

Aldehyde Coupling Reactions



Enantioselective Organocatalytic Direct Aldol Reactions of  $\alpha$ -Oxyaldehydes: Step One in a Two-Step Synthesis of Carbohydrates\*\*

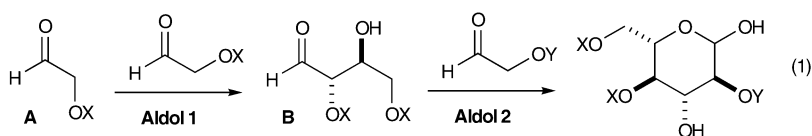
Alan B. Northrup, Ian K. Mangion, Frank Hettche, and David W. C. MacMillan\*

The growing study of glycobiology<sup>[1]</sup> has led to an increased focus upon carbohydrate architecture<sup>[2]</sup> as an important platform for reaction design and methodological advancement.<sup>[3]</sup> Application of the aldol reaction<sup>[4]</sup> to the synthesis of carbohydrates is well-documented;<sup>[5]</sup> however, the attendant need for protection-group manipulations and oxidation-state adjustments has thus far precluded a broadly utilizable protocol. Intriguingly, a highly expedient two-step carbohydrate synthesis can be envisioned based on an iterative aldol sequence using simple  $\alpha$ -oxyaldehydes [Eq. (1)]. While attractive in theory, the practical execution of this carbohydrate strategy would require the invention of two new aldol technologies: a) an enantioselective

aldol union of  $\alpha$ -oxyaldehyde substrates (Aldol step 1) and b) a diastereoselective aldol coupling between tri-oxy substituted butanals and an  $\alpha$ -oxyaldehyde enolate (Aldol step 2). Herein we report the successful development of the first enantioselective organocatalytic coupling of an  $\alpha$ -oxyaldehyde (Aldol step 1). This new aldol reaction provides an operationally simple protocol for the stereocontrolled production of polyol architectures and sets the stage for a two-step enantioselective carbohydrate synthesis.<sup>[6]</sup>

The development of a direct, enantioselective catalytic aldol reaction between  $\alpha$ -oxyaldehyde substrates (Aldol step 1) is dependent upon three key issues of chemical selectivity.<sup>[7]</sup> In addition to the traditional requirements of absolute and relative stereocontrol comes the chemoselective constraint that the  $\alpha$ -oxyaldehyde reagent **A** must readily participate as both a nucleophilic and electrophilic coupling partner while the  $\alpha$ -oxyaldehyde product **B** must be inert to in situ enolization or carbonyl addition [Eq. (1)]. Recently,

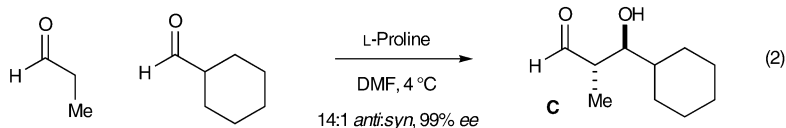
Two-Step Carbohydrate Synthesis: Iterative Aldehyde Aldol



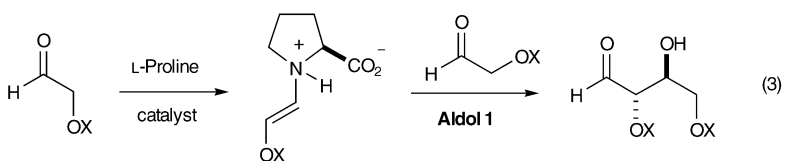
Aldol 1 requires  $\alpha$ -oxyaldehyde **A** (reagent) is reactive in aldol union

Aldol 1 requires  $\alpha$ -oxyaldehyde **B** (product) is nonreactive in aldol union

Proline-Catalyzed Cross Aldehyde – Aldol Addition



Organocatalytic Aldol 1: Enantioselective  $\alpha$ -Oxyaldehyde Coupling



we disclosed an organocatalytic strategy for the highly regioselective, diastereoselective, and enantioselective aldol cross-coupling of  $\alpha$ -alkyl-bearing aldehydes [Eq. (2)].<sup>[8]</sup> An important feature of this transformation is that the enantioenriched aldehyde products **C** do not participate in further aldol reactions (by either enamine formation or carbonyl addition). With this in mind, we hoped that such remarkable catalyst-controlled stereo- and chemoselectivity might be extended to the union of  $\alpha$ -oxygenated aldehydes [Eq. (3)], thereby allowing the first step in a two-step carbohydrate synthesis to occur [Eq. 1].

Our enantioselective organocatalytic  $\alpha$ -oxyaldehyde coupling was first examined using L-Proline (10 mol %) and a

[\*] A. B. Northrup, I. K. Mangion, F. Hettche, Prof. D. W. C. MacMillan  
Division of Chemistry and Chemical Engineering  
California Institute of Technology  
1200 E. California Blvd., MC 164–30, Pasadena CA 91125 (USA)  
Fax: (+1) 626-795-3658  
E-mail: dmacmill@caltech.edu

[\*\*] The authors wish to thank Amgen, AstraZeneca, Bristol–Myers Squibb, Johnson and Johnson, Eli Lilly, and Merck Research Laboratories for financial support. F.H. is grateful for a DFG post-doctoral fellowship. A.B.N. and I.K.M. are grateful for NSF predoctoral fellowships.

Supporting information for this article is available on the WWW under <http://www.angewandte.org> or from the author.

variety of glycoaldehyde substrates (Table 1). Preliminary studies revealed that the proposed enantioselective aldol union is indeed possible, however, the electronic nature of the oxaldehyde substituent has a pronounced effect on the overall efficacy of the process. For example, substrates that possess an electron-withdrawing substituent, such as  $\alpha$ -acetoxyacetaldehyde **1a**, do not participate in this transformation, while aldehydes bearing relatively electron-rich oxyalkyl groups provide useful levels of enantiocontrol and reaction efficiency (entry 2, R = Bn, 73% yield, 98% *ee*; entry 3, R = PMB, 85% yield, 97% *ee*). Moreover, aldehydes bearing bulky  $\alpha$ -silyloxy substituents can be readily utilized (entry 5, R = TBDPS, 61% yield, 96% *ee*; entry 7, PG = TBS, 50% yield, 88% *ee*), with the TIPS-protected glycoaldehyde (entry 6) affording exceptional reaction efficiency (92%), enantioselectivity (95% *ee*), and a readily separable 4:1 mixture of *anti* and *syn* diastereomers. It should be noted that all of the dimeric aldol adducts shown in Table 1 constitute protected forms of the naturally occurring sugar erythrose, a chiral synthon of established utility.<sup>[9]</sup> More importantly, the  $\alpha$ -oxaldehyde products of this new aldol protocol are apparently inert to further proline-catalyzed enolization or enamine addition, a central requirement for the proposed two-step iterative-aldol carbohydrate synthesis [Eq. (1)].<sup>[10]</sup>

We next examined the ability of proline to catalyze the enantioselective cross-coupling of  $\alpha$ -oxy- and  $\alpha$ -alkyl-substituted aldehydes (Table 2). The principal issue in this reaction is that the nonequivalent aldehydes must selectively partition into two discrete components, a nucleophilic donor and an electrophilic acceptor. Given that most  $\alpha$ -oxy- and  $\alpha$ -alkyl aldehydes bear enolizable protons, we anticipated that such catalyst-controlled substrate partitioning would be mechanistically unfavorable. Remarkably, however the glycoaldehyde invariably acts as the electrophile in the presence of alkyl aldehydes that contain  $\alpha$ -methylene protons (entries 1–4, 94–99% *ee*). Surprisingly, even the sterically demanding isovaleraldehyde assumes the role of nucleophile when exposed to proline and  $\alpha$ -benzyloxyacetaldehyde or  $\alpha$ -silyloxyacetaldehyde (entries 3 and 4). However, both triisopropylsilyl- and benzyl-protected oxaldehydes can function as aldol donors in the presence of aldehydes that do not

**Table 1:** Organocatalytic aldol dimerization of  $\alpha$ -oxaldehydes.

Entry	Product	Solvent	Yield [%]	<i>anti:syn</i>	<i>ee</i> [%] <sup>[a],[b]</sup>
1		DMF	0	–	–
2		DMF	73	4:1	98
3		DMF	64	4:1	97
4		DMF	42	4:1	96
5		DMF/dioxane	61	9:1	96 <sup>[c]</sup>
6		DMSO	92	4:1	95
7		dioxane	62	3:1	88 <sup>[c]</sup>

[a] Absolute and relative stereochemistry assigned by chemical correlation. [b] Determined by chiral HPLC. [c] Using 20 mol% catalyst. Bn = benzyl, PMB = *para*-methoxybenzyl, MOM = methoxymethyl, TBDPS = *tert*-butyldiphenylsilyl, TIPS = triisopropylsilyl, TBS = *tert*-butyldimethylsilyl.

**Table 2:** Cross-aldol reactions with protected glycoaldehydes.

Entry	$\alpha$ -alkyl	Aldehyde OX	Product	Yield [%]	<i>anti:syn</i>	<i>ee</i> [%] <sup>[a],[b]</sup>
1		OTIPS acceptor		75	4:1	99
2	donor	OTBDPS acceptor		84	5:1	99 <sup>[c]</sup>
3		OTIPS acceptor		54	4:1	99
4	donor	OBn acceptor		64	4:1	94
5		OTIPS donor		43	8:1	99
6	acceptor	OBn donor		33	7:1	96

[a] Absolute and relative stereochemistry assigned by chemical correlation. [b] Determined by chiral HPLC. [c] Determined by Mosher ester analysis.

readily participate in enamine formation (entries 5 and 6,  $\geq 33\%$  yield  $\geq 7:1$  *anti:syn*, 96–99% *ee*). It should be noted, however, that significant quantities of the homodimers **2f** and **2b** were generated in these respective cases.

These organocatalytic results stand in marked contrast to metal-mediated direct aldol technologies<sup>[11]</sup> where the increased acidity and nucleophilicity afforded by  $\alpha$ -oxygenated aldol donors greatly enhances their effectiveness relative to their all-alkyl counterparts. We are currently investigating the mechanistic origins of such divergent reactivity between metal and organic catalysts in aldol reactions with  $\alpha$ -oxygenated substrates.

In summary, we have documented the first direct enantioselective catalytic aldol reaction using  $\alpha$ -oxygenated aldehydes as both the aldol donor and the aldol acceptor. Significantly, this method allows direct and enantioselective access to differentially protected polyols and monoprotected *anti*-1,2 diols. A full account of these studies will be presented in due course.

Received: January 9, 2004 [Z53716]

Published Online: March 22, 2004

**Keywords:** aldehydes · aldol reaction · carbohydrates · enantioselectivity · homogeneous catalysis

- [1] a) *Glycoscience: Chemistry and Chemical Biology I-III* (Eds.: B. Fraser-Reid, K. Tatsuta, J. Thiem), Springer, **2001**; b) *Glycochemistry: Principles, Synthesis, and Applications* (Eds.: P. Wang, C. Bertozzi), Marcel Dekker, **2001**.
- [2] While the term carbohydrate can be applied to many hydrated forms of carbon structure, we employ this terminology in the more commonly used and specific sense to describe hexose architecture.
- [3] a) K. M. Koeller, C.-H. Wong, *Chem. Rev.* **2000**, *100*, 4465; b) K. C. Nicolaou, H. J. Mitchel, *Angew. Chem.* **2001**, *113*, 1624; *Angew. Chem. Int. Ed.* **2001**, *40*, 1576.
- [4] For some reviews of the aldol reaction, see: a) B. Alcaide, P. Almendros, *Eur. J. Org. Chem.* **2002**, *10*, 1595; b) T. D. Machajewski, C.-H. Wong, *Angew. Chem.* **2000**, *112*, 1406; *Angew. Chem. Int. Ed.* **2000**, *39*, 1352; c) R. Mahrwald, *Chem. Rev.* **1999**, *99*, 1095; d) D. A. Evans, J. V. Nelson, T. Taber in *Topics in Stereochemistry, Vol. 13*, Wiley, **1982**, p. 1.
- [5] For recent examples of aldol reactions in the syntheses of carbohydrates, see: a) D. A. Evans, E. Hu, J. S. Tedrow, *Org. Lett.* **2001**, *3*, 3133; b) S. G. Davies, R. L. Nicholson, A. D. Smith, *Synlett* **2002**, *10*, 1637; c) M. P. Sibi, J. Lu, J. Edwards, *J. Org. Chem.* **1997**, *62*, 5864; d) for a review of aldolase enzymes in carbohydrate synthesis, see: S. Takayama, G. J. McGarvey, C.-H. Wong, *Chem. Soc. Rev.* **1997**, *26*, 407.
- [6] A two-step carbohydrate synthesis has recently been accomplished in our laboratories. Details of this work will be published at a later date.
- [7] For examples of enamine-catalyzed aldol reactions between  $\alpha$ -oxyketones and -aldehydes, see: a) W. Noltz, B. List, *J. Am. Chem. Soc.* **2000**, *122*, 7386; b) K. Sakhivel, W. Notz, T. Bui, C. F. Barbas III, *J. Am. Chem. Soc.* **2001**, *123*, 5260.
- [8] A. B. Northrup, D. W. C. MacMillan, *J. Am. Chem. Soc.* **2002**, *124*, 6798.
- [9] For uses of erythrose in synthesis, see: a) W. H. Pearson, E. J. Hembre, *J. Org. Chem.* **1996**, *61*, 7217; b) M. Ruiz, V. Ojea, J. M. Quintela, *Synlett* **1999**, *2*, 204; c) J. G. Buchanan, A. R. Edgar, B. D. Hewitt, *J. Chem. Soc. Perkin Trans. 1* **1987**, 2371.
- [10] A proline-catalyzed trimerization of propionaldehyde to form nearly racemic tetrahydropyrans in good diastereoselectivities with low yields has been reported: N. S. Chowdari, D. B. Ramachary, A. Cordova, C. F. Barbas III, *Tetrahedron Lett.* **2002**, *43*, 9591.
- [11] For examples of metal-mediated direct aldol reactions see: a) Y. M. A. Yamada, N. Yoshikawa, H. Sasai, M. Shibasaki, *Angew. Chem.* **1997**, *109*, 1290; *Angew. Chem. Int. Ed. Engl.* **1997**, *36*, 1871; b) N. Yoshikawa, N. Kumagai, S. Matsunaga, G. Moll, T. Oshima, T. Suzuki, M. Shibasaki, *J. Am. Chem. Soc.* **2001**, *123*, 2466; c) N. Kumagai, S. Matsunaga, N. Yoshikawa, T. Oshima, M. Shibasaki, *Org. Lett.* **2001**, *3*, 1539; d) B. M. Trost, H. Ito, *J. Am. Chem. Soc.* **2000**, *122*, 12003; e) B. M. Trost, E. R. Silcoff, H. Ito, *Org. Lett.* **2001**, *3*, 2497; f) D. A. Evans, J. S. Tedrow, J. T. Shaw, C. W. Downey, *J. Am. Chem. Soc.* **2002**, *124*, 392; g) G. Lalic, A. Aloise, M. Shair, *J. Am. Chem. Soc.* **2003**, *125*, 2852.