Supramolecular Catalysis: Chemistry within Well-Defined Self-Assembly Hosts

> Staffan Torssell MacMillan Group Meeting 10-15-08



Supramolecular Catalysis: An Introduction

- In Nature: Highly efficient, well orchestrated cascades that takes places in well-defined reaction environments
- Lessons learned from Nature arises from the observation and understanding of enzyme catalysis
- Early work focused on the synthesis of macrocycles for molecular recognition and culminated with the Nobel prize in Chemistry (1987) to Donald Cram, Jean-Marie Lehn and Charles Pedersen for "their development and use of molecules with structure-specific interactions of high selectivity"
- Occasionally enormous accelerations were noted, change in selectivity but applications in synthetic chemistry remained elusive
- Main drawback: Tedious synthesis of host molecules with catalytic entities
- Last decade: New biomimetic approaches have arised which avoid elaborate syntheses
- Self-assembly of higher-order capsules by pre-designed interactions such as H-bonding and metalligand coordination

Important literature covering supramolecular catalysis:

- Supramolecular Catalysis; van Leeuwen, P. W. N. M., Ed.; Wiley-VCH: Weinheim, 2008
- Modern Supramolecular Chemistry; Diederich, F.; Stang, P. J. Tykwinski, R. R., Eds.; Wiley-VCH: Weinheim, 2008
- Vriezema, D. M.; Comellas Aragonés, M.; Elemans, J. A. A. W.; Cornelissen, J. J. L. M.; Rowan, A. E.; Nolte, R. J. M. Chem. Rev. 2005, 105, 1445-1489

Criterias for Artificial "Enzymes"

Molecular recognition: Selective recognition of desired substrate



Binding affinity: Cavity/site where substrate can bind

H-Bonding
π-π Stacking
Cavity effect



Reactive site: Proximal to binding site in order to react with substrate

1) Unimolecular reactions: More TS-like conformations

2) Bimolecular reactions: Proximity effects leads to very high effective molarities \Rightarrow entropic advantage

Product release: Regeneration of host in order to achive catalyst turn-over

Usual bottle-neck in many artificial supramolecular models

Early Examples: Breslow's Ribonuclease Model System



- **Functionalized** β -cyclodextrin baring two imidazole moieties
- The substrate binds into the cavity of the catalyst in water solution
- One imidazole acts as base and the other (protonated) acts as acid
- Catalyzed hydrolysis is 100 times faster and selective (>99%) comp. non-catalyzed

Breslow, R.; Doherty, J.; Guillot, G.; Lipsey, C. *J. Am. Chem. Soc.* **1978**, *100*, 3227 Anslyn, E. V.; Breslow, R. *J. Am. Chem. Soc.* **1989**, *111*, 8931

Sanders' Zn(II) Porphyrin Trimer: Endo- or Exo-Selective Diels-Alder



Complete *exo*-selectivity in 2,2,2-trimer due to correct binding distance for *exo*-product (15 Å)

- Complete *endo*-selectivity in 1,1,2-trimer due to correct binding distance for *endo*-product (12 Å)
- 200-fold acceleration compared to non-catalyzed reaction, product inhibition.
- Complete inhibition of the reaction when competitive binder is added

Walter, C. J.; Anderson, H. L.; Sanders, J. K. M. *Chem. Commun.* **1993**, 458 Walter, C. J.; Sanders, J. K. M. *Angew. Chem. Int. Ed. Engl.* **1995**, *34*, 217

Rebek's "Soft Ball"-Catalyzed Diels-Alder



Encapsulation by dynamic, reversible self-assembly of cage-like molecular complex

- Encapsulation forces the reacting components in close proximity (high local concentration) productive reaction pathway
- Reversible encapsulation leads to product release and catalyst turnover
- 75% conversion after 4 days, 10 fold acceleration compared to non-catalyzed reaction

Kang, J.; Rebek, J., Jr. *Nature* **1997**, *385*, 50 Kang, J.; Santamaria, J.; Hilmersson, G.; Rebek, J., Jr. *J. Am. Chem. Soc.* **1998**, *120*, 7389 Prof. Kenneth N. Raymond Prof. Robert G. Bergman Department of Chemistry University of California, Berkeley

Catalysis within M₄L₆ Self-Assembly Hosts

The Raymond Group's M₄L₆-Assembly



- M_4L_6 stoichiometry: M = Ga^{III}, Al^{III}, In^{III}, Fe^{III}, Ti^{IV}, Ge^{IV} L = N, N'-bis(2,3-dihydroxybenzoyl)-1,5-diaminonaphthalene
- Well-defined, chiral self-assembling tetrahedron
- Tris-bidentate coordination @ metal \Rightarrow stereogenic center
- -12 overall charge \Rightarrow water solubility. Naphthalene residues \Rightarrow hydrophobic cavity.
- Hydrophobic, polyanionic host \Rightarrow stabilization of reactive cations by encapsulation

C-H-bond activation by Encapsulated Ir(III)-Catalysts



■ Polyanionic host and cationic, hydrophobic organometallic guest ⇒ driving force for encapsulation

Host-guest complexes with up to 70:30 d.r. obtained when using *cis*-butene pre-catalyst

- Heating liberates olefin ligand and generates active Ir-comp
- Addition of aldehyde \Rightarrow C-H insertion and release of CH₄ and generation of Ir-acyl complex
- Migratory deinsertion of Ir-acyl \Rightarrow chiral cationic Ir alkyl carbonyl product with d.r. up to 70:30

Leung, D. H.; Fiedler. D.; Bergman, R. G.; Raymond, K. N. *Angew. Chem. Int. Ed.* **2004**, *43*, 963 Leung, D. H.; Bergman, R. G.; Raymond, K. N. *J. Am. Chem. Soc.* **2006**, *128*, 9781

[3,3] aza-Cope Rearrangements of Allyl Enammonium Salts



Cationic enammonium = driving force for encapsulation

Neutral product = no driving force for re-encapsulation \Rightarrow catalyst turn-over

Rate acceleration = up to 3 orders of magnitude

- Investigation of energetic parameters showed a decreased entropy barrier (ΔS^{\ddagger}) as well as a decreased enthalpic barrier for larger substrates (ΔH^{\ddagger})
- (ΔS^{\ddagger}): Encapsulation of only tightly packed conformations that resembles the TS. Several degrees of freedom lost \Rightarrow decreased entropic barrier
- (Δ H[‡]): Encapsulation of larger substrates forces the substituents closer together. Ground-state energy increased \Rightarrow decreased enthalpic barrier

Fiedler, D.; Bergman, R. G.; Raymond, K. N. *Angew. Chem. Int. Ed.* **2004**, *43*, 6748 Fieldler, D.; van Halbeek, H.; Bergman, R. G.; Raymond, K. N. *J. Am. Chem. Soc.* **2006**, *128*, 10240 Hastings, C. J.; Fieldler, D.; Bergman, R. G.; Raymond, K. N. *J. Am. Chem. Soc.* **2008**, *130*, 10977 Mechanism for [3,3] aza-Cope rearrangement of enammonium substrates



Mechanism for hydrolysis of the iminium product



- Experiment series 2: High pH, varying [NMe₄⁺] from low to high
- Rate of hydrolysis is first-order in [NMe₄⁺]. Saturation @ high [NMe₄⁺]
- Ion association of NMe₄⁺ on the outside facilitates the displacement of iminium into the solution
- Explains the pH dependance when ammonium salt present since OH⁻ only exists in the bulk phase

Acid Catalyzed Reactions



 \blacksquare M₄L₆-complex bind/stabilizes cationic species over neutral \Rightarrow stabilization of cationic TS?

Acid catalyzed reaction = prime candidate. High-energy, monocationic intermediate

- Would widen the scope of transformations to neutral substrates
- Biomimetic approach: In Nature, electrostatic interactions can lead to pK_a shifts up to 5 units due to precise stabilization of charged intermediates via H-bonding networks.

Acid Catalyzed Reactions



- Protonated amines remained encapsulated even when pH were higher than the pK_a of the protonated amine ⇒ encapsulated guest is significantly stabilized by 1
- Fast self-exchange rates confirms thermodynamic encapsulation rather than kinetic
- To determine the magnitude of stabilization, the encapsulation was monitored as a function of pH
- The pK_a of the amine and the binding constant gives the effective basicity of the encapsulated amine
- pK_a shifts with up to 4.5 units, largest ever seen in synthetic hosts

Acid Catalyzed Hydrolysis of Orthoformates and Acetals under Basic Conditions

Hydrolysis of orthoformates

$$HC(OR)_{3} + H_{2}O \xrightarrow{1 \text{ mol}\% 1} pH = 11 \xrightarrow{0} H^{2}OR + 2 ROH \xrightarrow{1} H_{2}O \xrightarrow{0} H^{-} \xrightarrow{0} H^{-$$

. . . .

Quantitative: R = Me, Et, Pr, *i*-Pr, *n*-Bu, *i*-Bu

Pluth, M. D.; Bergman, R. G.; Raymond, K. N. *Science* **2007**, *316*, 85 Pluth, M. D.; Bergman, R. G.; Raymond, K. N. *J. Am. Chem. Soc.* **2008**, *130*, 11423

Hydrolysis of acetals

Quantitative: $R^1 = H$ or C_1H_3 to C_3H_7 and $R^2 = C_1H_3$ to C_6H_{13} $R^1 = H$ and $R^2 = cyclo$ -pentane, hexane *cyclo*-pentanone, hexanone, adamantanone

Pluth, M. D.; Bergman, R. G.; Raymond, K. N. Angew. Chem. Int. Ed. 2007, 46, 8587

Mechanism for hydrolysis of orthoformates



- Step 4: Protonated formate ester is ejected and further hydrolyzed in the solution
- Reaction obeys Michaelis-Menten kinetics in similarities to enzymes with a pre-equilibration step ⇒ subtrate saturation followed by a first-order rate-limiting step (hydrolysis)

Conclusions: M₄L₆ Self-Assembly Hosts

Chiral anionic hosts can be utilized for incapsulation of chiral cationic organometalic complex with moderate to good diastereoselectivity. Examplified with Ir-cat C-H bond activation of aldehydes

Anionic hosts accelerates and catalyzes unimolecular rearrangments of cationic substrates. Examplified with [3,3] aza-Cope rearrangements of allyl enammonium salts

The scope of cation stabilization was further widen to neutral substrate participating in acid-catalyzed processes. Exemplified with acid-catalyzed hydrolysis of orthoformates and acetals in basic media Prof. Makoto Fujita Department of Applied Chemistry University of Tokyo Japan

Chemistry within M_6L_4 Self-Assembly Hosts

The Fujita Group's M₆L₄-Assembly



 \blacksquare M₆L₄ stoichiometry: M = Pd^{II}. L = 2,4,6-tri(pyridin-4-yl)-1,3,5-triazine

Well-defined, self-assembling octahedral

- Possibility for using chiral diamine-Pd^{II} complexes \Rightarrow chiral enantiomerically pure assembly
- I +12 overall charge ⇒ water solubility. Hydrophobic electron deficient ligands ⇒ hydrophobic cavity with strong affinity for electron-rich guests.
- Mediates photo-induces electron transfer for guest to the host due to electron-deficient ligands

First report: Fujita, M.; Oguro, D.; Miyazawa, M.; Oka, H.; Yamaguchi, K.; Ogura, K Nature, 1995, 378, 469

[2+2] Photoadditions



R = H: In solution phase, low yield & syn, anti mixture

- R = H: Quantitative host-guest formation, 100% conversion in 30 min and only *syn*-isomer
- \blacksquare R = Me: No reaction in solution phase
- R = Me: 100% conversion, only syn head-to-tail isomer

Yoshizawa, M.; Takeyama, Y.; Kusukawa, T.; Fujita, M. *Angew. Chem. Int. Ed.* **2002**, *41*, 1347 Takaoka, K.; Kawano, M.; Ozeki, T.; Fujita, M. *Chem. Commun.* **2006**, 1625 Unusual [2+2] & [4+2] Cycloadditions



Nishioka, Y.; Yamaguchi, T.; Yoshizawa, M.; Fujita, M. J. Am. Chem. Soc. 2007, 129, 7000

Asymmetric [2+2] Photoadditions in Chiral Self-Assembled Hosts



Asymmetric synthesis in chiral cavities are relatively unexplored
Difficult to prepared large self-assebly hosts in enantiomerically pure form
M₆L₄-Pd(II) complexes with chiral diamine end-caps are easily prepared

Nishioka, Y.; Yamaguchi, T.; Kawano, M.; Fujita, M. J. Am. Chem. Soc. 2008, 130, 8160

Asymmetric [2+2] Photoadditions in Chiral Self-Assembled Hosts



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- Difficult to prepared large self-assebly hosts in enantiomerically pure form
- M₆L₄-Pd(II) complexes with chiral diamine end-caps are easily prepared
- TMEDA-Pd(II) \Rightarrow co-planar triazine ligands (X-ray)
- Cyclohexane-1,2-diamine-Pd(II) \Rightarrow deformation of triazine ligand leading to chiral cavities Titled up to 17° with *N*-Et (calculations)

Nishioka, Y.; Yamaguchi, T.; Kawano, M.; Fujita, M. J. Am. Chem. Soc. 2008, 130, 8160

Asymmetric [2+2] Photoadditions in Chiral Self-Assembled Hosts



- Remarkable high asymmetric induction considering the remote location of the chiral ligand
- Substituent on N important: N-Et 50% ee, N-Me 20% ee, N-H 5% ee
- Confirms that, like in enzymes, indirect cavity-control by remote chiral ligands can be an important and viable strategy in asymmetric synthesis

Nishioka, Y.; Yamaguchi, T.; Kawano, M.; Fujita, M. J. Am. Chem. Soc. 2008, 130, 8160

Photocyclizations Through Kinetically Unfavored Pathways



Photoreaction of diphenylethanedione in degassed cyclohexane

■ Photoreaction of diphenylethanedione in M₆L₄ self-assebly host



Unprecedented intramolecular photocyclization

Furusawa, T.; Kawano, M.; Fujita, M. Angew. Chem. Int. Ed. 2007, 46, 5717

Photocyclizations Through Kinetically Unfavored Pathways

Proposed mechanism



Furusawa, T.; Kawano, M.; Fujita, M. Angew. Chem. Int. Ed. 2007, 46, 5717

Alkane Photo-Oxidation via Host-Guest Electron Transfer



- Irradiation (>300 nm) of an aqueous sol. of 1:4 host:adamantane complex gives blue color
- Formation of adamantan-1-ol in 24 % yield (1 out of 4 guests are oxidized)
- First one-electron reduction potential of the cage is considerably low ⇒ suggesting a cage-mediated electron transfer

Yoshizawa, M.; Miyagi, S.; Kawano, M.; Ishiguro, K.; Fujita, M. J. Am. Chem. Soc. 2004, 126, 9172

Alkane Photo-Oxidation via Host-Guest Electron Transfer



Step 1: Photochemical excitation of the triazine ligand with a low lying LUMO
Step 2: One-electron transfer from the bridgehead C-H @ adamantane to the ligand
Step 3: Adamantane radical cation dissociated to an adamantane radical and H⁺
Step 4: Radical traps O₂ or H₂O.

Yoshizawa, M.; Miyagi, S.; Kawano, M.; Ishiguro, K.; Fujita, M. J. Am. Chem. Soc. 2004, 126, 9172

Mechanistic Elucidation of Alkane Photo-Oxidation



- \blacksquare X-Ray analysis of H \supset G complex shows very short distances between C-H $\cdots\pi$ -system (triazine)
- Control experiment 1: Host counterion (NO₃⁻ to PF_6^-) or solvent (H₂O to MeCN) = no effect
- Control experiment 2: Exchanging triazine for benzene = no photoreactivity
- Conclusion: Radical should be generated on the ligands.
- ¹⁸O-labeled O₂ or H₂O led to ¹⁸O-incorp. prod \Rightarrow suggests adamantyl radical

Yoshizawa, M.; Miyagi, S.; Kawano, M.; Ishiguro, K.; Fujita, M. J. Am. Chem. Soc. 2004, 126, 9172

Conclusions: M₆L₄ Self-Assembly Hosts

Cationic Pd(II) hosts can encapulate electron-rich aromatic substrates and be used to facilitate unusual and otherwise unfavored cycloadditions by geometrical control leading to TS-like encapulation conformations

The scope was then widen to asymmetric photoadditions using chiral diamine end-caps leading moderate enantioselectivities

Then hosts has also been shown to facilitate kinetically unfavored and unprecedented photochemical cyclization by geometrical control

The cage also mediates photo-induced electron transfer from guest to the electron-poor ligands

Prof. Julius Rebek, Jr The Skaggs Institute for Chemical Biology And Department of Chemistry The Scripps Research Institute

Stabilization and Acceleration of Reactions in Cavitands

The Rebek Group's Open-Ended Cavitand



- The cavitand is a vase-shaped structure built up from a resorcinarene scaffold and widely used in molecular recognition
- The conformation is stabilized by a seam of hydrogen bonds conferred by a cyclic array secondary amides around the rim
- The rim is readily functionalized with reagents such as Kemp's triacid to bind to guests
- Amides makes a polar region within the cavitand \Rightarrow hydrogen bonding with guests
- Benzene rings in the walls \Rightarrow electron-rich π -surface to bound substrates

Organocatalysis In a Synthetic Receptor: Regioselective Opening of Epoxy Alcohols

Brønsted acid catalyzed intramolecular ring-opening of 1,5-epoxy alcohols



Model substrates and reference acid



Shenoy, S. R.; Pinacho Crisóstomo, F. R.; Iwasawa, T.; Rebek, J., Jr. J. Am. Chem. Soc. 2008, 130, 5658

Organocatalysis In a Synthetic Receptor

Epoxide opening within Kemp's triacid-derived cavitand in mesitylene- d_{12}



Shenoy, S. R.; Pinacho Crisóstomo, F. R.; Iwasawa, T.; Rebek, J., Jr. J. Am. Chem. Soc. 2008, 130, 5658

Organocatalysis In a Synthetic Receptor



- Complexation exposes the epoxide to a local high concentration of the acid
- CH- π interactions between π -surface of the host and alkyl groups of the guest induced coiling leading to TS-like conformations
- Multiple CH-*π* interactions with geminal methyl groups @ OH-terminus ⇒ compressed TS giving THF products

Shenoy, S. R.; Pinacho Crisóstomo, F. R.; Iwasawa, T.; Rebek, J., Jr. J. Am. Chem. Soc. 2008, 130, 5658

Conclusions: Self-Assembly Open-Ended Cavitands

- The resorcinarene-based cavitands are held together by a seam of H-bonding through a cyclic array of secondary amides situated on the rim
- The rim is readily functionalized to incorporated binding sites for substrates with reagent such as Kemp's triacid
- Accelerates and catalyzes Brønsted acid mediated reactions. Exemplified with regioselective opening of 1,5-epoxy alcohols

Prof. Alan E. Rowan Prof. Roeland J. M. Nolte Institute for Molecules and Materials Radboud University, Nijmegen The Netherlands

Bio-Inspired Supramolecular Catalysis

Development of Processive Enzyme Mimics using Cavity-Containing Catalysts



- Processive enzymes: Plays an essential role in DNA synthesis and degradation
- DNA polymerase operate by threading the biopolymer through the catalyst cavity
- After threading several rounds of catalysis occurs before the enzyme (catalyst) dissociates

Epoxidation of Stilbene using Mn(III) Porphyrin-Based Hosts



H₂1: M = 2H Zn1: M = Zn(II) Mn1: M = Mn(III)CI

Cavity-containing catalyst with porphyrin "roof"

Cavity: ca. 9 Å in diameter suitible to complex small aromatic guests

Complexed by electrostatic and $\pi - \pi$ stacking interactions (K_a = 10⁵-10⁶ M^{-1,} MeCN/CHCl₃) Ex: Pyridine, K_a = 1.1*10⁵ M⁻¹ (cavity Zn-porphyrin), K_a = 1000 M⁻¹ (Zn-porphyrin)

Elemans, J. A. A. W.; Claase, M. B.; Aarts, P. P. M.; Rowan, A. E.; Schenning, A. P. H. J.; Nolte, R. J. M. *J. Org. Chem.* **1999**, *64*, 7009

Epoxidation of Stilbene using Mn(III) Porphyrin-Based Hosts



Pyridine replaced with t-Bu-Pyr: Bulky ligand that coordinates axially on the outside

- Catalyst decomposition completely supressed
- Catalysis occurs inside the cavity with impact on the stereochemistry
- Rate of *trans*-stilbene epoxidation twice as high as *cis* due to sterical hinderance

Elemans, J. A. A. W.; Bijsterveld, E. J. A.; Rowan, A. E.; Nolte, R. J. M. Chem. Commun. 2000, 2443

Mn(III) Porphyrin-Based Hosts as Processive Enzyme Mimics



Reaction performed in CHCl₃ with PhIO as stoichiometric oxidant
Polybutadiene (Mw = 300000, >98% cis) used as substrate
Polyepoxide product (80% *trans*), catalyst turnover 140/h (cavity-catalyst)
Polyepoxide product (78% *cis*), Mn(III)-porphyrin referense catalyst

Thordardson, P.; Bijsterveld, E. J. A.; Rowan, A. E.; Nolte, R. J. M. Nature, 2003, 424, 915

Investigation of the Threading Mechanism



Polytetrahydrofuran viologen (fluorescence quencher) traps used to investigate the threading mechanism

Coumans, R. G. E.; Elemans, J. A. A. W.; Nolte, R. J. M.; Rowan, A. E. *Proc. Natl. Acad. Sci. U.S.A.* **2006**, *103*, 19647

Investigation of the Threading Mechanism



Polytetrahydrofuran viologen (fluorescence quencher) traps used to investigate the threading mechanism

Monitoring quenching/time showed: Second-order kinetics for threading and first-order for de-threading (fast dilution). Rate decreased when polymer length increased

Coumans, R. G. E.; Elemans, J. A. A. W.; Nolte, R. J. M.; Rowan, A. E. *Proc. Natl. Acad. Sci. U.S.A.* **2006**, *103*, 19647

Investigation of the Threading Mechanism



- Polytetrahydrofuran viologen (fluorescence quencher) traps used to investigate the threading mechanism
- Monitoring quenching/time showed: Second-order kinetics for threading and first-order for de-threading (fast dilution). Rate decreased when polymer length increased
- Evidence for threading obtained using MALDI-TOF (1:1 complex), ¹H-NMR: -2.29 to -4.25 ppm complexation induced shifts for aromatic viologen peaks due to complexation
 - Coumans, R. G. E.; Elemans, J. A. A. W.; Nolte, R. J. M.; Rowan, A. E. *Proc. Natl. Acad. Sci. U.S.A.* **2006**, *103*, 19647

Conclusions: Processive Enzyme Mimics

- Cavity-containing Mn(III) porphyrin catalyst has been developed for *in-cavity* epoxidation of stilbene by activation using bulky axially-coordinating *t*-Bu-pyridine ligands
- Successfully used as a processive enzyme mimic for the epoxidation of polybutadiene by threading
- Extensive elucidation of threading kinetics using fluorescence quenching & MALDI-TOF mass spectroscopy & ¹H-NMR spectroscopic analysis of host-guest complexes confirms threading of only one host

Summary and Outlook: Supramolecular Catalysis Within Self-Assembly Hosts

- Supramolecular enzymes models are always smaller and simpler than their biological counterparts but it is not necessarily a drawback
- Using simpler systems can open for the possibility to estimate the relative importance of different factor contributing to catalysis
- Synthetic model are also easily manipulated in a systematic fashion which is more difficult in biological systems
- Encapulation has in recent years lead to the discovery of several unprecedented reaction pathways and clearly shows that otherwise unfavored pathways are possible
- The use of chiral, enantiomerically pure self-assembly hosts is still a largely unexplored area which can lead to the development of several new interesting reactions. For example with Fujita's chiral M₆L₄ complexes
- The development of more general processive enzyme mimics can open up for the possibility of rapid post-polymerization functionalization of polymers and biopolymers