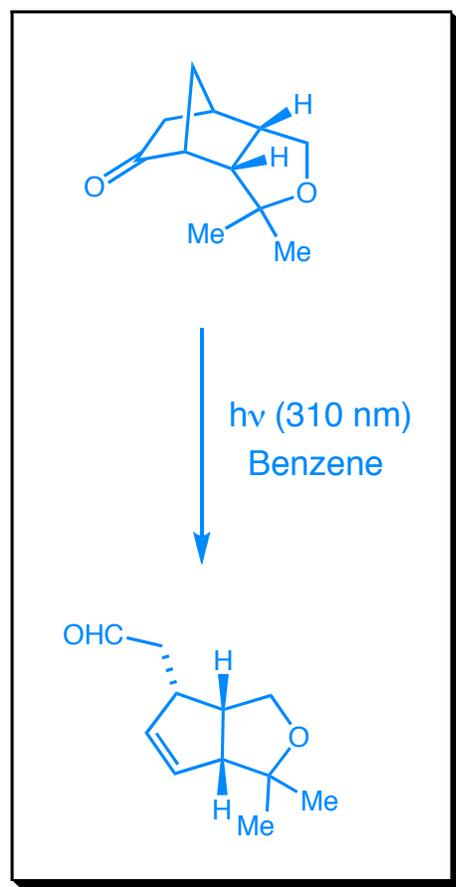


Physical Organic Photochemistry and Basic Photochemical Transformations



Group Meeting

Jan 26th 2011

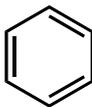
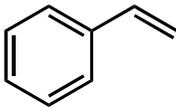
Scott Simonovich

Electromagnetic Radiation

	λ (nm)	E (kcal/mol)
UV	200	143
	300	95
Vis	400	72
	500	57
	600	48
	700	41
IR	1,000	29
	5,000	6
	10,000	3
μ W	10^7	3×10^{-2}
	10^9	3×10^{-4}
Radio	10^{11}	3×10^{-6}

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Group	λ (nm)
C=C	180
C=C-C=C	220
	260
	282
C=O	280
C=C-C=O	350

Electromagnetic Radiation

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BDE (kcal/mol)	
C—C	83
O—O	35
C—H	99
O—H	111
C—N	73
C—O	86
C=C	146
C=O	180

X-rays and gamma-rays lead to ionization

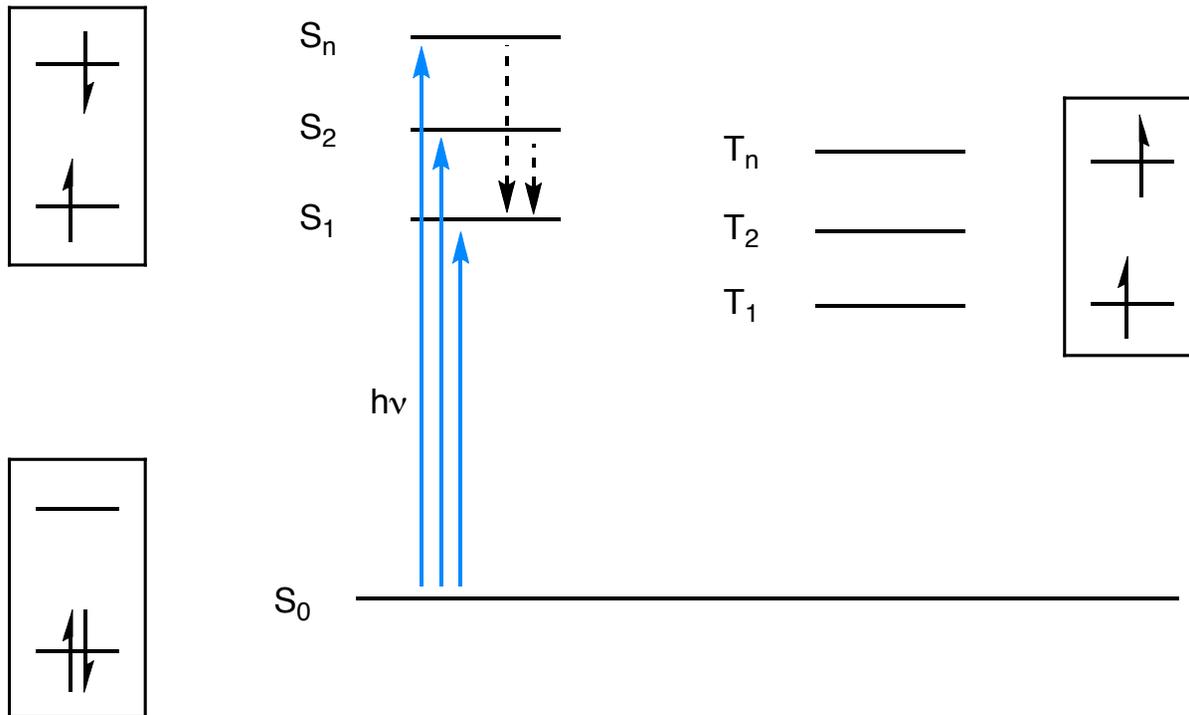
UV/Vis for electronic absorption

IR for nuclear vibrational motion (IR)

μ W for electron spin precession (EPR)

Radio for nuclear spin precession (NMR)

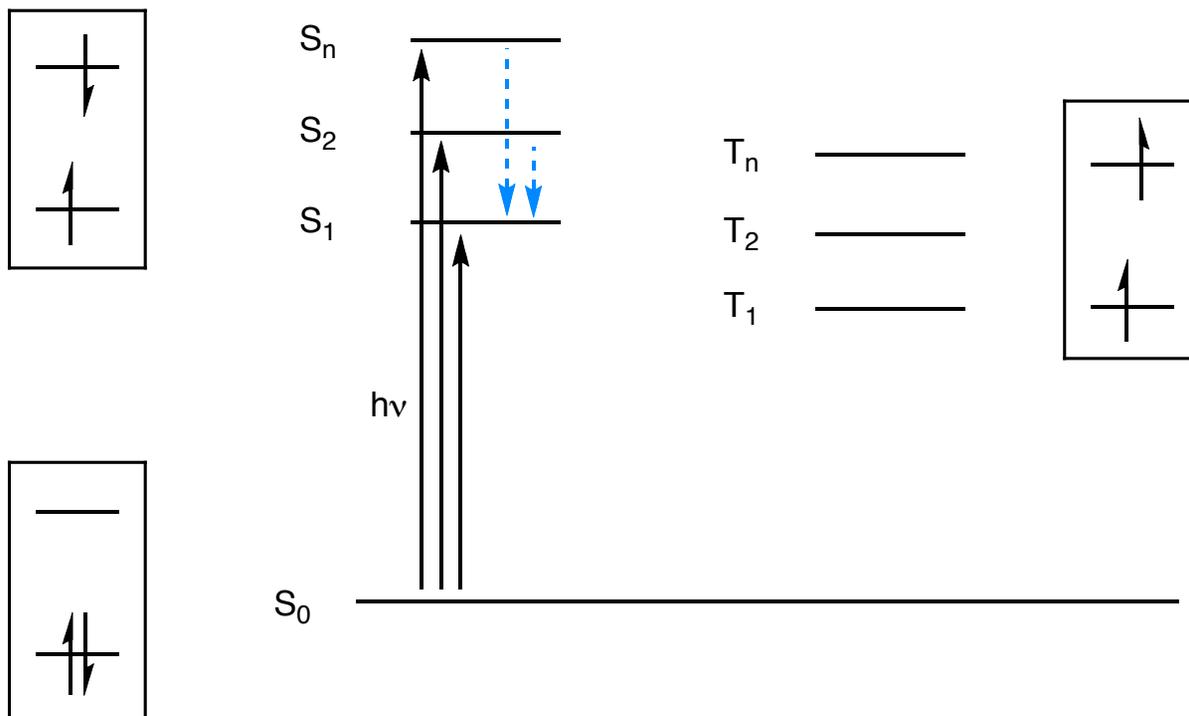
Jablonski Diagram



Stark-Einstein law - molecule will absorb light to excite a single electron and energy of light must match difference between S_0 and S^*

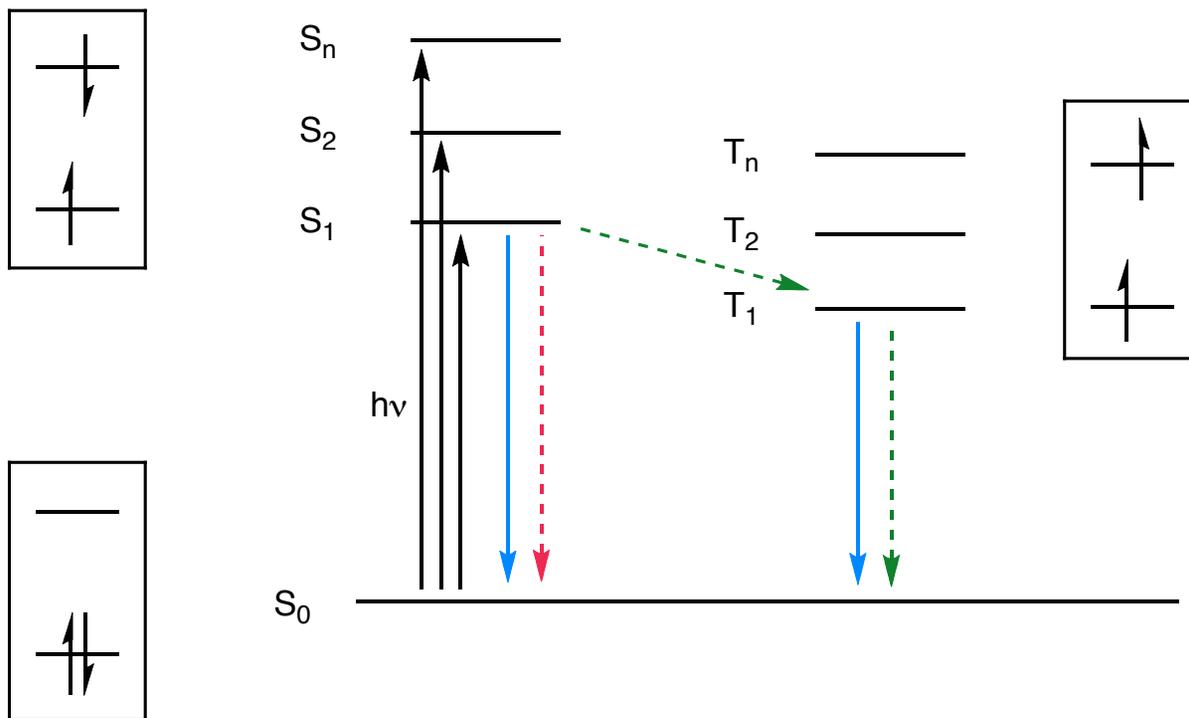
- High probability transitions usually occur with conservation of spin

Jablonski Diagram



Kasha's rule - relaxation to S_1 is very rapid compared to other processes

Jablonski Diagram

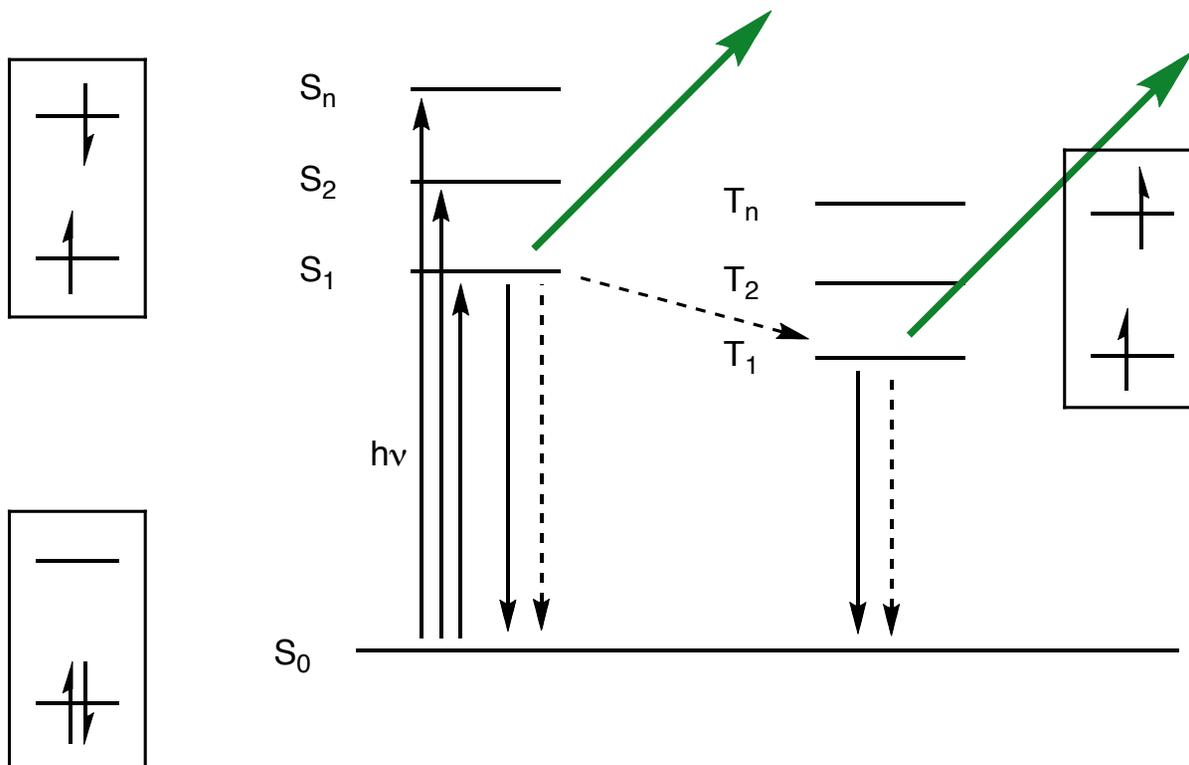


Radiative decay to ground state (fluorescence or phosphorescence)

Non-radiative decay (heat or energy transfer)

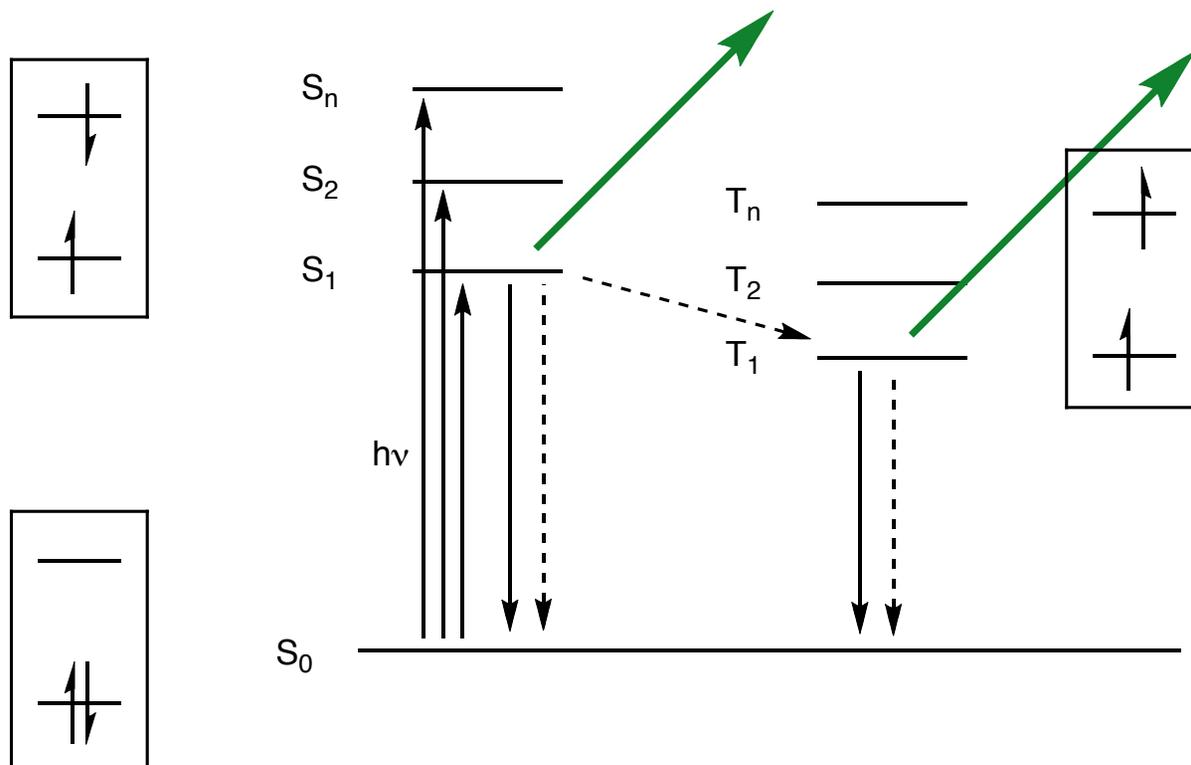
Intersystem crossing to different manifold

Jablonski Diagram



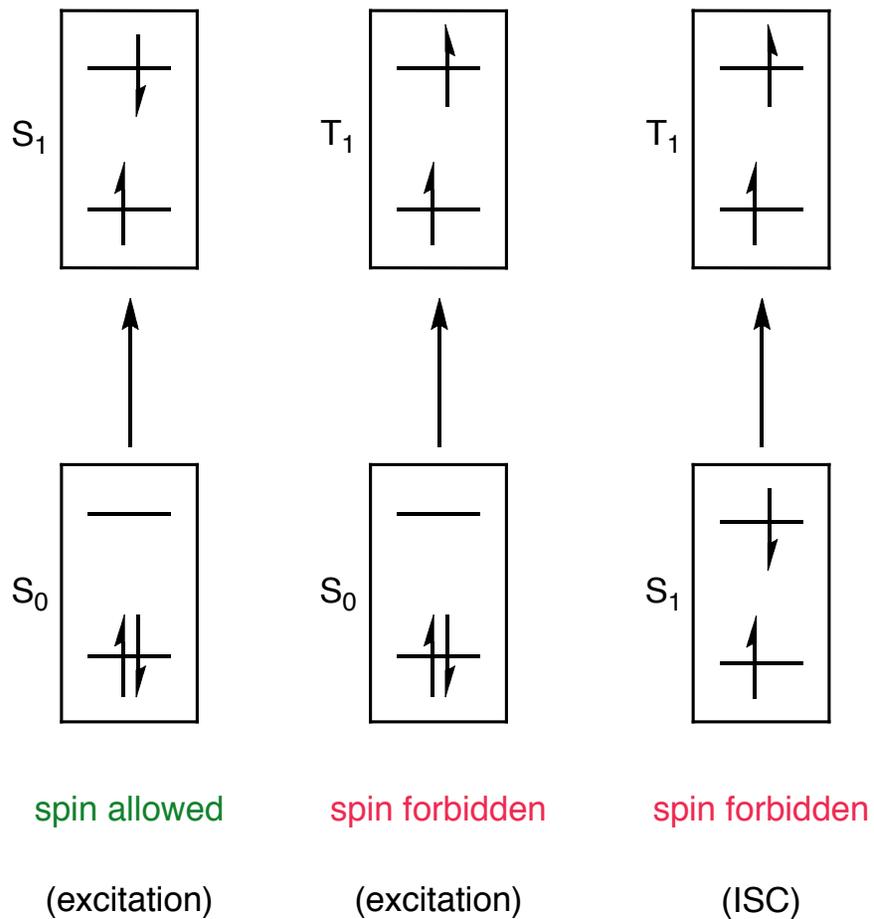
- Photochemistry occurs from S_1 (infrequent) or T_1 states
- Photochemistry must compete with photophysical processes

Jablonski Diagram



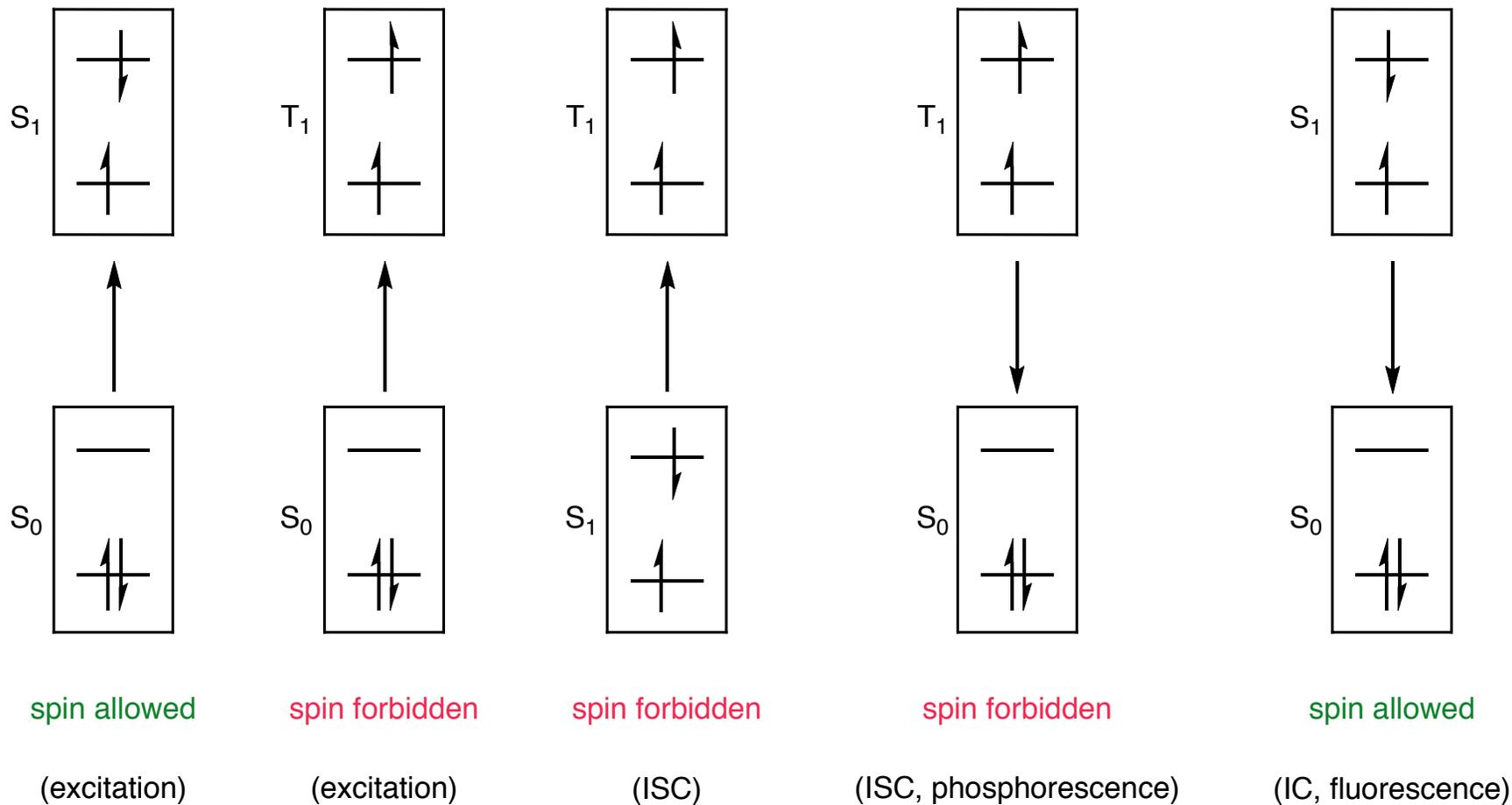
- Photochemistry occurs from S_1 (infrequent) or T_1 states
- Photochemistry must compete with photophysical processes
- If excited state is short-lived, there will be less time for chemistry
- Photoreaction must generally have E_a less than 20 kcal/mol
- S_1 reactions will not outcompete fluorescence if E_a is above 10 kcal/mol

Allowed and Forbidden Processes



- Spin forbidden transitions alter electron spin angular momentum
- Triplet states tend to be more photochemically active b/c they are long-lived

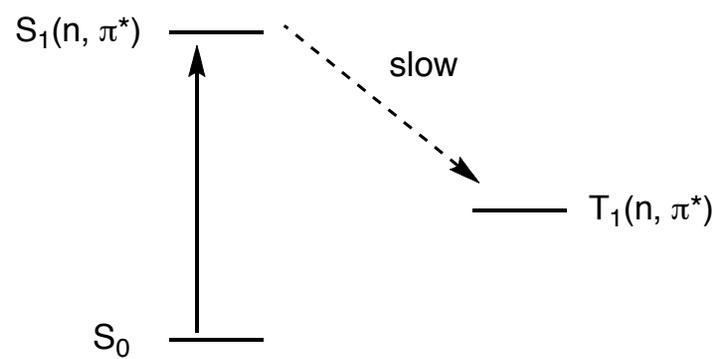
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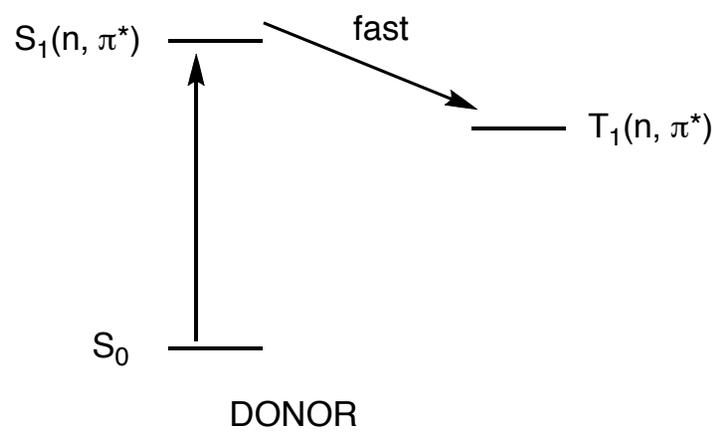
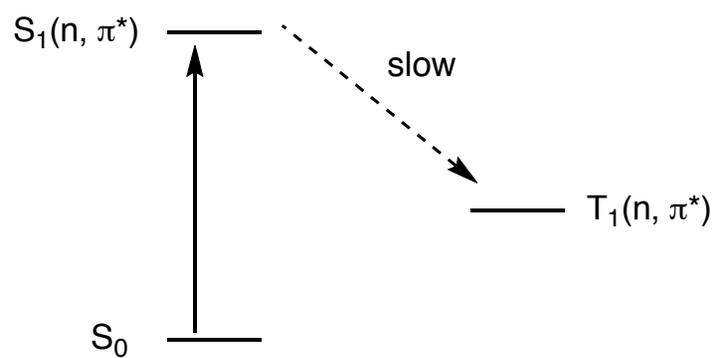
Bimolecular Photophysical Processes

- How could one access T_1 exclusively?



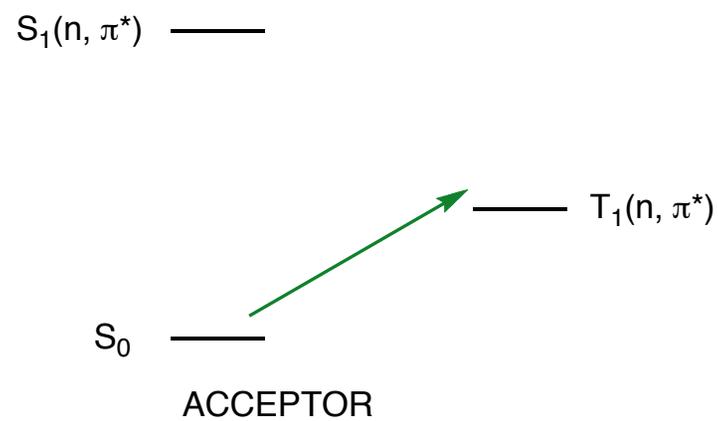
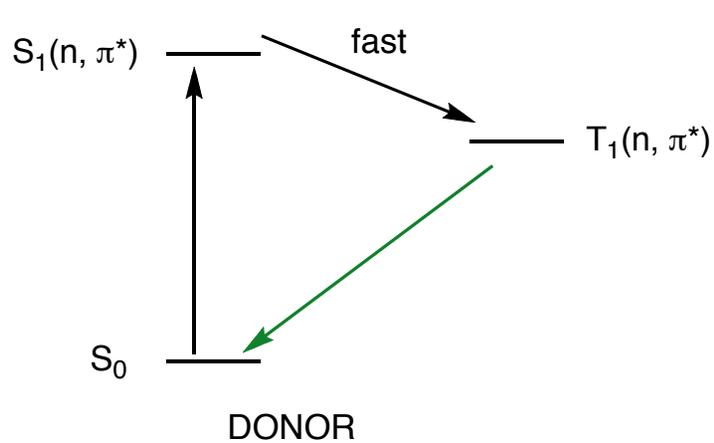
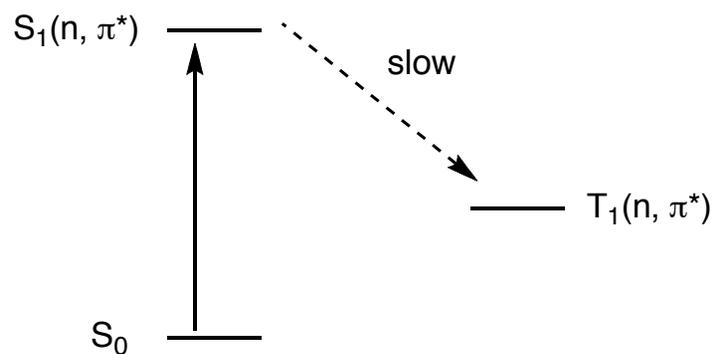
Bimolecular Photophysical Processes

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Bimolecular Photophysical Processes

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Sensitization



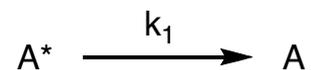
sensitizer	triplet energy (kcal/mol)
acetophenone	73.6
benzophenone	68.5
anthraquinone	62.2
biacetyl	54.9

- Sensitizer should absorb at a different wavelength than acceptor molecule
- Triplet energy of sensitizer must be greater than that of acceptor
- Two mechanisms for photosensitization

Bimolecular Photophysical Processes

■ Quenching requires close contact between A* and Q

■ Olefins and dienes are often used as quenchers



$$\boxed{1/\tau_2 = 1/\tau_1 + k_q[Q]}$$

τ_1 = lifetime of A* without Q

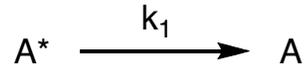
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■ Stern-Volmer quenching kinetics

■ Description of quenching efficiency

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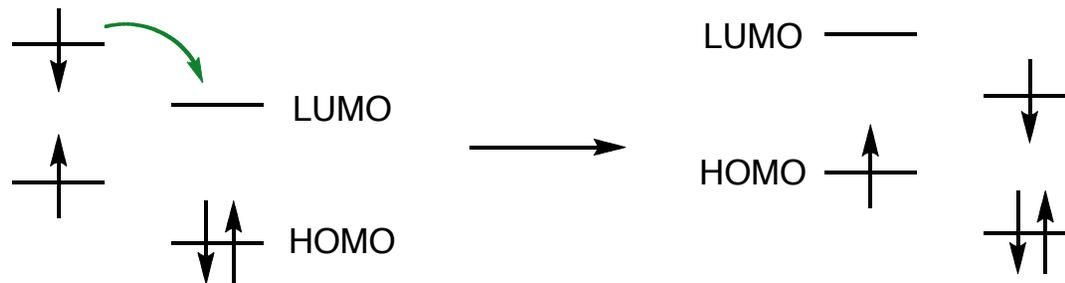
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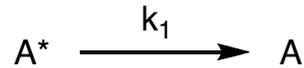
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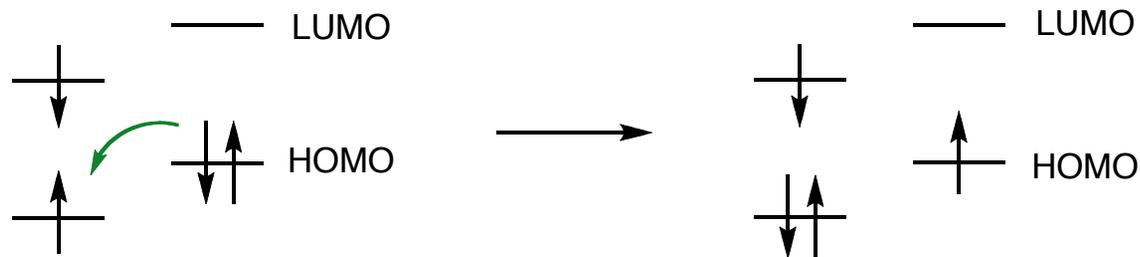
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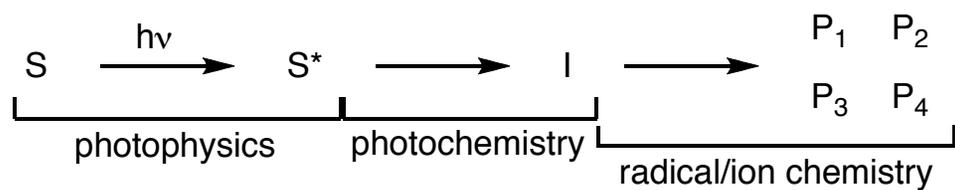
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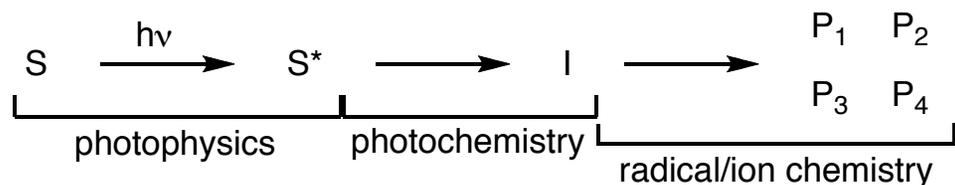


Photochemistry



$$\Phi = \text{quantum yield} = \frac{\text{\# molecules that undergo a process}}{\text{\# of photons absorbed by starting material}}$$

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- Photophysical rates must provide an excited species that persists long enough for photochemistry to occur

Rate of photochemistry from S₁ must outcompete fluorescence

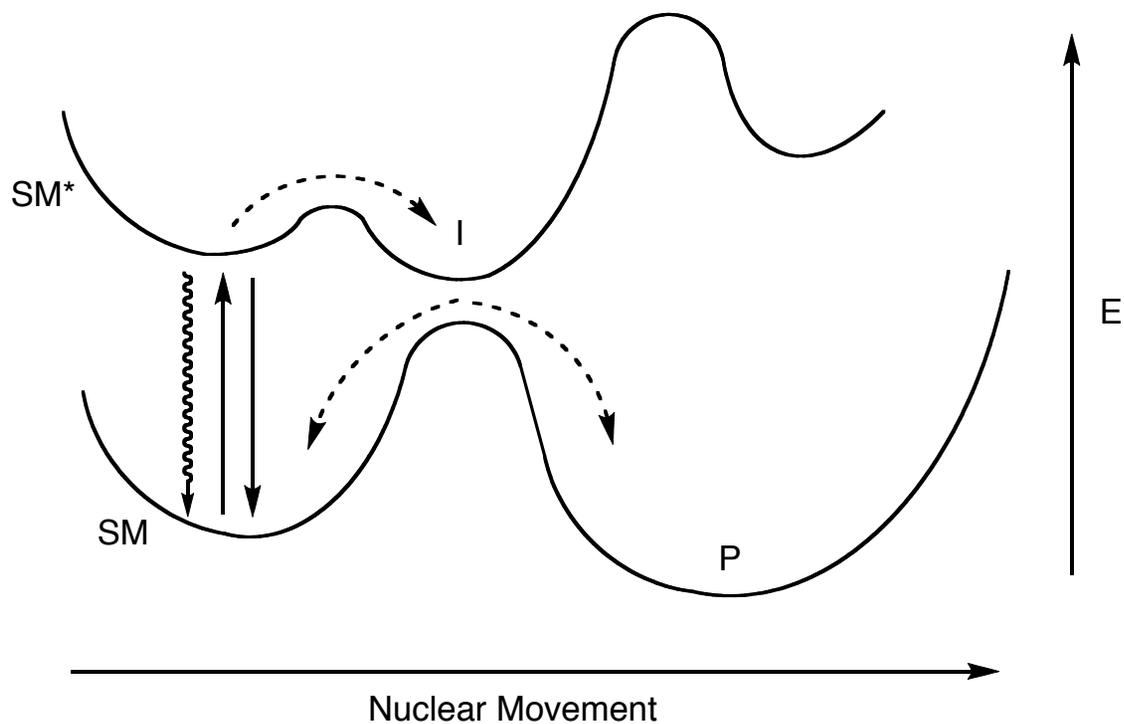
Phosphorescence from T₁ to S₀ must be slow compared to photochemistry

- Two or three energy surfaces are involved due to excited states

- Three types of photochemical reaction diagrams

Photochemistry

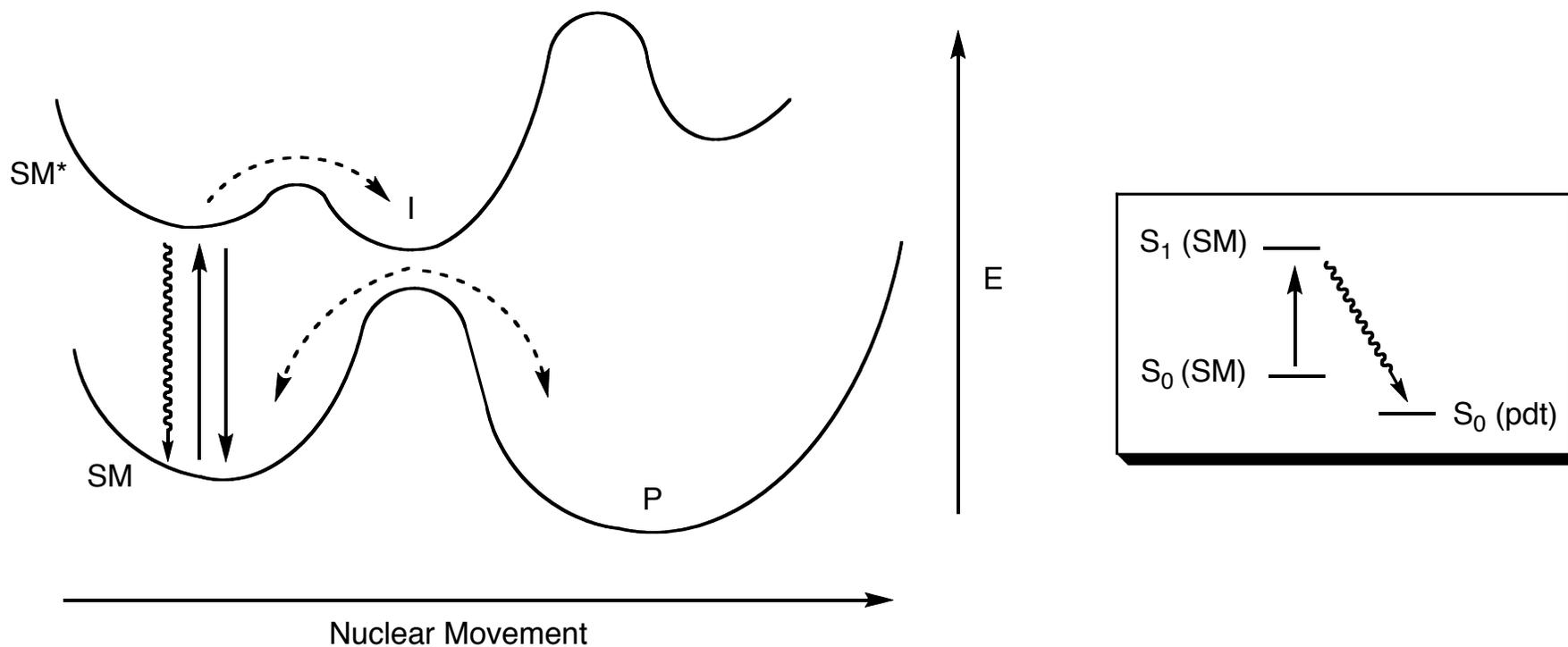
Diabatic photoreaction



- Small gap between surfaces promotes crossing
- Minimum on upper surface matches maximum on ground state surface
- Frequent return back to SM results in low quantum yield

Photochemistry

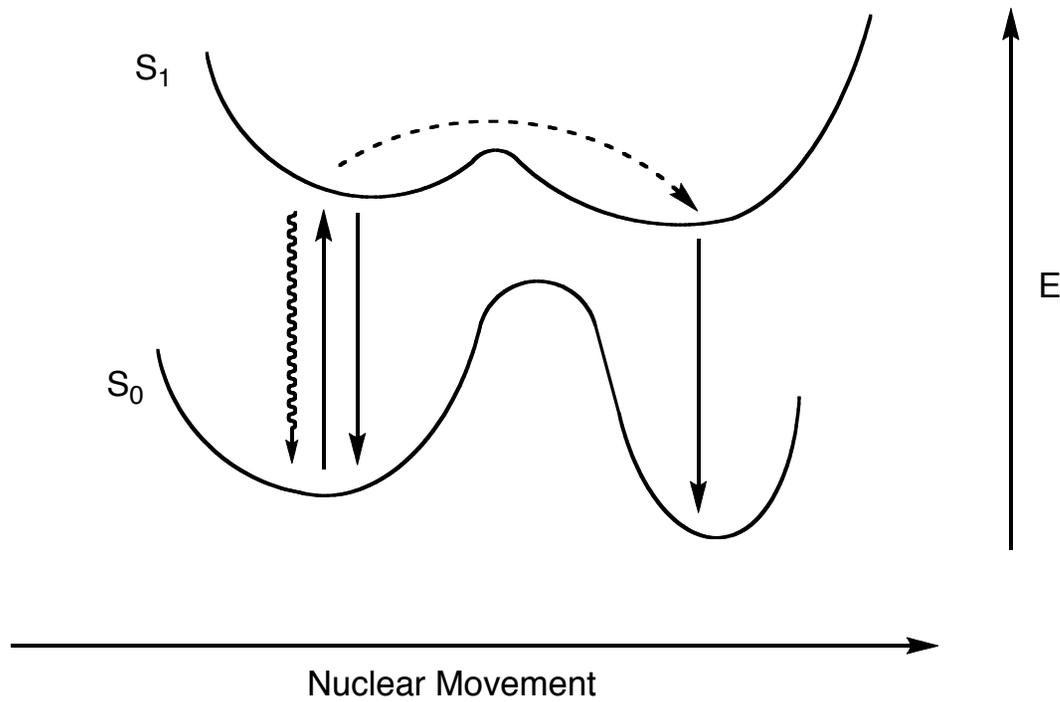
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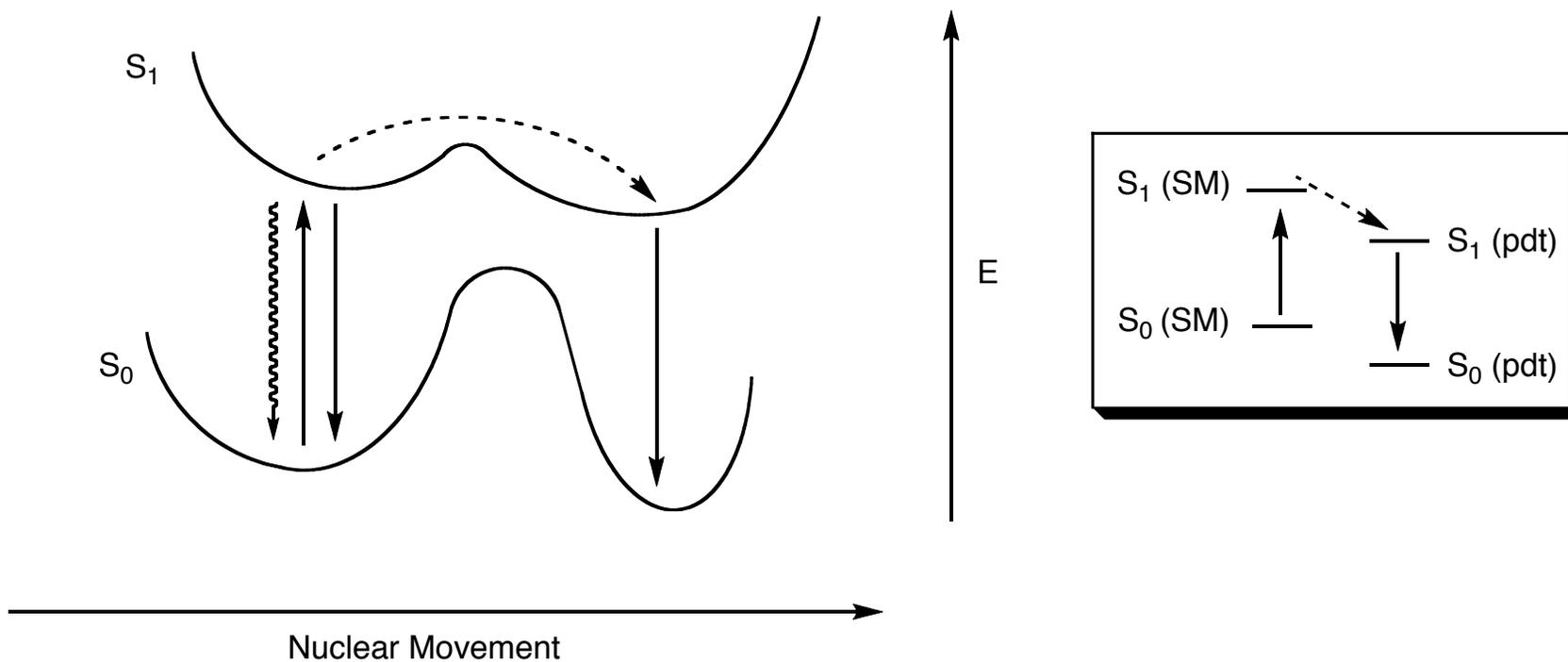
Adiabatic photoreaction



- Transition to excited state, which has smaller energy barrier
- Initially generates product in excited state

Photochemistry

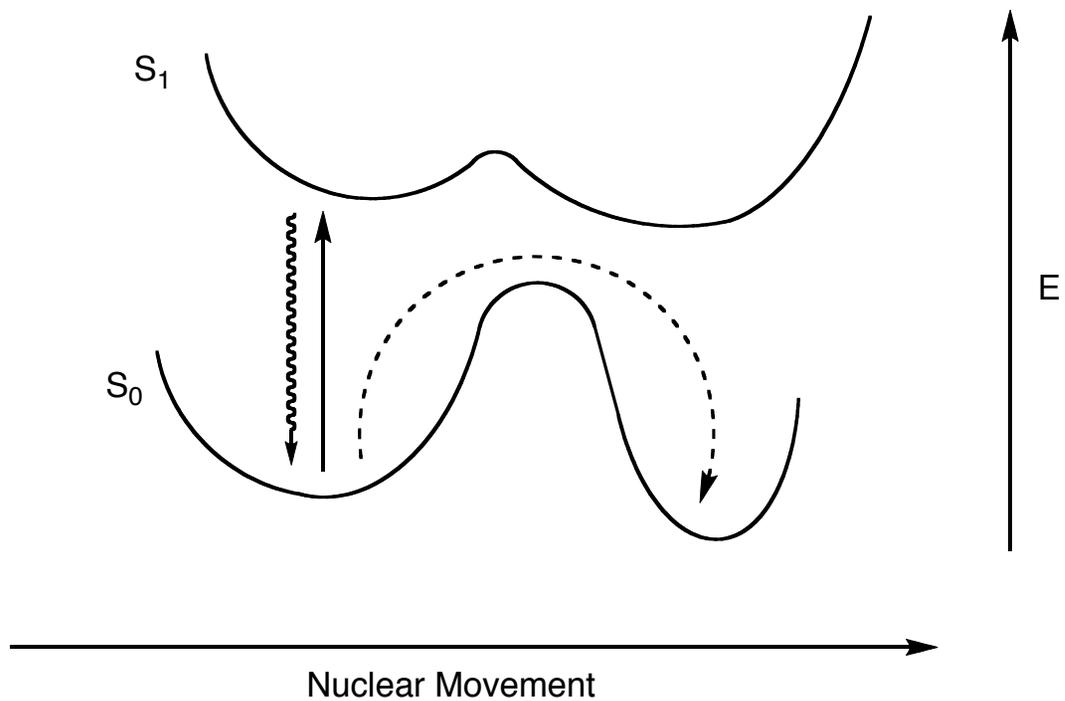
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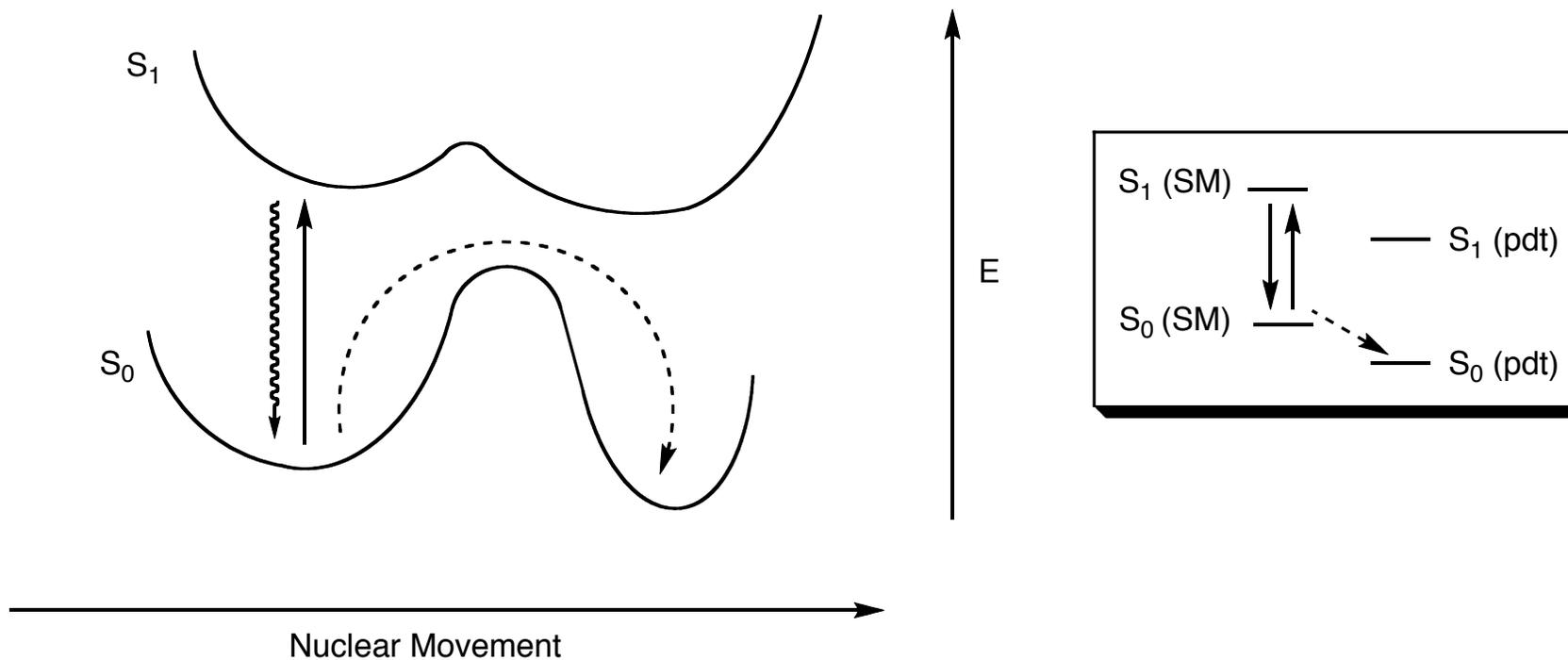
Hot Ground State reaction (thermal)



- Occurs when rate of internal conversion is much faster than photochemistry excited surface
- Before energy is lost to collisions with solvent, chemistry in ground state occurs

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Tendencies of S and T states

- Singlet and triplet excited states often show different reactivity

Singlet

tend to have considerable zwitterionic character

undergo rapid, concerted reactions

stereospecific

must compete with facile photophysical processes

electrocyclic rearrangements

cycloadditions

sigmatropic rearrangements

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Triplet

tend to have biradical character

triplet state is longer lived

stepwise reactions

no stereospecificity

hydrogen abstraction

additions to unsaturation

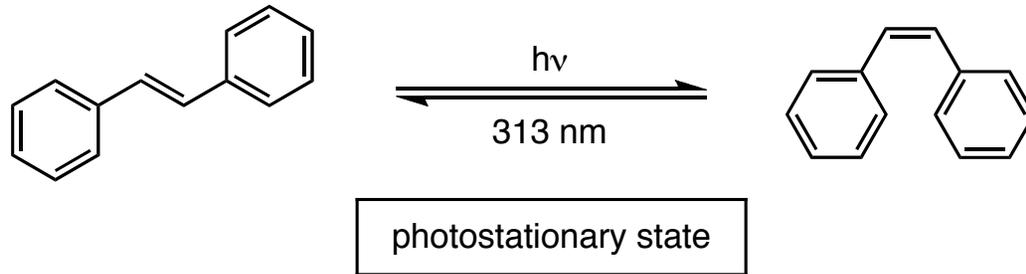
homolytic fragmentation

radical rearrangements

- Different products due to the fact that the ground state is a singlet state

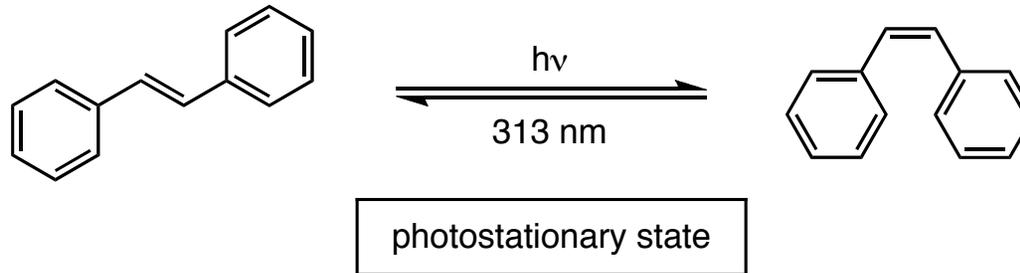
Olefin Isomerization

- Simple olefins do not absorb at easily-generated wavelengths, so additional chromophores are added



Olefin Isomerization

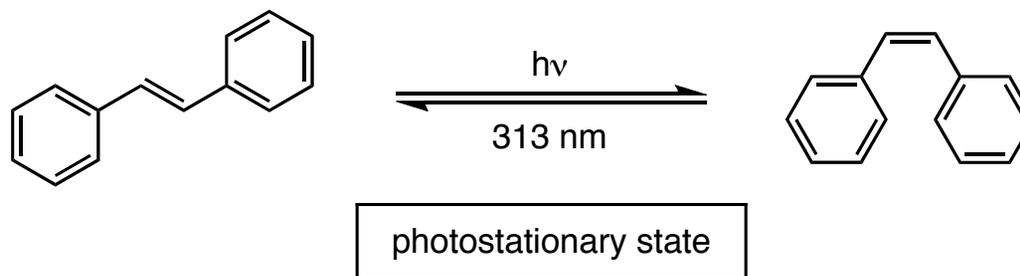
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- Ratio controlled by absorption and quantum yield for isomerization

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- Ratio controlled by absorption and quantum yield for isomerization

trans and cis stilbene absorb with the same efficiency at 313 nm

lifetime of S_1 (trans) = 68 ps

lifetime of S_1 (cis) = 1 ps

Φ (trans to cis) = 0.50

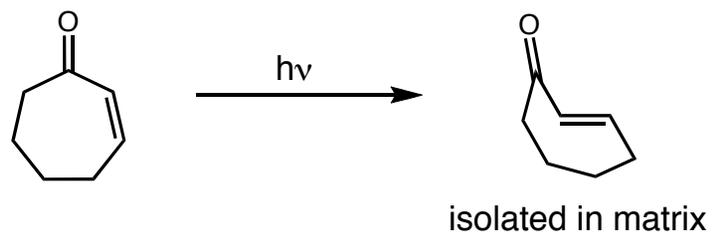
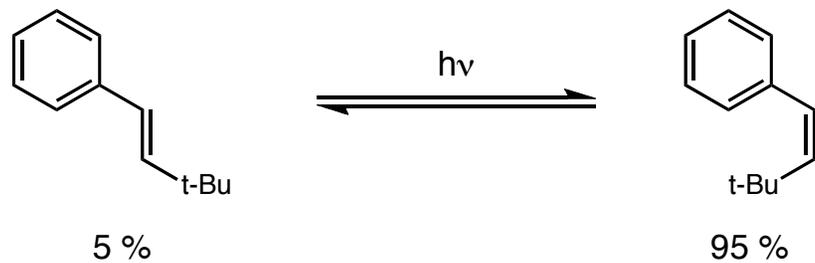
Φ (cis to trans) = 0.35

Olefin Isomerization

- Olefin isomerization is a powerful feature of photochemistry
- The relative energy of two minima on S_0 surface does not matter
 - Key issue is how system exits from S_1 or T_1 onto S_0

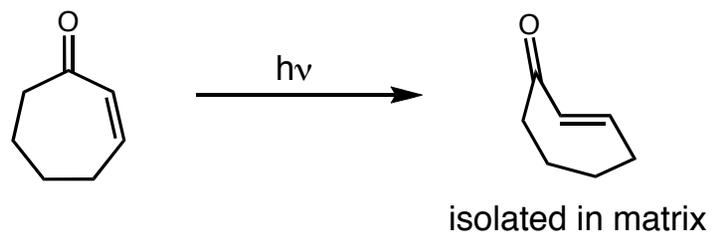
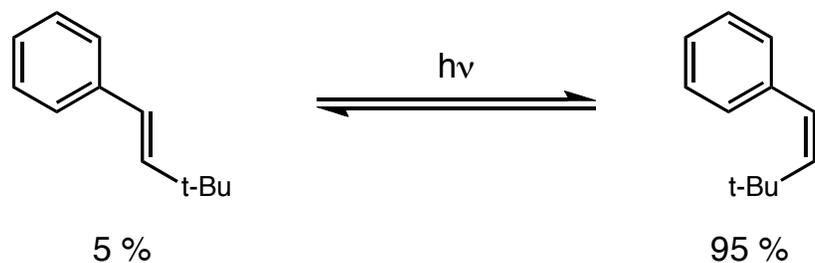
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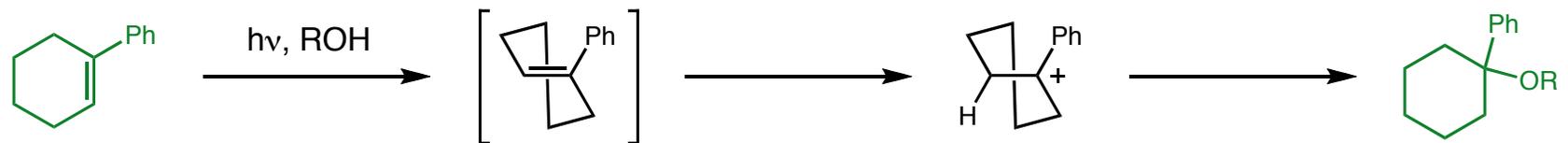
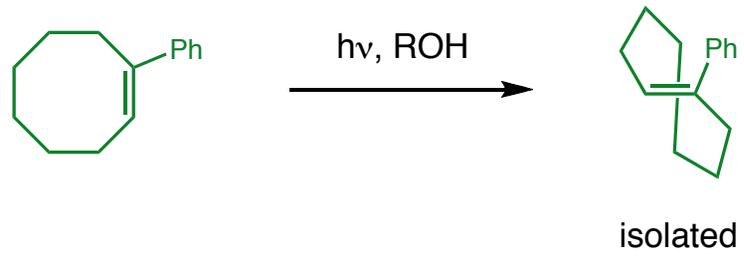
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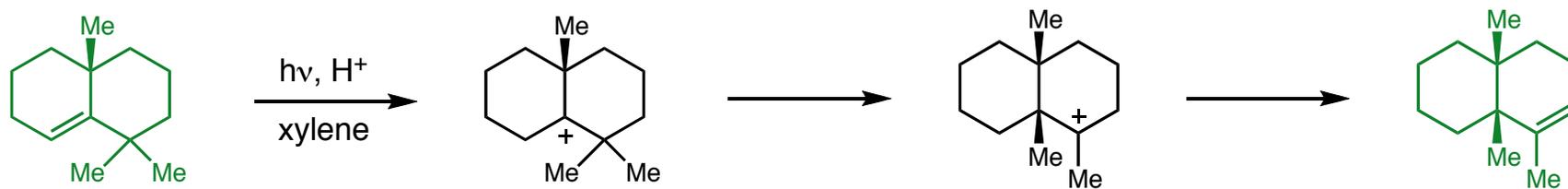
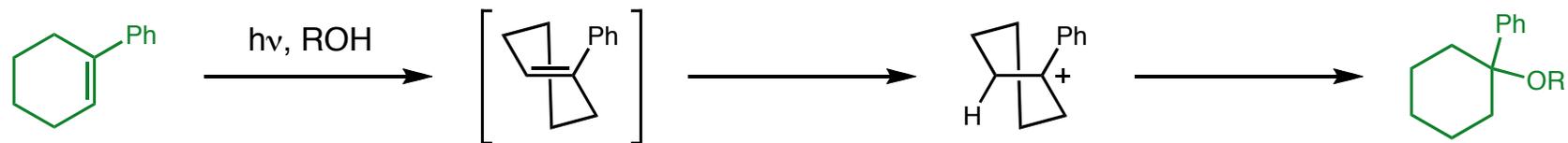
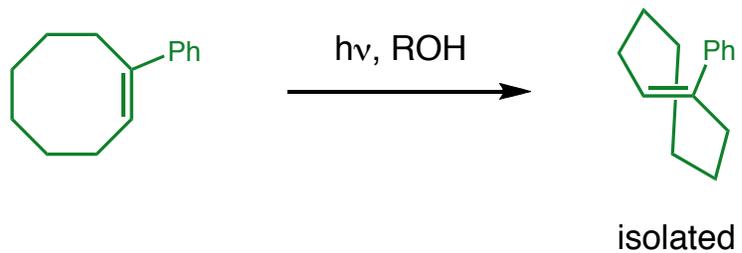


- 3-4 (impossible); 5 (difficult, reactive); 6-7 (facile, reactive); 8 or above (stable)
- Cyclic trans olefins are used *in situ* for functionalization

Olefin Isomerization



Olefin Isomerization



Photoadditions/substitutions

■ Hydrogen abstraction is frequent in these reactions:

Strength of bond being broken

Strength of bond being formed

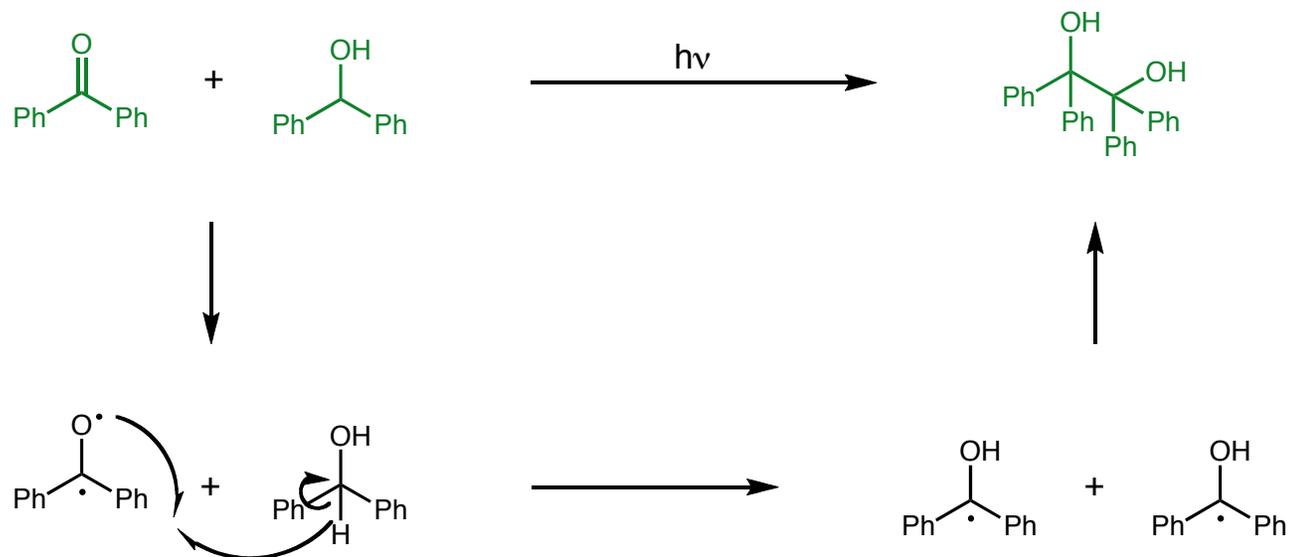
Steric effects of approach

Solvent effects on reagent or transition state

Photoadditions/substitutions

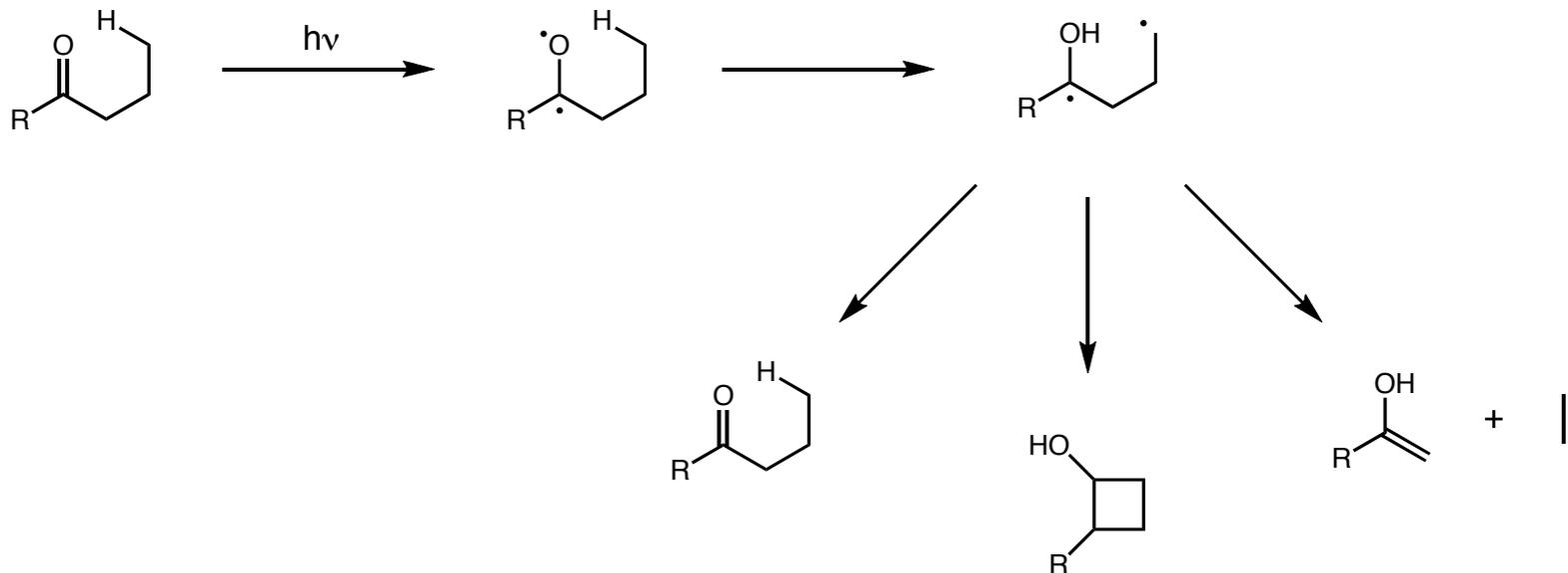
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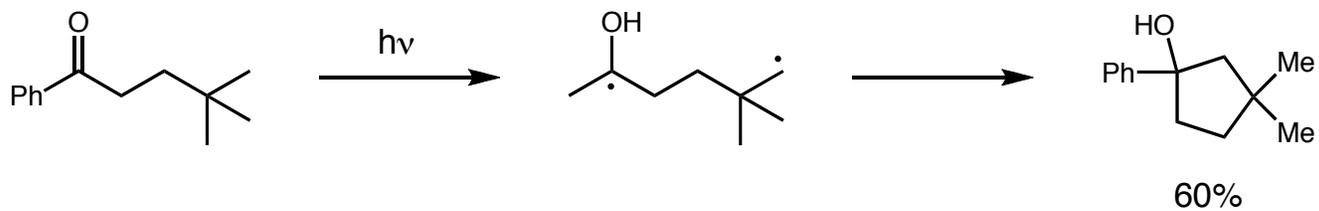
Norrish Type II

■ Intramolecular H abstraction

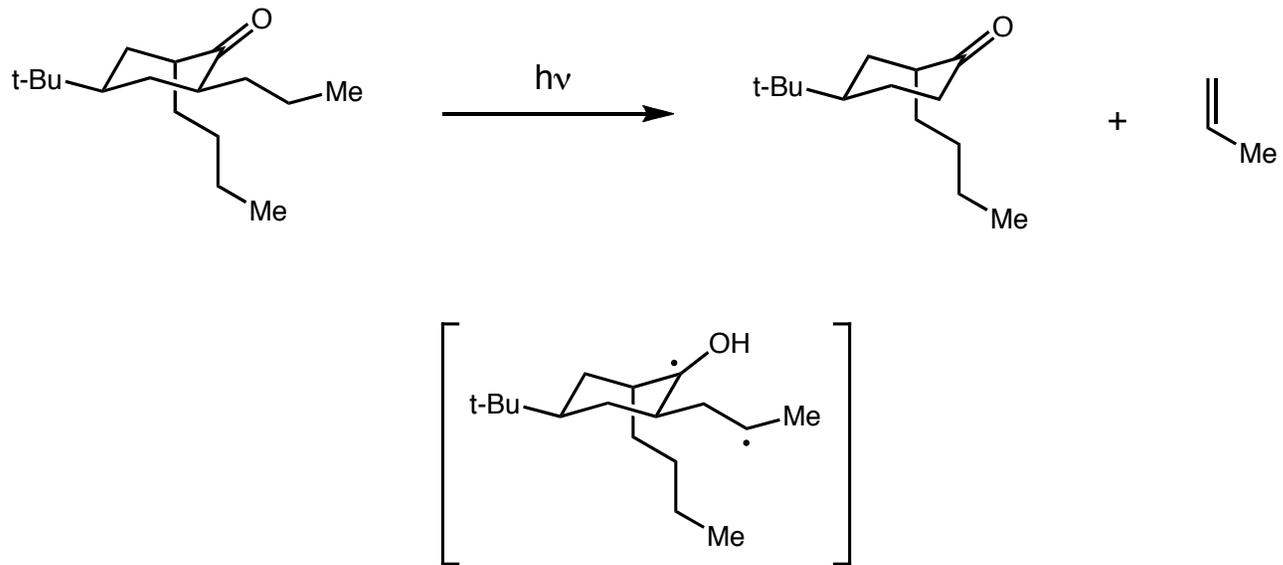


■ Six-membered H abstracted preferentially

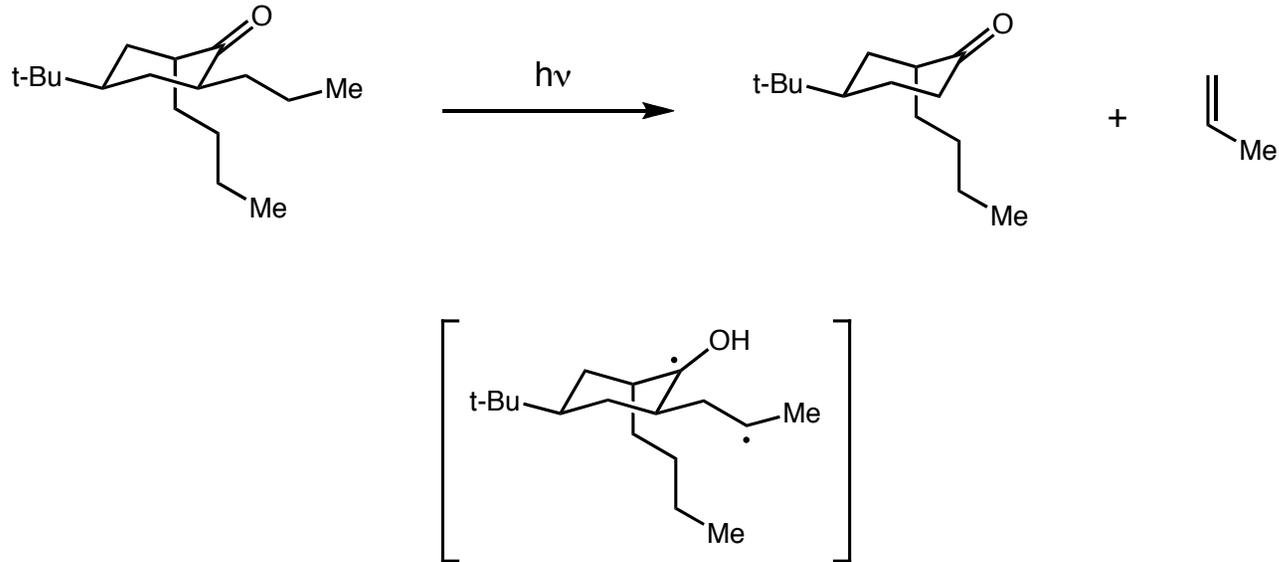
■ Seven- and five-membered H abstraction possible if six-membered position is blocked or disfavored



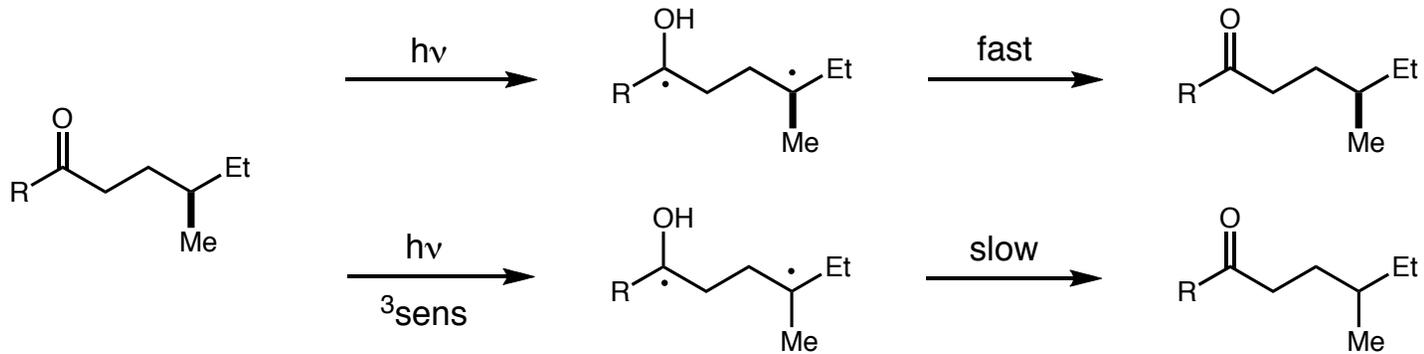
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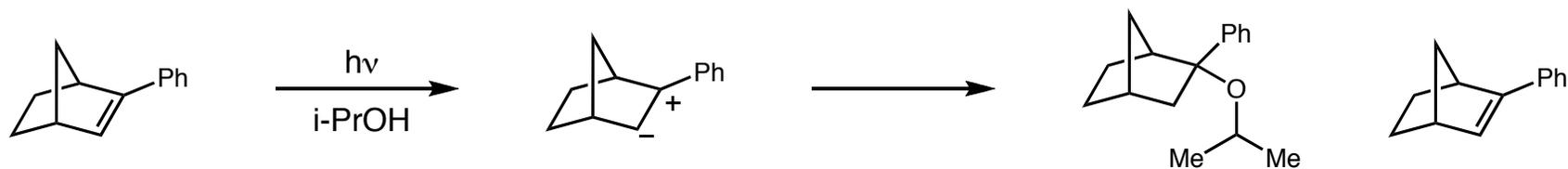


■ Stereospecificity of singlet and triplet states - Example: disproportionation in Type II reactions



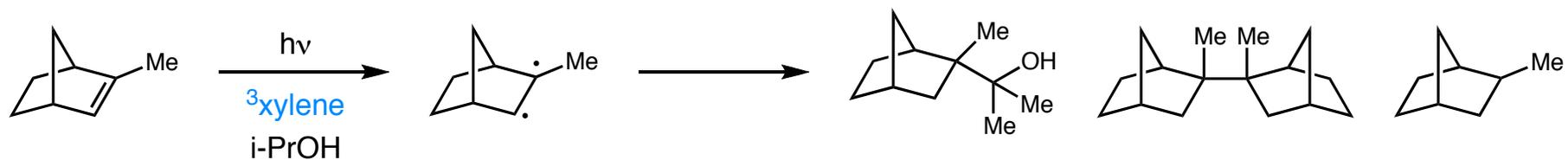
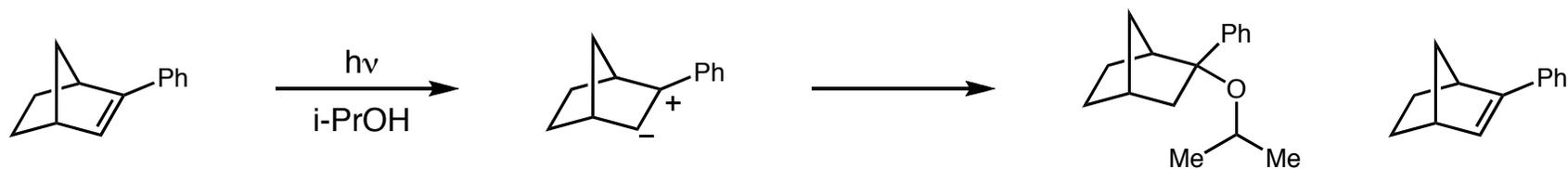
Alkene Hydrogen Abstraction

■ Alkenes abstract hydrogens when excited to T_1 , but act as zwitterions if excited to S_1 (and cannot isomerize)



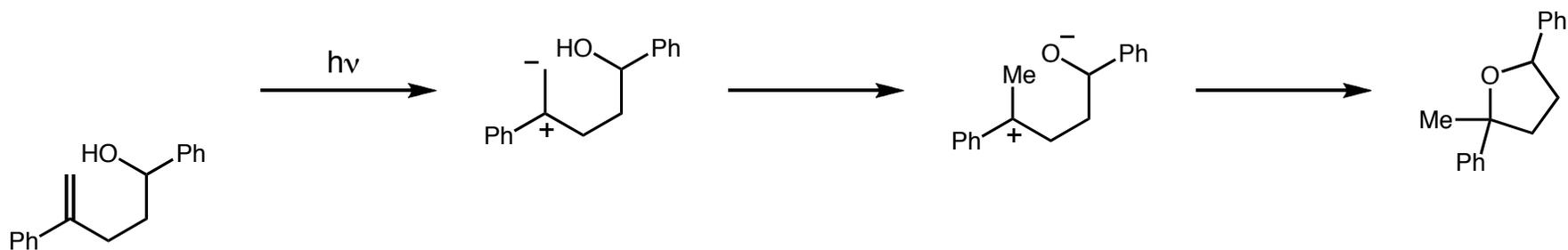
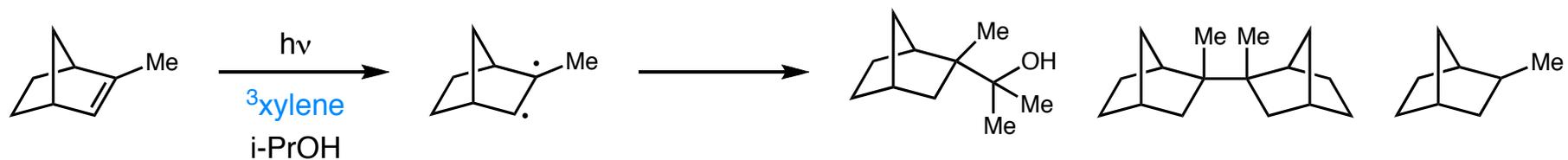
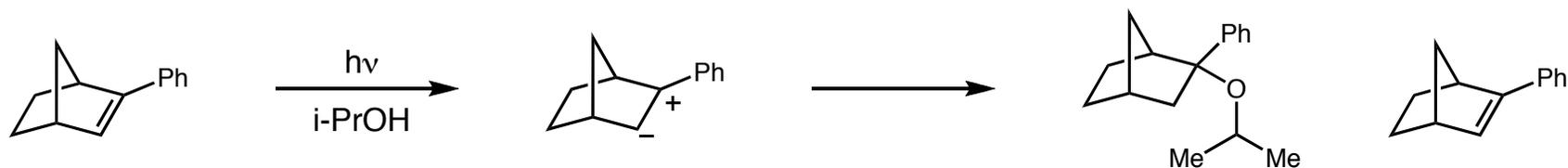
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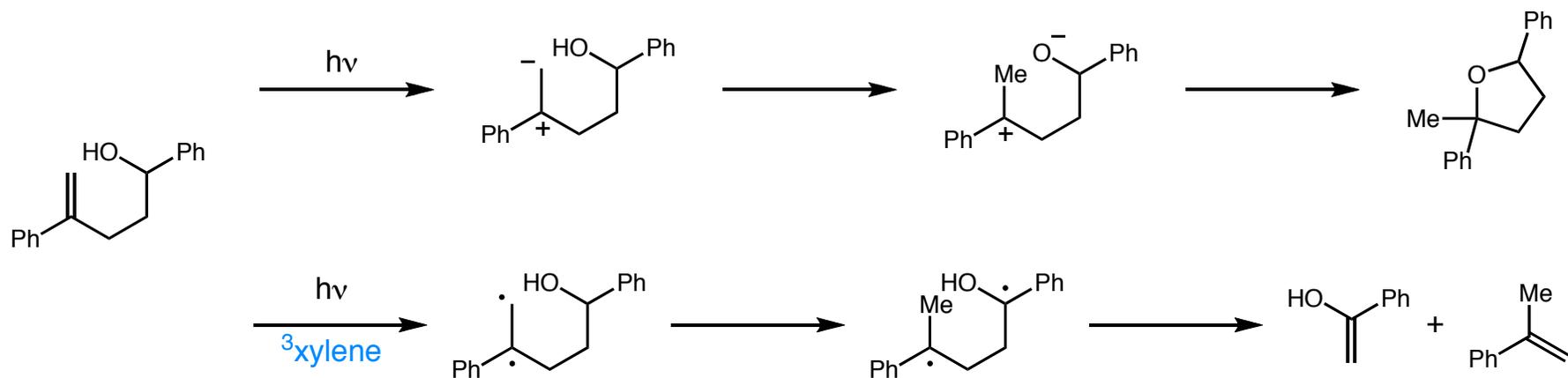
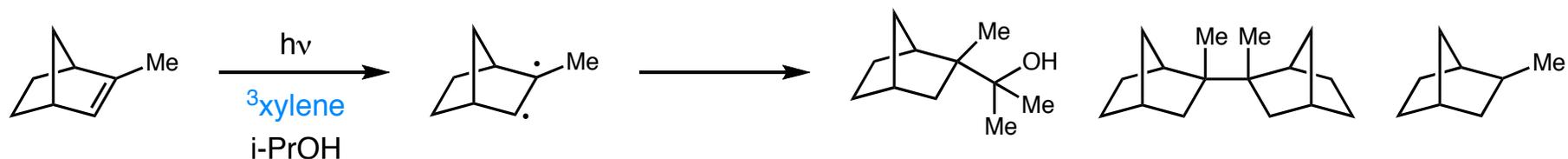
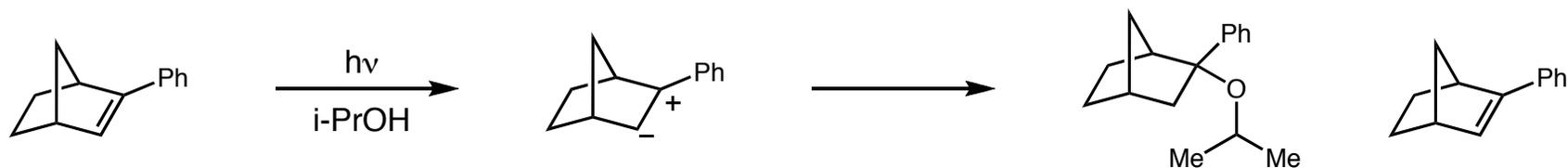
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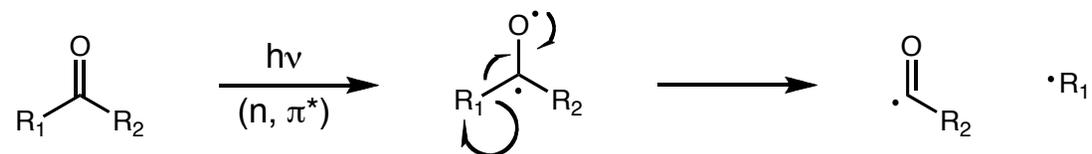
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Norrish Type I Fragmentation

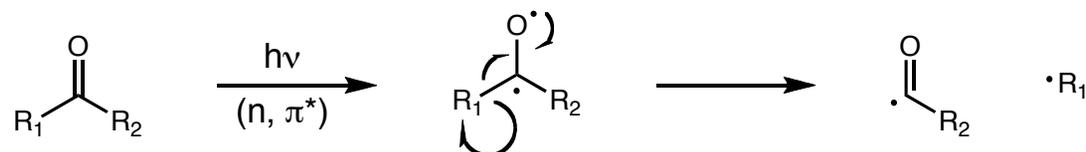
- C=O bond is a very strong bond, so there is a considerable driving force to reform it



- Several factors control the rate of this α -cleavage reaction

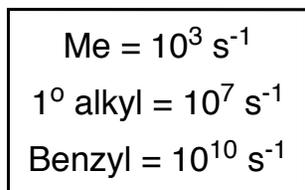
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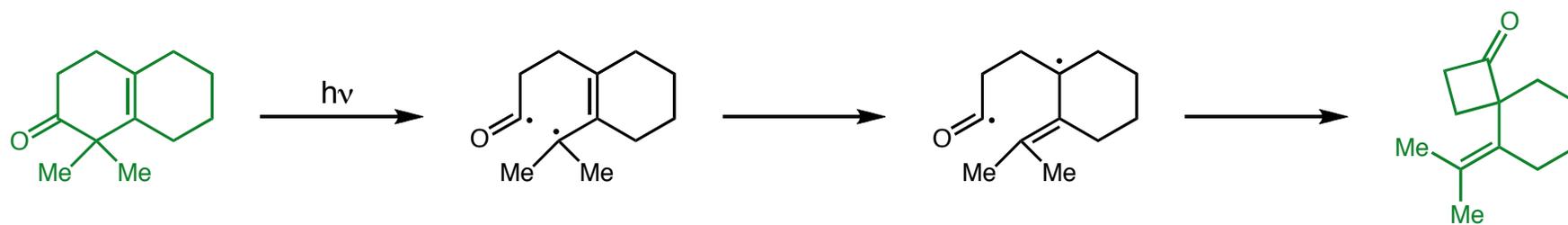
- Several factors control the rate of this α -cleavage reaction
- Rate of Type II reaction, phosphorescence, or ISC
- Nature of R_1 and R_2

Stability of resulting radical

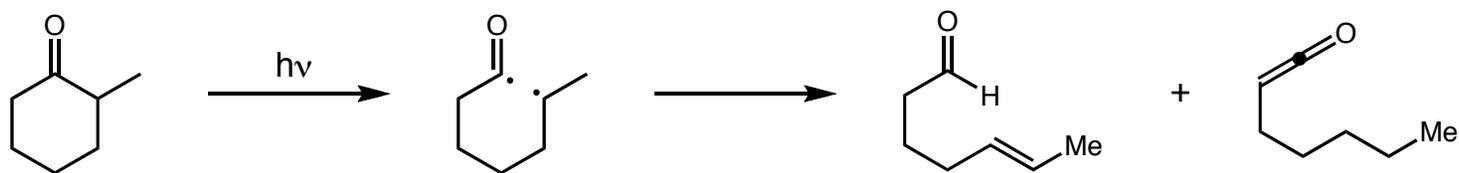
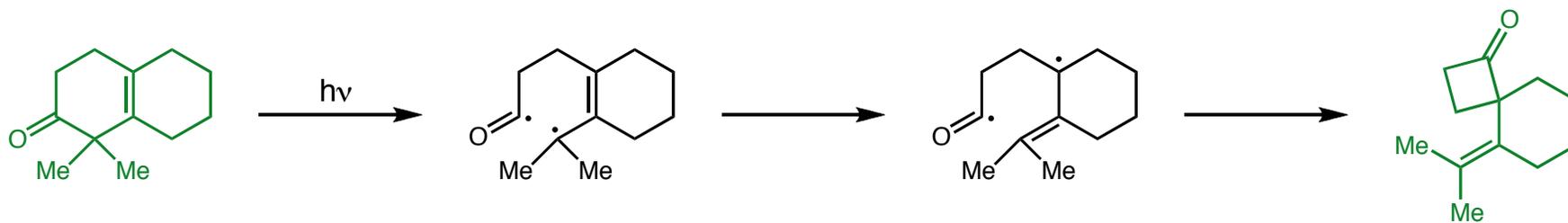


- Ring strain

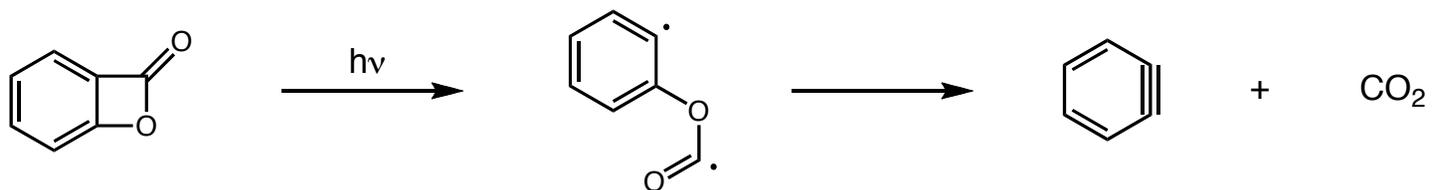
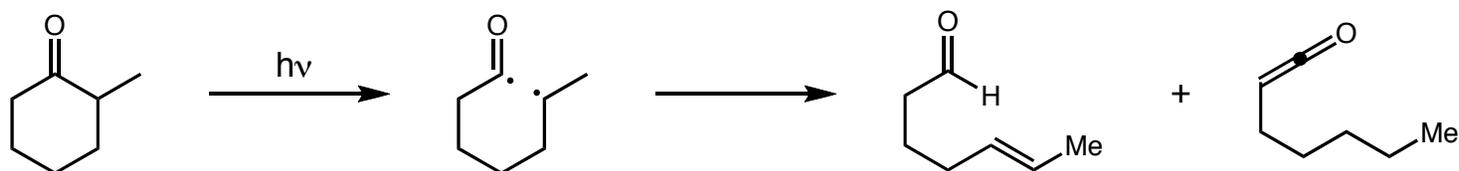
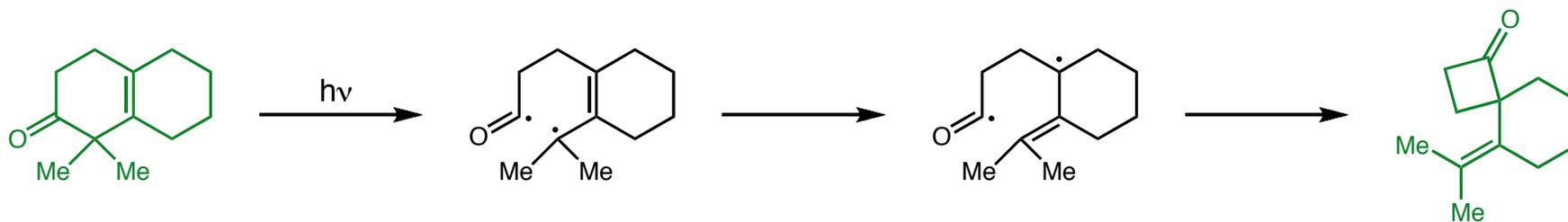
Norrish Type I Fragmentation



Norrish Type I Fragmentation



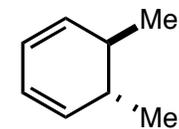
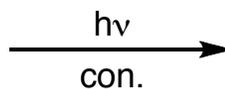
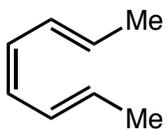
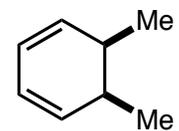
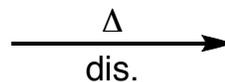
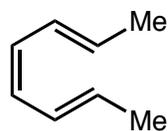
Norrish Type I Fragmentation



Electrocyclic Reactions

■ Reversal of Pericyclic Selection Rules

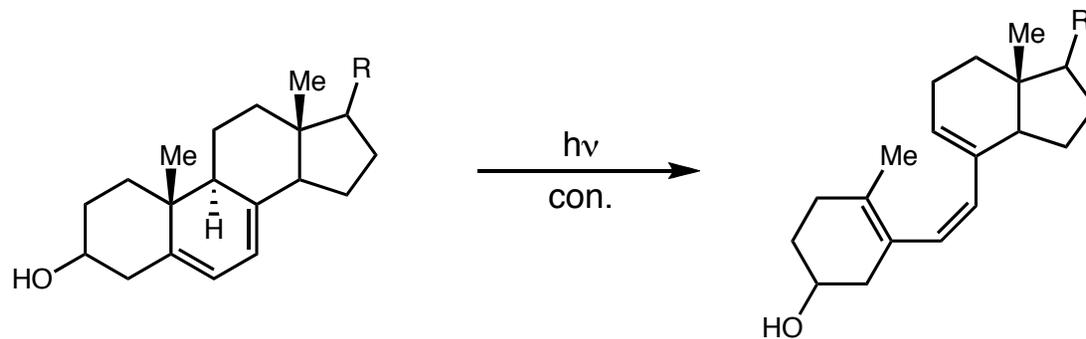
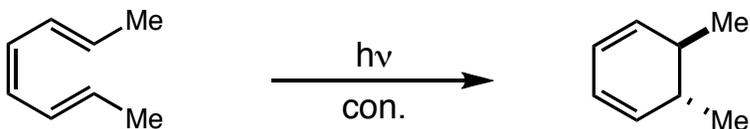
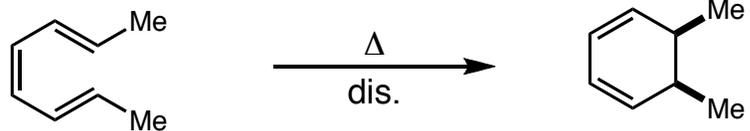
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2	Δ	$h\nu$
4	$h\nu$	Δ
6	Δ	$h\nu$
8	$h\nu$	Δ



Electrocyclic Reactions

■ Reversal of Pericyclic Selection Rules

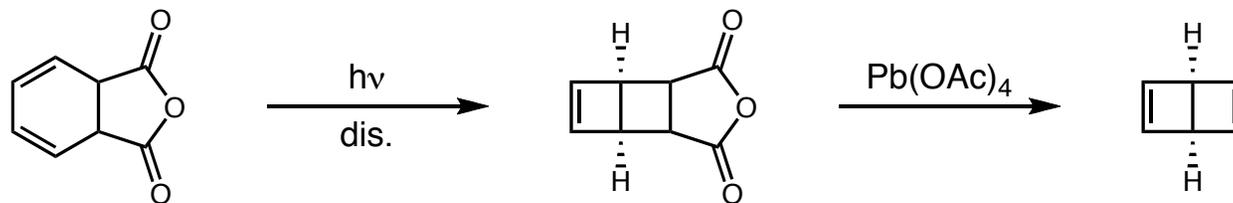
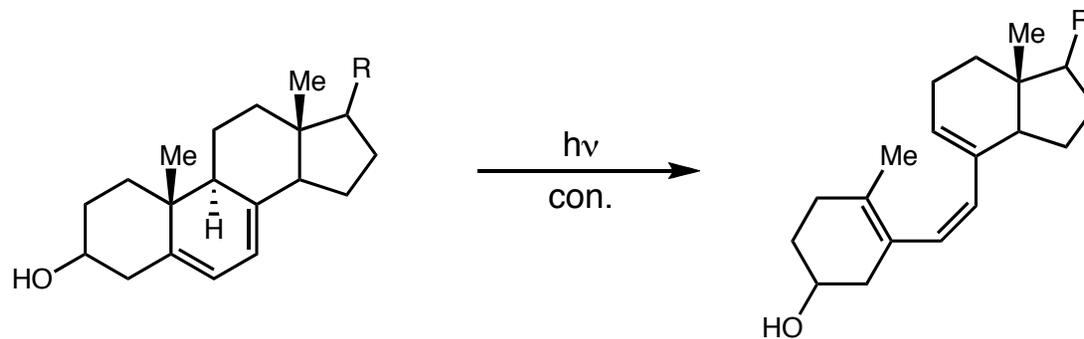
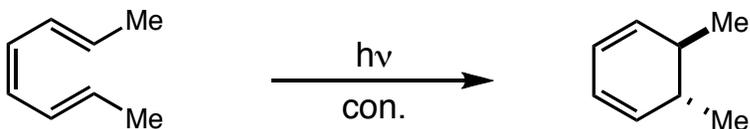
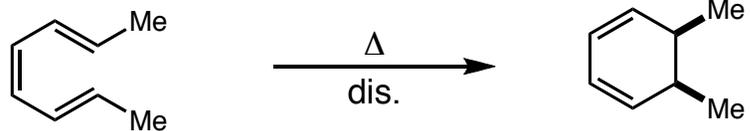
D	C
2	Δ $h\nu$
4	$h\nu$ Δ
6	Δ $h\nu$
8	$h\nu$ Δ



Electrocyclic Reactions

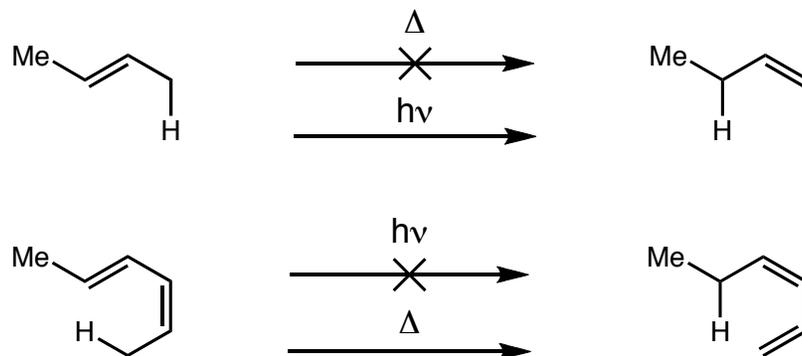
Reversal of Pericyclic Selection Rules

	D	C
2	Δ	$h\nu$
4	$h\nu$	Δ
6	Δ	$h\nu$
8	$h\nu$	Δ



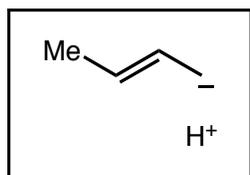
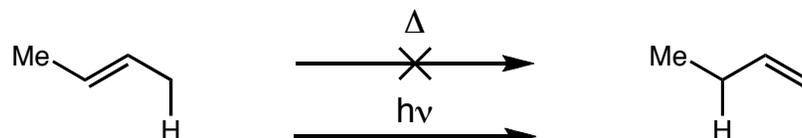
Sigmatropic Rearrangements

- Orbital Symmetry Rules control what rearrangements are allowed



Sigmatropic Rearrangements

■ Orbital Symmetry Rules control what rearrangements are allowed



nodes

3



2



LUMO

1



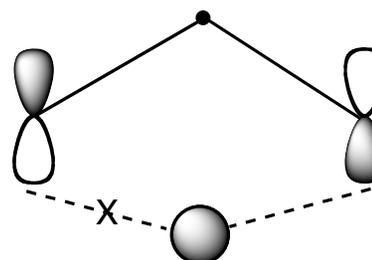
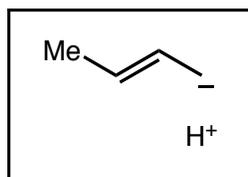
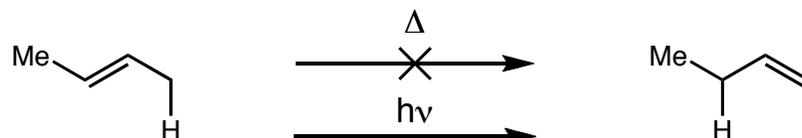
HOMO

0



Sigmatropic Rearrangements

Orbital Symmetry Rules control what rearrangements are allowed



Thermally disallowed

nodes

3 —

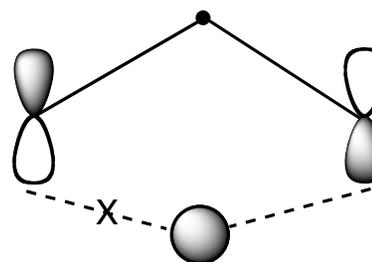
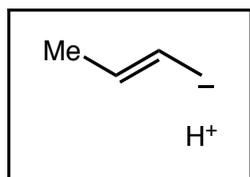
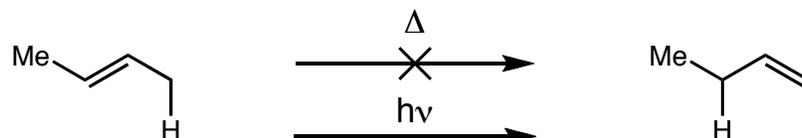
2 — LUMO

1  HOMO

0 

Sigmatropic Rearrangements

Orbital Symmetry Rules control what rearrangements are allowed



Thermally disallowed

nodes

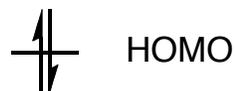
3



2



1



0

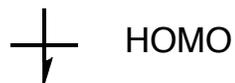


nodes

3



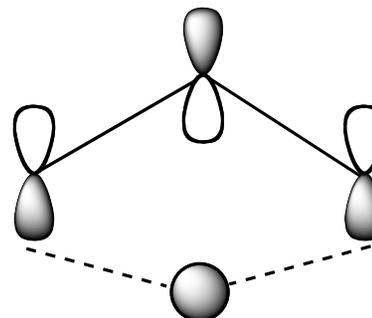
2



1

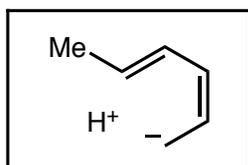
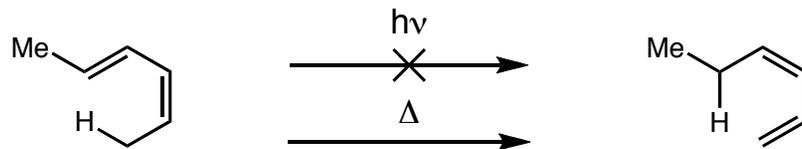


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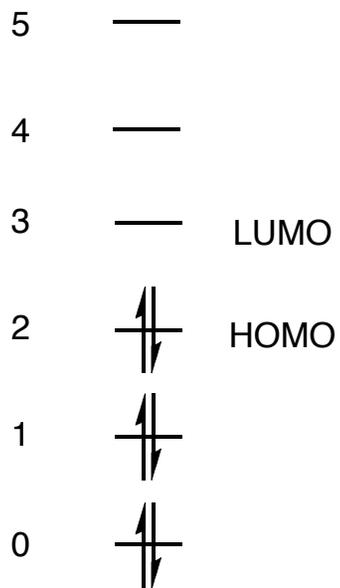


Photochemically allowed

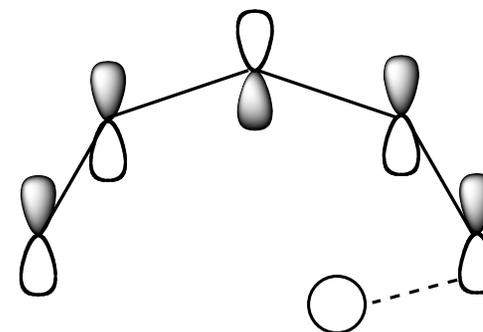
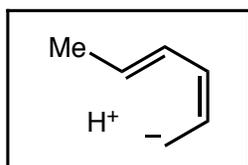
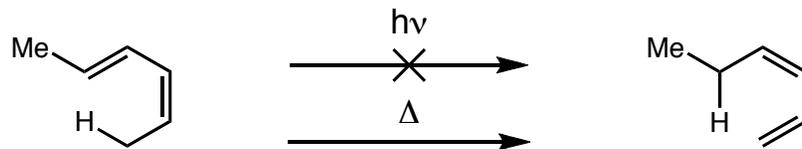
Sigmatropic Rearrangements



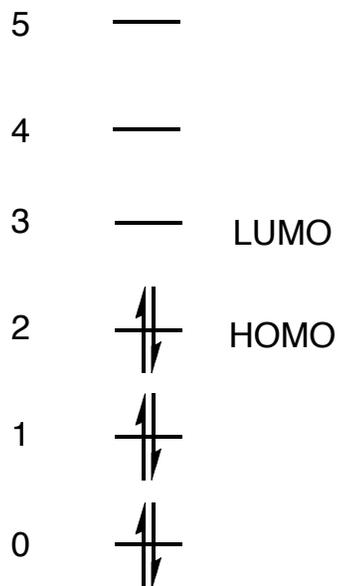
nodes



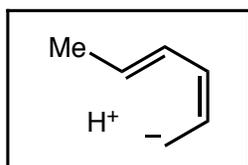
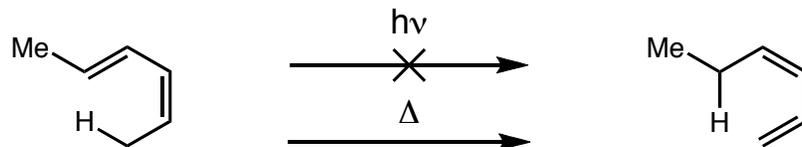
Sigmatropic Rearrangements



nodes



Sigmatropic Rearrangements



nodes

5 —

4 —

3 — LUMO

2 HOMO

1

0

nodes

5 —

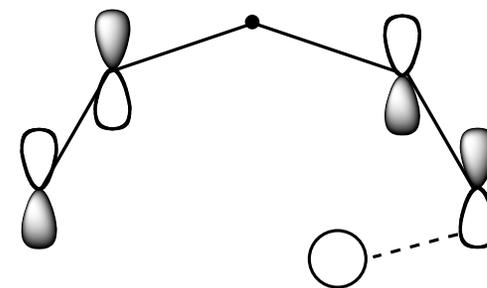
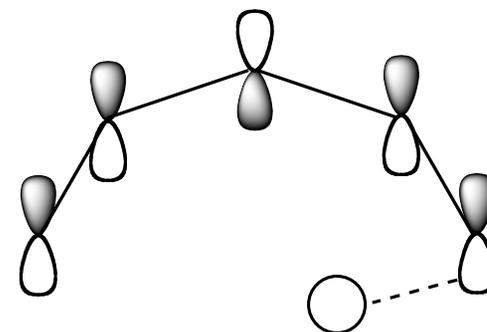
4 — LUMO

3 HOMO

2

1

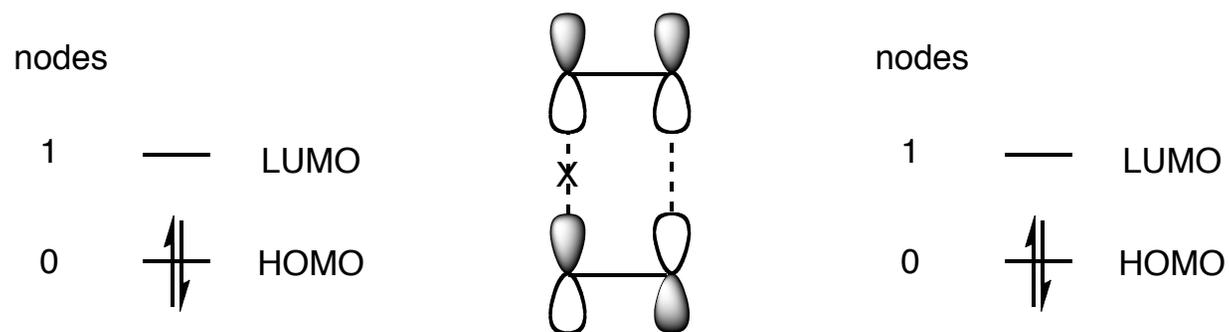
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rare for antarafacial shift to occur

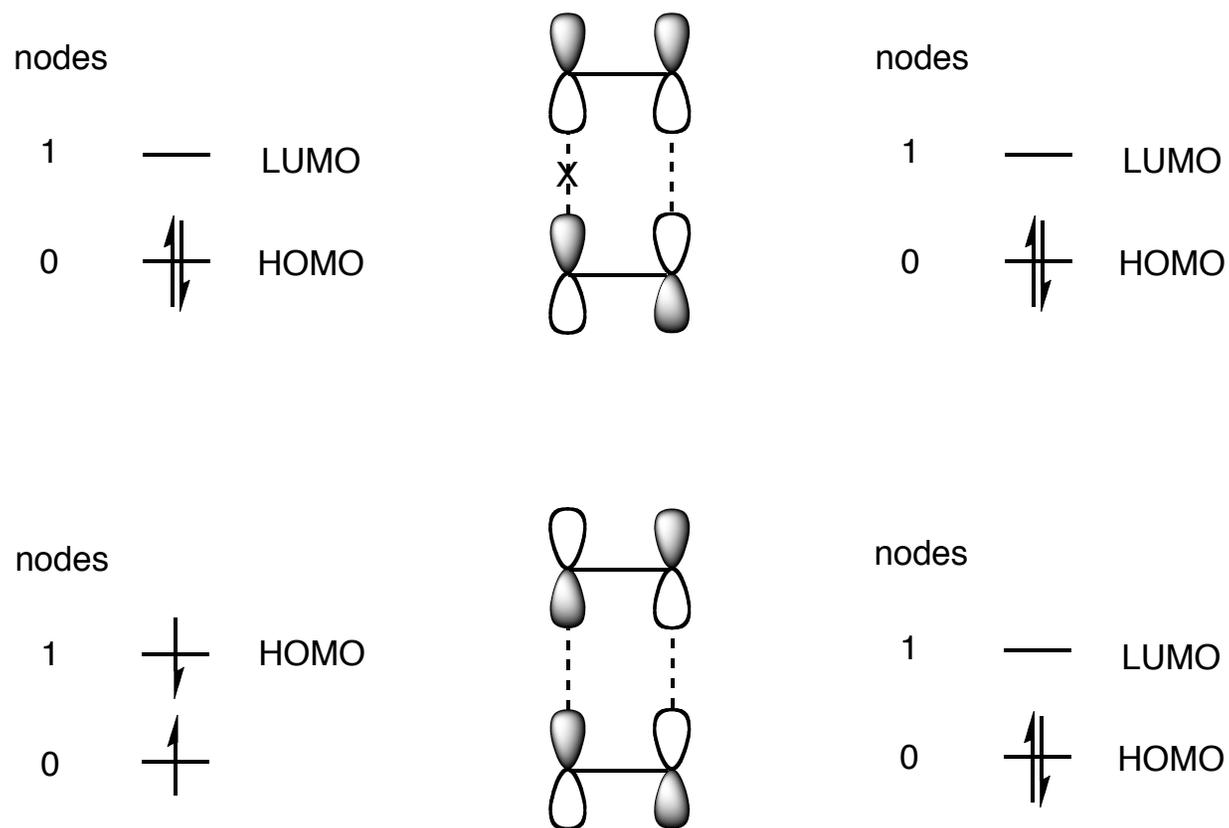
Cycloadditions

■ Photochemical [2 + 2] cycloaddition



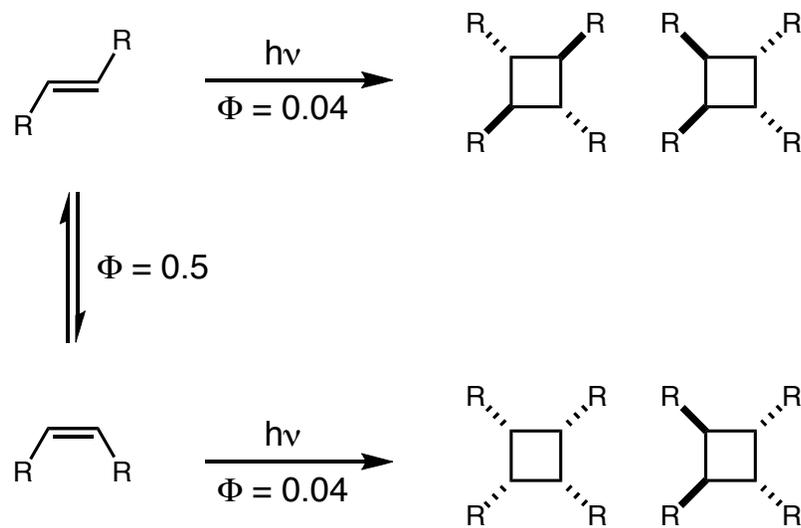
Cycloadditions

■ Photochemical [2 + 2] cycloaddition



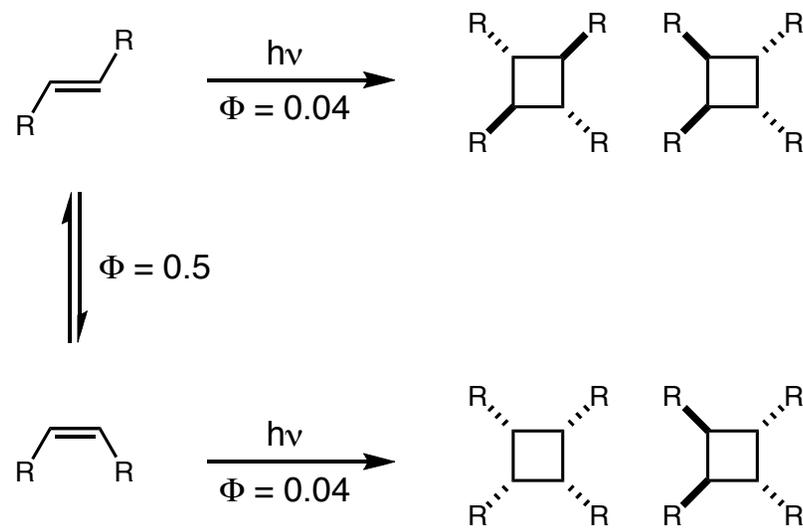
Cycloadditions

- Acyclic olefins tend to undergo isomerization rather than [2 + 2]

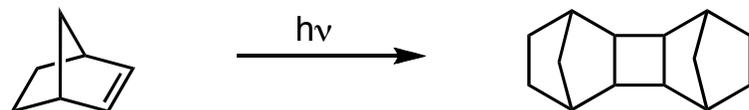


Cycloadditions

- Acyclic olefins tend to undergo isomerization rather than [2 + 2]

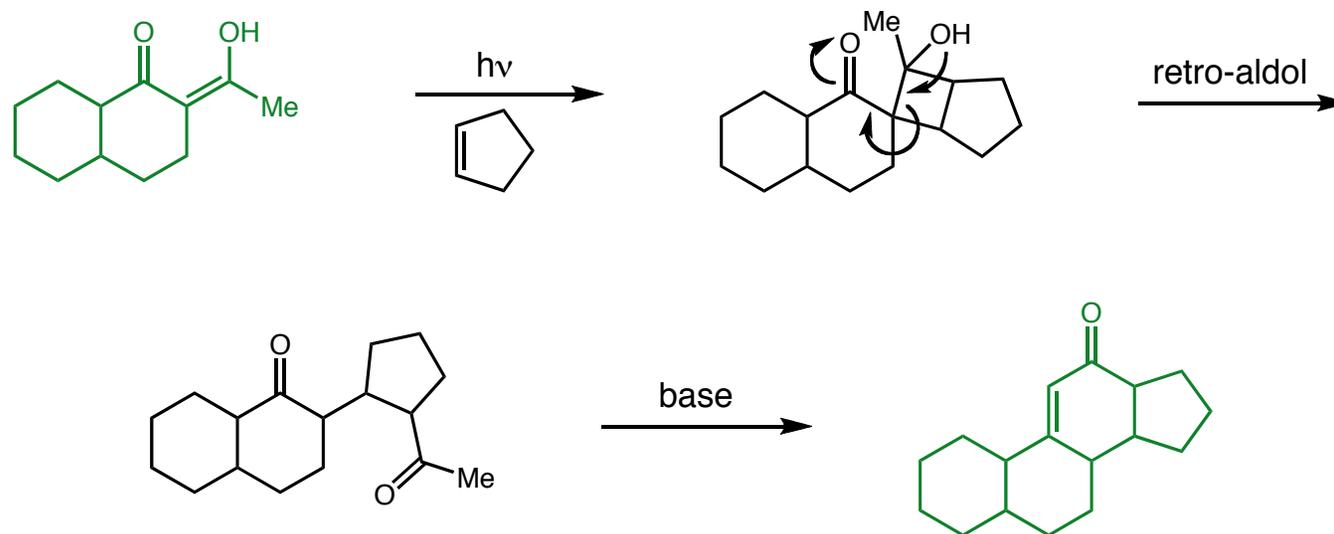


- Small and medium ring cyclic olefins do, however, generally undergo facile [2 + 2]



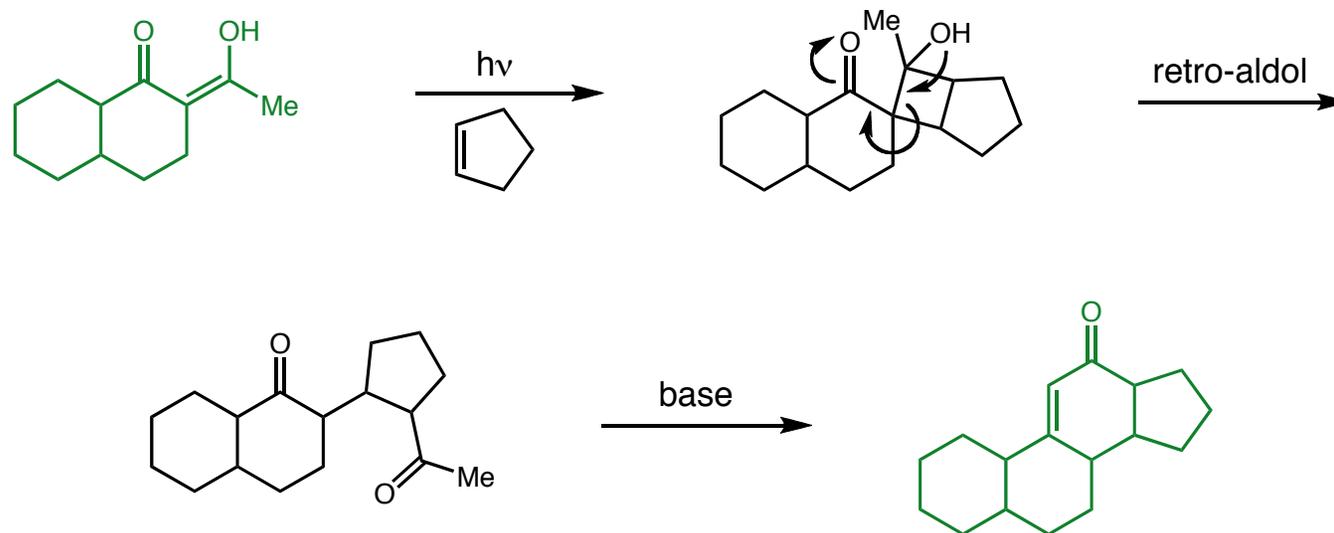
Cycloadditions

■ DeMayo reaction - [2 + 2] with 1,3-diketone followed by retro-aldol

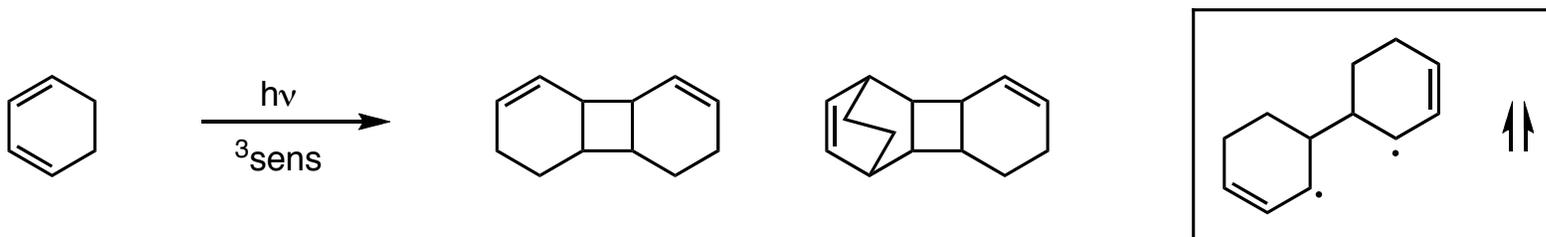


Cycloadditions

- DeMayo reaction - [2 + 2] with 1,3-diketone followed by retro-aldol

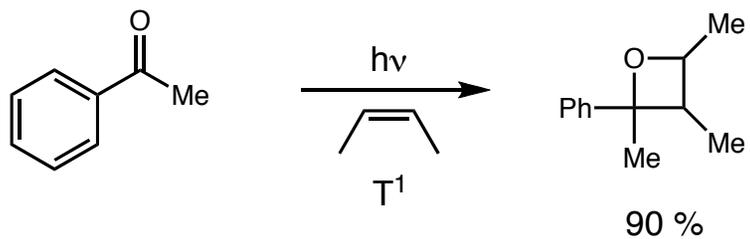
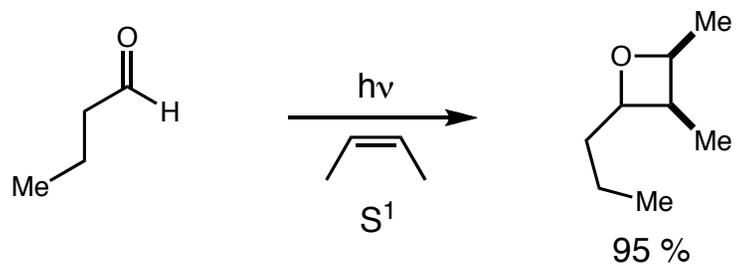


- S_1 [4 + 2] is not allowed by orbital symmetry, but radical T_1 undergoes stepwise addition



Cycloadditions

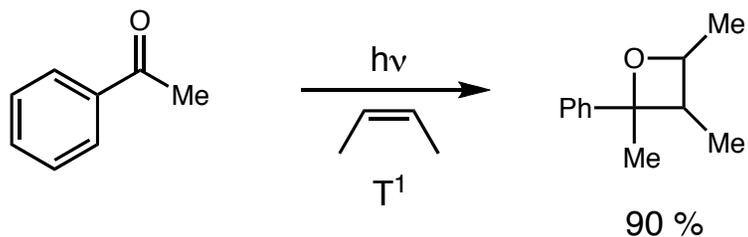
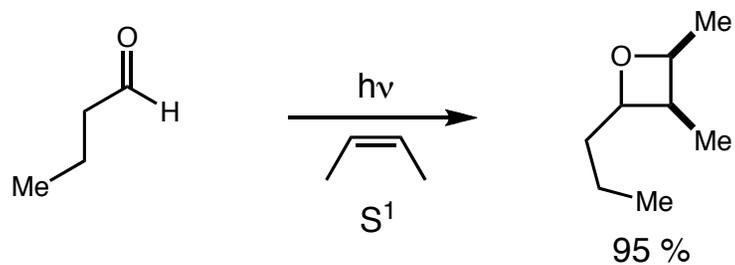
■ Paterno-Büchi reaction - stereospecificity



Note that carbonyl/alkene [2 + 2] occurs from both S_1 and T_1 states

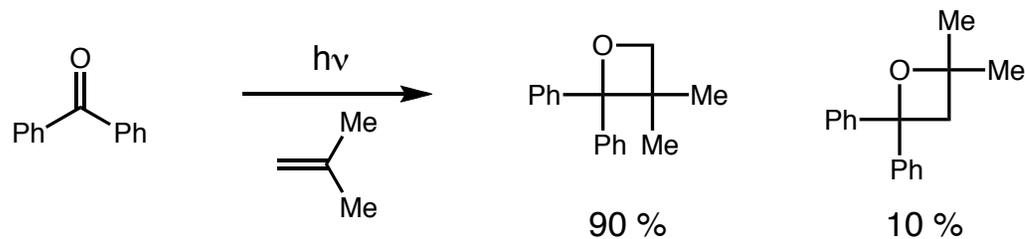
Cycloadditions

■ Paterno-Büchi reaction - stereospecificity



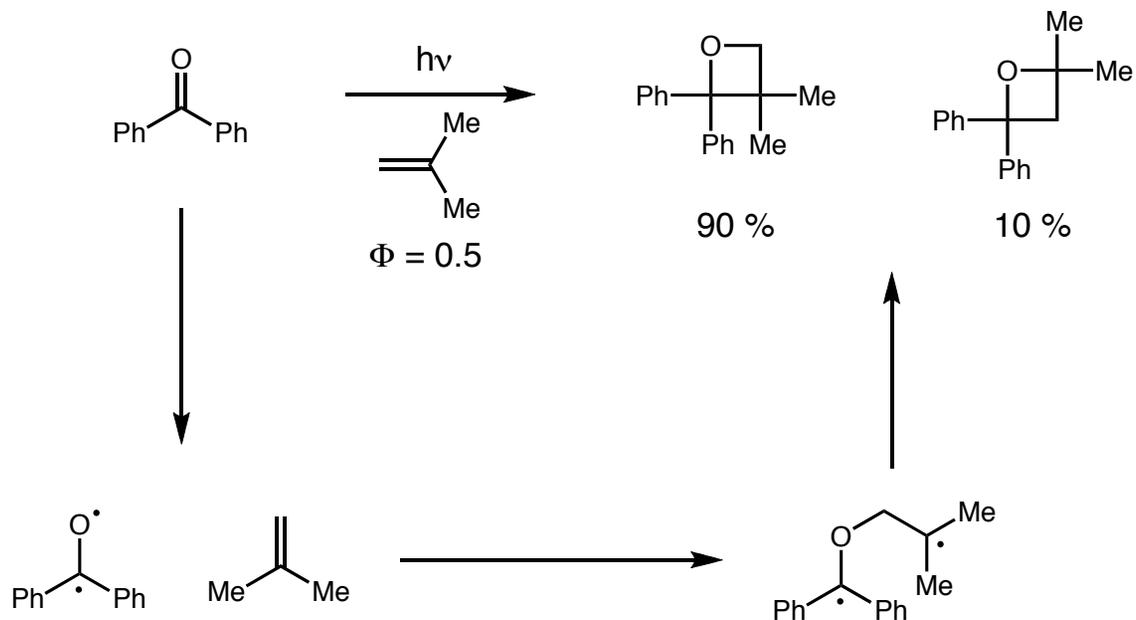
Note that carbonyl/alkene [2 + 2] occurs from both S_1 and T_1 states

■ Paterno-Büchi reaction - regioselectivity with electron rich olefins

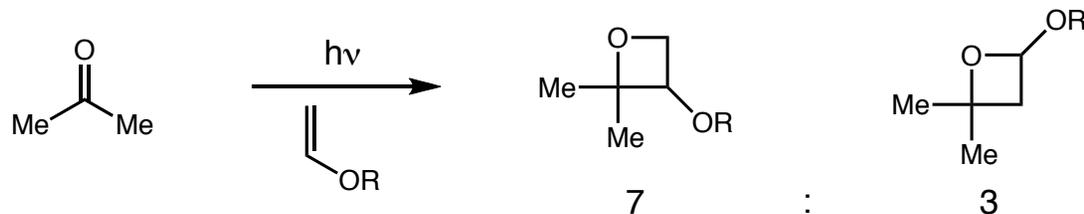


Cycloadditions

- Paterno-Büchi reaction - regioselectivity with electron rich olefins



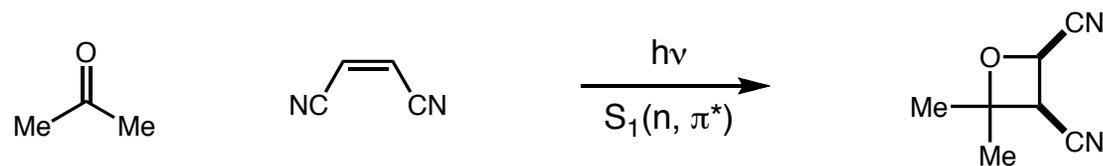
- Oxygen radical is more electrophilic than carbon radical, so it adds first to form the more stable biradical



Cycloadditions

- Paterno-Büchi reaction - electron poor olefins

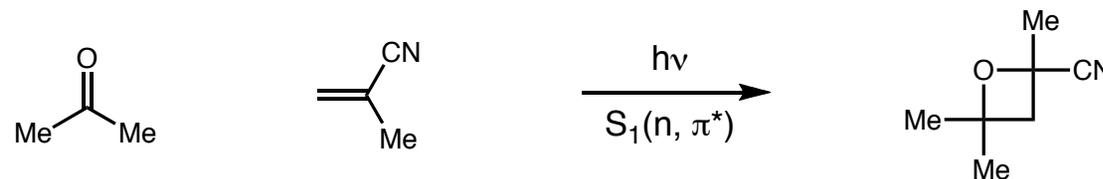
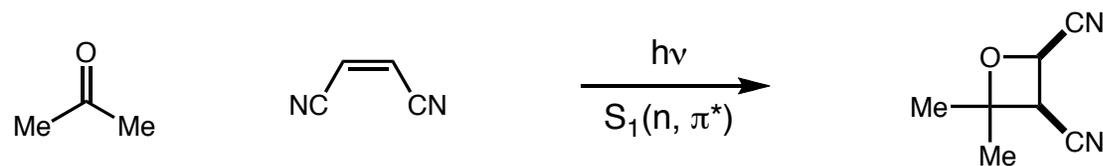
- Only occurs from S_1 state, so reactions are complete stereospecific
- Products are not the expected adducts based on electron-rich alkene mechanism



Cycloadditions

- Paterno-Büchi reaction - electron poor olefins

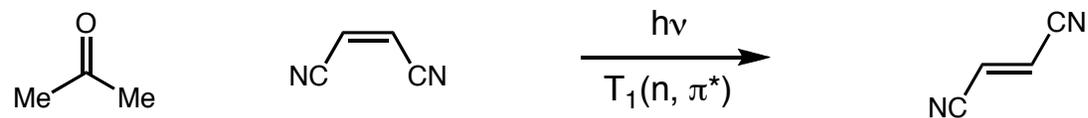
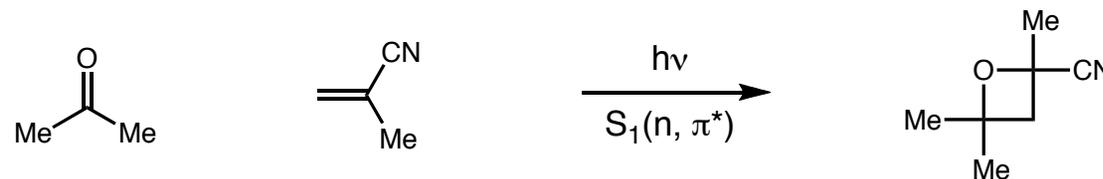
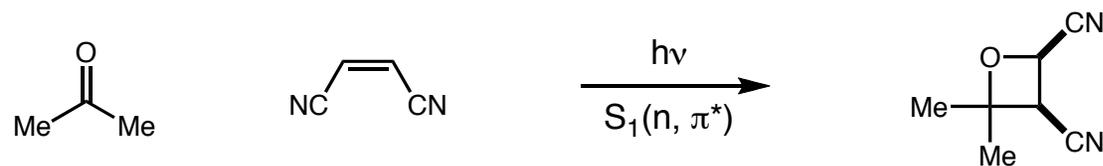
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Cycloadditions

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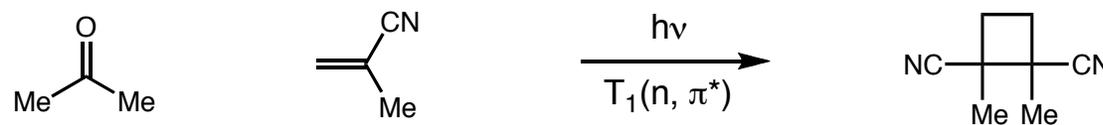
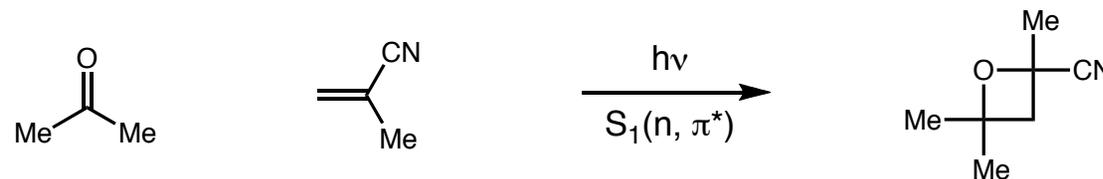
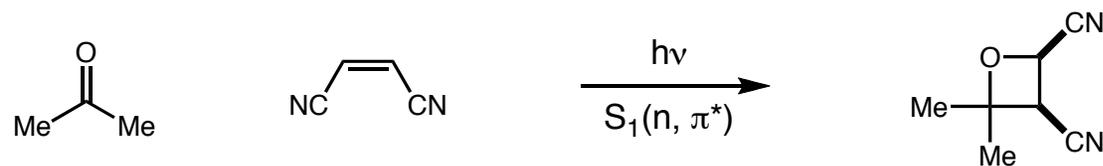
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Cycloadditions

■ Paterno-Büchi reaction - electron poor olefins

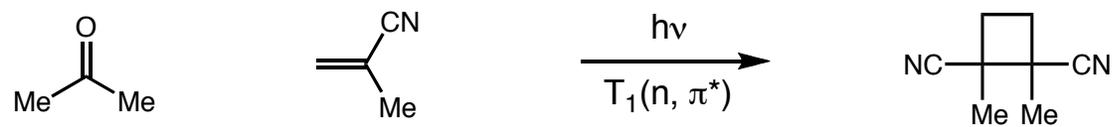
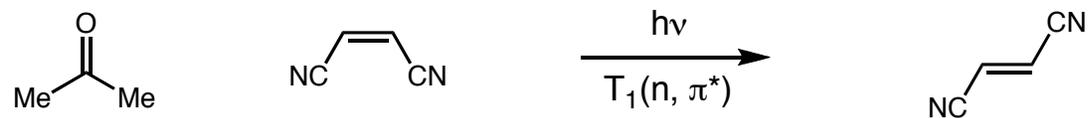
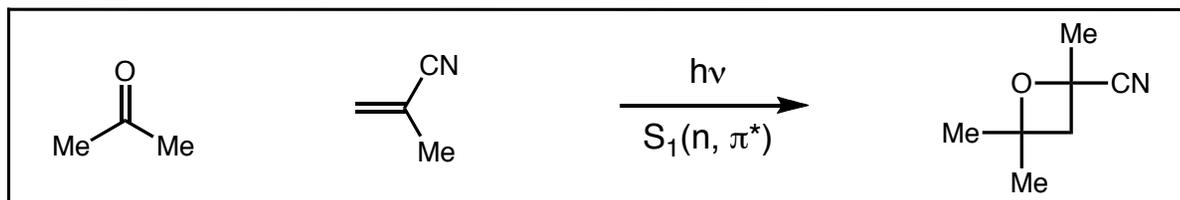
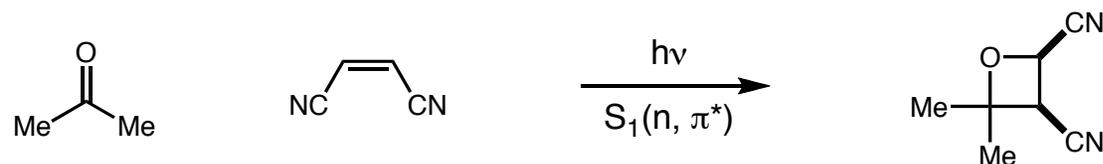
- Only occurs from S_1 state, so reactions are complete stereospecific
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Cycloadditions

■ Paterno-Büchi reaction - electron poor olefins

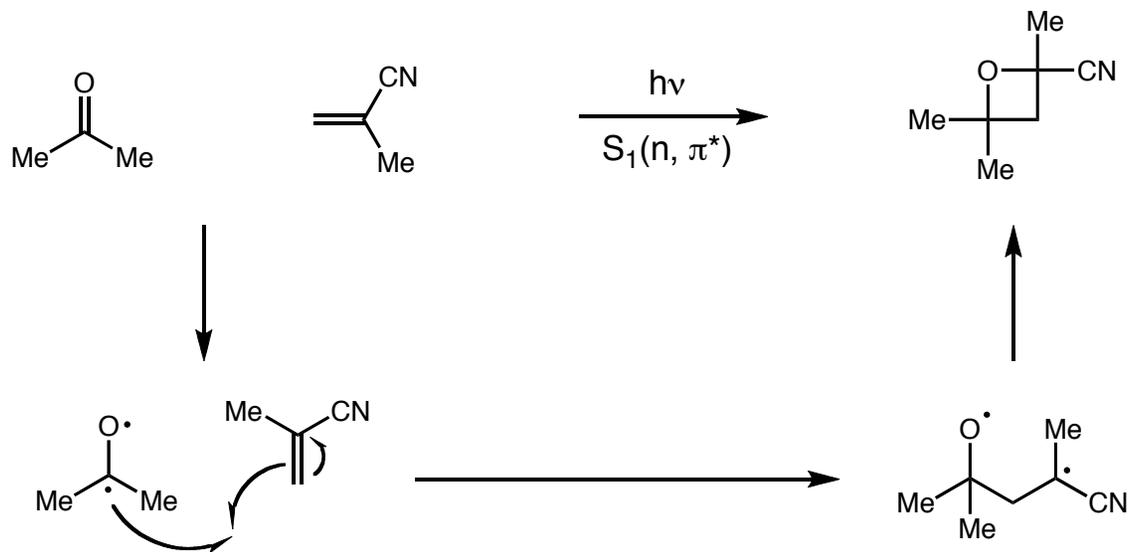
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Cycloadditions

■ Paterno-Büchi reaction - electron poor olefins

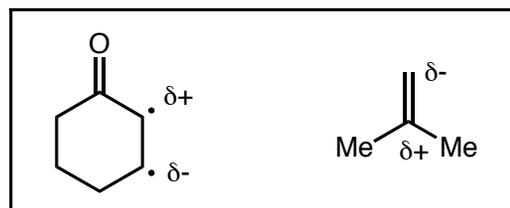
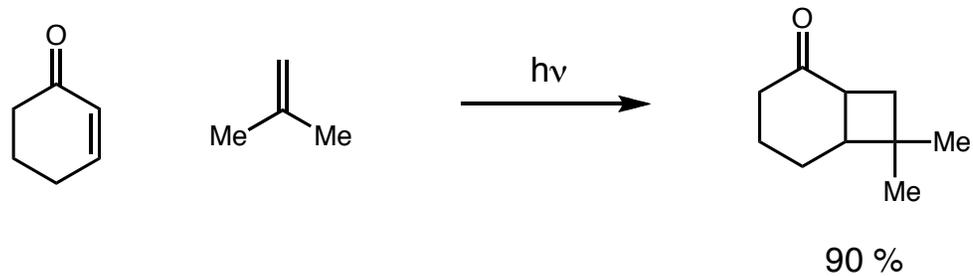
- Only occurs from S_1 state, so reactions are complete stereospecific
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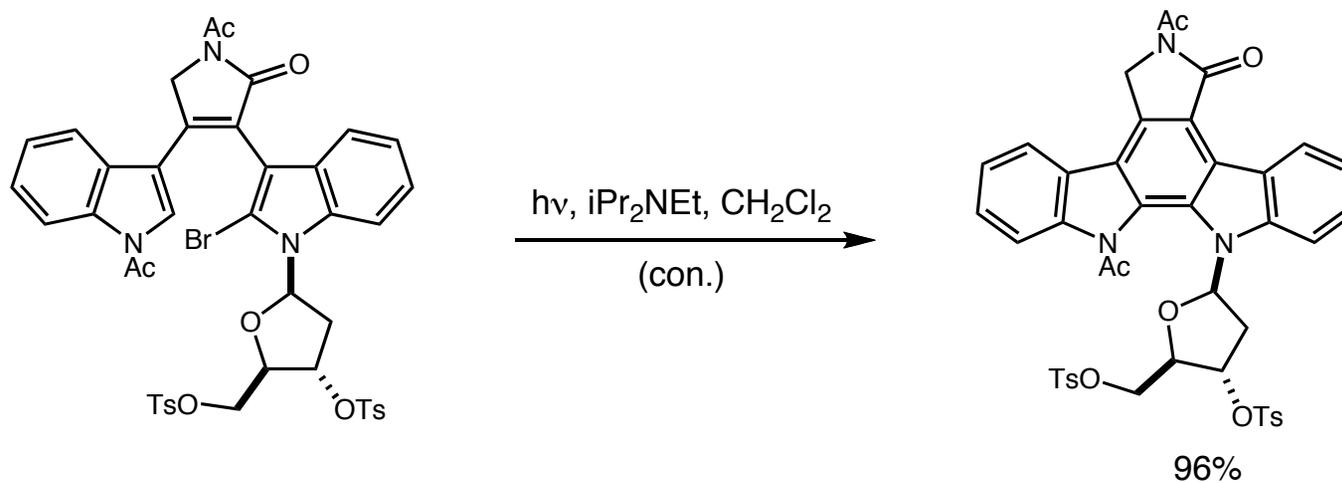
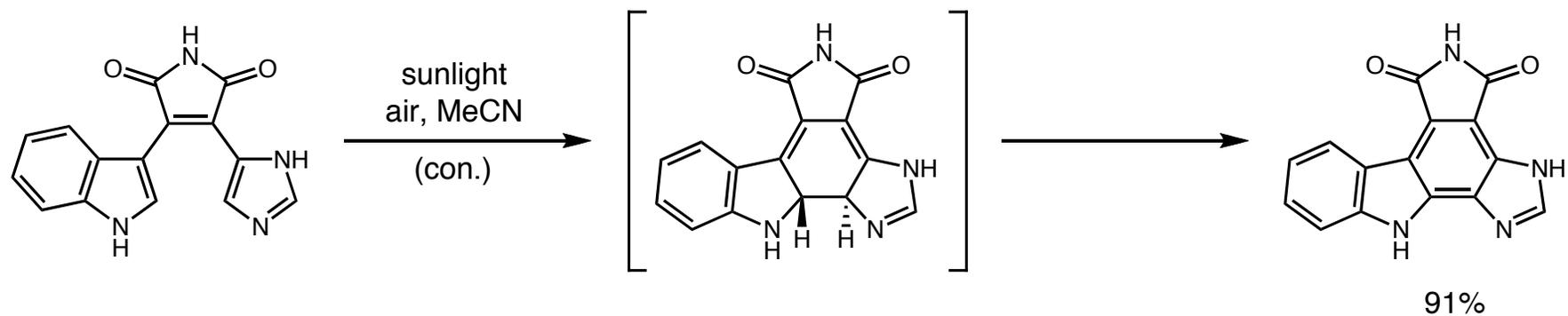
- Carbon radical is more nucleophilic than oxygen, so it adds first to make the more stable biradical

Cycloadditions

- Cycloadditions of alkenes with enones are efficient reactions as well



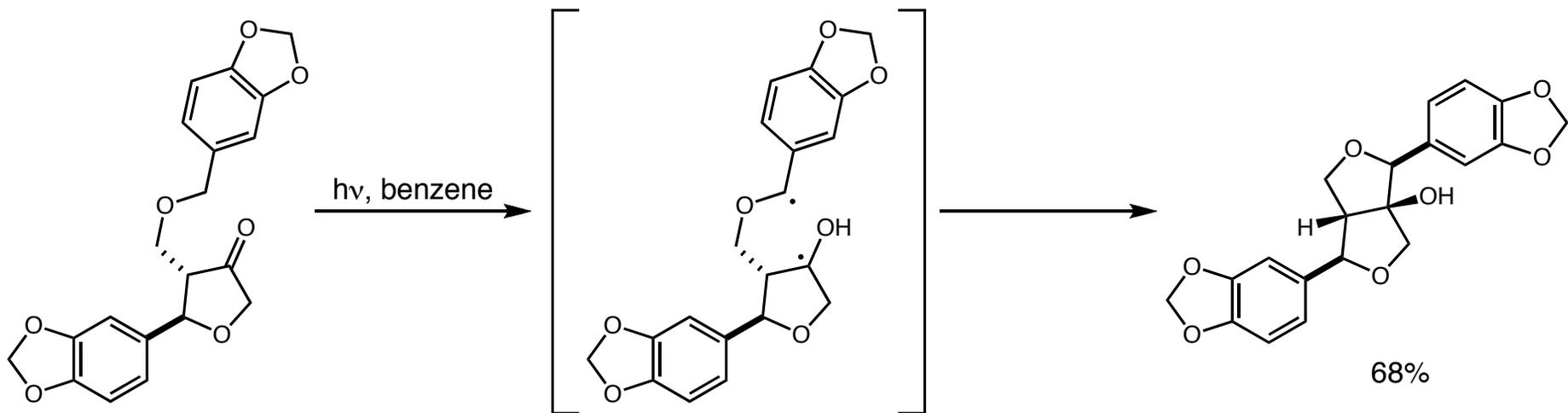
Examples in Synthesis



Berlinck, R. G., *et al.* *J. Org. Chem.* **1998**, *63*, 9850.

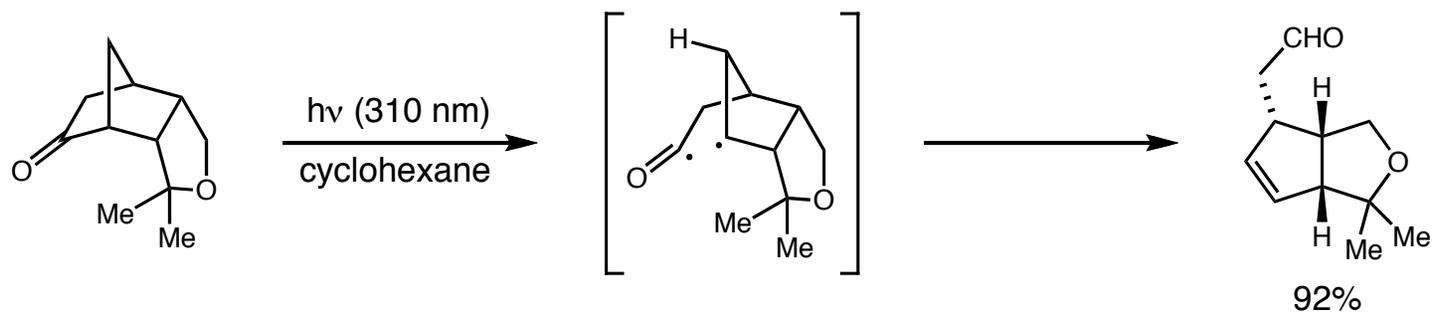
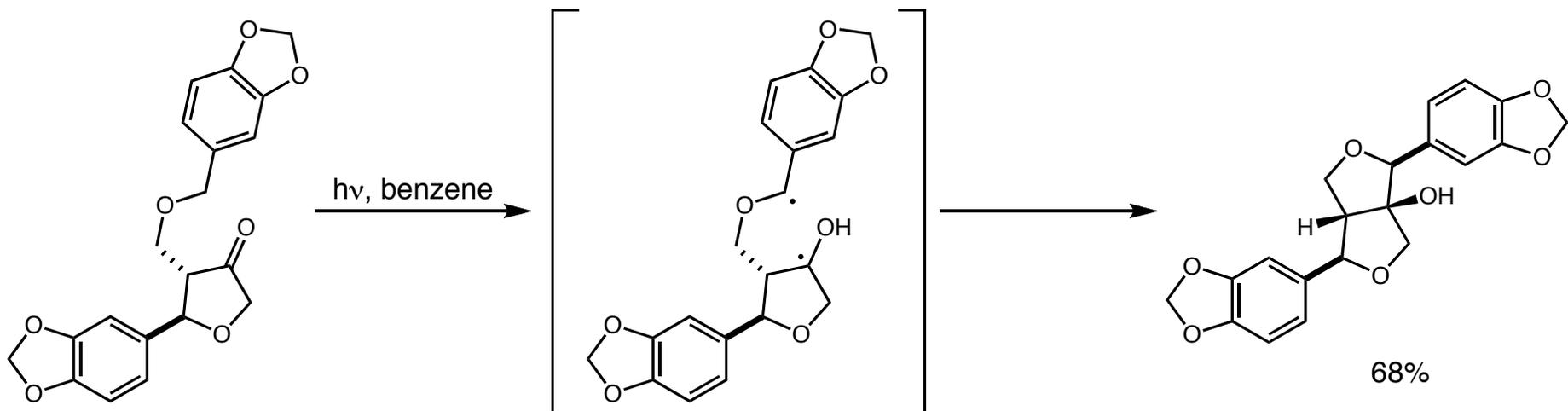
Kobayashi, Y., Fujimoto, T., Fukuyama, T. *J. Am. Chem. Soc.* **1999**, *121*, 6501.

Examples in Synthesis



Kraus, G. A., Chen, L. *J. Am. Chem. Soc.* **1990**, *112*, 3464.

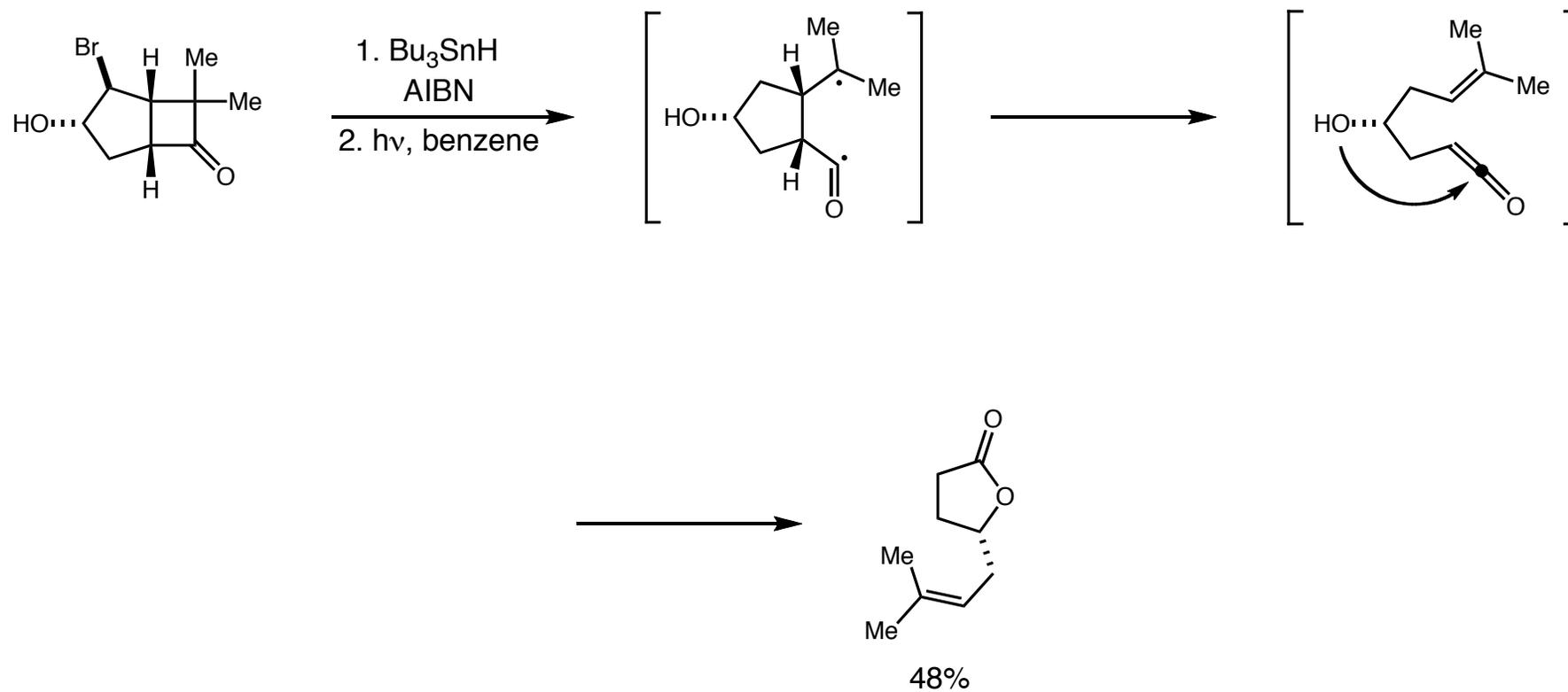
Examples in Synthesis



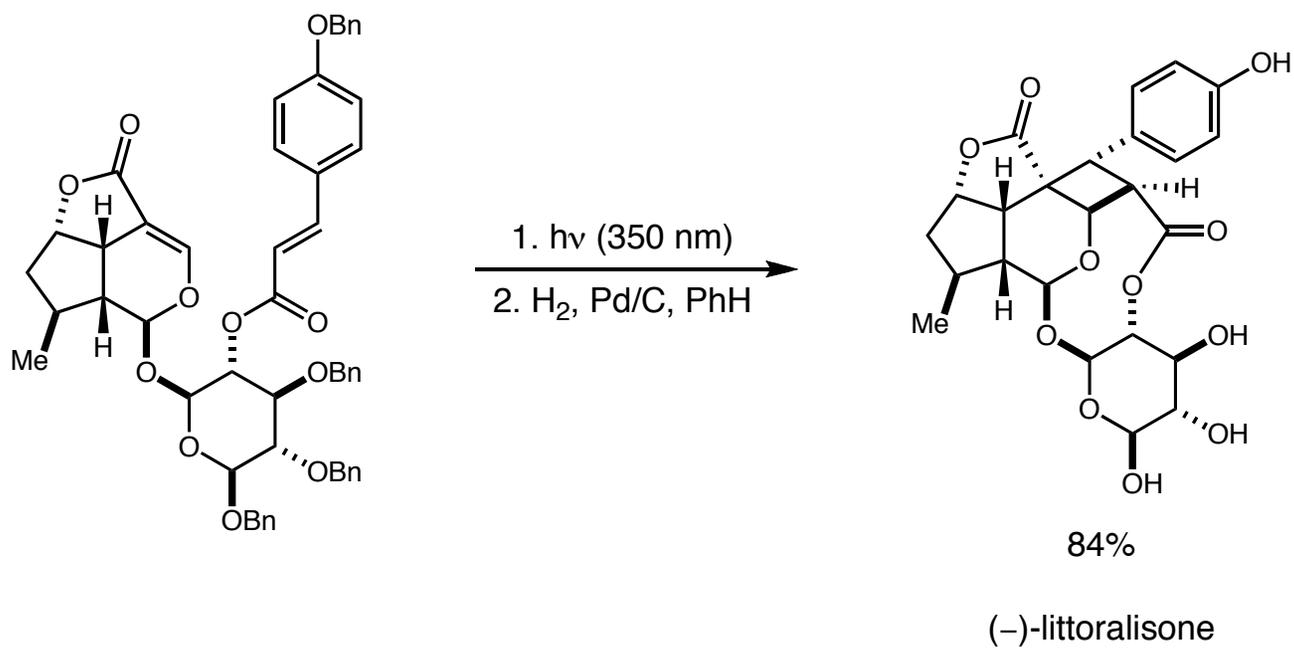
Kraus, G. A., Chen, L. *J. Am. Chem. Soc.* **1990**, *112*, 3464.

Lin, C. -H., Su, Y. -L., Tai, H. -M. *Heterocycles*, **2006**, *68*, 771.

Examples in Synthesis



Examples in Synthesis



Mangion, I. K., MacMillan, D. W. C. *J. Am. Chem. Soc.* **2005**, *127*, 3696.