Prezcoix (Darunavir/Cobicistat) \$1,948 Billion Infectious Diseases	43 Tasigna (Crizotinib) \$1,923 Billion Oncology	44 Erlada (Apatinib) \$1,881 Billion Oncology	45 Relvar (Fluticasone Fluonid) \$1,872 Billion Respiratory Diseases	46 Descovy (Emtricitabine/Rilpivirine/Adofovir) \$1,872 Billion Infectious Diseases	47 Trajenta (Linagliptin and Metformin) \$1,844 Billion Diabetes	48 Latuda (Lurasidone) \$1,810 Billion Oncology	49 Xeljanz (Tofacitinib) \$1,714 Billion Oncology	50 Opsumit (Macitentan) \$1,703 Billion Cardiology/Vascular Diseases	51 Zytiga (Enzalutamide) \$1,700 Billion Oncology	52 Tafinlar (Dabrafenib/Vemurafenib) \$1,700 Billion Oncology	53 Jynarque (Ticagrelor) \$1,718 Billion Oncology	54 Janumet (Sitagliptin/Metformin) \$1,700 Billion Diabetes	55 Dovato (Dolutegravir/Cobicistat) \$1,695 Billion Oncology	56 Spiriva (Tiotropium Bromide) \$1,691 Billion Respiratory Diseases	57 Bridion (Meropenem) \$1,685 Billion Oncology	58 Alecensa (Alectinib) \$1,453 Billion Oncology	59 Lipitor (Atorvastatin) \$1,453 Billion Cardiology/Vascular Diseases	60 Lixiana (Edoxaban) \$1,450 Billion Oncology
61 Epluvia (Dofetilone/Valsartan) \$1,370 Billion Infectious Diseases	62 Jakavi (Ruxitinib) \$1,541 Billion Neurology	63 Mavyret (Glecaprevir/Patensivir) \$1,541 Billion Infectious Diseases	64 Prograf (Tacrolimus) \$1,514 Billion Infectious Diseases	65 Odefsey (Emtricitabine/Rilpivirine/Adofovir) \$1,449 Billion Infectious Diseases	66 Tecfidera (Dimethyl Fumarate) \$1,443 Billion Neurology	67 Betanis (Vilanterol) \$1,427 Billion Respiratory Diseases	68 Lovonox (Enoxaparin) \$1,426 Billion Oncology	69 Seretide (Icanserin) \$1,428 Billion Respiratory Diseases	70 Juvederm (Hydroxy Acid) \$1,428 Billion Oncology	71 Ingrezza (Feximepate) \$1,427 Billion Oncology	72 Trintellix (Vortioxetine) \$1,399 Billion Oncology	73 Vimpat (Lacosamide) \$1,399 Billion Neurology	74 Brinta (Brigandine) \$1,399 Billion Cardiology/Vascular Diseases	75 Mirena (Levonorgestrel) \$1,340 Billion Women's Health	76 Kyprolis (Carfilzomib) \$1,338 Billion Oncology	77 Upravi (Saxiparin) \$1,323 Billion Cardiology/Vascular Diseases	78 Somatuline (Axitinib) \$1,304 Billion Oncology	79 Nexium (Esomeprazole) \$1,295 Billion Gastrointestinal Diseases	80 Sandostatin (Octreotide) \$1,298 Billion Oncology
81 Kisqali (Ribocicli) \$1,231 Billion Oncology	82 Eryxidi (Raxiparin) \$1,223 Billion Neurology	83 Praxada (Dabigatran Etexilate) \$1,201 Billion Hematology	84 Linzess (Linzaclopride) \$1,098 Billion Gastrointestinal Diseases	85 Plavix (Clopidogrel) \$1,078 Billion Cardiology/Vascular Diseases	86 Crestor (Rosuvastatin) \$1,049 Billion Cardiology/Vascular Diseases	87 Xyrem (Sodium Oxycobal) \$1,020 Billion Sleep	88 Edurant (Epirone) \$1,020 Billion Oncology	89 Ilyta (Axitinib) \$1,020 Billion Oncology	90 Abraxane (Paclitaxel Polyanhydride) \$944 Million Oncology	91 Austedo (Deutetrabenazine) \$943 Million Neurology	92 Xywav (Cemetergine, Neosar, and Xylometazoline) \$908 Million Sleep	93 Ventolin (Albuterol) \$900 Million Respiratory Diseases	94 Alimta (Pemetrexed) \$927 Million Oncology	95 Zoladex (Zoledronic) \$927 Million Oncology	96 Adempas (Riociguat) \$923 Million Cardiology/Vascular Diseases	97 Tyvaso (Iprasent) \$873 Million Cardiology/Vascular Diseases	98 Adalat (Nifedipine) \$872 Million Cardiology/Vascular Diseases	99 Seloken (Metoprolol) \$862 Million Cardiology/Vascular Diseases	100 Galvus (Vildagliptin) \$859 Million Diabetes
101 Leuplin (Enzalutamide) \$843 Million Oncology	102 Vemlidy (Tenofvir Disoproxil) \$843 Million Infectious Diseases	103 Afinitor and Zortress (Everolimus) \$841 Million Oncology	104 Takecab (Cabotegravir) \$836 Million Infectious Diseases	105 Nexplanon (Etonogestrel) \$834 Million Oncology	106 Olumiant (Baricitinib) \$832 Million Oncology	107 YAZ (Drospirenone and Ethinyl Estradiol) \$829 Million Oncology	108 Aspirin Cardio (Acetylsalicylic Acid) \$827 Million Oncology	109 Sulperazon (Colchicine/Sulfonamide) \$784 Million Oncology	110 Esbriet (Pirfenidone) \$784 Million Oncology	111 Juluca (Dolutegravir/Rilpivirine) \$784 Million Infectious Diseases	112 Keppra (Levetiracetam) \$777 Million Neurology	113 Norvasc (Amlodipine) \$775 Million Cardiology/Vascular Diseases	114 Gleevec (Imatinib) \$745 Million Oncology	115 Ninlaro (Ixazomib) \$743 Million Oncology	116 Exforge (Amlodipine/Valsartan) \$740 Million Oncology	117 Epidiolex (Cannabidiol) \$738 Million Oncology	118 Lexican (Repaglinic) \$719 Million Oncology	119 Augmentin (Amoxicillin/Clavulanic Potassium) \$710 Million Infectious Diseases	120 Copaxone (Glatiramer Acetate) \$691 Million Oncology
121 Ubrelvy (Bupropion) \$680 Million Neurology	122 Fikotid (Fluciclovine Phosphate) \$671 Million Respiratory Diseases	123 Restasis (Cyclosporine) \$664 Million Ophthalmology	124 Zejula (Ezetimibe) \$644 Million Oncology	125 Diovan (Hydrochlorothiazide/Valsartan) \$642 Million Oncology	126 Pulmicort (Budesonide) \$642 Million Respiratory Diseases	127 Concerta (Methylphenidate) \$644 Million Oncology	128 Stivarga (Regorafenib) \$643 Million Oncology	129 Isentress (Raltegravir) \$633 Million Infectious Diseases	130 Lyrica (Pregabalin) \$632 Million Neurology	131 Lamictal (Lamotrigine) \$629 Million Oncology	132 Amblyome (Amphetamine B) \$612 Million Infectious Diseases	133 Anoro Ellipta (Formoterol/Vilanterol) \$595 Million Respiratory Diseases	134 Brilinta (Dupixent) \$592 Million Oncology	135 Cialis (Tadalafil) \$587 Million Urology	136 Bosulf (Bosutinib) \$575 Million Oncology	137 Decapeptyl (Trimeprazine) \$569 Million Oncology	138 Aziva (Axitinib) \$561 Million Cardiology/Vascular Diseases	139 Vumerity (Diuretic Fumarate) \$553 Million Neurology	140 Kalydeco (Ivacaftor) \$553 Million Genetic Diseases
141 CellCept (Mycophenolate Mofetil) \$549 Million Immunology	142 Cabometyx (Cabozantinib) \$540 Million Oncology	143 Symtuza (Etravirine/Cobicistat/Rilpivirine) \$524 Million Infectious Diseases	144 Aprovel (Irasartan) \$524 Million Oncology	145 Briavict (Bictegravir) \$517 Million Neurology	146 Lumigan (Bimatoprost) \$514 Million Ophthalmology	147 Orkambi (Lumacaftor/Vaccosfor) \$511 Million Genetic Diseases	148 Dexilant (Dexlansoprazole) \$506 Million Gastrointestinal Diseases	149 Remodulin (Tegaserod Sodium) \$500 Million Cardiology/Vascular Diseases	150 Gadovist (Gadobutrol) \$492 Million Diagnosis	151 Nubeqa (Dolutegravir) \$489 Million Oncology	152 Xidra (Lidgrenin) \$487 Million Ophthalmology	153 Risperdal Consta (Risperidone) \$485 Million Neurology	154 Ultibro (Indacaterol/Glycopyrrone/Lumiracemol) \$479 Million Respiratory Diseases	155 Votrient (Pazopanin) \$474 Million Oncology	156 Xalkori (Crizotinib) \$465 Million Oncology	157 Viagra (Sildenafil) \$458 Million Neurology	158 Duodopa (Carbidopa/Levodopa) \$458 Million Neurology	159 Ultravist (Epropimide) \$457 Million Diagnosis	160 Atozet (Acarbose/Ezetimibe) \$457 Million Cardiology/Vascular Diseases
161 Premarin (Conjugated Estrogen) \$455 Million Hormonal Therapy	162 Invokana (Canagliflozin and Metformin) \$448 Million Diabetes	163 Parsabiv (Corticosteroids) \$447 Million Oncology	164 Lonsurf (Trifluridine/Tipiracine) \$434 Million Oncology	165 Jevtana (Cabazitaxel) \$428 Million Oncology	166 Prevnis (Prevnis) \$428 Million Oncology	167 Multaq (Dofetilone) \$420 Million Oncology	168 Cabenuva (Corticosteroids/Rilpivirine) \$419 Million Oncology	169 Madopar (Amphetamine and Benzphetamine) \$414 Million Oncology	170 Zavicefta (Cefepime and Imipenem) \$412 Million Infectious Diseases	171 Singulair (Montelukast) \$411 Million Respiratory Diseases	172 Avodart (Finasteride) \$410 Million Oncology	173 Injectare (Folic Calcium/Biotin) \$403 Million Oncology	174 Bretrix (Etrasimver/Cobicistat/Rilpivirine) \$398 Million Infectious Diseases	175 Velcade (Carfilzomib) \$387 Million Oncology	176 Iclusiv (Iclusiv) \$386 Million Oncology	177 Epilim® Auto-Injectors (Sphenone) \$378 Million Neurology	178 Piqray (Piqray) \$373 Million Oncology	179 Venofer (Iron Sucrose) \$359 Million Hematology	180 Xospata (Xospata) \$358 Million Oncology
181 Zetia (Ezetimibe) \$357 Million Cardiology/Vascular Diseases	182 Mazent (Sufentanil) \$357 Million Neurology	183 Radcava (Radicava) \$349 Million Neurology	184 Sutent (Sunitinib Malate) \$347 Million Oncology	185 Alphagan (Brimonidine Tartrate/Simvastatin) \$344 Million Ophthalmology	186 Lorbrena (Lorazepam) \$343 Million Oncology	187 Adempas (Riociguat) \$341 Million Cardiology/Vascular Diseases	188 Cipraxel (Bictegravir) \$339 Million Neurology	189 Inomax (NDC Chole) \$339 Million Respiratory Diseases	190 Pantoloc (Pantoprazole) \$339 Million Gastrointestinal Diseases	191 Celebrex (Celecoxib) \$338 Million Oncology	192 Zyprexa (Olanzapine) \$334 Million Neurology	193 Voltaren (Etoricoxib) \$334 Million Neurology	194 Faslolex (Faslolex) \$334 Million Oncology	195 Zithromax (Azithromax) \$331 Million Infectious Diseases	196 Cymbalta (Duloxetine) \$331 Million Neurology	197 Medrol (Methylprednisolone) \$328 Million Immunology	198 Neupro (Ropinirole) \$325 Million Neurology	199 Orelntram (Ergometrin Dihydrogen) \$325 Million Cardiology/Vascular Diseases	200 Esjade (Desferrioxal) \$323 Million Hematology

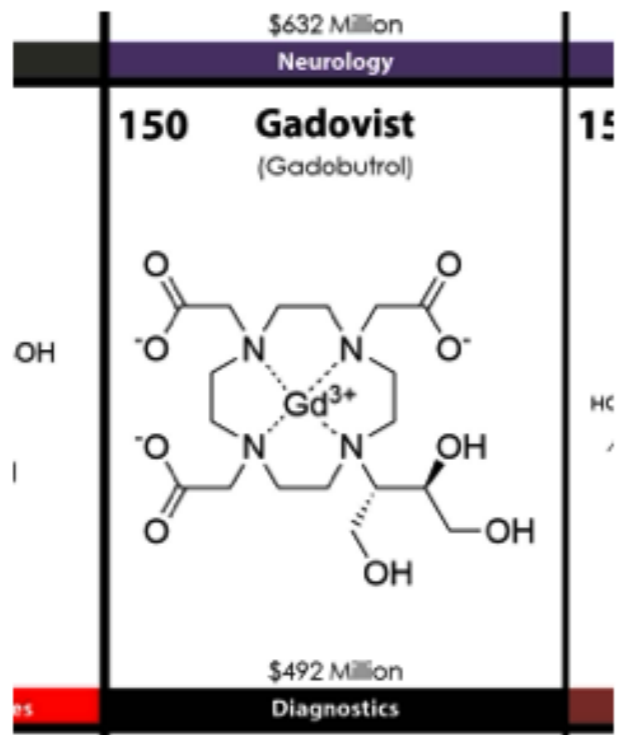
Pharmaceuticals in Medicinal Chemistry

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141 CellCept (Mycophenolate Mofetil) \$549 Million Immunology	142 Cabometyx (Cabozantinib) \$540 Million Oncology	143 Symtuza (Simeprevir/Sofosbuvir/Velparvir) \$524 Million Infectious Diseases	144 Aprovel (Perindopril) \$524 Million Oncology	145 Briviact (Briqinone) \$517 Million Neurology	146 Lumigan (Bimatoprost) \$514 Million Ophthalmology	147 Orkambi (Lumacaftor/Ivacaftor) \$511 Million Genetic Diseases	148 Dexilant (Dexlansoprazole) \$506 Million Gastrointestinal Diseases	149 Remodulin (Tropisetron Sodium) \$500 Million Cardiology/Vascular Diseases	150 Gadovist (Gadobutol) \$497 Million Diagnosis	151 Nubeqa (Darolutamide) \$489 Million Oncology	152 Xidra (Lidocaine) \$484 Million Ophthalmology	153 Risperdal Consta (Risperidone) \$485 Million Neurology	154 Ultibro (Indacaterol/Glycopyrronium) \$479 Million Respiratory Diseases	155 Votrient (Vandetanib) \$474 Million Oncology	156 Xalkori (Crizotinib) \$465 Million Oncology	157 Viagra (Sildenafil) \$458 Million Neurology	158 Duodopa (Carbidopa/Levodopa) \$458 Million Neurology	159 Ultravist (Epropirone) \$457 Million Diagnosis	160 Atozet (Acarbose/Ezetimibe) \$457 Million Cardiology/Vascular Diseases
161 Premarin (Conjugated Estrogens) \$455 Million Hormones	162 Invokana (Canagliflozin and Metformin) \$448 Million Diabetes	163 Parsabiv (Corticosteroids) \$447 Million Oncology	164 Lonsurf (Trifluoromethine Dihydrochloride) \$434 Million Oncology	165 Jevtana (Cabazitaxel) \$428 Million Oncology	166 Prevnisim (Sucralfate) \$428 Million Oncology	167 Multaq (Dolutegravir) \$420 Million Oncology	168 Cabenuva (Carbapenem/Rilpivirine) \$419 Million Oncology	169 Madopar (Mandelopar and Benzerolide) \$414 Million Oncology	170 Zavicefta (Zinc Oxide and Zinc Sulfide) \$412 Million Oncology	171 Singulair (Montelukast) \$411 Million Respiratory Diseases	172 Avodart (Finasteride) \$404 Million Urology	173 Injactera (Folic Acid/Sulfamethoxazole) \$403 Million Infectious Diseases	174 Breztri (Bictegravir/Emtricitabine/Tenofovir Alafenamide) \$398 Million Oncology	175 Velcade (Carfilzomib) \$397 Million Oncology	176 Iclusil (Fingolimod) \$394 Million Oncology	177 EpiPen [®] Auto-Injectors (Epinephrine) \$378 Million Infectious Diseases	178 Piqray (Piquris) \$373 Million Oncology	179 Venofer (Iron Sucrose) \$359 Million Hematology	180 Xospata (Glecaprevator) \$358 Million Oncology
181 Zetia (Ezetimibe) \$357 Million Cardiology/Vascular Diseases	182 Mavyret (Mavacamten) \$357 Million Neurology	183 Radicava (Radicava) \$349 Million Neurology	184 Sutent (Sunitinib Malate) \$347 Million Oncology	185 Alphagan (Brimonidine Tartrate/Sulfonamide) \$344 Million Ophthalmology	186 Lorbrena (Lorazepam) \$343 Million Oncology	187 Adempas (Riociclit/Vildagliptin) \$341 Million Cardiology/Vascular Diseases	188 Cipraxel (Sildenafil) \$339 Million Neurology	189 Inomax (NDC Chole) \$339 Million Respiratory Diseases	190 Pantoloc (Pantoprazole) \$339 Million Gastrointestinal Diseases	191 Celebrex (Celecoxib) \$338 Million Oncology	192 Zyprexa (Olanzapine) \$334 Million Neurology	193 Voltaren (Diclofenac) \$334 Million Neurology	194 Faslolex (Fasudil) \$334 Million Oncology	195 Zithromax (Azithromycin) \$331 Million Infectious Diseases	196 Cymbalta (Venlafaxine) \$331 Million Neurology	197 Medrol (Methylprednisolone) \$328 Million Immunology	198 Neupro (Ropinirole) \$325 Million Neurology	199 Orelntram (Propofol/Diazepam) \$325 Million Cardiology/Vascular Diseases	200 Ejsade (Ezetimibe) \$323 Million Hematology

Incorporation of Inorganic Systems

Applications to bioimaging

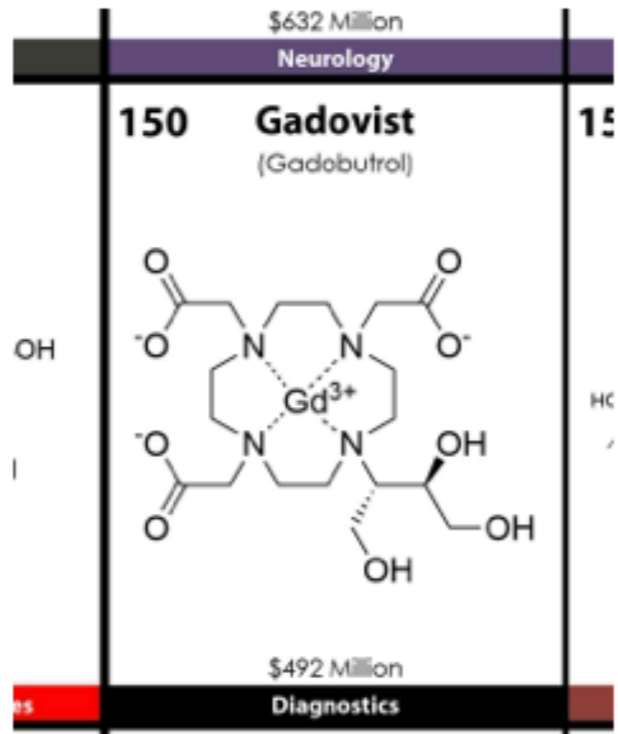


Gadovist®

Gadobutrol, Bayer

Incorporation of Inorganic Systems

Applications to bioimaging



Paramagnetic contrast reagent

Gadovist®

Gadobutrol, Bayer

Upconversion in Inorganic Systems

Applications to bioimaging

Upconversion in MRI

Gd³⁺

Gadolinium dopant

4f orbitals

Upconversion in Inorganic Systems

Applications to bioimaging

Upconversion in MRI



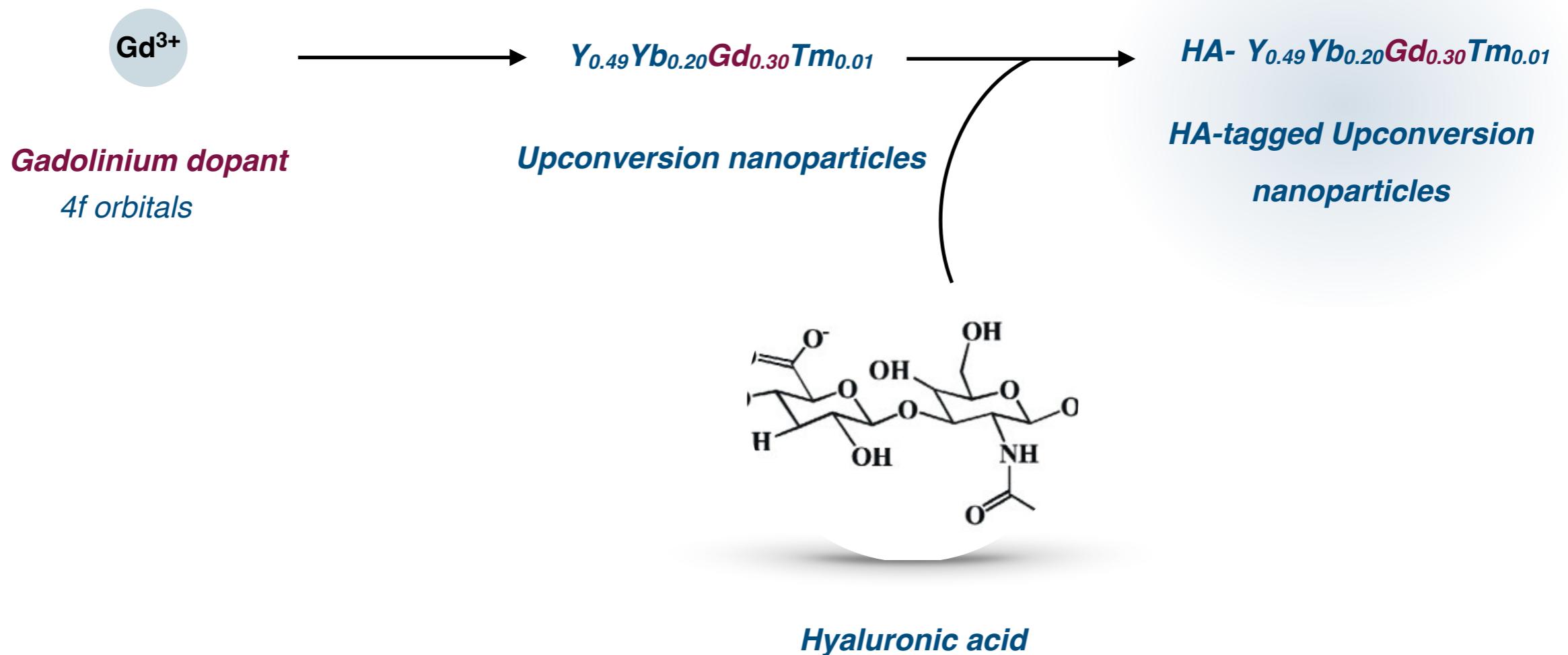
Gadolinium dopant
4f orbitals

Upconversion nanoparticles

Upconversion in Inorganic Systems

Applications to bioimaging

Upconversion in MRI



Upconversion in Inorganic Systems

Applications to bioimaging

HA- $Y_{0.49}Yb_{0.20}Gd_{0.30}Tm_{0.01}$

HA-tagged Upconversion
nanoparticles



MDA-MB-231 tumor mice

Upconversion in Inorganic Systems

Applications to bioimaging

HA- $Y_{0.49}Yb_{0.20}Gd_{0.30}Tm_{0.01}$

**HA-tagged Upconversion
nanoparticles**



MDA-MB-231 tumor mice



Upconversion in MRI

Upconversion in Inorganic Systems

Applications to bioimaging

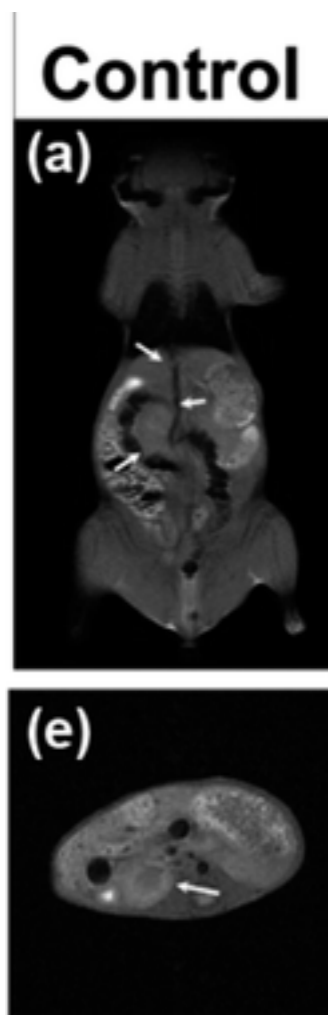


HA-tagged Upconversion
nanoparticles



Upconversion in MRI

MDA-MB-231 tumor mice



Upconversion in Inorganic Systems

Applications to bioimaging

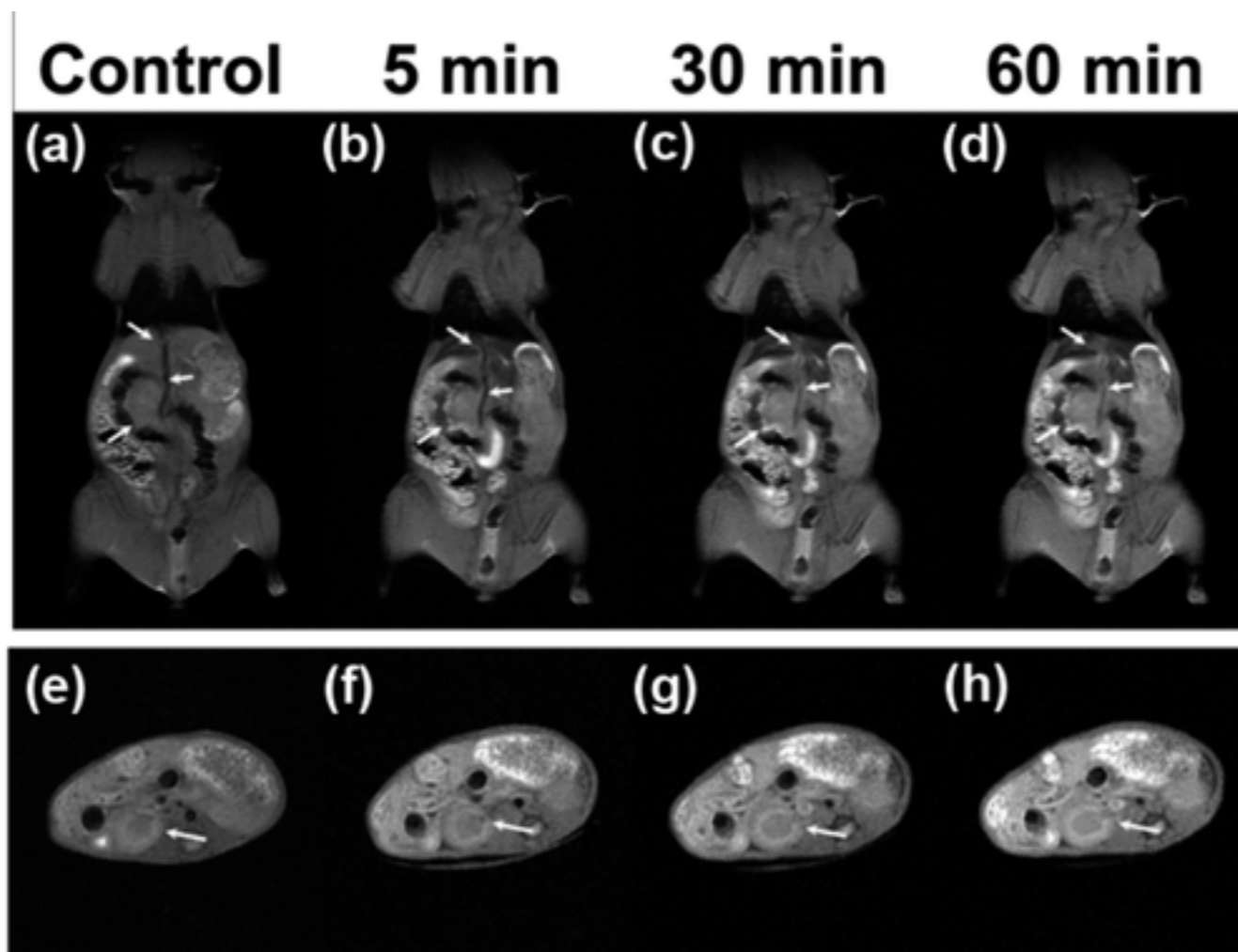


HA-tagged Upconversion
nanoparticles



MDA-MB-231 tumor mice

Upconversion in MRI



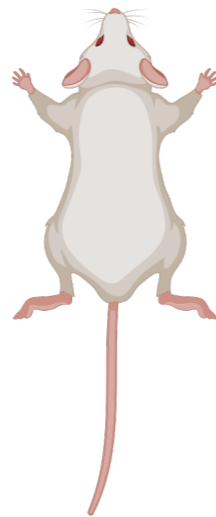
- Contrast enhancement towards tumor visualization

Upconversion in Inorganic Systems

Applications to bioimaging

HA- $Y_{0.49}Yb_{0.20}Gd_{0.30}Tm_{0.01}$

*HA-tagged Upconversion
nanoparticles*



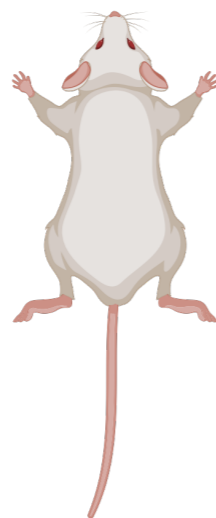
Normal nude mice

Upconversion in Inorganic Systems

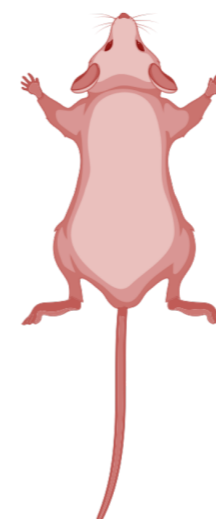
Applications to bioimaging

HA- $Y_{0.49}Yb_{0.20}Gd_{0.30}Tm_{0.01}$

**HA-tagged Upconversion
nanoparticles**



Normal nude mice

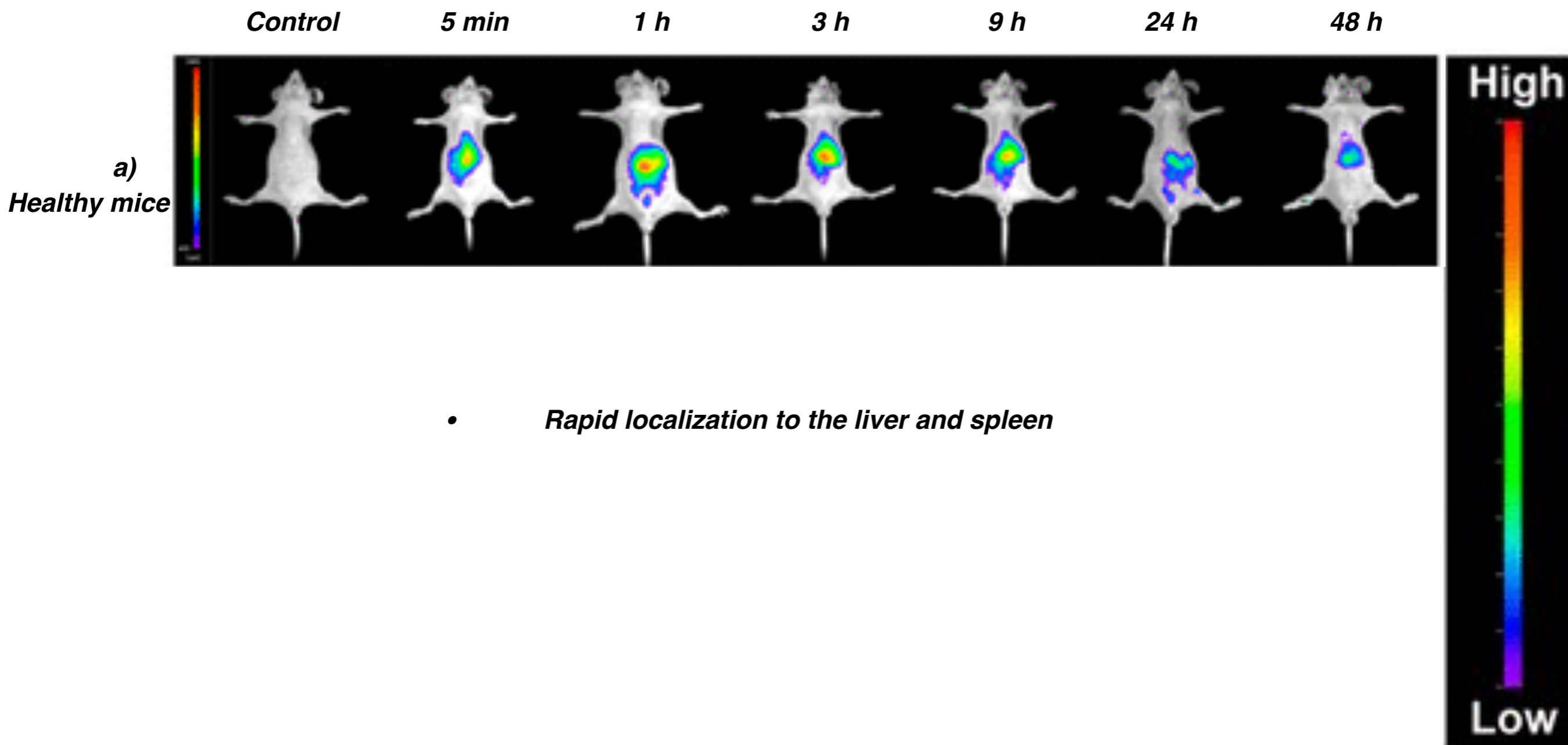


MDA-MB-231 *tumor* mice

- **late-stage breast cancer model**

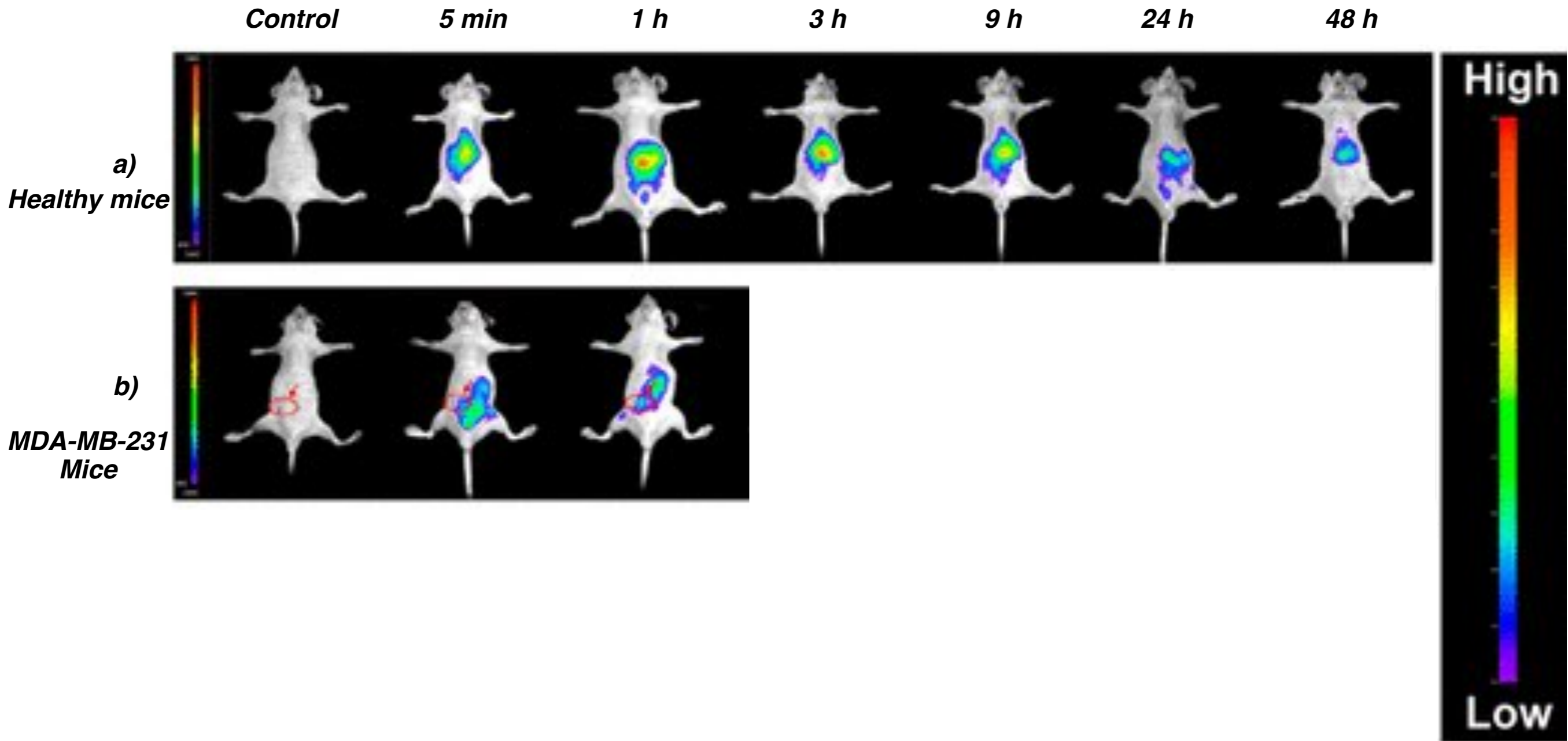
Upconversion in Inorganic Systems

Applications to bioimaging



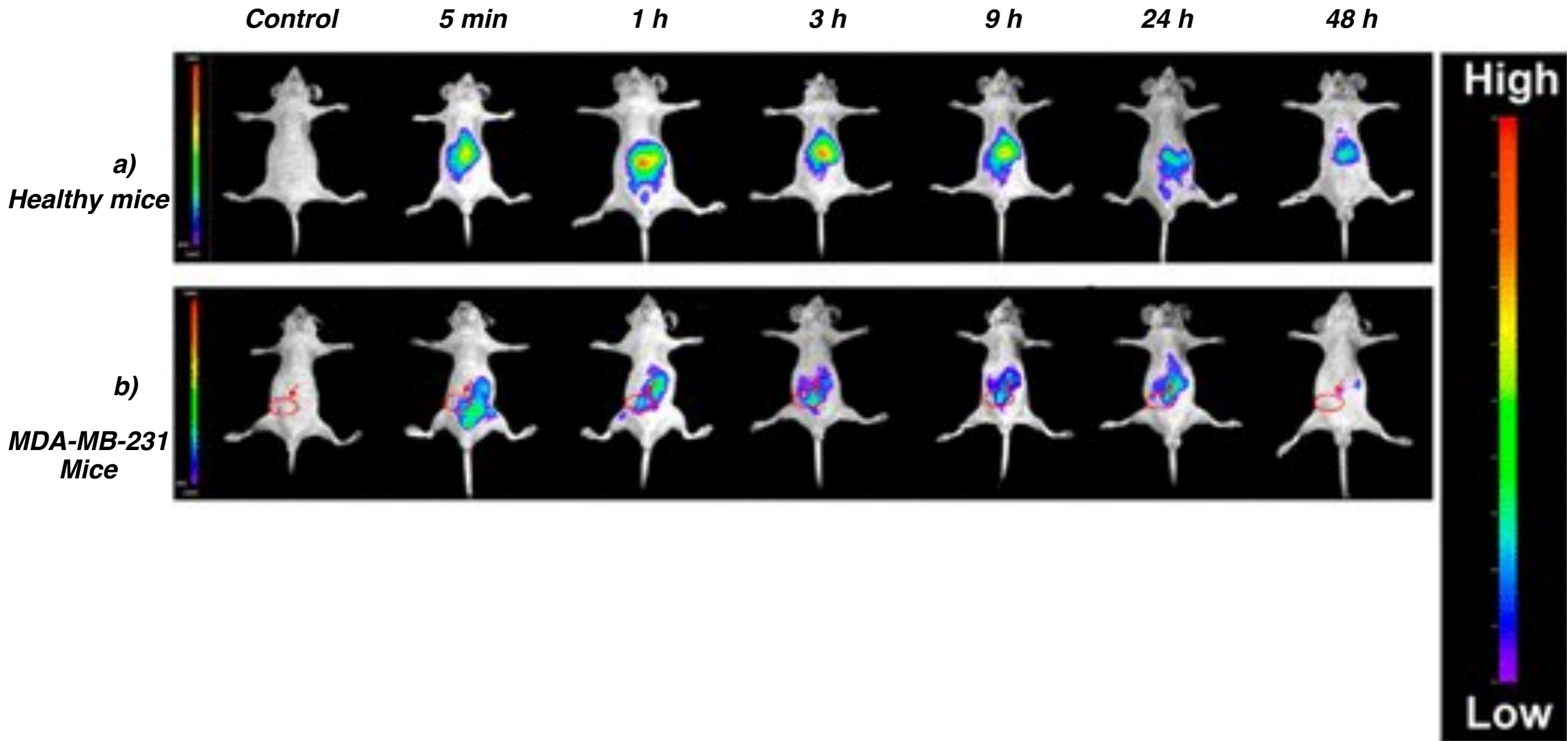
Upconversion in Inorganic Systems

Applications to bioimaging



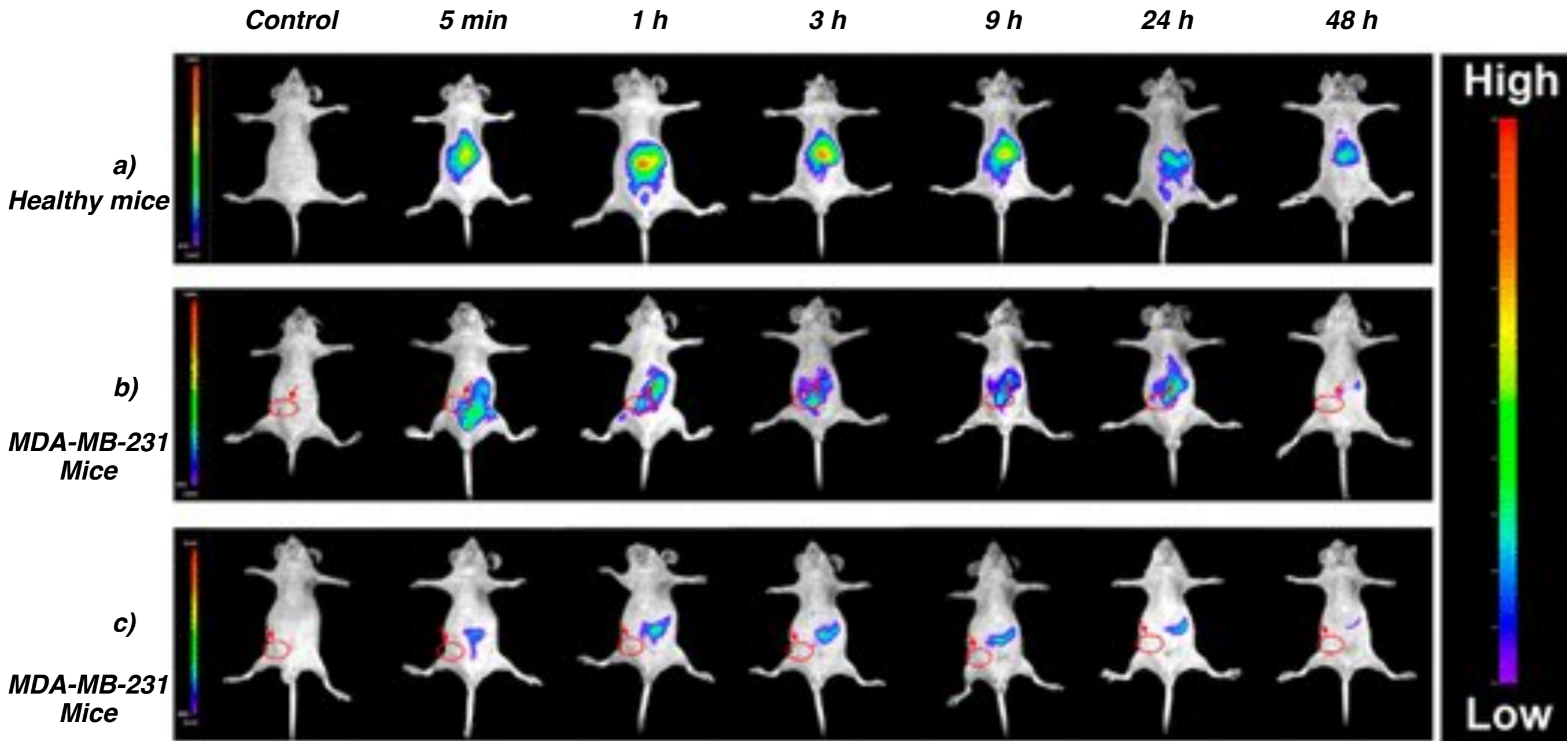
Upconversion in Inorganic Systems

Applications to bioimaging



Upconversion in Inorganic Systems

Applications to bioimaging

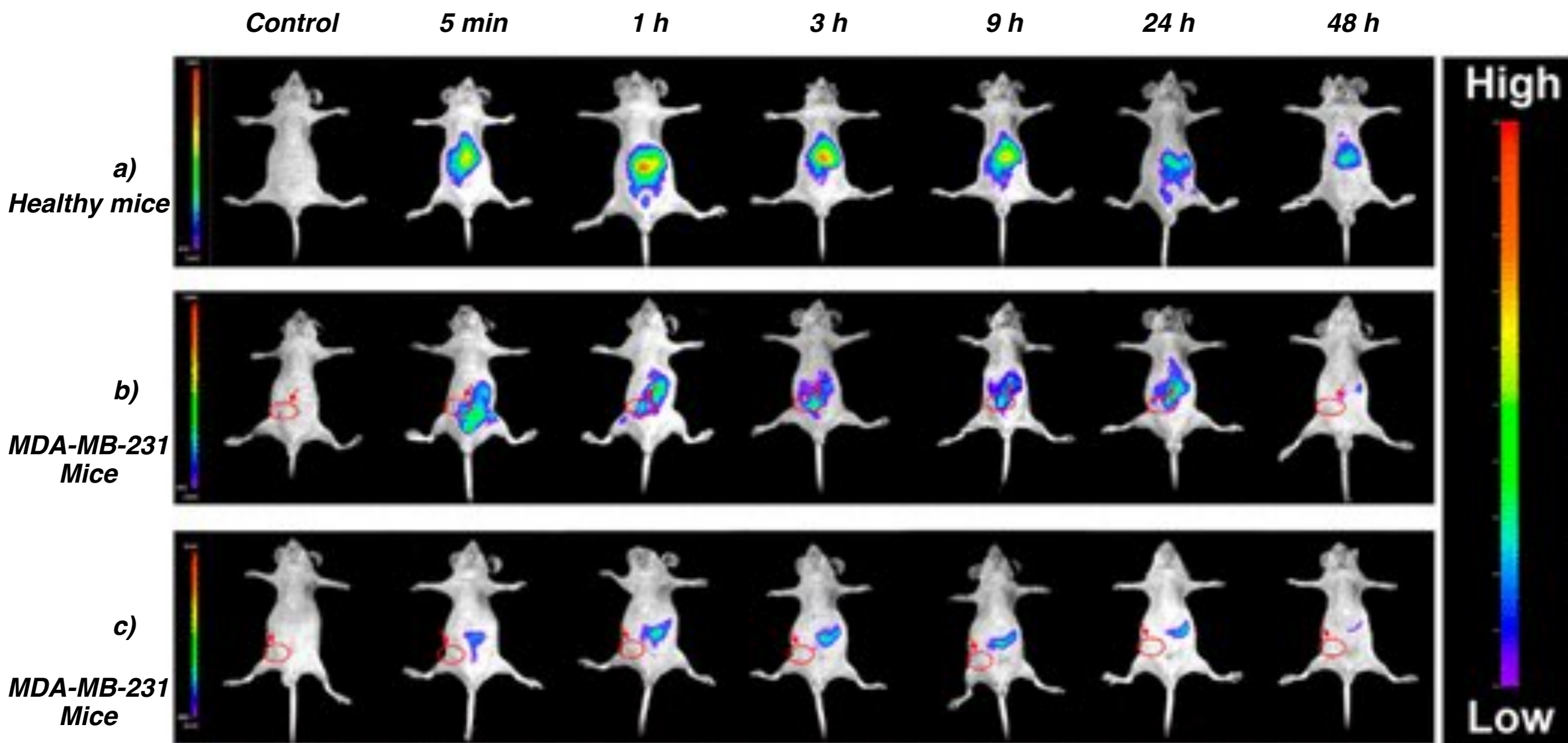


DEG-UCNP control nanoparticles

- **No HA tag**

Upconversion in Inorganic Systems

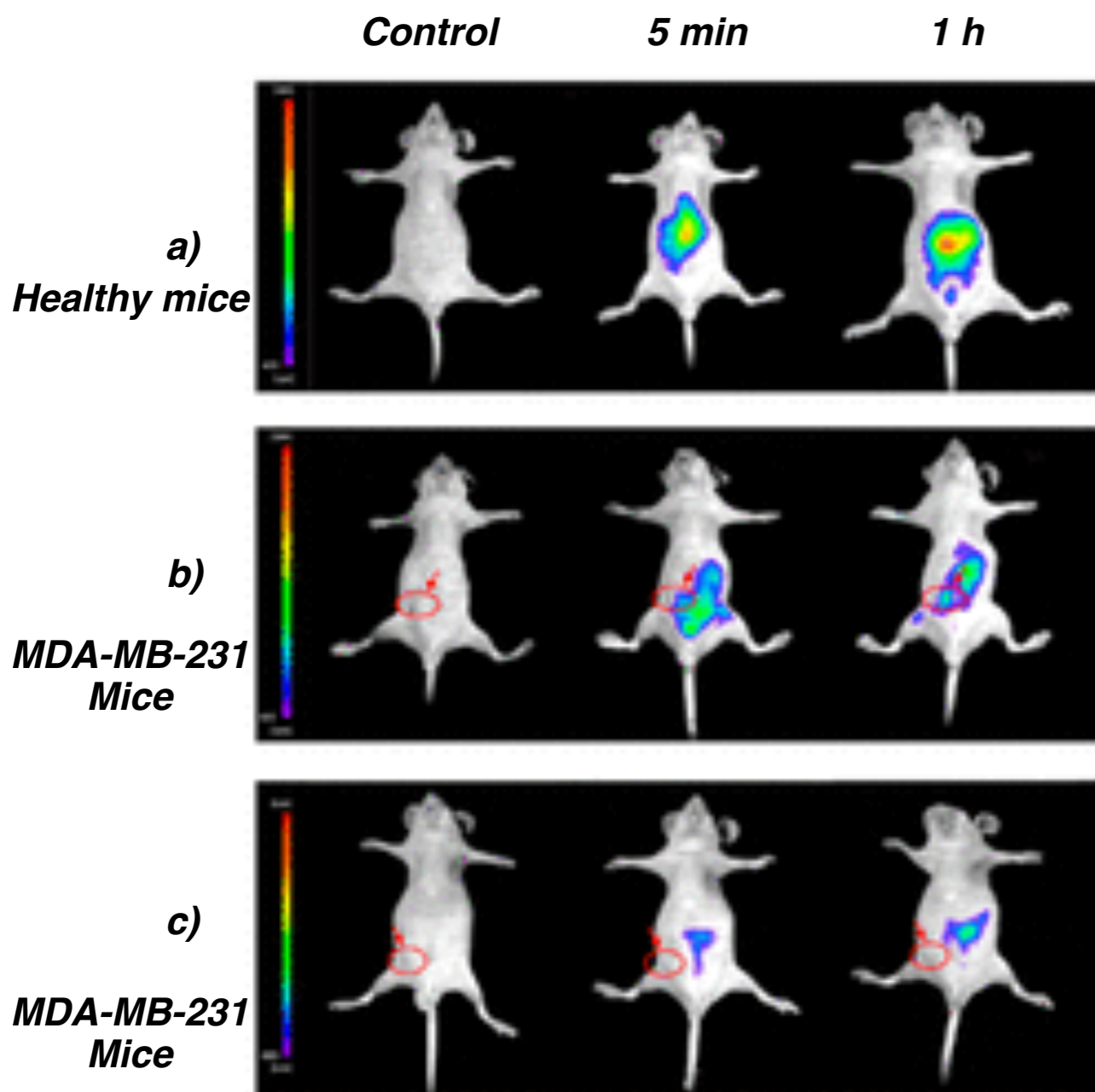
- Upconversion luminescence nanoparticles underwent highly specific binding



DEG-UCNP control nanoparticles

- No HA tag

Upconversion in Inorganic Systems



DEG-UCNP control nanoparticles

- **No HA tag**

- **high HA-UCNP affinity to CD44-positive MDA-MB-231 tumor in vivo models**

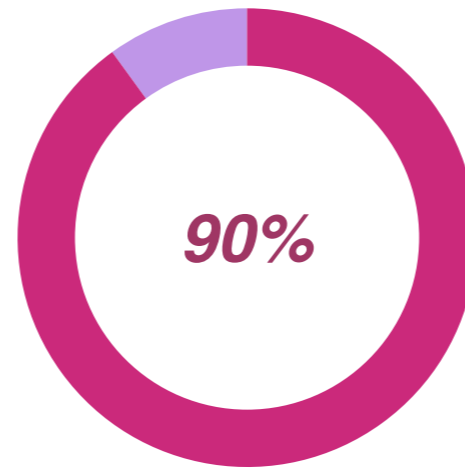
Upconversion in Inorganic Materials

*How is upconversion applied to
challenging drug delivery?*

***A major challenge of small
molecule drug development is
poor water solubility***

Upconversion in Inorganic Materials

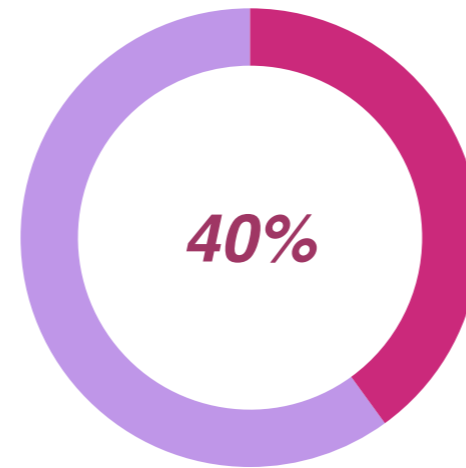
A major challenge of small molecule drug development is poor water solubility



Discovery pipeline candidates exhibit high lipophilicity metrics

Upconversion in Inorganic Materials

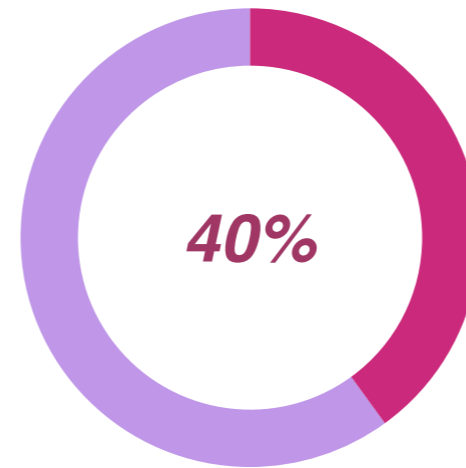
A major challenge of small molecule drug development is poor water solubility



Market-approved compounds exhibit high lipophilicity metrics

Upconversion in Inorganic Materials

A major challenge of small molecule drug development is poor water solubility



Market-approved compounds exhibit high lipophilicity metrics

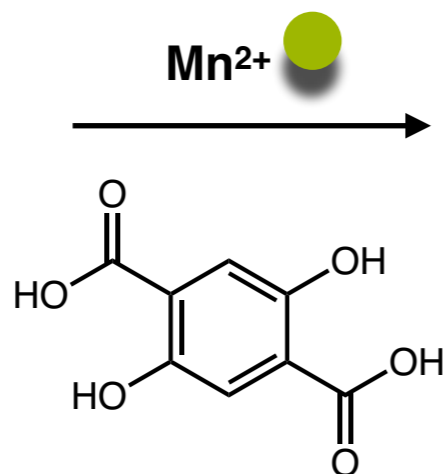
Can upconverting nanosystems be used to overcome drug-delivery hurdles?

Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

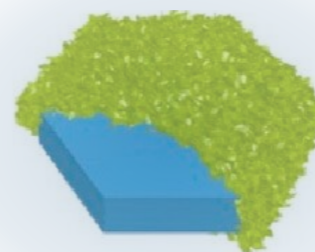
Overview of assembly process:

**Lanthanide-doped
nanoparticles**



2,5-dihydroxyterephthalic acid

**Upconverting nanoparticle
decorated Mn-MOFs**

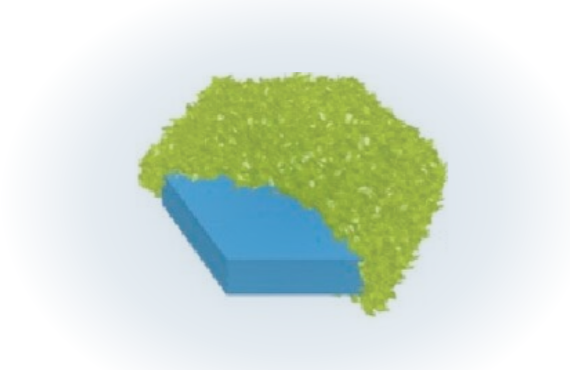


- **Microporous structure**
- **Greater sites for drug loading**

Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:

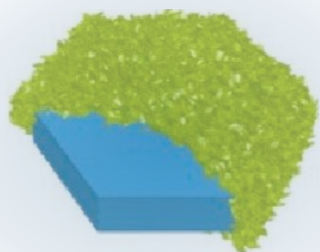


***Upconverting nanoparticle
decorated Mn-MOFs***

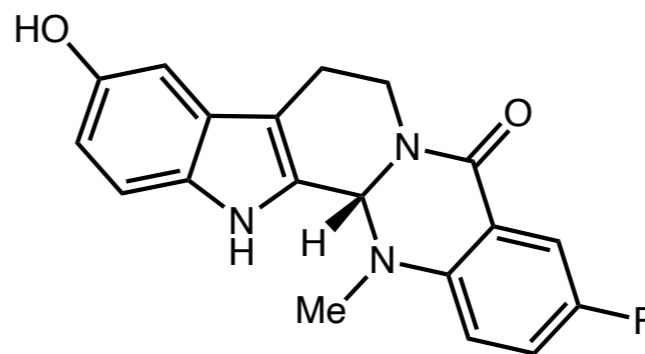
Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



Upconverting nanoparticle
decorated Mn-MOFs



**3-F-10-OH-Evodiamine
(FOE)**

- Anticancer

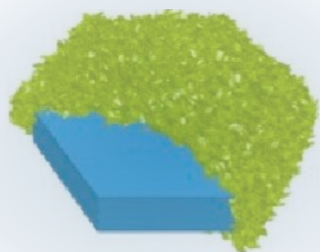
Dong, G., Wang, S., Miao, Z., Yao, J., Zhang, Y., Gun, Z., Zhang, W., Sheng, C. *J. Med. Chem.* **2012**, *55*, 7593–7613.

Zhao, X., He, S., Li, B., Liu, B., Shi, Y., Cong, W., Gao, F., Li, J., Wang, F., Liu, K., Sheng, C., Su, J., Hu, H-G. *Nano. Lett.* **2023**, *23*, 863–871.

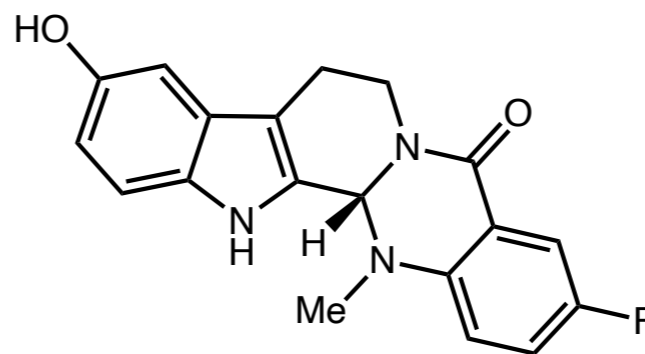
Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



Upconverting nanoparticle
decorated Mn-MOFs



**3-F-10-OH-Evodiamine
(FOE)**

- Anticancer
- Highly water-*insoluble*

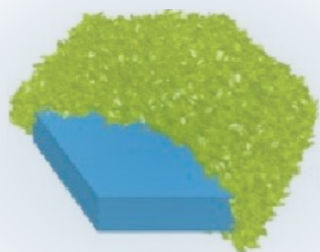
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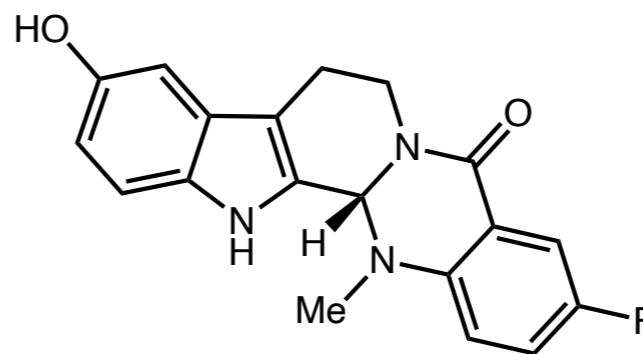
Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



Upconverting nanoparticle
decorated Mn-MOFs



**3-F-10-OH-Evodiamine
(FOE)**

- Anticancer
- Highly water-*insoluble*
- Synthetic functionalization unproductive

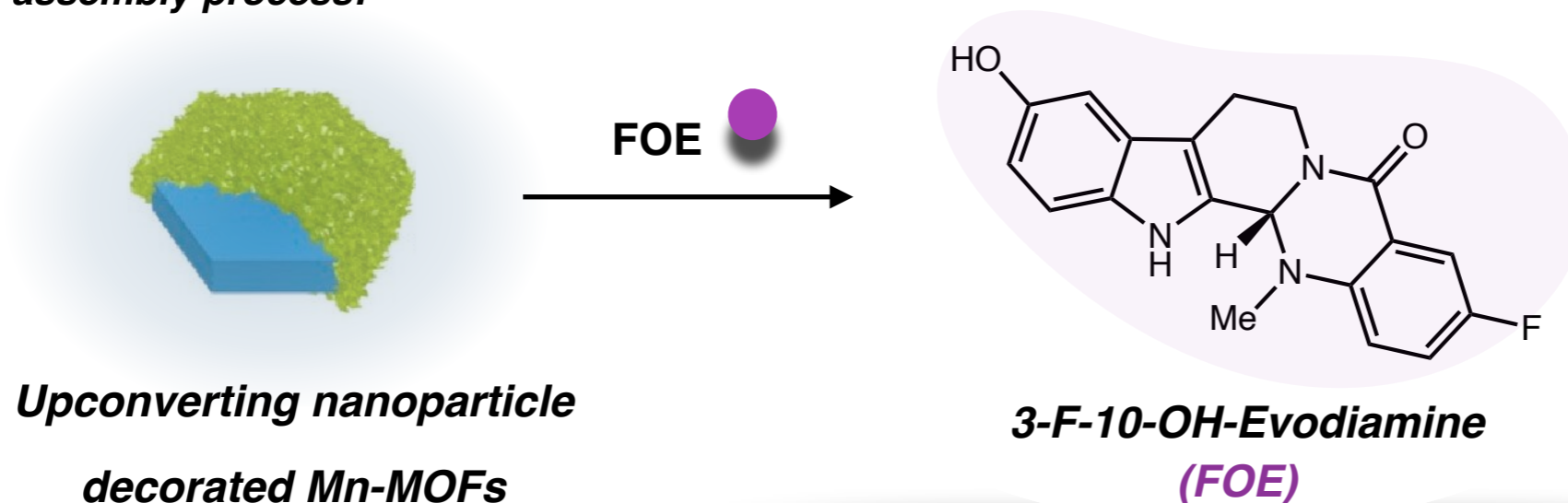
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



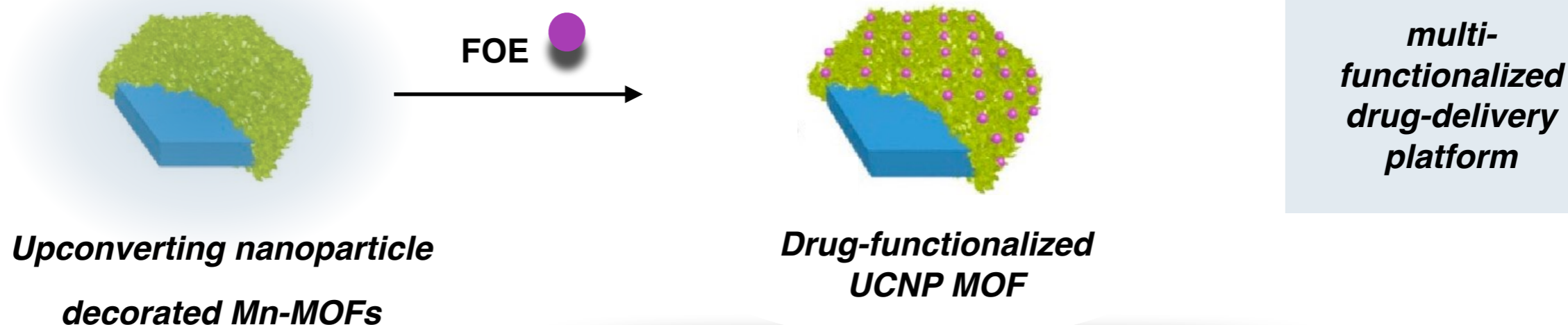
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



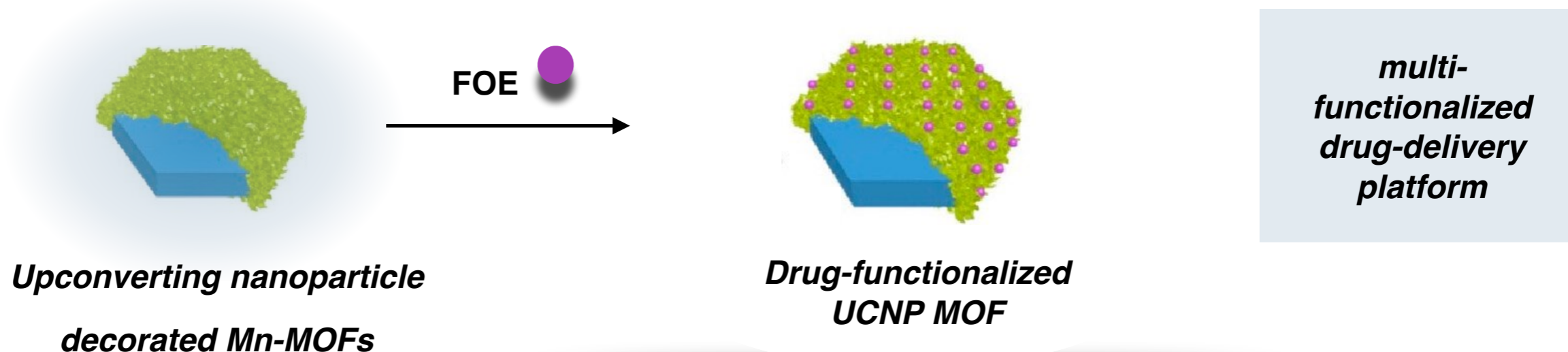
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



4T1 model
Breast cancer model

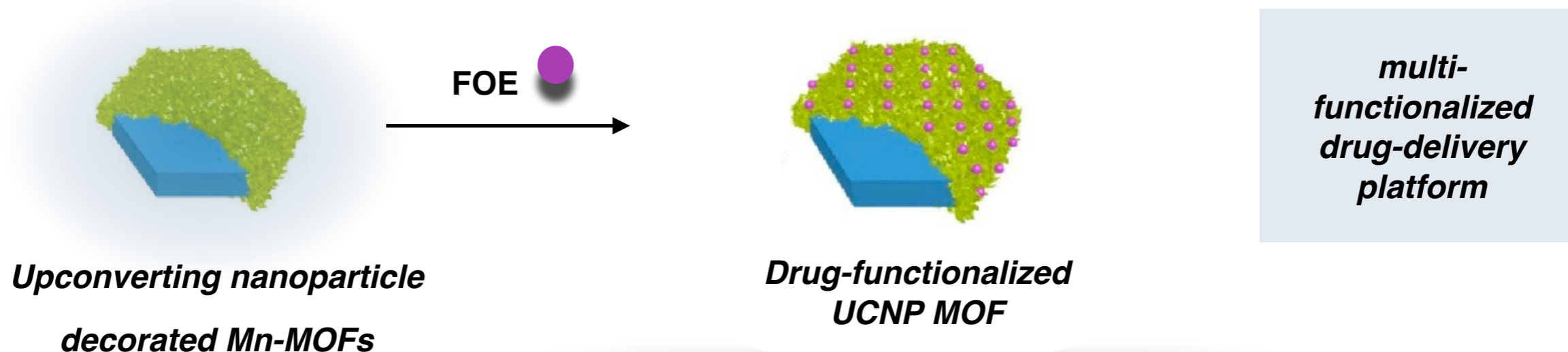
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



4T1 model

Breast cancer model

Tumors >150 mm³

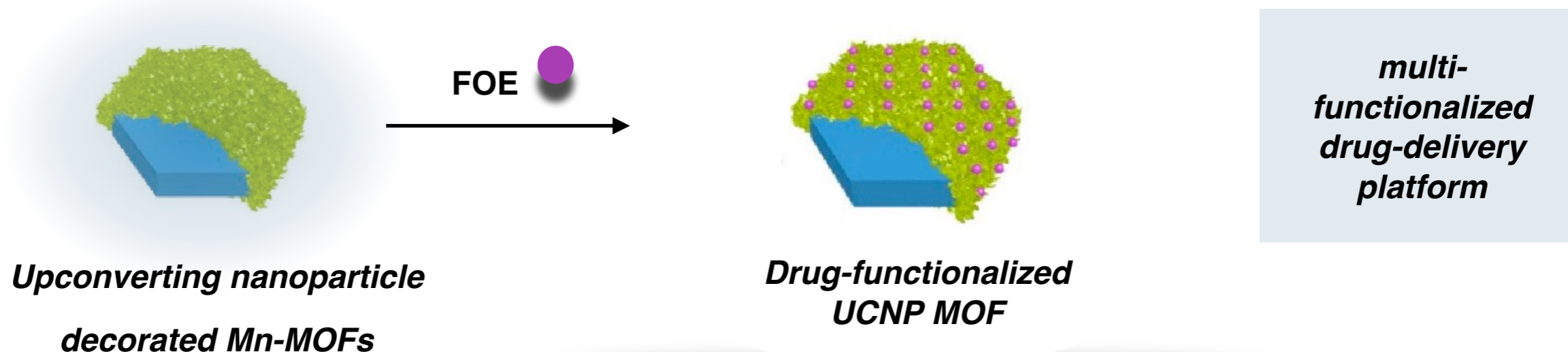
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



4T1 model

Breast cancer model

Tumors >150 mm³



PBS I

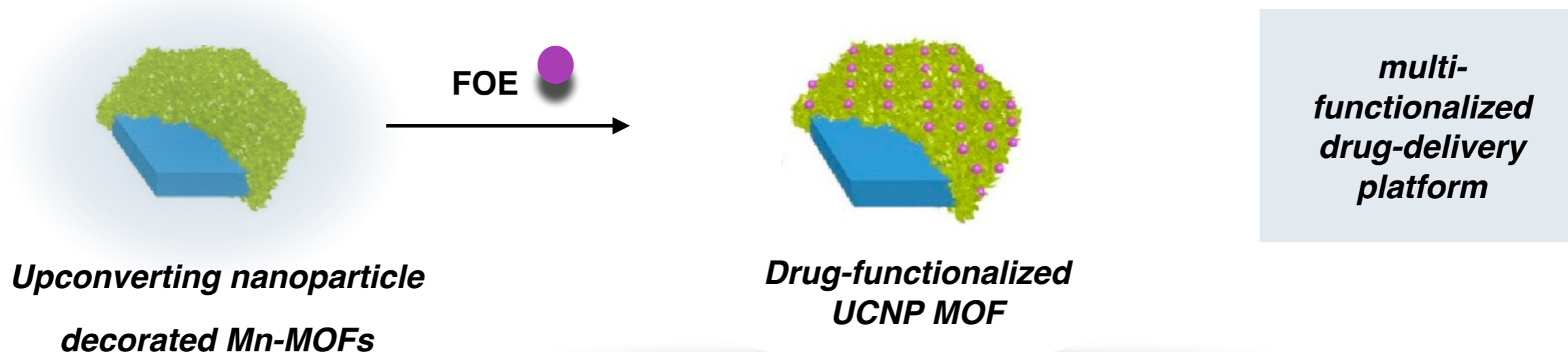
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

Overview of assembly process:



4T1 model

Breast cancer model

Tumors >150 mm³



PBS DUCNP@
Mn-MOF

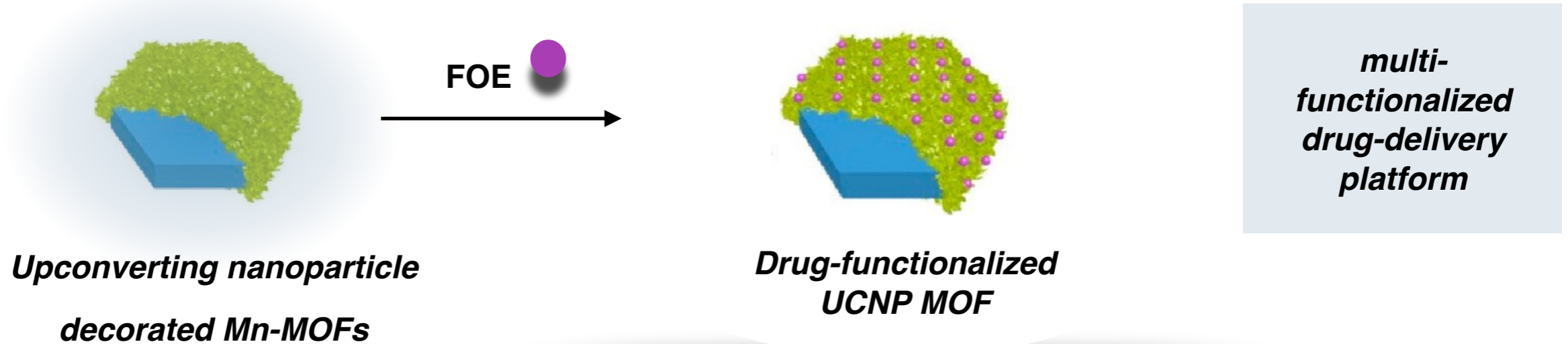
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

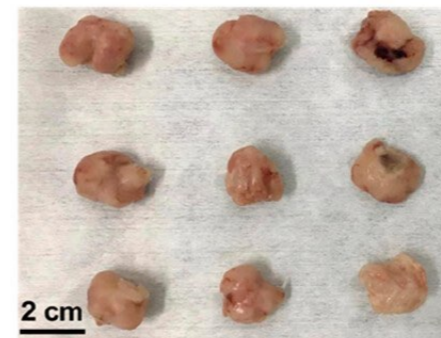
Overview of assembly process:



4T1 model

Breast cancer model

Tumors >150 mm³



PBS DUCNP@ FOE
Mn-MOF

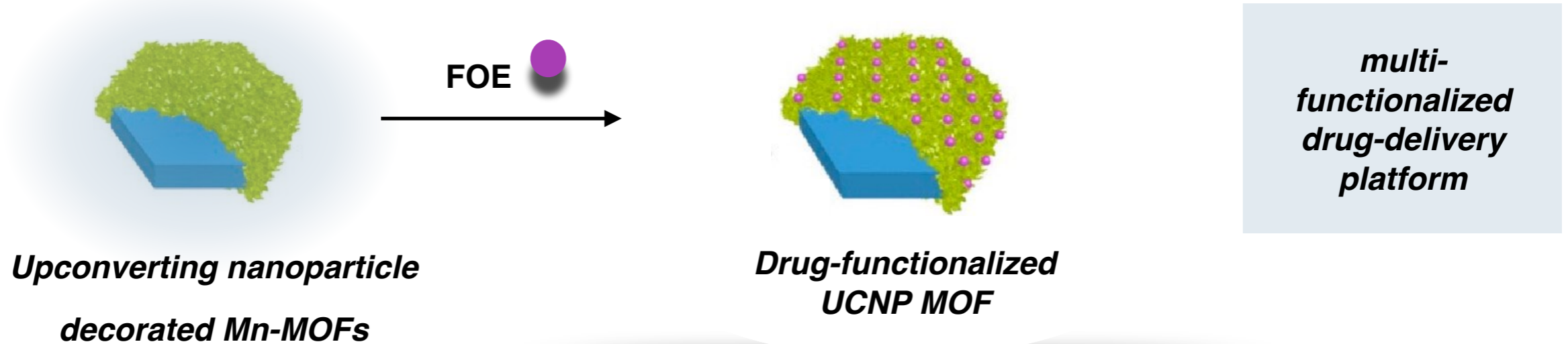
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Upconversion in Inorganic Materials

Upconverting nanoparticles for water-insoluble drug delivery

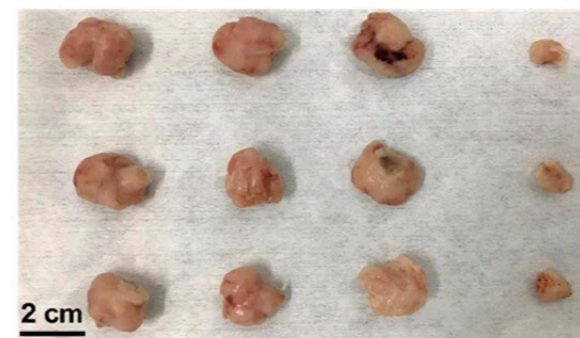
Overview of assembly process:



4T1 model

Breast cancer model

Tumors >150 mm³



PBS DUCNP@ Mn-MOF FOE DUCNP@ Mn-MOF/FOE

Dong, G., Wang, S., Miao, Z., Yao, J., Zhang, Y., Gun, Z., Zhang, W., Sheng, C. *J. Med. Chem.* **2012**, *55*, 7593–7613.

Zhao, X., He, S., Li, B., Liu, B., Shi, Y., Cong, W., Gao, F., Li, J., Wang, F., Liu, K., Sheng, C., Su, J., Hu, H-G. *Nano. Lett.* **2023**, *23*, 863–871.

Upconversion in Inorganic Materials

*Upconversion applied to
nanoparticle therapeutics*



Photoactive sensor activation

Upconversion in Inorganic Materials

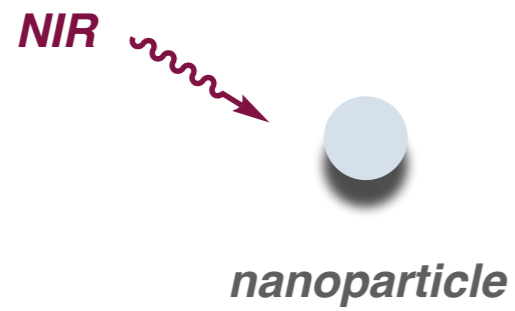
Upconversion-induced nanoparticle drug delivery



nanoparticle

Upconversion in Inorganic Materials

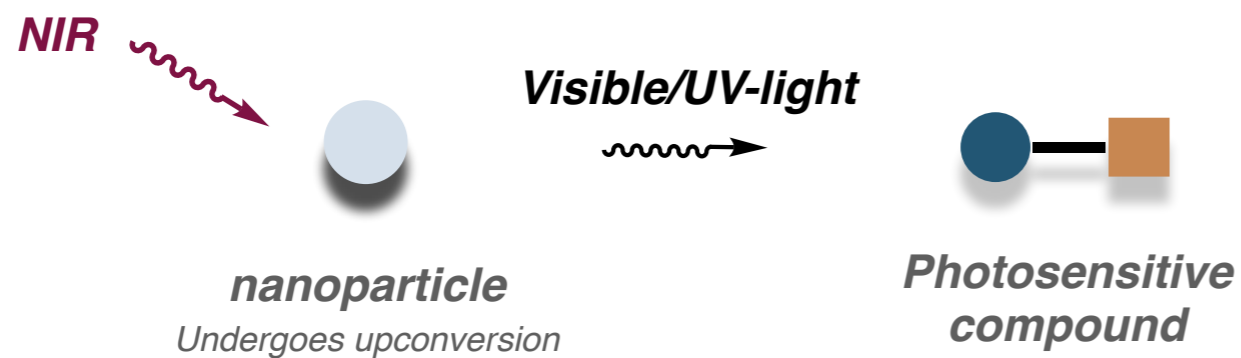
Upconversion-induced nanoparticle drug delivery



Low light intensity minimizes overheating and photodamage in biological specimens

Upconversion in Inorganic Materials

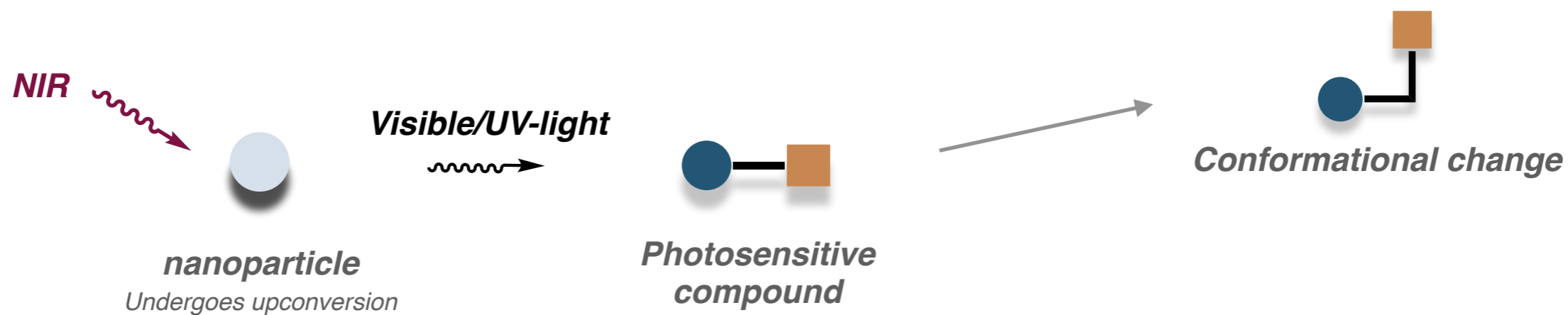
Upconversion-induced nanoparticle drug delivery



NIR absorbing lanthanide-doped nanoparticles are capable visible/UV light emission

Upconversion in Inorganic Materials

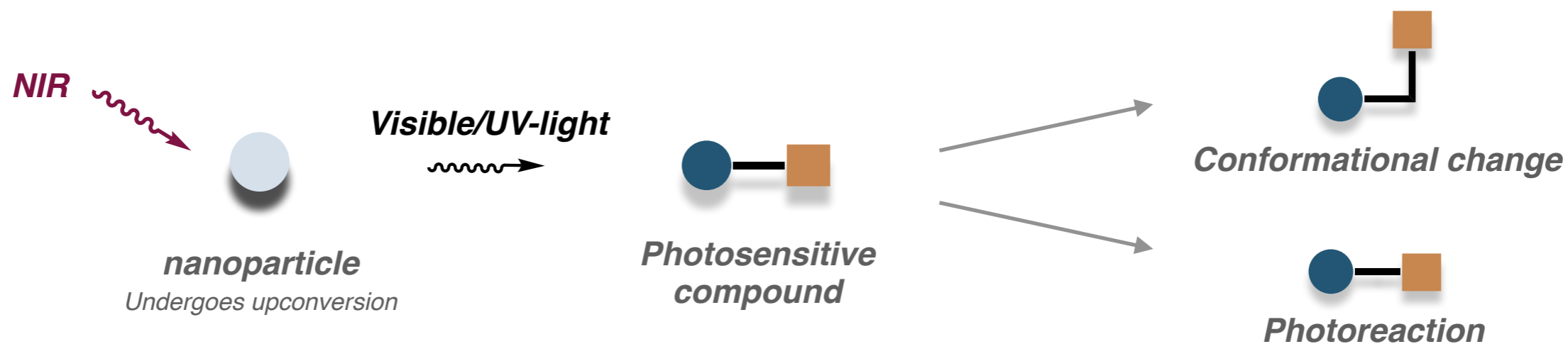
Upconversion-induced nanoparticle drug delivery



Upconversion-induced conformational changes are used in biosensor development

Upconversion in Inorganic Materials

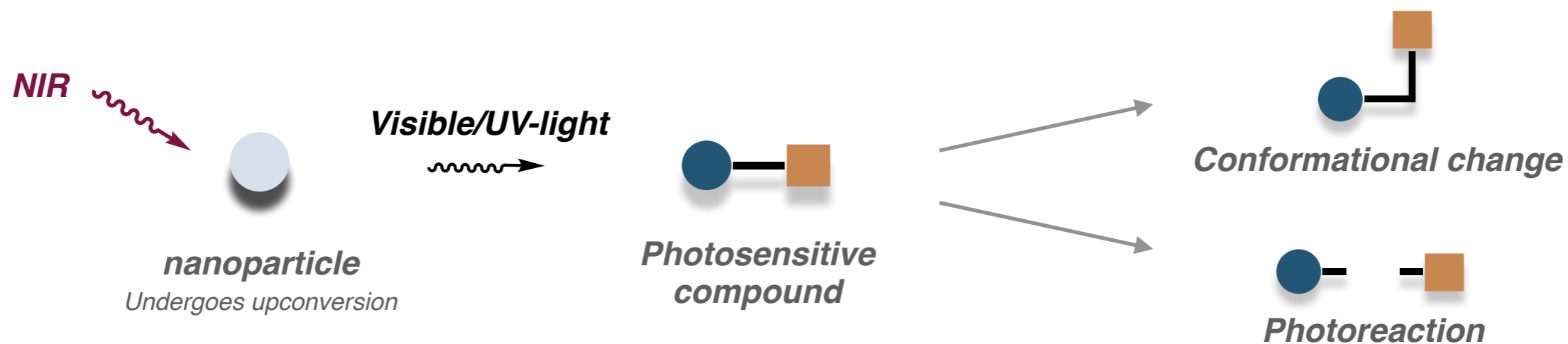
Upconversion-induced nanoparticle drug delivery



Nanoparticles emit visible/UV light to induce photoreactivity

Upconversion in Inorganic Materials

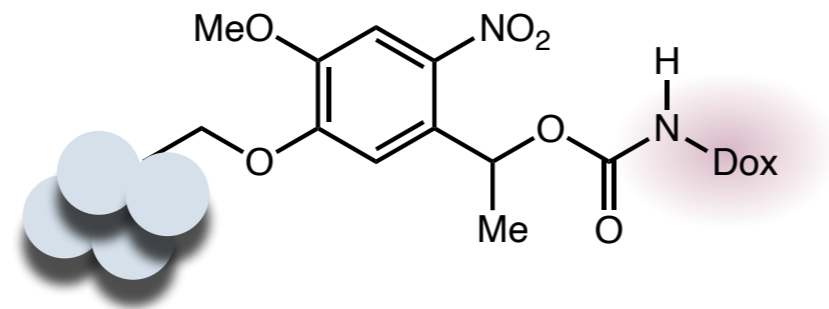
Upconversion-induced nanoparticle drug delivery



Nanoparticles emit visible/UV light to induce photoreactivity

Upconversion in Inorganic Materials

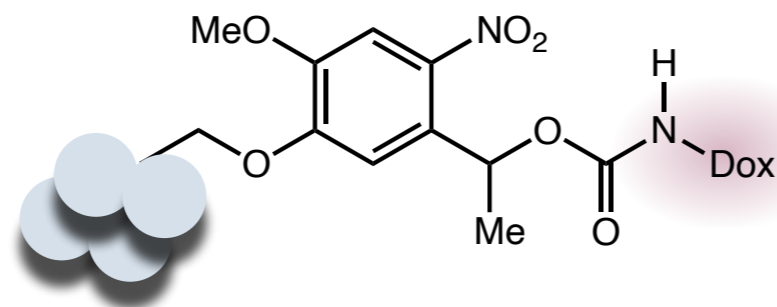
Upconversion enabled photocleavable linker for drug delivery



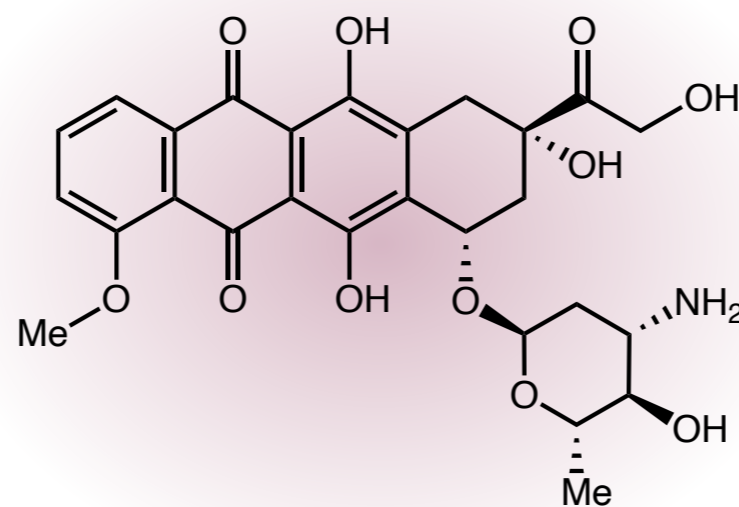
$\text{LiYF}_4:\text{Tm}^{3+}/\text{Yb}^{3+}$ nanoparticles

Upconversion in Inorganic Materials

Upconversion enabled photocleavable linker for drug delivery



LiYF₄:Tm³⁺/Yb³⁺ nanoparticles



Doxorubicin

Side effects:

- *Cardiomyopathy*
- *Congestive heart failure*

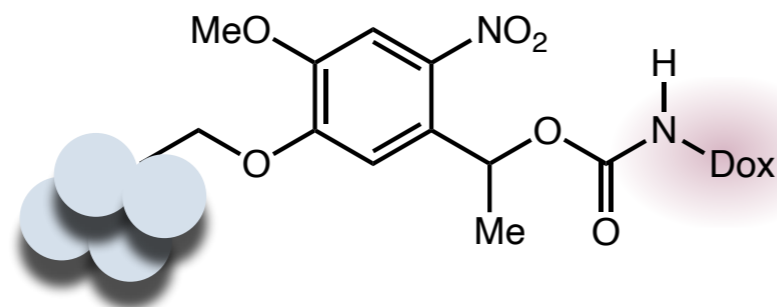
Single, P. K., Iliskovic, N. *N. Engl. J. Med.* **1998**, 339, 900–905.

Dcona, M. M., Yu, Q., Capobianco, J. A., Hartman, M. C.T. *Chem. Commun. (Camb).* **2015**, 51, 8477–8479.

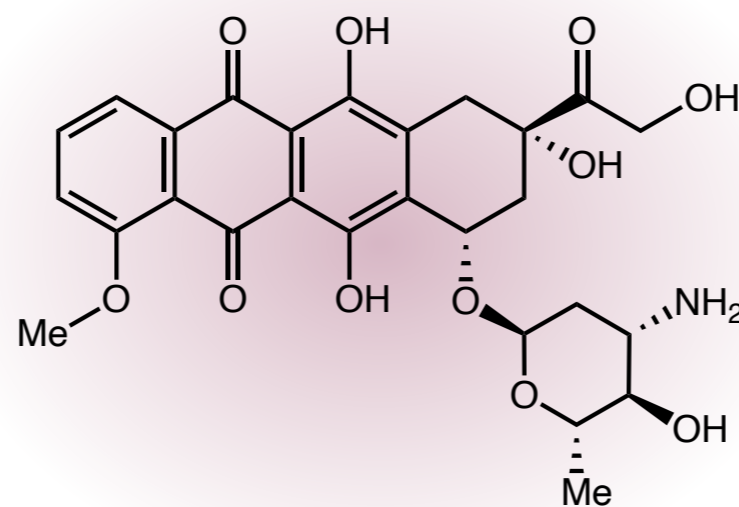
Upconversion in Inorganic Materials

Upconversion enabled photocleavable linker for drug delivery

NIR
980 nm



LiYF₄:Tm³⁺/Yb³⁺ nanoparticles

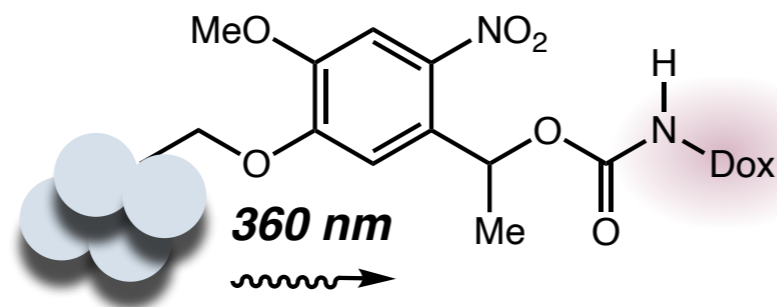


Doxorubicin

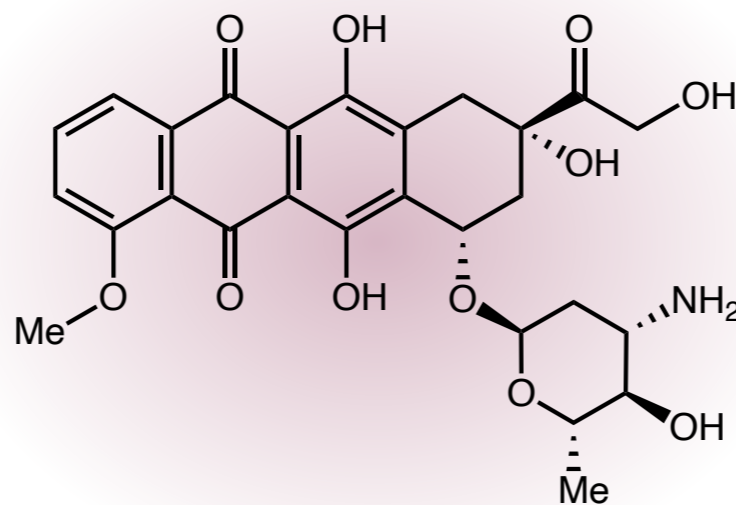
Upconversion in Inorganic Materials

Upconversion enabled photocleavable linker for drug delivery

NIR
980 nm



LiYF₄:Tm³⁺/Yb³⁺ nanoparticles

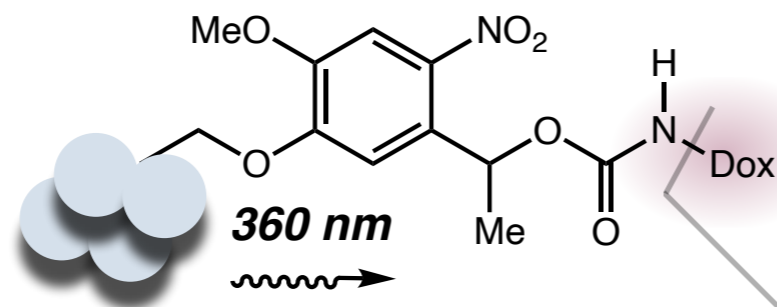


Doxorubicin

Upconversion in Inorganic Materials

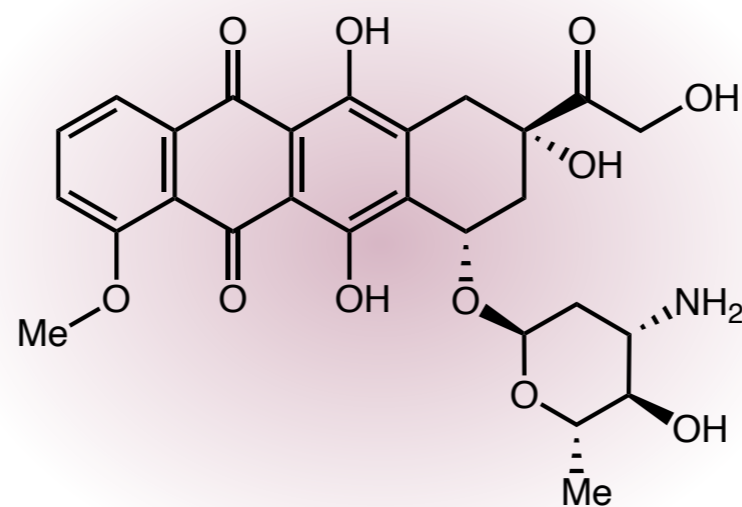
Upconversion enabled photocleavable linker for drug delivery

NIR
980 nm



LiYF₄:Tm³⁺/Yb³⁺ nanoparticles

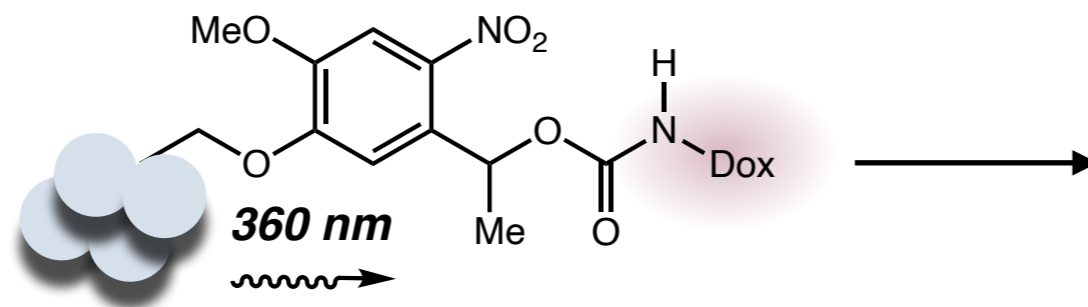
Photocleavable linker



Upconversion in Inorganic Materials

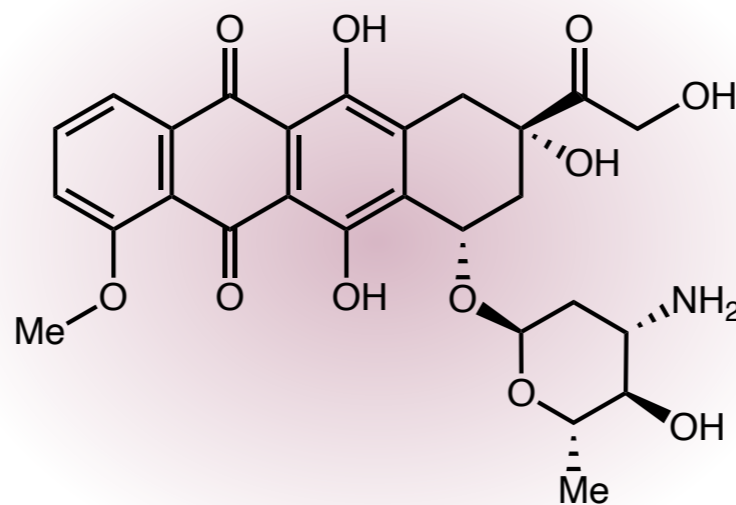
Upconversion enabled photocleavable linker for drug delivery

NIR
980 nm



LiYF₄:Tm³⁺/Yb³⁺ nanoparticles

near-IR controlled release of doxorubicin



Doxorubicin

Upconversion in Inorganic Materials

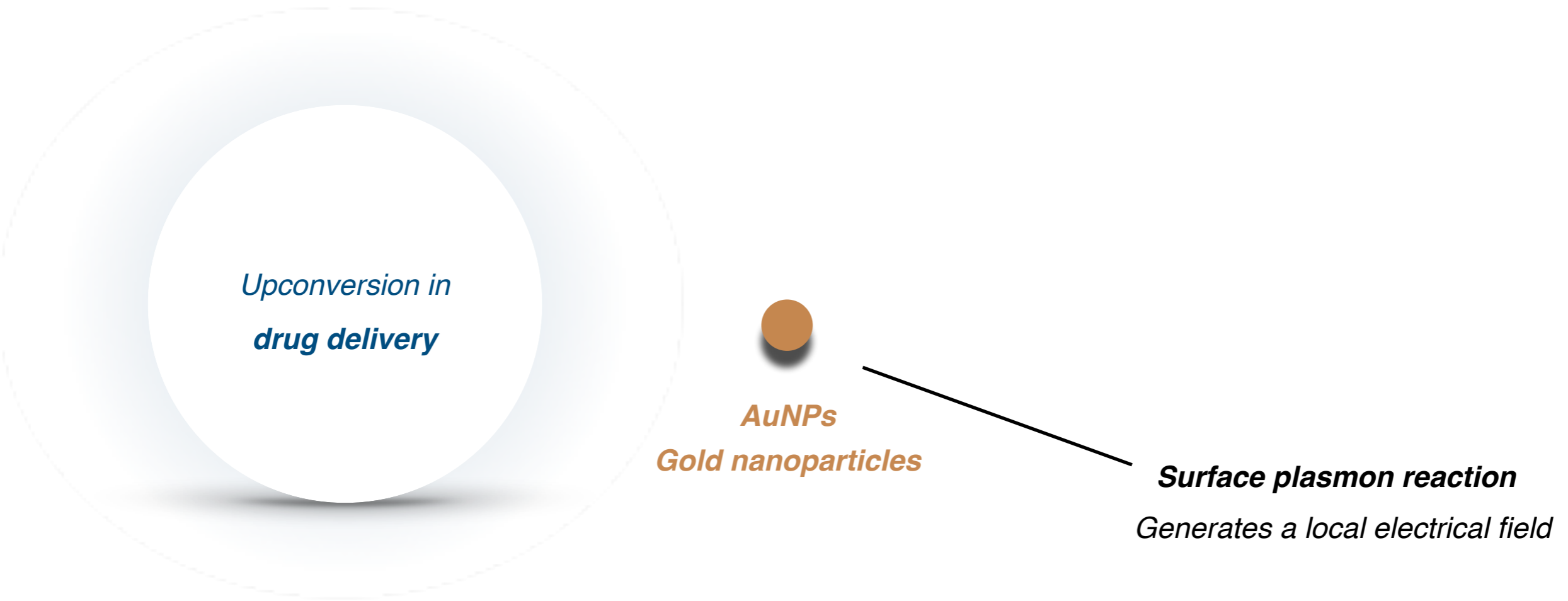


*Upconversion in
drug delivery*



AuNPs
Gold nanoparticles

Upconversion in Inorganic Materials



Kim, H. J., Cho, H. B., Kim, H-R., Lee, S., Park, J., Park, K-H. *Nano. Converg.* **2024**, *11*, 1–16.

Chen, G., Giu, H., Prasad, P. N., Chen, X. *Chem. Rev.* **2014**, *114*, 5161–5214.

Upconversion in Inorganic Materials

*Upconversion in
drug delivery*



AuNPs
Gold nanoparticles

Surface plasmon reaction
Generates a local electrical field

- *Effective intracellular delivery*

Upconversion in Inorganic Materials

*Upconversion in
drug delivery*



AuNPs

Gold nanoparticles

Surface plasmon reaction

Generates a local electrical field

- *Effective intracellular delivery*
- *High biocompatibility*

Upconversion in Inorganic Materials

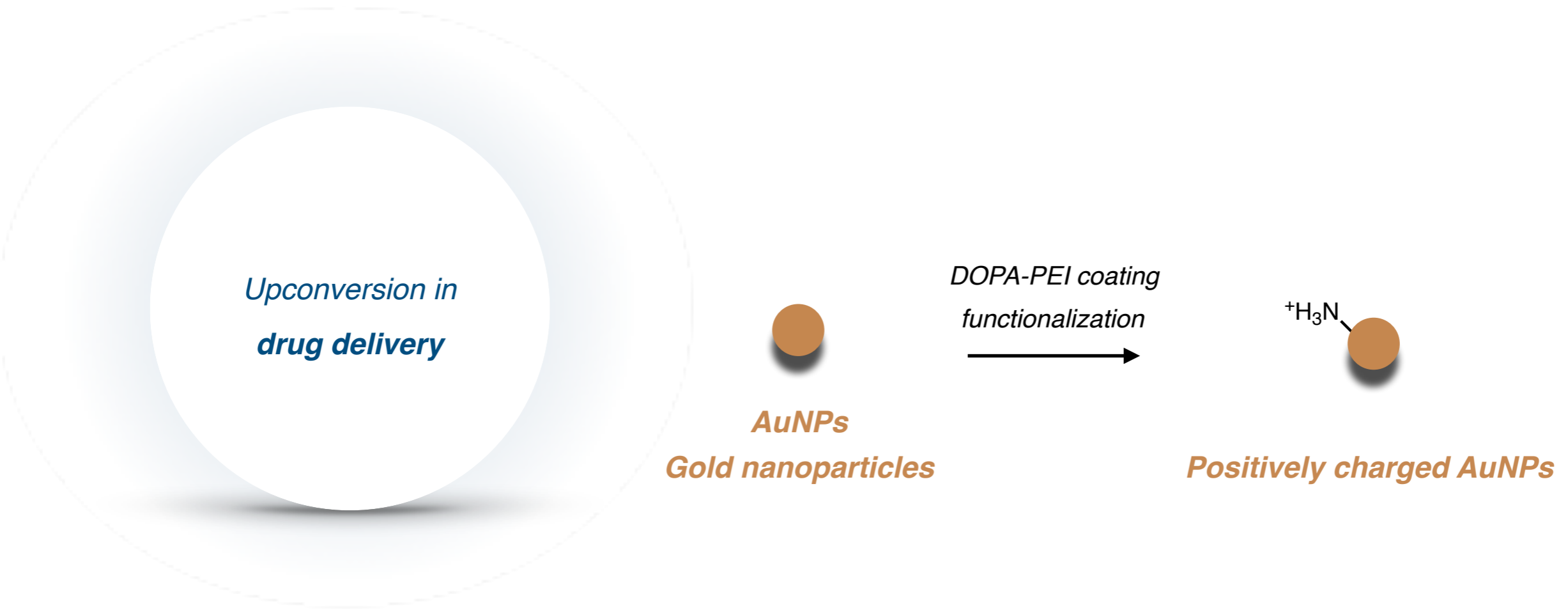
*Upconversion in
drug delivery*



AuNPs
Gold nanoparticles

- *Effective intracellular delivery*
- *High biocompatibility*
- **Absorb 550 nm**

Upconversion in Inorganic Materials

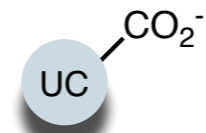


Kim, H. J., Cho, H. B., Kim, H-R., Lee, S., Park, J., Park, K-H. *Nano. Converg.* **2024**, *11*, 1–16.

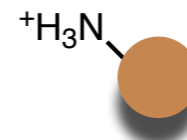
Chen, G., Giu, H., Prasad, P. N., Chen, X. *Chem. Rev.* **2014**, *114*, 5161–5214.

Upconversion in Inorganic Materials

*Upconversion in
drug delivery*



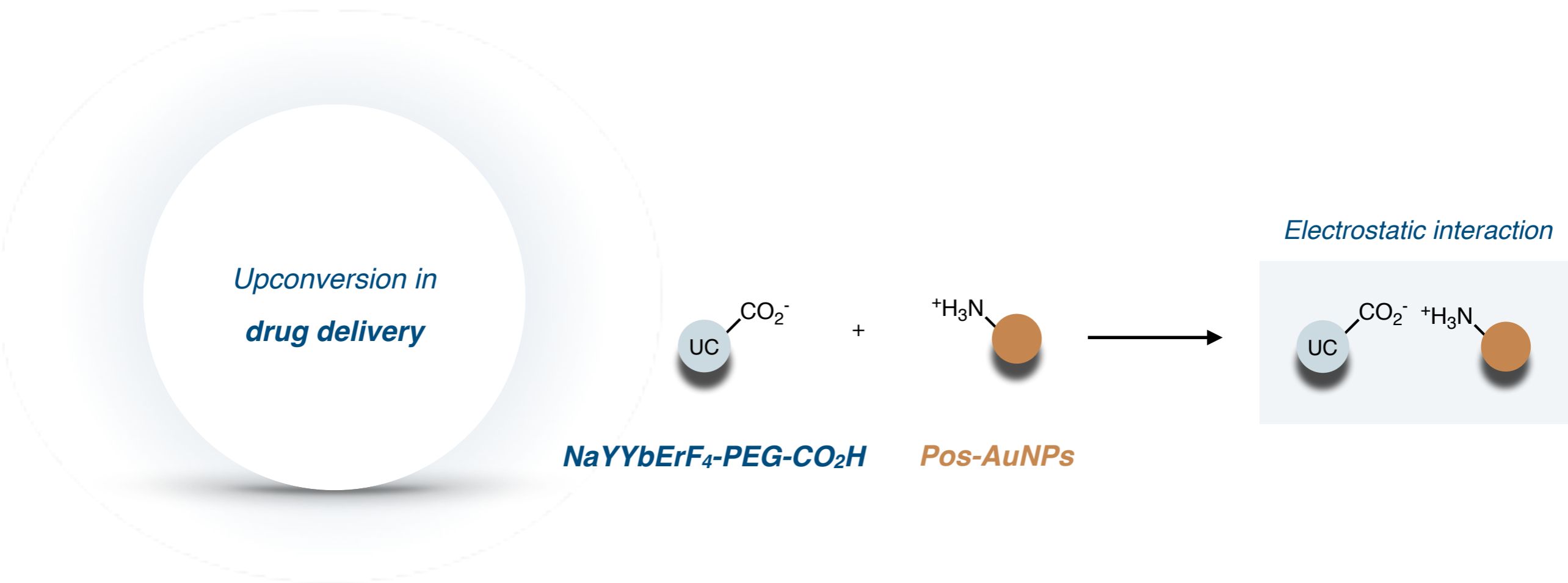
+



NaYYbErF₄-PEG-CO₂H

Pos-AuNPs

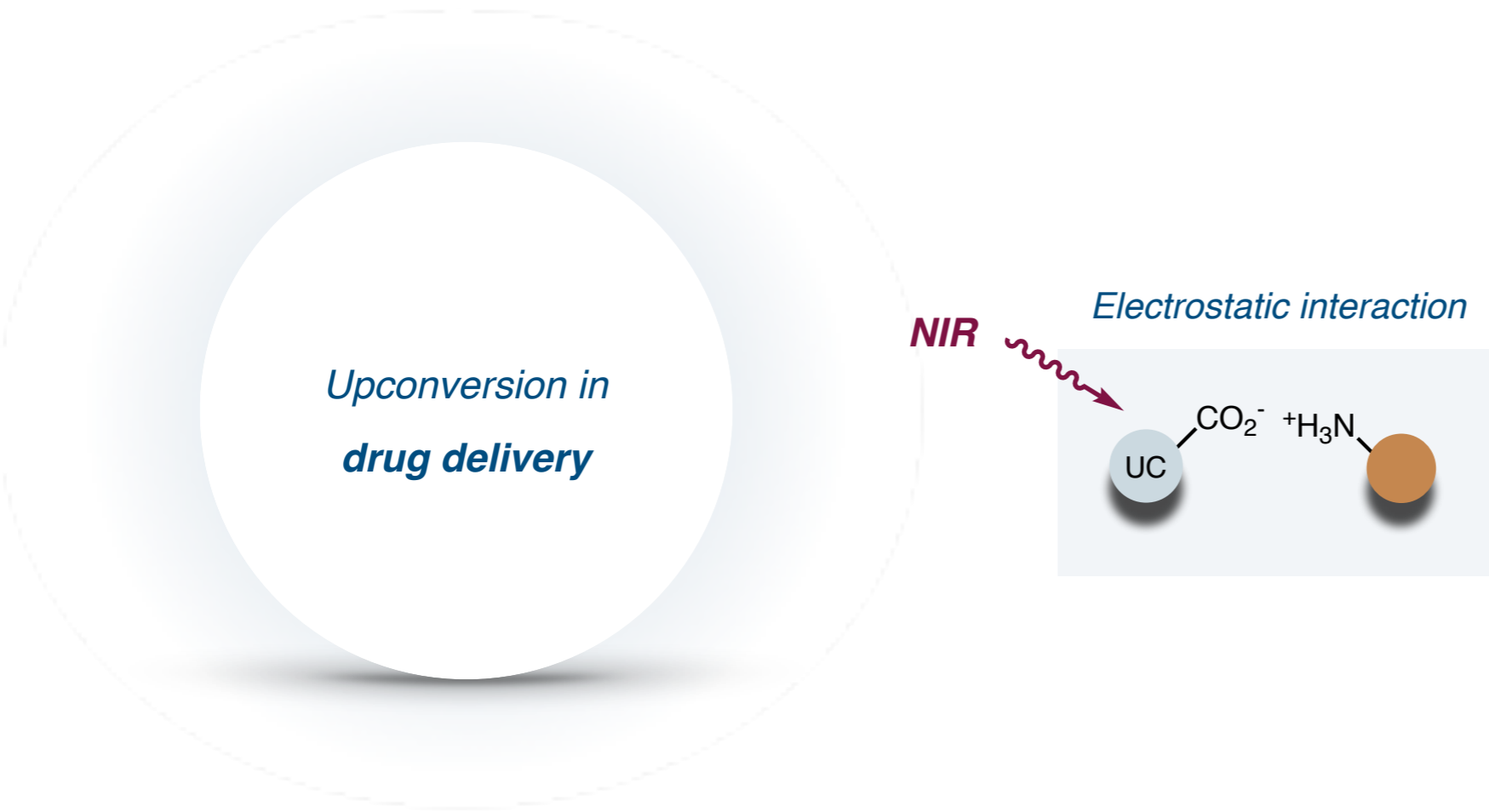
Upconversion in Inorganic Materials



Kim, H. J., Cho, H. B., Kim, H-R., Lee, S., Park, J., Park, K-H. *Nano. Converg.* **2024**, *11*, 1–16.

Chen, G., Giu, H., Prasad, P. N., Chen, X. *Chem. Rev.* **2014**, *114*, 5161–5214.

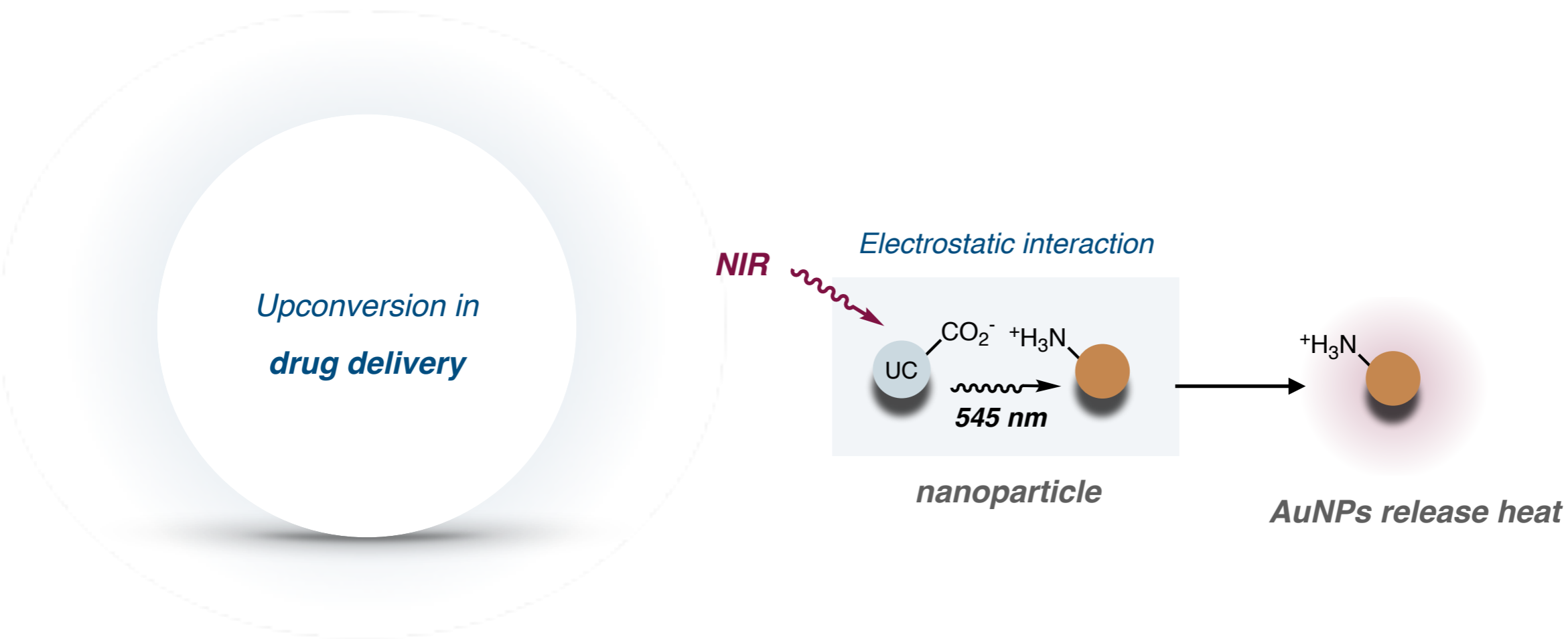
Upconversion in Inorganic Materials



Kim, H. J., Cho, H. B., Kim, H-R., Lee, S., Park, J., Park, K-H. *Nano. Converg.* **2024**, *11*, 1–16.

Chen, G., Giu, H., Prasad, P. N., Chen, X. *Chem. Rev.* **2014**, *114*, 5161–5214.

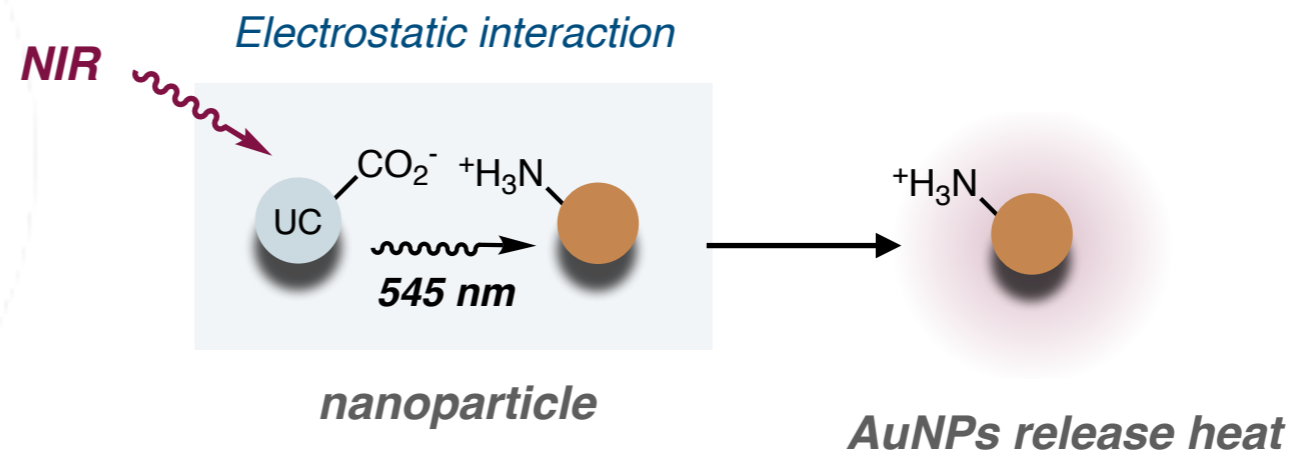
Upconversion in Inorganic Materials



Kim, H. J., Cho, H. B., Kim, H-R., Lee, S., Park, J., Park, K-H. *Nano. Converg.* **2024**, *11*, 1–16.

Chen, G., Giu, H., Prasad, P. N., Chen, X. *Chem. Rev.* **2014**, *114*, 5161–5214.

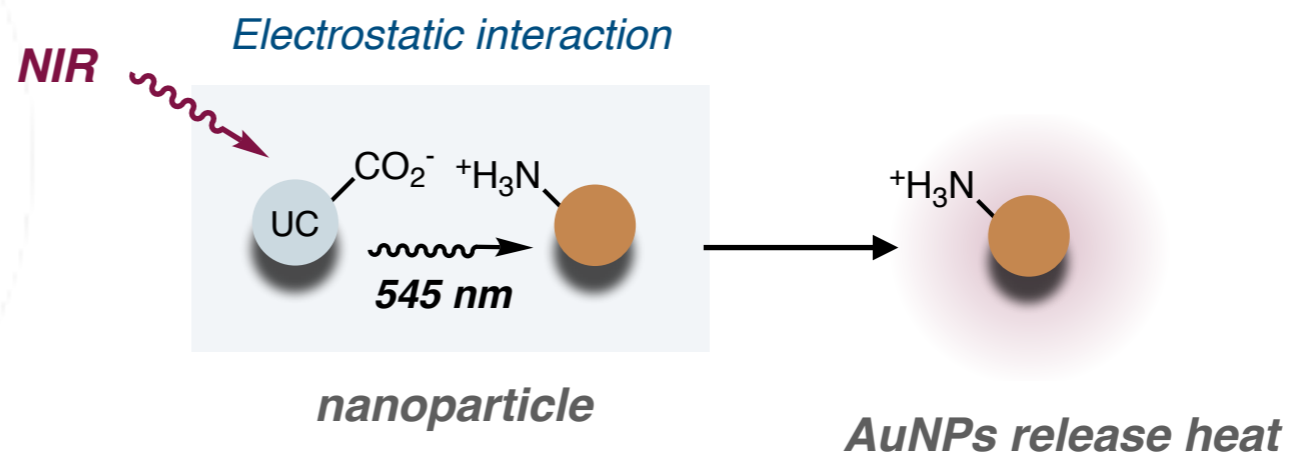
Upconversion in Inorganic Materials



- *Photoporation-based drug delivery*

Upconversion in Inorganic Materials

Upconversion in
drug delivery



- *Photoporation-based drug delivery*

- **Upconverting nanoparticle composite more effectively delivered BRN into cells**
As evidenced by IL-6 expression levels



*Upconversion in chiral self-assembled
nanomaterials*



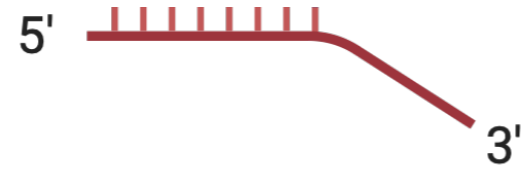
*Upconversion in chiral self-assembled
nanomaterials*

Intracellular miRNA detection

Intracellular miRNA detection

Understanding the role of microRNA

miRNA

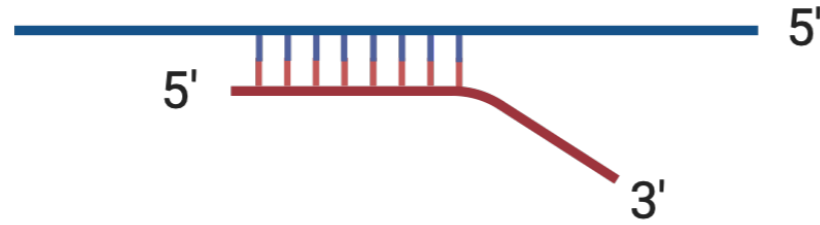


- *single-stranded non-coding RNA molecules*
- *standard length: 21-23 nucleotides*

Intracellular miRNA detection

Understanding the role of miRNA

mRNA Target
miRNA

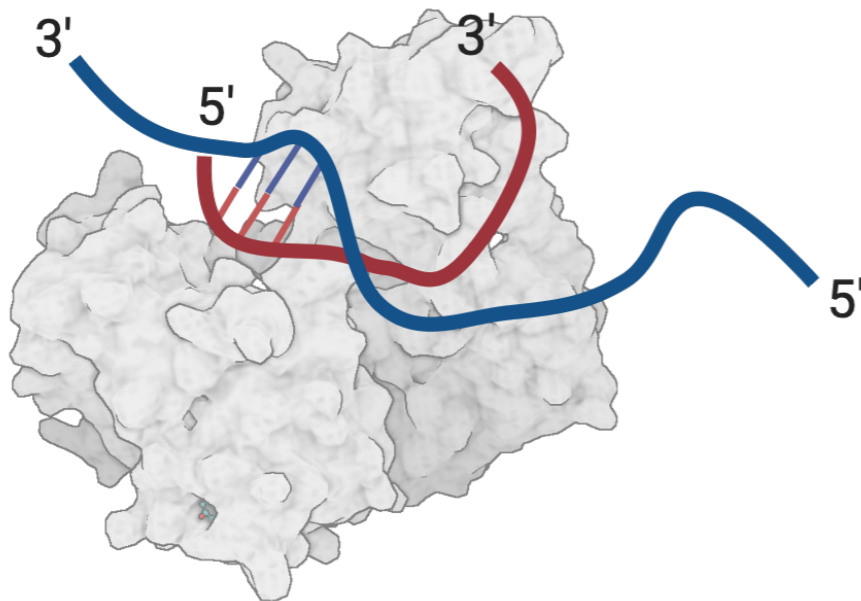
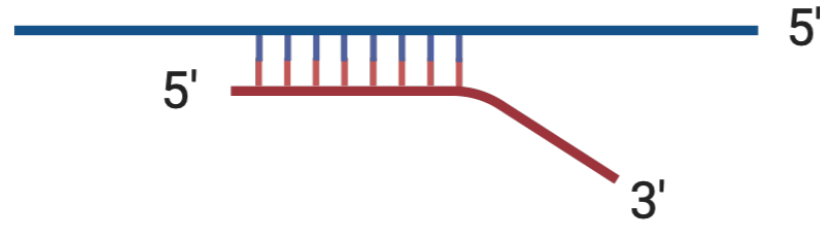


- **miRNA participates in RNA silencing**

Intracellular miRNA detection

Understanding the role of miRNA

mRNA Target
miRNA



- **miRNA participates in RNA silencing**

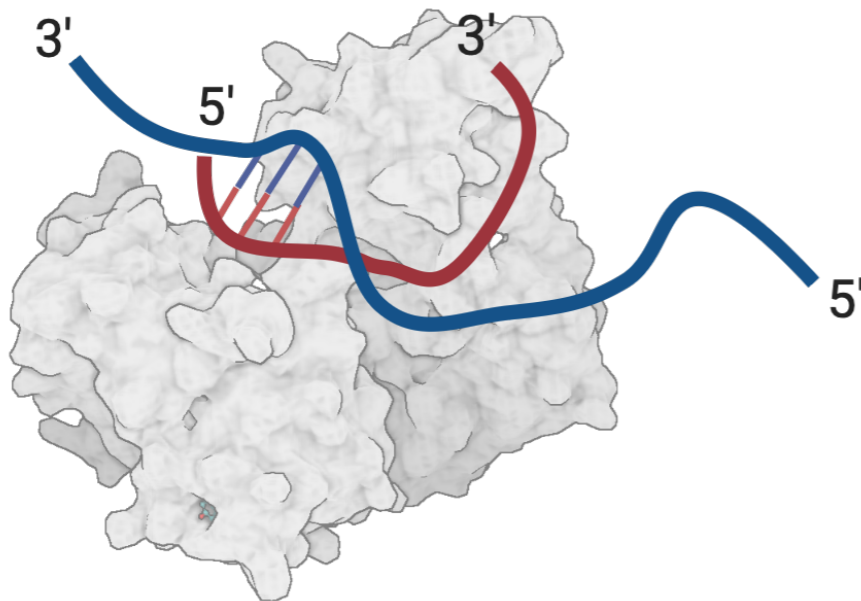
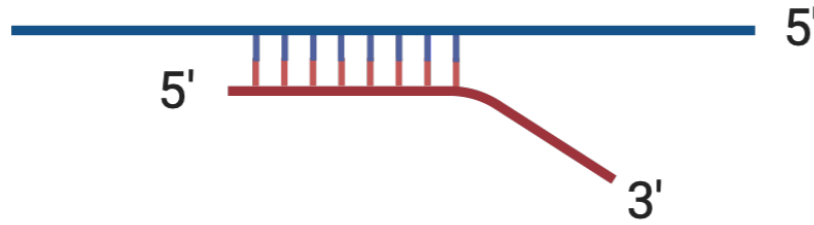


Regulatory processes

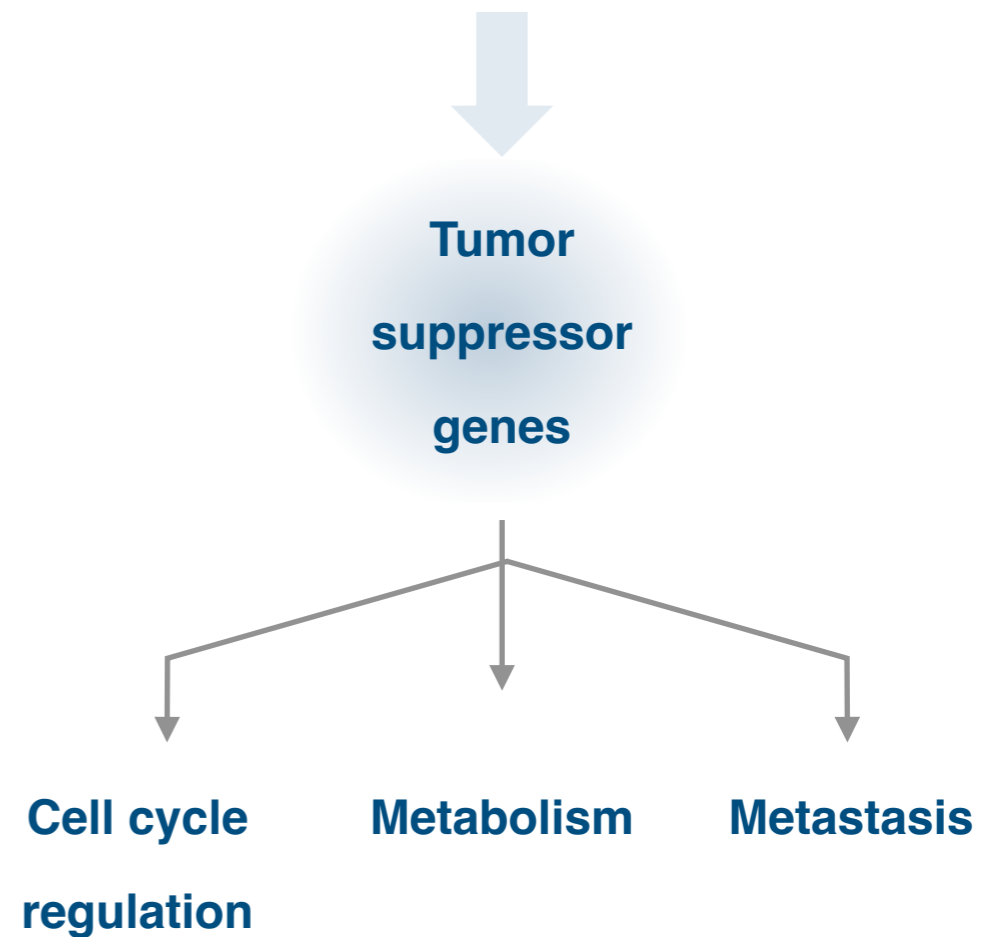
Intracellular miRNA detection

Understanding the role of miRNA

mRNA Target
miRNA



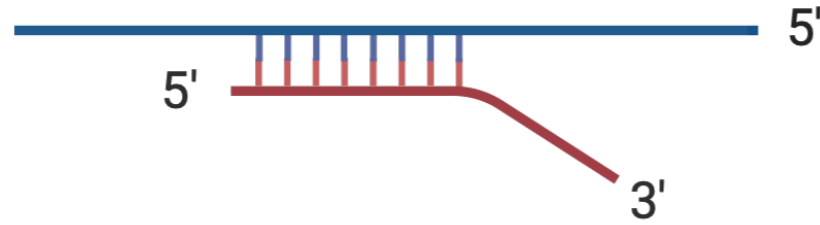
- miRNA participates in RNA silencing



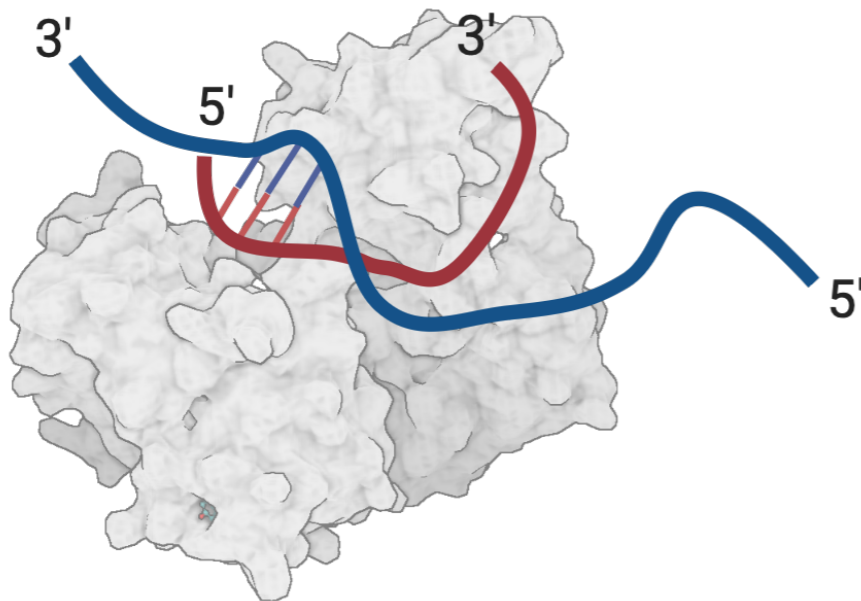
Intracellular miRNA detection

Understanding the role of miRNA

mRNA Target
miRNA



*miRNAs can act
as disease
markers*



- *Low abundance*
- *Degradation*
- *Sequence similarity*

Intracellular miRNA detection

Understanding the role of miRNA

***miRNAs can act
as disease
markers***

- ***challenging detection***
- ***require sensitive quantification***


Intracellular miRNA detection

Understanding the role of miRNA

- *Used to quantify miRNA expression*



Quantitative RT-PCR

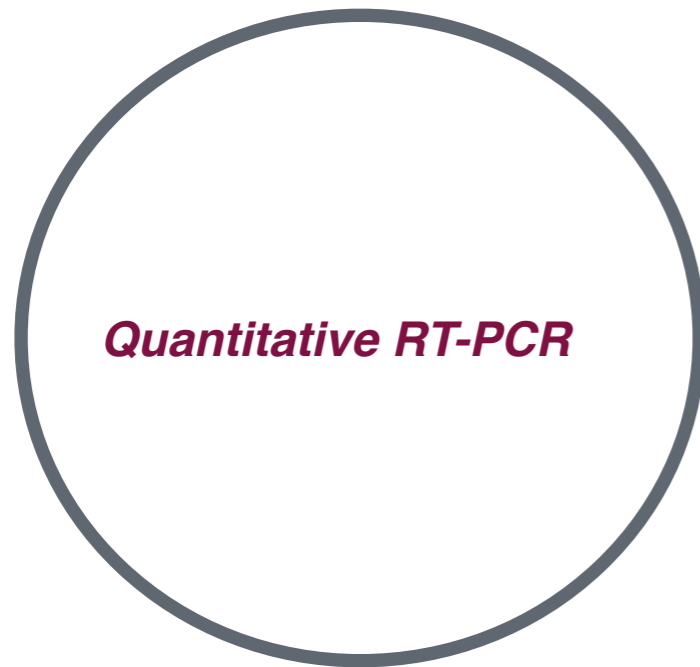


*miRNAs can act
as disease
markers*

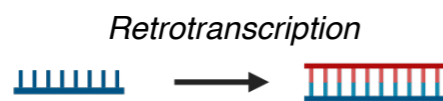
Intracellular miRNA detection

Understanding the role of miRNA

- ***Used to quantify miRNA expression***



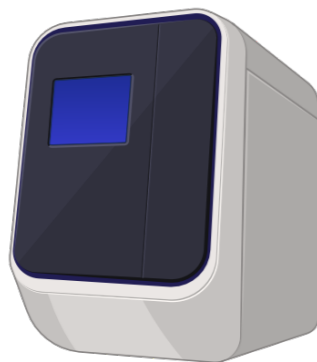
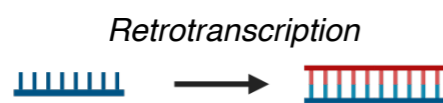
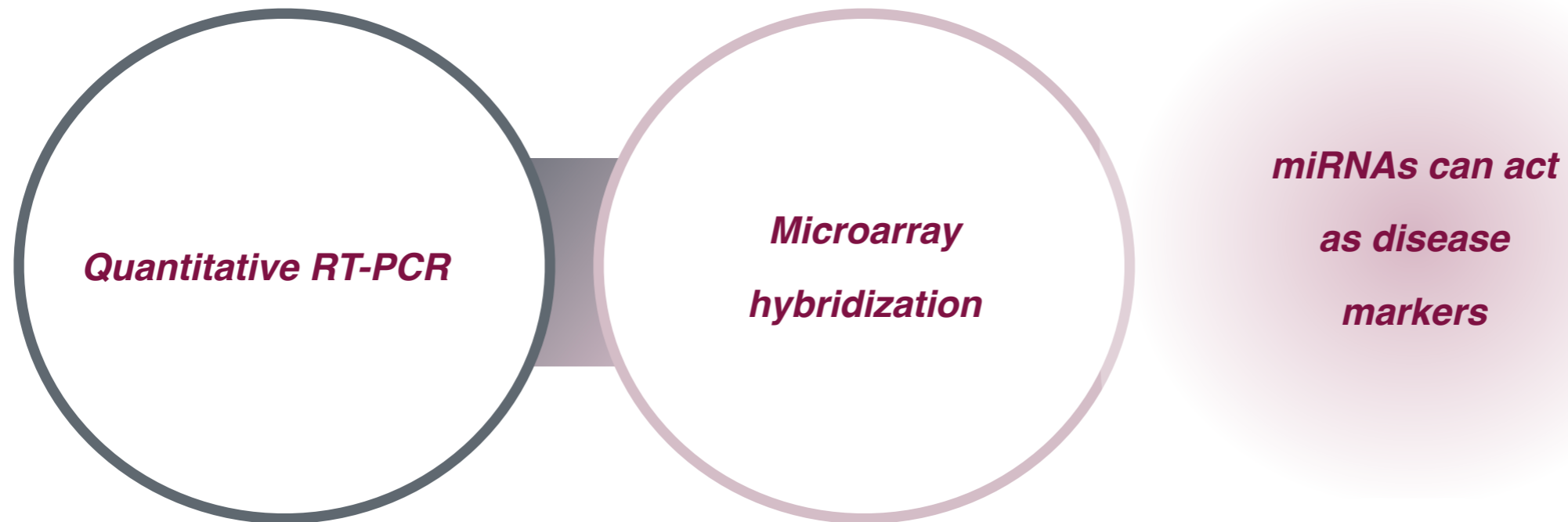
***miRNAs can act
as disease
markers***



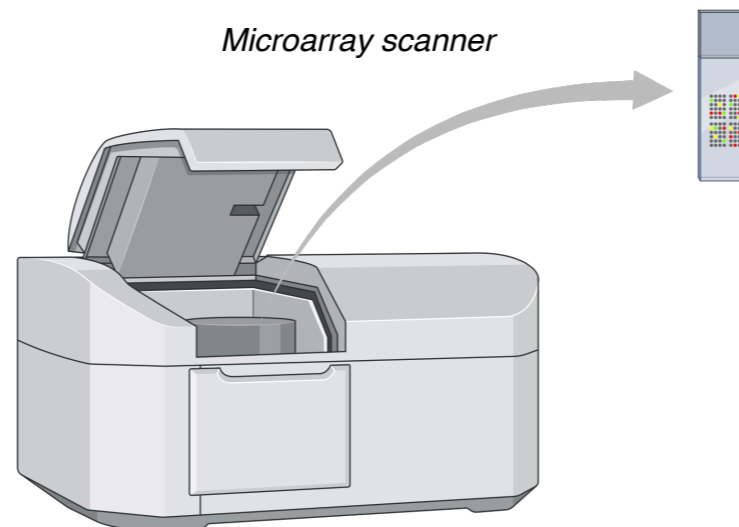
Intracellular miRNA detection

Understanding the role of miRNA

- **Used to quantify miRNA expression**



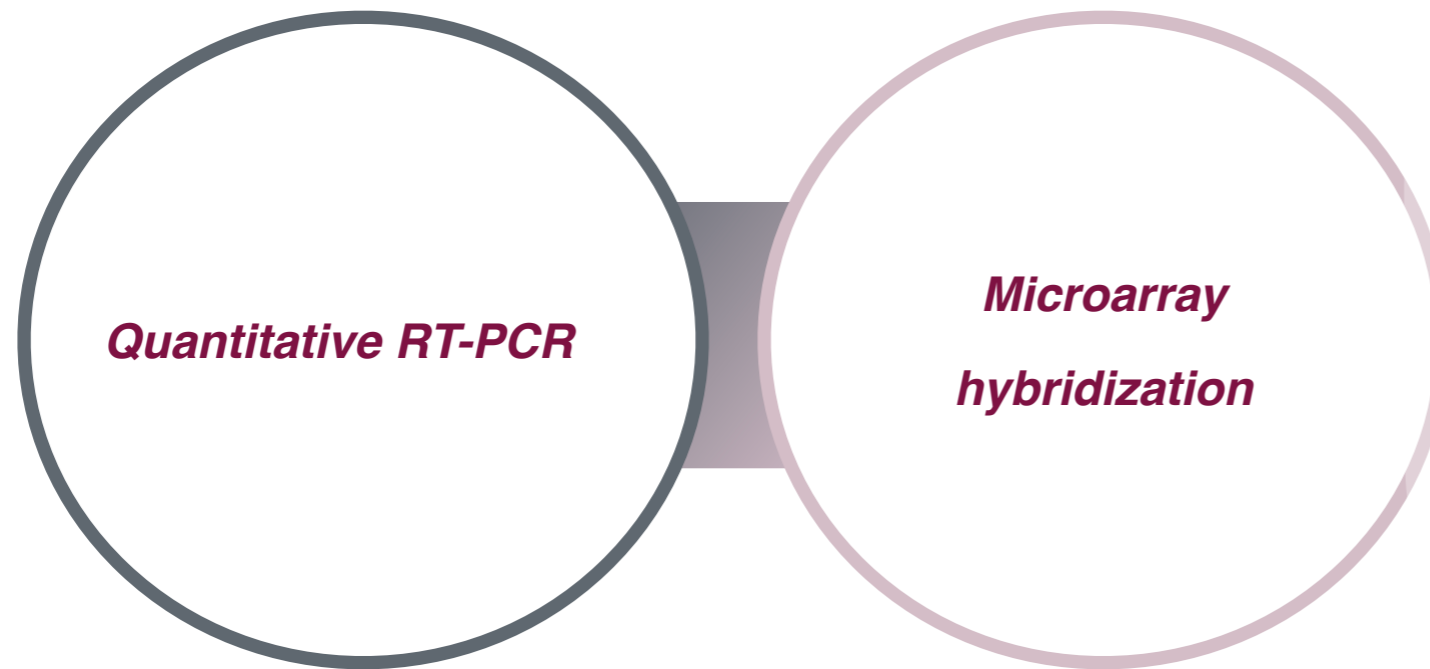
Microarray scanner



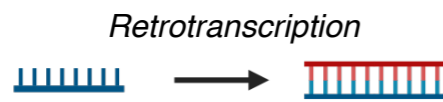
Intracellular miRNA detection

Understanding the role of miRNA

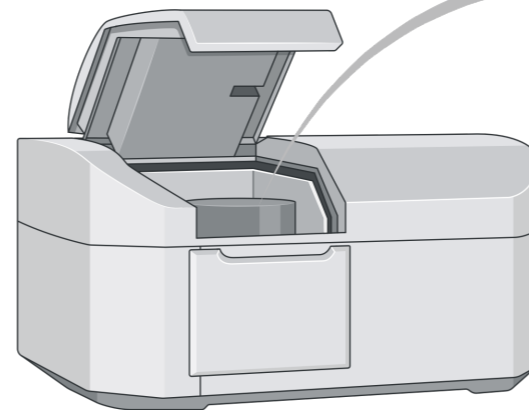
- **Used to quantify miRNA expression**



*miRNAs can act
as disease
markers*



Microarray scanner

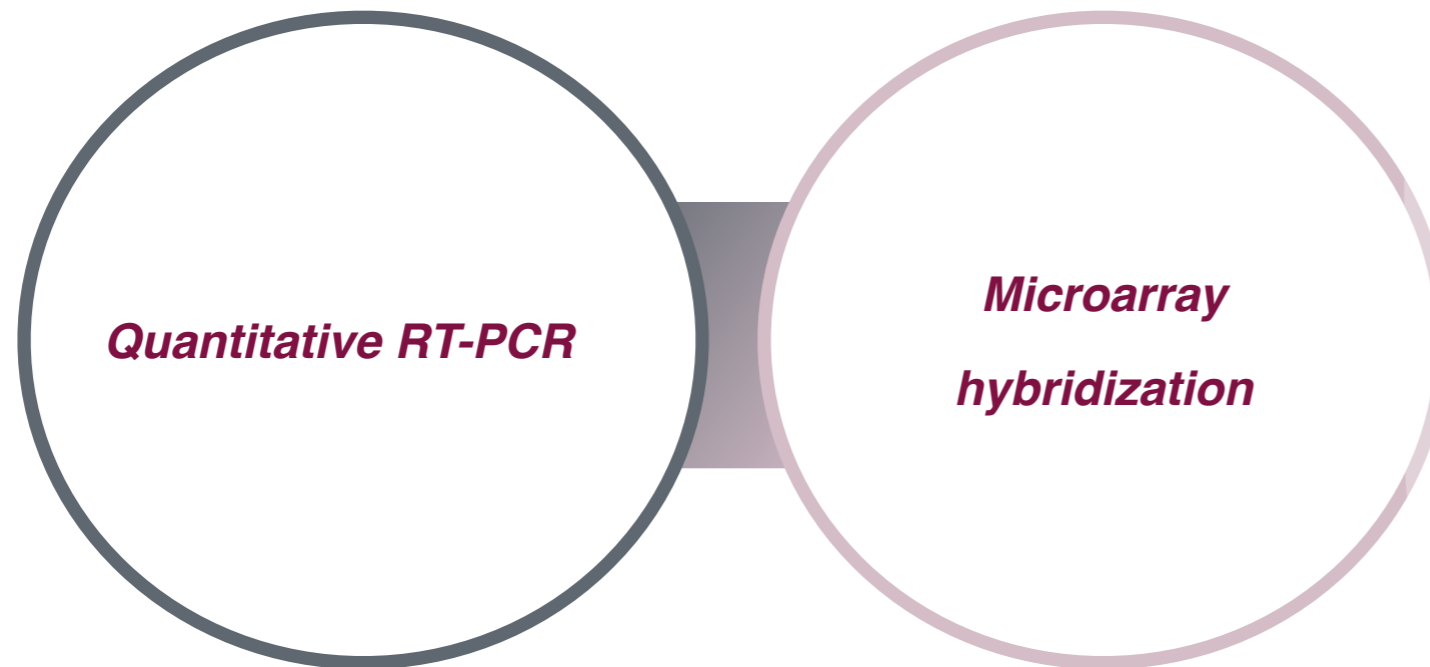


- **High sensitivity and
throughput**

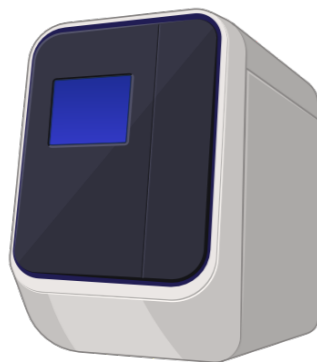
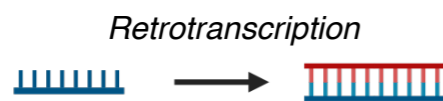
Intracellular miRNA detection

Understanding the role of miRNA

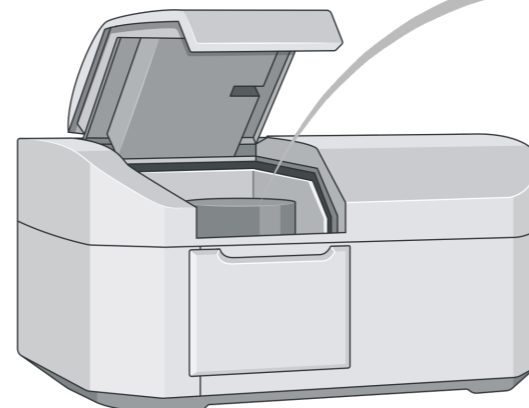
- **Used to quantify miRNA expression**



*miRNAs can act
as disease
markers*



Microarray scanner

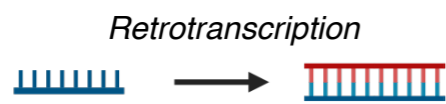
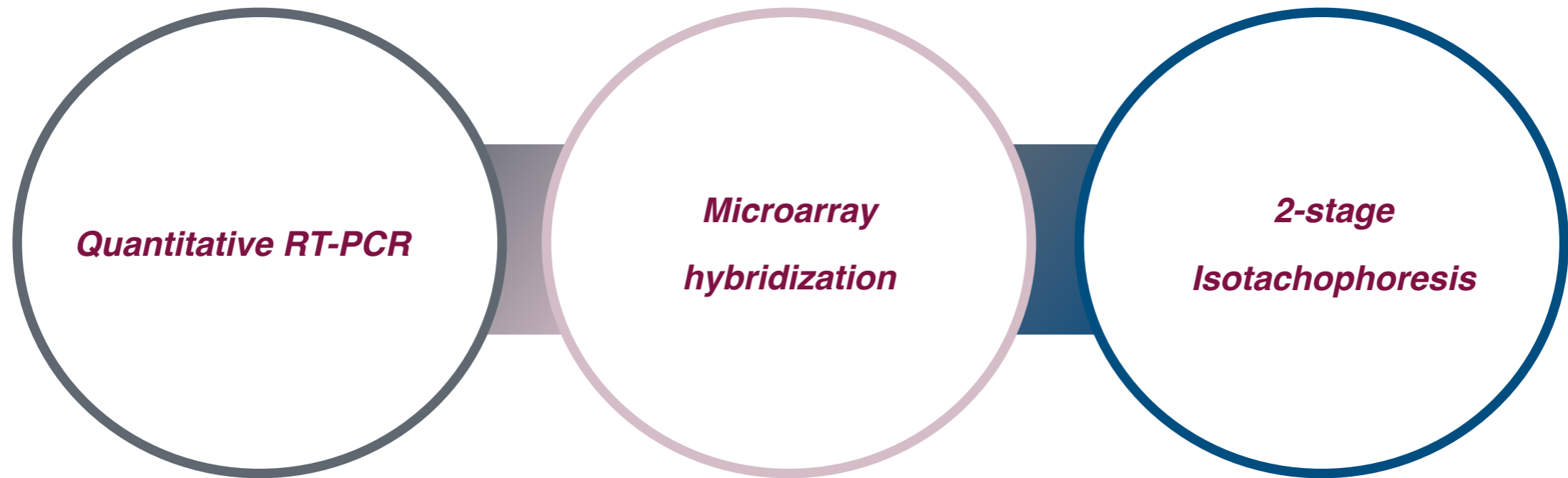


- **High sensitivity and throughput**
- **Expensive, operationally complex**

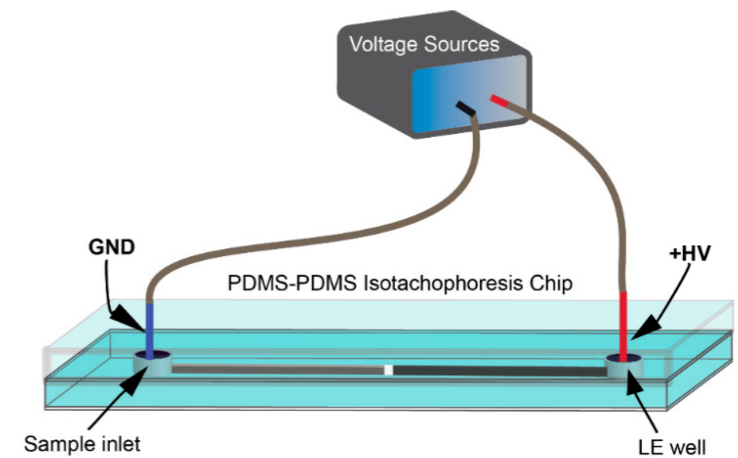
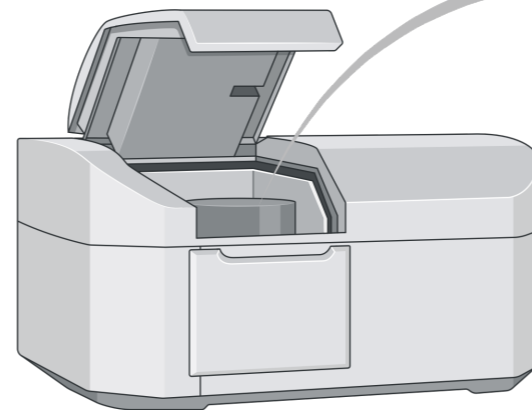
Intracellular miRNA detection

Understanding the role of miRNA

- Used to quantify miRNA expression



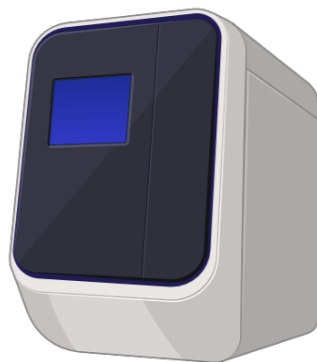
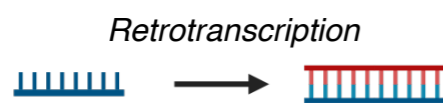
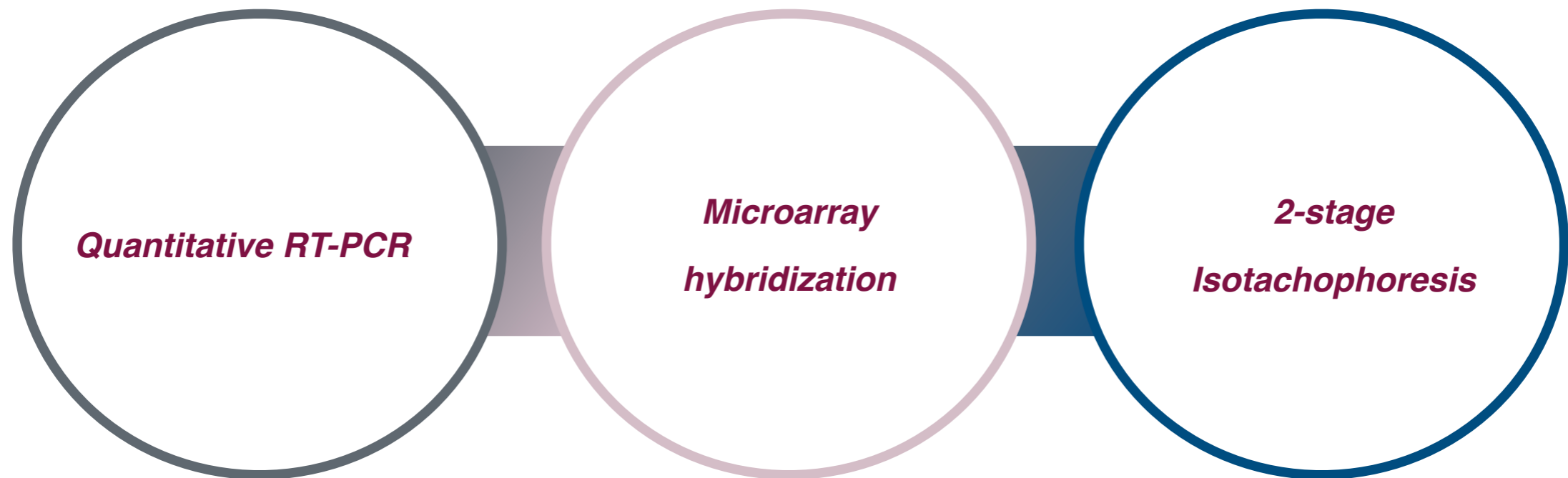
Microarray scanner



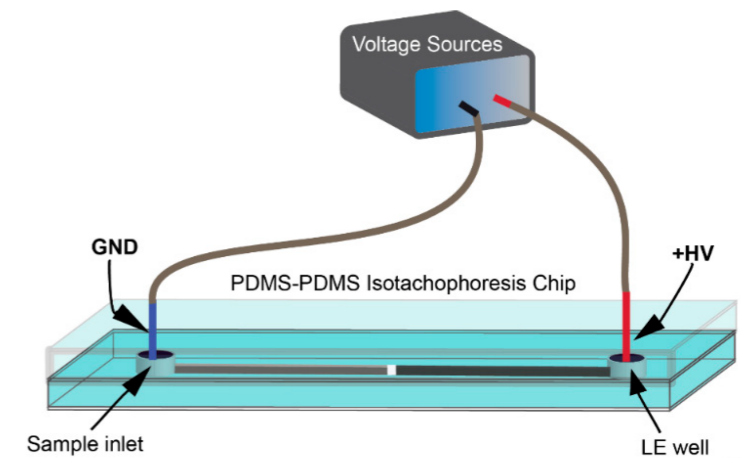
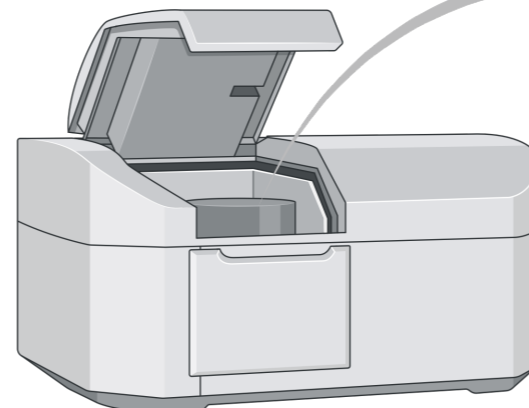
Intracellular miRNA detection

Understanding the role of miRNA

- **Used to quantify miRNA expression**



Microarray scanner



- **Require miRNA to be extracted from the cell prior to analysis**

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

How can you detect miRNA formation in real time?

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

How can you detect miRNA formation in real time?

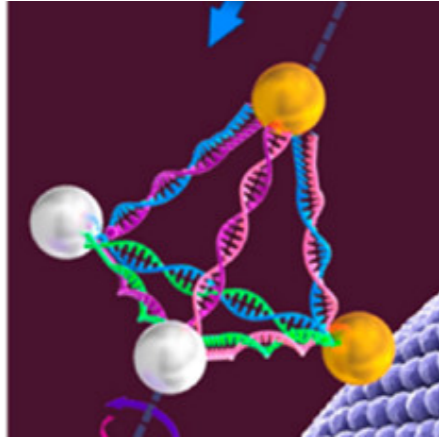


Goal: assay miRNA in live cells

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids

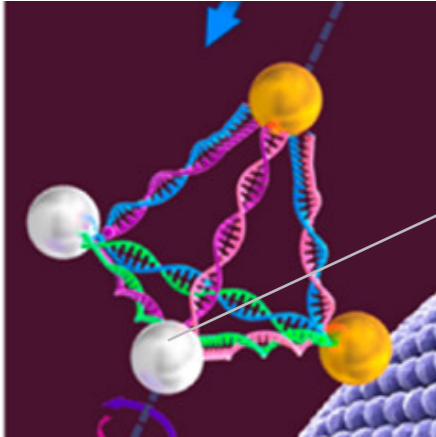


Au-UCNP pyramids

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



UCNP: NaGdF₄:Yb₃₊, Er₃₊

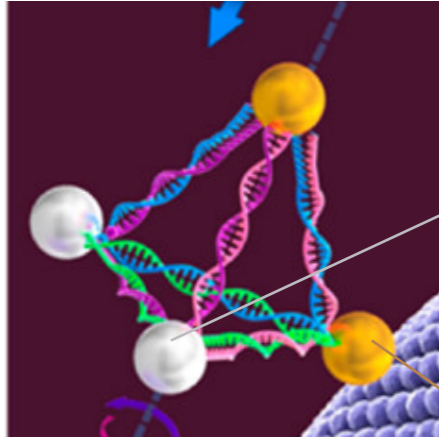
- Superior photostability
- High signal-to-noise ratio

Au-UCNP pyramids

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



Au-UCNP pyramids

UCNP: NaGdF₄:Yb³⁺, Er³⁺

- Superior photostability
- High signal-to-noise ratio

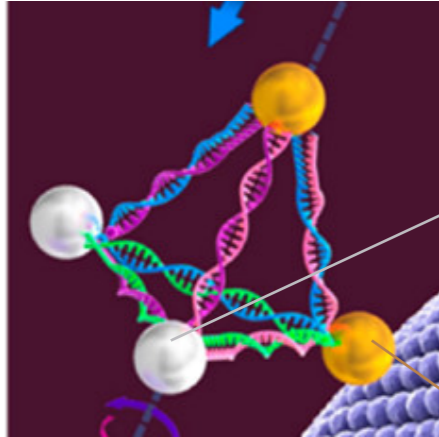
Au NP

- Prolate geometry

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



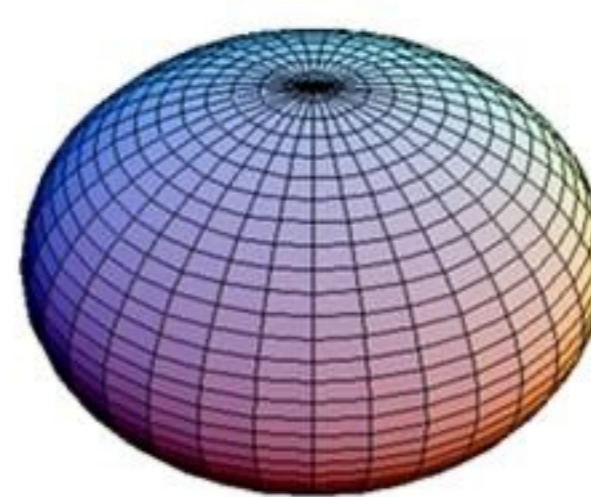
Au-UCNP pyramids

UCNP: NaGdF₄:Yb₃₊, Er₃₊

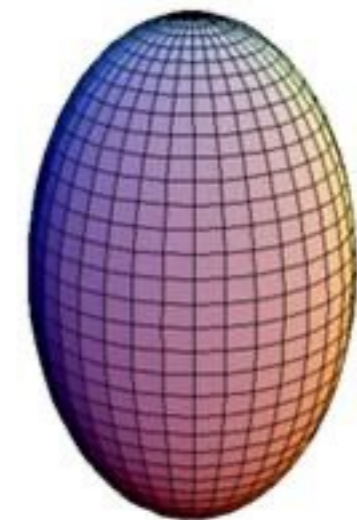
- Superior photostability
- High signal-to-noise ratio

Au NP

- Prolate geometry



Oblate spheroid

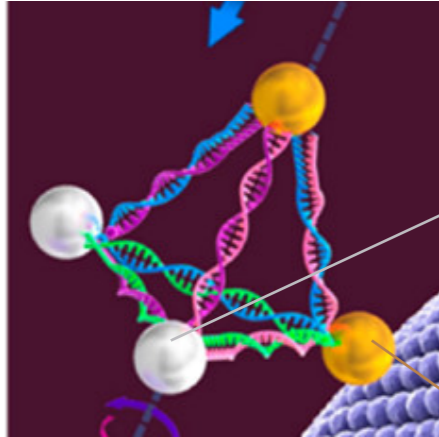


Prolate spheroid

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



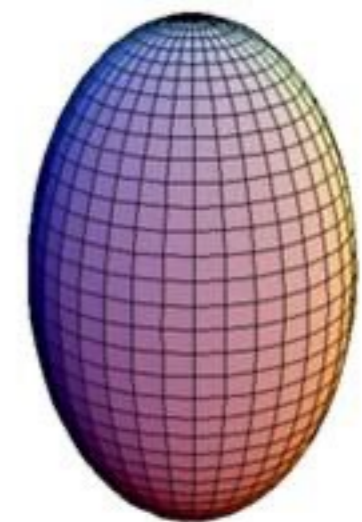
Au-UCNP pyramids

UCNP: NaGdF₄:Yb₃₊, Er₃₊

- Superior photostability
- High signal-to-noise ratio

Au NP

- Prolate geometry
- Target pyramids exhibit **chiroptical** activities

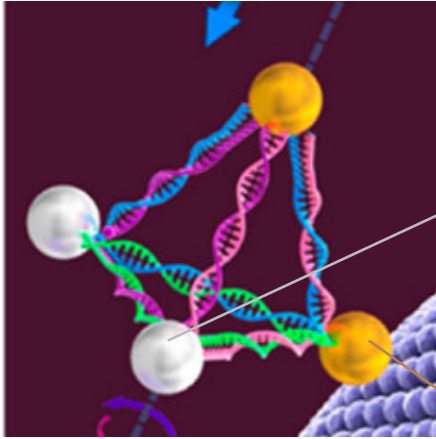


Prolate spheroid

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



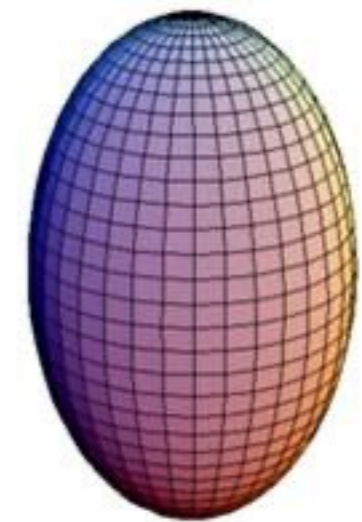
Au-UCNP pyramids

UCNP: NaGdF₄:Yb₃₊, Er₃₊

- Superior photostability
- High signal-to-noise ratio
- **Does not exhibit dichroism signal**

Au NP

- Prolate geometry
- Target pyramids exhibit **chiroptical** activities
- **Emits circular dichroism signal**

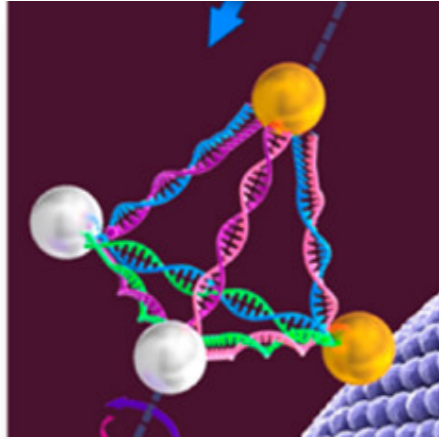


Prolate spheroid

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



Au-UCNP pyramids

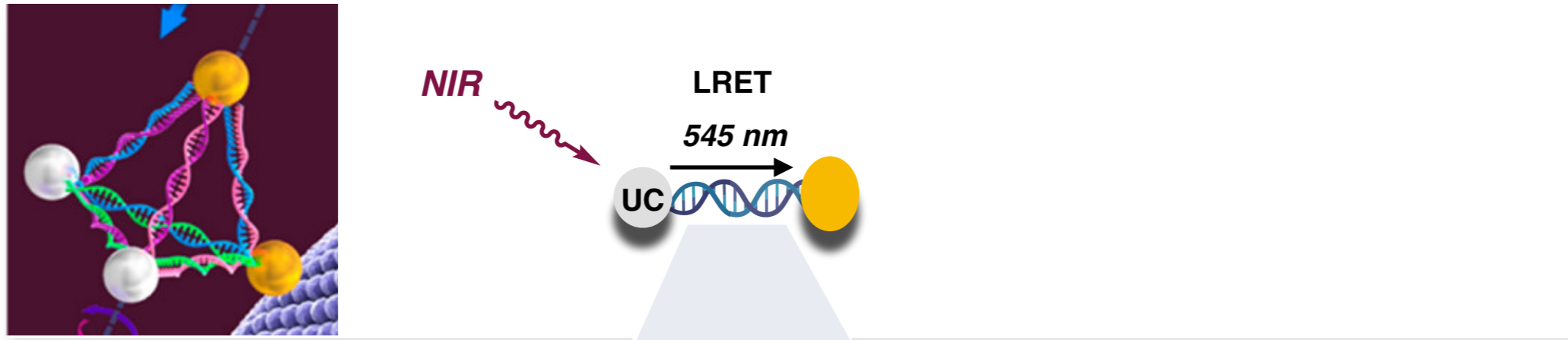


DNA: distance tether

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



Au-UCNP pyramids

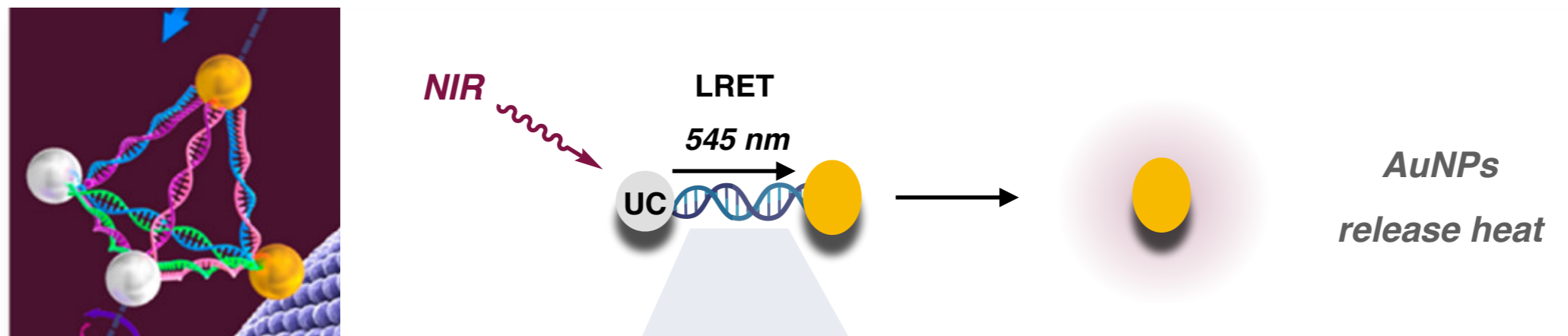


DNA: distance tether

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

DNA-driven self-assembled pyramids



Au-UCNP pyramids

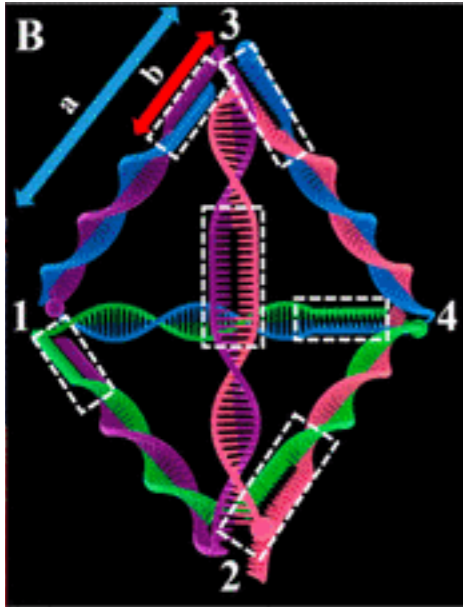


DNA: distance tether

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

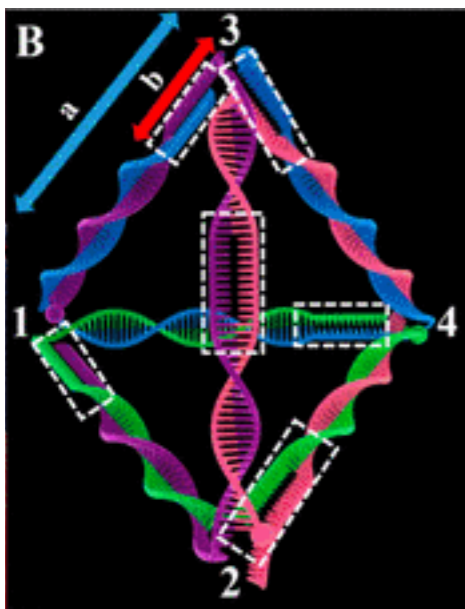
- Inside the cell:**



Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

- **Inside the cell:**



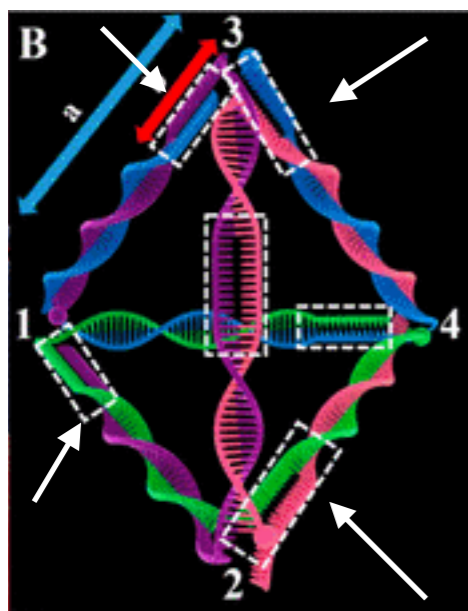
DNA-Py1	<i>TTTTCAACATCAGTCTGATAAGCTACTGTTCTTTCCCTCAACATCAG</i> <i>TCTGATAAGCTACGGTTTTCAACATCAGTCTGATAAGCTACTTGAC</i>
DNA-Py2	<i>TTTCCGTAGCTTATCAGTTAAGCTAGGGTTTCATTAATCAACATCAG</i> <i>TTCGATAAGCTATTTTCAACATCAGTCTGATAAGCTACAACCTT</i>
DNA-Py3	<i>TTTACTAAGCGATTACTGATGTTGATTAATGTTTACAACATCAGTCTG</i> <i>ATAAGCTAACTGATTTTGAACAGTAGCTTATCAGGACTCGTGGTC</i>
DNA-Py4	<i>TTTAAGTTGTAGCTTATCAGCTGACTACATTTTTGTCAAGTAGCTTAT</i> <i>CAGTTACAGCTCGCTTTATCAGTTAGCTTATCAGAGATCGTAGCT</i>

Sequences complementary to target miRNA

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

- **Inside the cell:**



DNA-Py1

TTTTCAACATCAGTCTGATAAGCTACTGTTCTTTCCCTCAACATCAG
TCTGATAAGCTACGGTTTTCAACATCAGTCTGATAAGCTACTTGAC

DNA-Py2

TTTCCGTAGCTTATCAGTTAAGCTAGGGTTTCATTAATCAACATCAG
TTCGATAAGCTATTTTCAACATCAGTCTGATAAGCTACAACCTT

DNA-Py3

TTTACTAAGCGATTACTGATGTTGATTAATGTTTACAACATCAGTCTG
ATAAGCTAACTGATTTTGAACAGTAGCTTATCAGGACTCGTGGTC

DNA-Py4

TTTAAGTTGTAGCTTATCAGCTGACTACATTTTTGTCAAGTAGCTTAT
CAGTTACAGCTCGCTTTATCAGTTAGCTTATCAGAGATCGTAGCT

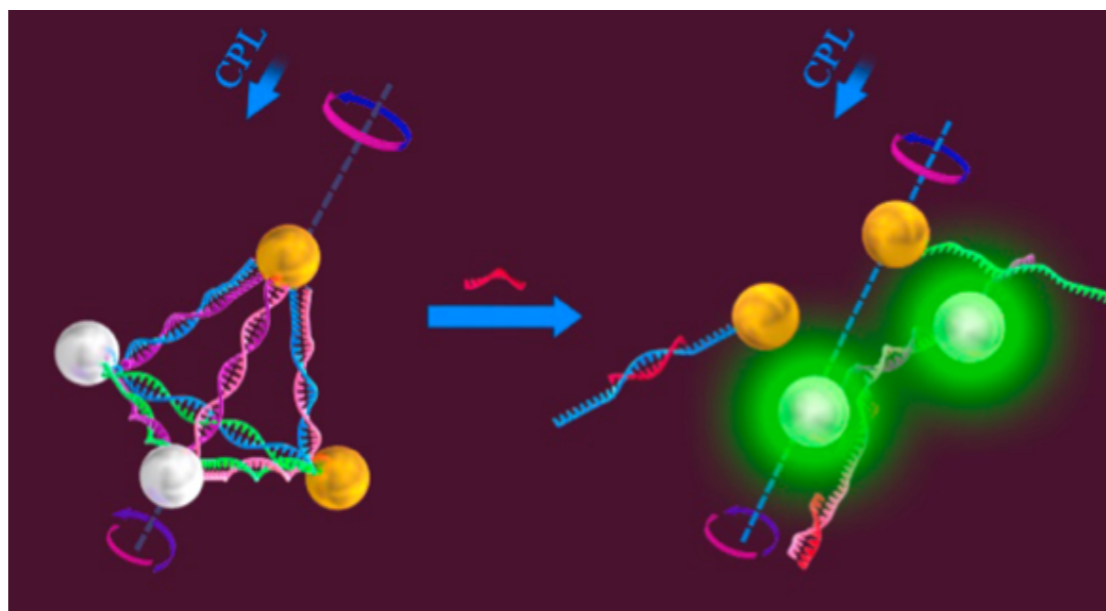
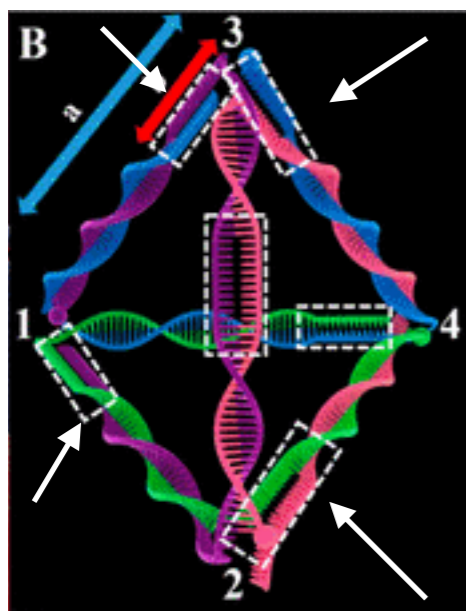
**Non-complemented
DNA base pairs**

Sequences complementary to target miRNA

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

• *Inside the cell:*



*target miRNA binding
induces disassembly*

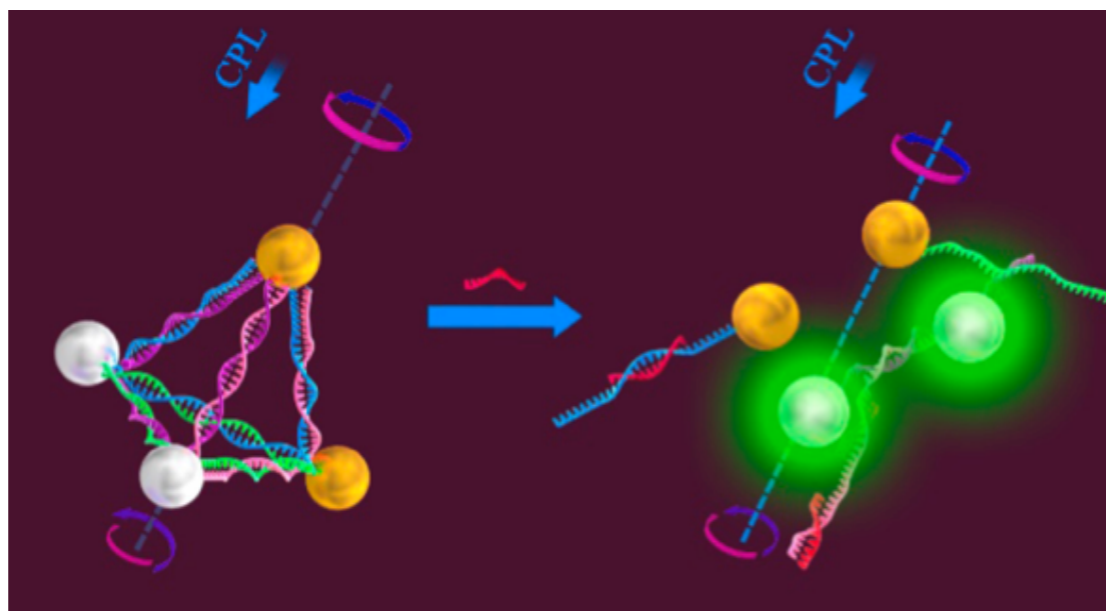
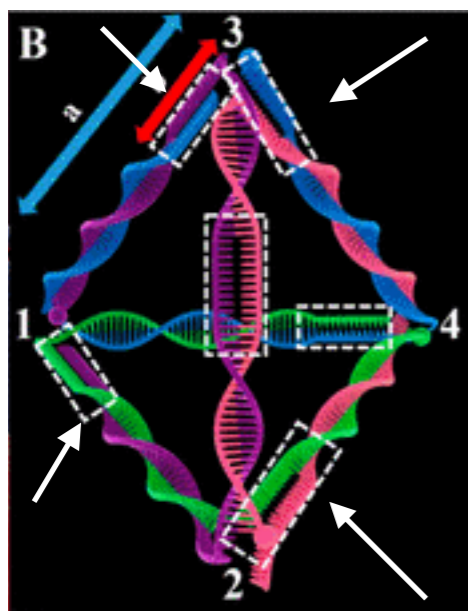
*Non-complemented
DNA base pairs*

Sequences complementary to target miRNA

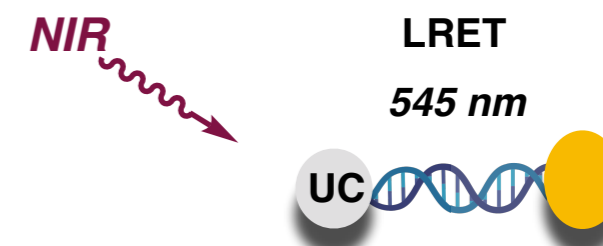
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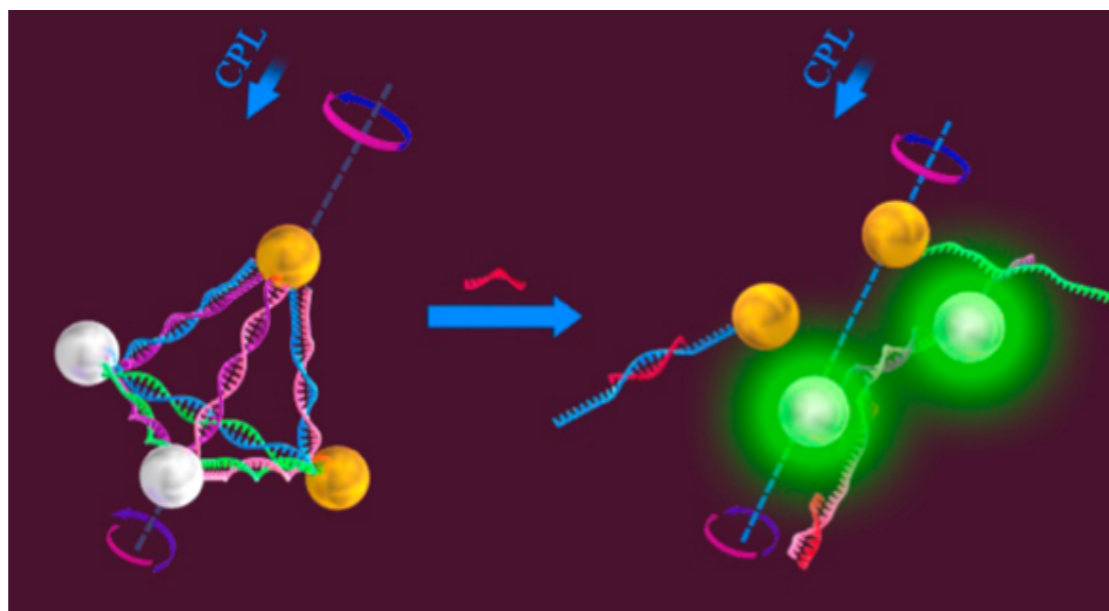
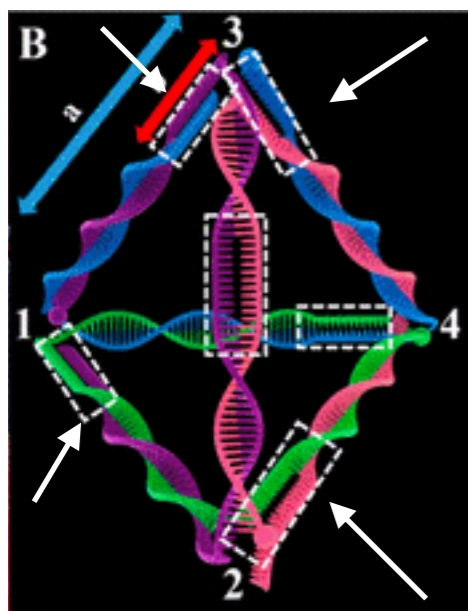
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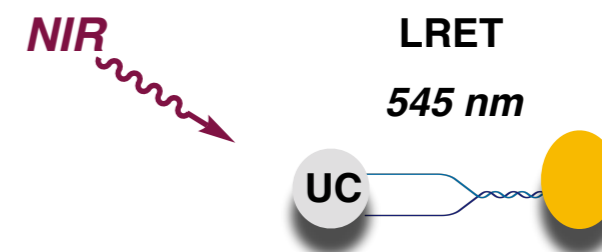
Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

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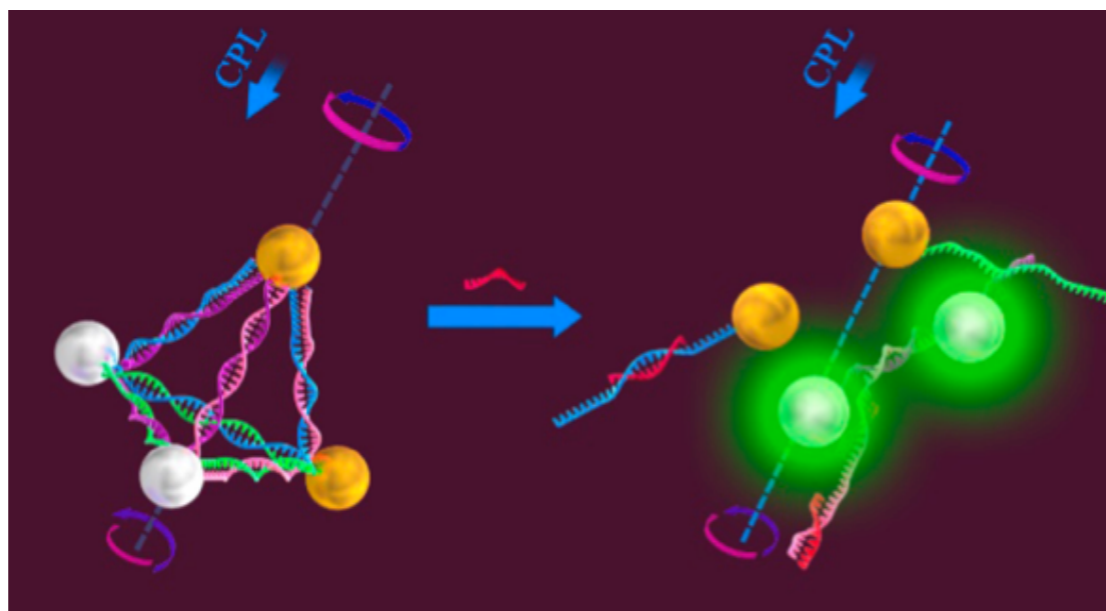
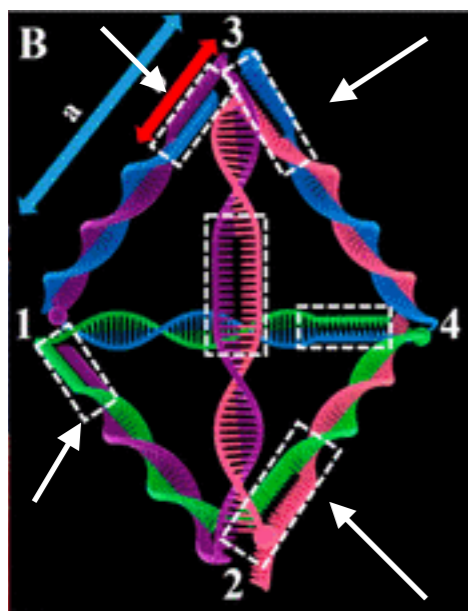
*Non-complemented
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Sequences complementary to target miRNA

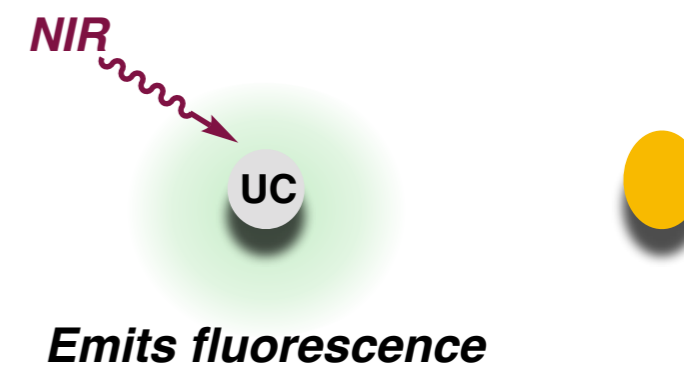
Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

• *Inside the cell:*



*target miRNA binding
induces disassembly*



*Non-complemented
DNA base pairs*

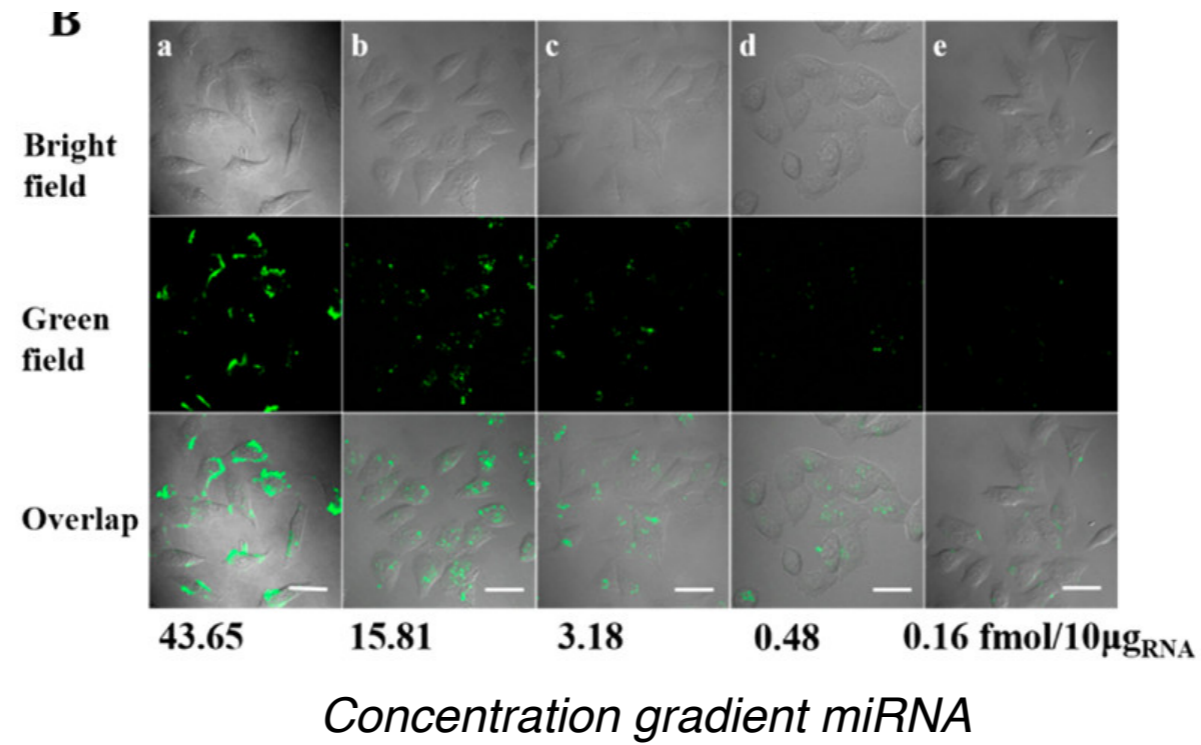
Sequences complementary to target miRNA

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

- **Inside the cell:**

Live cell
Fluorescence imaging

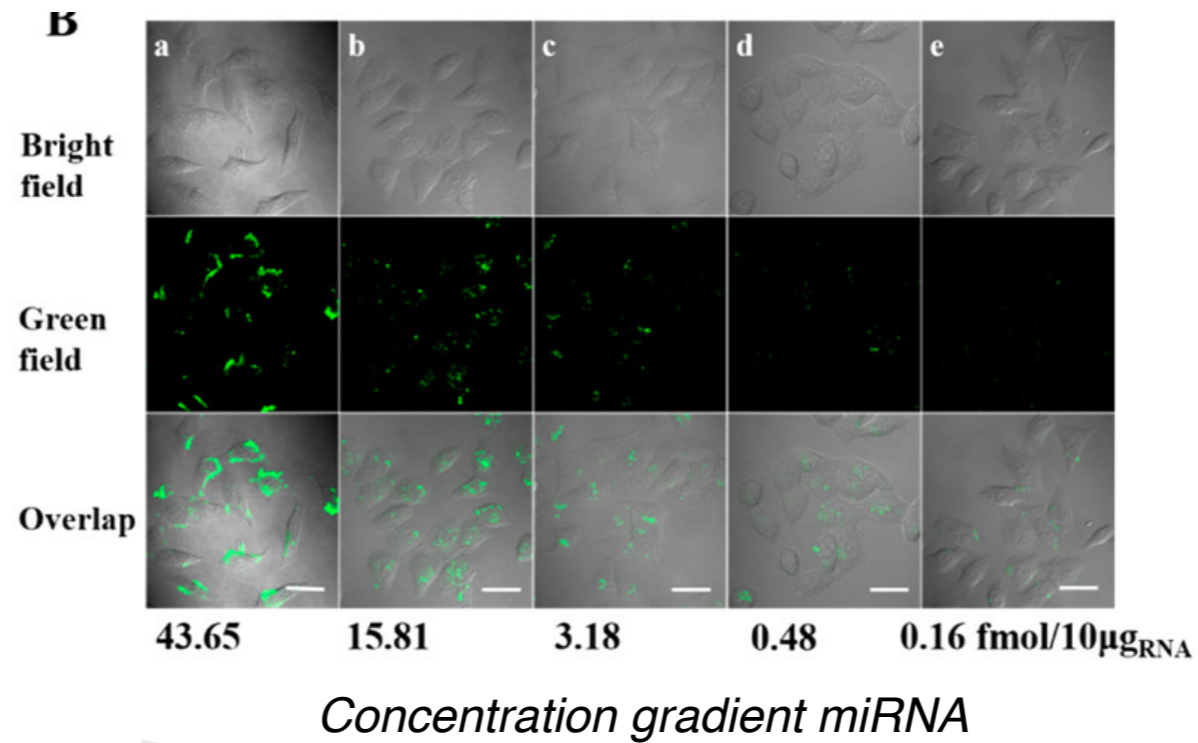


Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

• **Inside the cell:**

Live cell
Fluorescence imaging



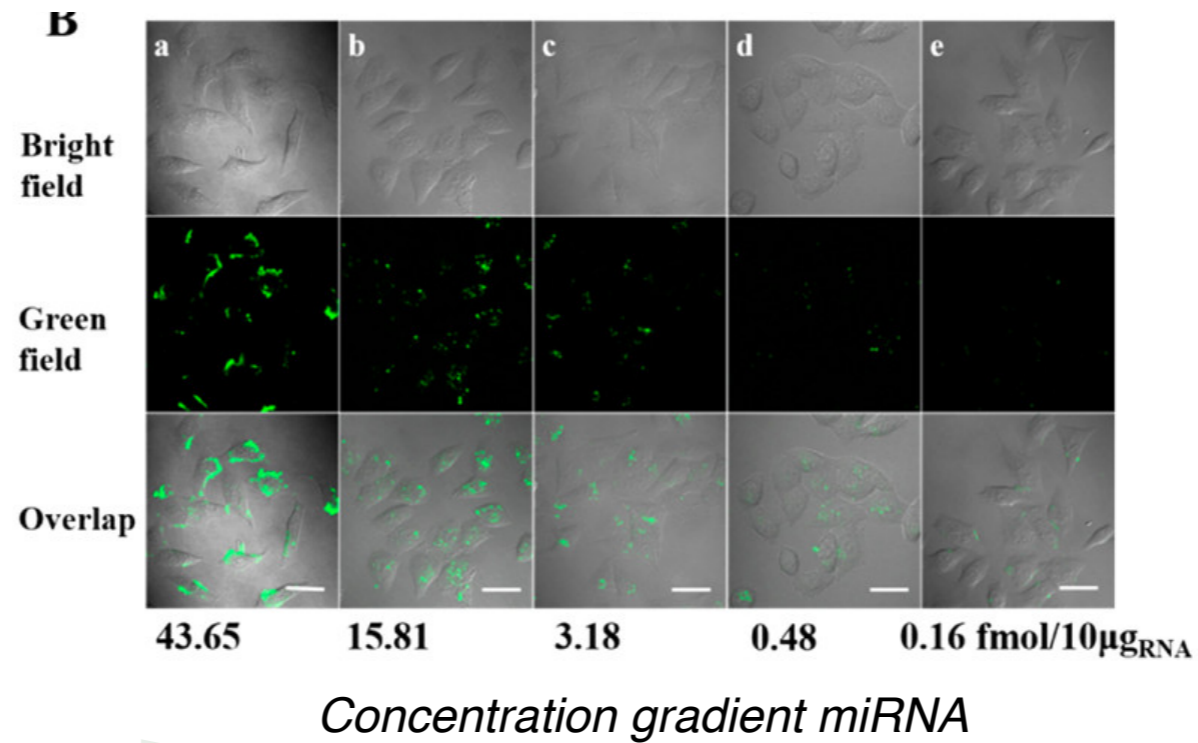
**Greatest fluorescence emission
correlates to [miRNA]**

Intracellular miRNA detection

Upconversion towards intracellular miRNA detection

• **Inside the cell:**

Live cell
Fluorescence imaging



**Greatest fluorescence emission
correlates to [miRNA]**

✓ **Upconversion fluorescence
induced by miRNA binding**

Overview

***Upconversion in
Organic Materials***

***Upconversion in
near-IR (NIR) and
Visible light
photocatalysis***

***Upconversion in
Inorganic Materials***

Overview

***Upconversion in
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Questions

Applications of upconversion

$$\text{Quantum yield } \Phi = \frac{\text{Fluorescence } \Phi}{\frac{\# \text{ photons } \mathbf{emitted}}{\# \text{ photons } \mathbf{absorbed}}}$$

Processes which decrease Fluorescence Φ

1. *Intersystem crossing (ISC)*
2. *Förster resonance energy transfer*
3. *Internal conversion*